

Small-World Problem

Problem 1: The Small-World Phenomenon (SWP)

- What does the phrase “it’s such a small world” mean?
 - How far apart are two random individuals?
 - Six degrees of separation
- Why should we care?
 - Spreading of rumors, disease, and so on?
- Want to test if the SWP holds for a system of interactions (people, proteins, computers, etc.)
- How do we test it algorithmically?
 - Need a mathematical model of the relation “knows”

A Model

- A network with the small-world property is characterized by small path lengths.
- Use an undirected graph $G(V, E)$.
 - Individuals correspond to nodes of G .
 - x knows y if and only if $\{x, y\} \in E$.
- Our goal is to compute the distribution and basic statistical properties of pairwise shortest path lengths.
- Special case: What do you do if the graph is not connected?
- The SWP problem then takes as input a graph $G(V, E)$ and outputs the distribution of distances d_{uv} between all pairs of nodes u, v in G .

A Naïve Distance Algorithm

- The SWP problem requires an algorithm to find the distance between two nodes.
- A simple algorithm is based on a direct application of the definition of distance. Given two nodes u and v , check if there is a path $u = u_0, u_1, \dots, u_k = v$ of length k for increasing values of k , starting at 1.
- Remaining problems are as follows:
 - How do you check if there is a path of length k ?
 - When does the algorithm stop?

A Brute Force Algorithm

DISTANCE(V, E, u, v)

▷ *Input.* Undirected graph $G(V, E)$ and $u, v \in V$

▷ *Output.* Length of shortest path from u to v in G or ∞ if not connected

```
1   $k \leftarrow 1$ 
2  while  $k < |V|$ 
3      do  $u_0 \leftarrow u$ 
4           $u_k \leftarrow v$ 
5          for each subset  $U \subset V - \{u, v\}$  of size  $k - 1$ 
6              do for each permutation  $\langle u_1, \dots, u_{k-1} \rangle$  of  $U$ 
7                  do  $foundPath \leftarrow \text{TRUE}$ 
8                      for  $i \leftarrow 1$  to  $k$ 
9                          do if  $\{u_{i-1}, u_i\} \notin E$ 
10                              then  $foundPath \leftarrow \text{FALSE}$ 
11                                  break
12                      if  $foundPath = \text{TRUE}$ 
13                          then return  $k$ 
14           $k \leftarrow k + 1$ 
15  return  $\infty$ 
```

Efficiency

- Runtime depends on how input is provided
 - Assume that $G(V, E)$ is given by adjacency matrix
- Running time also depends on the input size $(n + m)$ as well as the actual input
 - n is number of vertices, m is number of edges
- Review
 - Number of subsets of size k of a set of size n
$$\binom{n}{k} = \frac{n!}{(n - k)! k!}$$
 - Number of permutations of a set of size n
$$n!$$

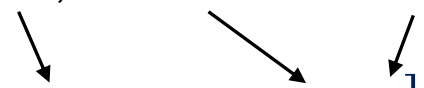
Analysis

```

DISTANCE( $V, E, u, v$ )
1 1   $k \leftarrow 1$ 
n 2  while  $k < |V|$ 
n-1 3      do  $u_0 \leftarrow u$ 
n-1 4       $u_k \leftarrow v$ 
5      for each subset  $U \subset V - \{u, v\}$  of size  $k - 1$   $\binom{n-2}{k-1}$ 
6      do for each permutation  $\langle u_1, \dots, u_{k-1} \rangle$  of  $U$   $(k-1)!$ 
7      do  $foundPath \leftarrow \text{TRUE}$  1
8      for  $i \leftarrow 1$  to  $k$   $k+1$ 
9      do if  $\{u_{i-1}, u_i\} \notin E$   $k$ 
10     then  $foundPath \leftarrow \text{FALSE}$  1
11     break 1
12     if  $foundPath = \text{TRUE}$  1
13     then return  $k$  0
n-1 14       $k \leftarrow k + 1$ 
1 15  return  $\infty$ 

```

$$T(n) = 2 + n + 3(n-1) + \sum_{k=1}^{n-1} \left[\binom{n-2}{k-1} (k-1)! (2 + (k+1) + k + 2) \right]$$

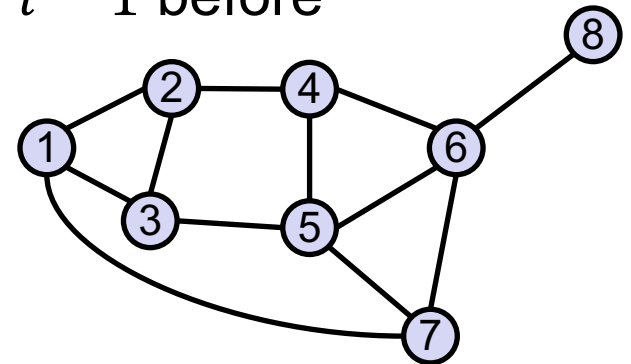
Lines 7,12 Line 9 Lines 10,11


END

SWP Queues

Improving Brute Force

- Recall that our brute force algorithm looks for the shortest path by considering potential paths in order of increasing length: 1, 2, 3, ...
- We can improve performance by remembering partial shortest paths that have been found in a data structure (i.e., remember all nodes at distance 1, 2, 3, and so on, in that order).
- *Key insight:* If $d_{uv} = k$, then there must be w such that $d_{uw} = k - 1 \Rightarrow$ find *all* nodes at distance $i - 1$ before finding all nodes at distance i .
- Use Queues to hold these partial paths



Data Structures

- A ***data structure*** is a policy for organizing data in computer memory with the goal of supporting a specific set of operations efficiently.
 - *Example:* Given a list of values $\langle x_1, x_2, \dots, x_n \rangle$, determine if an arbitrary value x appears in the list
- A data structure is the basis for implementing an abstract data type (a class).
 - The ADT defines the *logical form* of the data
 - The data structure implements the *physical form*
 - *Example:* dictionaries, adjacency matrix, adjacency list
- Algorithms + data structures = programs.

Queue ADT

- A *queue* is a dynamic set that supports inserts and deletes; a delete operation (*dequeue*) removes the element that has been in the set for the longest time
- Enforces a first-in, first-out (FIFO) policy
- Operations
 - $\text{Queue}(\text{Type}) \rightarrow \text{Queue}$
 - Constructor, creates an empty set of elements of type *Type*
 - $\text{Empty}(\text{Queue}) \rightarrow \text{Boolean}$
 - True if set has no elements
 - $\text{Enqueue}(\text{Queue}, \text{Type}) \rightarrow \text{Queue}$
 - Adds an element to the set
 - $\text{Dequeue}(\text{Queue}) \rightarrow \text{Type}$
 - Removes and returns the oldest element in the set (error if empty)

Queue Data Structure

- Queues can be implemented in a number of ways
 - Some implementations are more efficient than others
 - We will explore the details of these implementations later in the course
- When implemented efficiently:
 - Enqueue, dequeue, and empty run in constant time
- It is important to use efficient structures to hold data in help make our algorithms effecient

END

Breadth-First Search

Breadth-First Search (BFS)

- Graph *exploration*: visit all nodes and edges of a graph.
 - Done to compute some properties (e.g., paths between nodes, existence of cycles, connectedness, etc.)
 - Many applications (Web, social networks, routing, games, etc.)
- BFS is a method to systematically explore the nodes of a graph starting from a designated **source node** s
- Visit s and its neighbors; then, for each neighbor, visit its neighbors, and so on until no more nodes can be visited!
- A graph is explored level by level—level 0: $\{s\}$.
 - Level i : vertices reachable by path of length i but not shorter
 - Level i built from level $i - 1$ by trying all outgoing edges but ignoring vertices from previous levels

BFS

- *Question:* How do we make sure that all the neighbors of a node are visited *before* any of their neighbors are (i.e., how do we guarantee that all nodes at level i are visited before any node of level $i + 1$)?
- *Answer:* We do so by using a queue to store the nodes pending exploration.
 - All nodes at level i appear earlier in the queue than any node at level $i + 1$.

BFS Algorithm

BFS(V, E, s)

▷ *Input.* Undirected graph $G(V, E)$ and source $s \in V$

▷ *Output.* Distance d_v from s to v , $\forall v \in V$

```
1  Initialize an empty queue  $Q$ 
2  for each  $u \in V$ 
3      do  $d_u \leftarrow \infty$ 
4   $d_s \leftarrow 0$ 
5  ENQUEUE( $Q, s$ )
6  while  $Q$  is not empty
7      do  $u \leftarrow \text{DEQUEUE}(Q)$ 
8          for each neighbor  $v$  of  $u$ 
9              do if  $d_v = \infty$ 
10                  then  $d_v \leftarrow d_u + 1$ 
11                      ENQUEUE( $Q, v$ )
12  return  $d$ 
```


Efficiency

```
BFS( $V, E, s$ )  
 $O(n)$  { 1 Initialize an empty queue  $Q$   
        2 for each  $u \in V$   
        3     do  $d_u \leftarrow \infty$   
        4  $d_s \leftarrow 0$   
        5 ENQUEUE( $Q, s$ )  
 $O(n + m)$  { 6 while  $Q$  is not empty  
            7     do  $u \leftarrow \text{DEQUEUE}(Q)$   
            8         for each neighbor  $v$  of  $u$   
            9             do if  $d_v = \infty$   
           10                 then  $d_v \leftarrow d_u + 1$   
           11                     ENQUEUE( $Q, v$ )  
           12 return  $d$ 
```

- Each node is enqueued/dequeued at most once.
- Each edge is examined at most twice.
- As implemented, BFS runs in $O(n + m)$ time, n = num verts, m = num edges

END

Introduction to Paradigms

Introduction to Paradigms

- An ***algorithm design paradigm*** is a general approach to solving a problem that is applicable to other problems.
- Similar to a design pattern
 - From Object-Oriented programming
- Distills the common structure of “similar” algorithms
 - Similar implementations
 - Similar restrictions on use
 - Similar efficiency
 - Gives us a language to talk about problem solving

Algorithm Design Paradigms

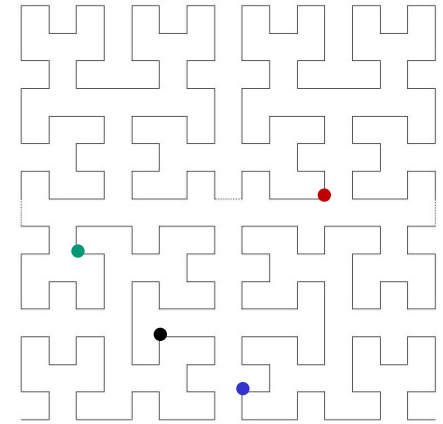
- Techniques include:
 - brute force
 - incremental
 - divide-and-conquer
 - decrease-and-conquer
 - randomization
 - iterative improvement
 - backtracking
 - branch-and-bound
 - dynamic programming
 - greedy solutions
 - approximation

END

Incremental Design

Sorting

- *Input:* a sequence $X = \langle x_1, x_2, \dots, x_n \rangle$ of n values taken from a *totally ordered* set U (not necessarily numbers)
- *Output:* a permutation π of X such that $x_{\pi(i)} \leq x_{\pi(i+1)}$, for $1 \leq i < n$
- Some input instances
 - $\langle 20, 5, 4, 13, 9 \rangle$
 - $\langle \text{Bill}, \text{Tom}, \text{Katie}, \text{Mary}, \text{Bob} \rangle$
 - $\langle (5, 3), (11, 8), (8, 1), (10, 4), (2, 6) \rangle$



A Sorting Algorithm

Step	Sort(A, n)
1	for $i \leftarrow 1$ to $n - 1$
2	do $\text{key} \leftarrow A[i]$
3	$j \leftarrow i - 1$
4	while $j \geq 0$ and $A[j] > \text{key}$
5	do $A[j + 1] \leftarrow A[j]$
6	$j \leftarrow j - 1$
7	$A[j + 1] \leftarrow \text{key}$

- How is this general?
- Why is it correct?
- How efficient is it?
 - Best and worst cases?

A Python Implementation

```
# sort a list A of integers in ascending order
import random # package for random generation/manipulation
n = 10         # number of elements
A = [i+1 for i in range(n)] # create list A of integers 1...n
random.shuffle(A)          # randomly permute A

print('Before sorting: ')
print(A)

# Insertion sort
for i in range(1,n):
    key = A[i]
    j = i-1
    while j>=0 and A[j]>key:
        A[j+1] = A[j]
        j = j-1
    A[j+1] = key

print('After sorting: ')
print(A)
```


Incremental Design

- The sorting algorithm is an instance of an ***incremental design***, summarized as follows:

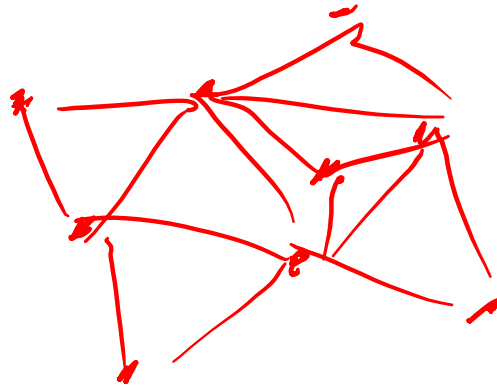
- Consider a problem that takes as input a list of values x_1, x_2, \dots, x_n .
- Let c denote some suitably chosen constant.

1. Solve the problem for x_1, \dots, x_c by any method of your choice.
2. **For** $i \leftarrow c + 1$ **to** n **do**
extend the solution for x_1, \dots, x_{i-1} to a solution for x_1, \dots, x_i .

Sort(A, n)
for $i \leftarrow 2$ to n
do key $\leftarrow A[i]$
$j \leftarrow i - 1$
while $j > 0$ and $A[j] > \text{key}$
do $A[j + 1] \leftarrow A[j]$
$j \leftarrow j - 1$
$A[j + 1] \leftarrow \text{key}$

Incremental Design

- Incremental Tessellation



Incremental Design Paradigm

Incremental approach

- Solve $\langle a_1 \rangle$
- For $i = 2, 3, \dots, n$:
 - Solve $\langle a_1, \dots, a_i - 1, a_i \rangle$ using solution of $\langle a_1, \dots, a_i - 1 \rangle$

END

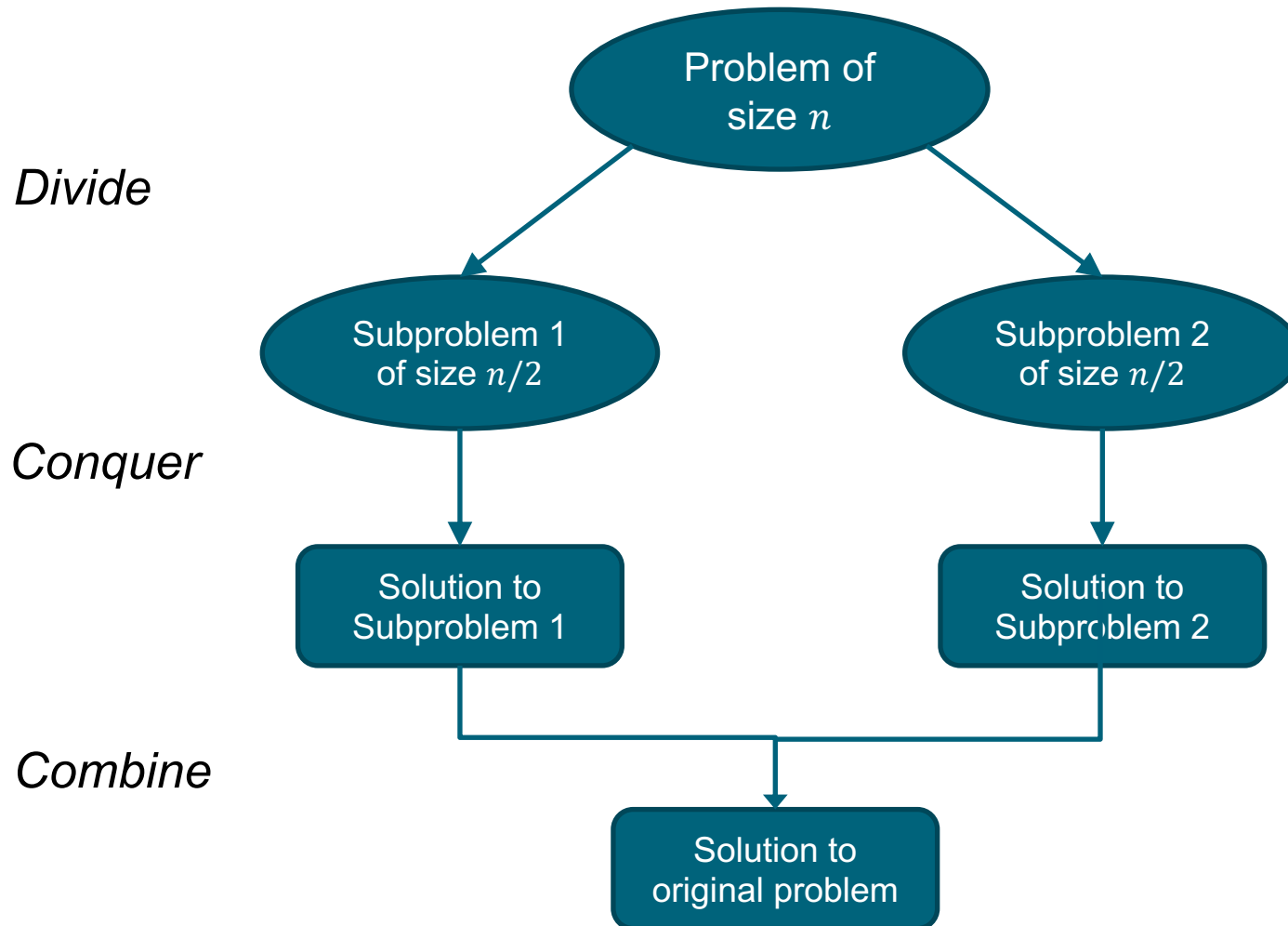
Divide and Conquer

DAC Template

Given a problem of size n :

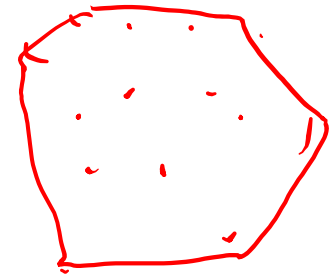
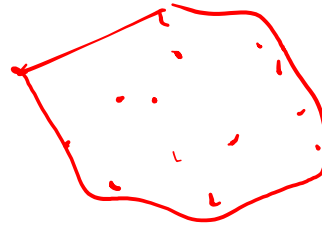
1. **Divide** the problem into k subproblems of size n/k each
2. **Conquer** by solving each subproblem independently
3. **Combine** the k solutions to subproblems into a solution to the original problem

DAC With Two Subproblems



Divide and Conquer Problems

- There are many problems that can be solved using DAC
 - Binary Search, Merge/Quick sort
 - Polynomial/Matrix multiplication, Exponentiation
 - Order Statistics
 - Stock Profit
 - Closest Point Pairs, Merge/Quick Hull

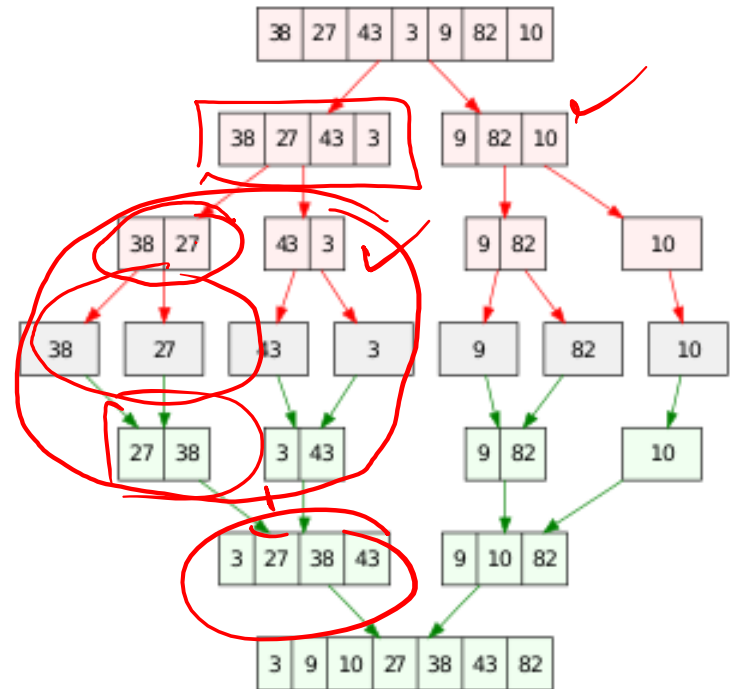


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Sorting

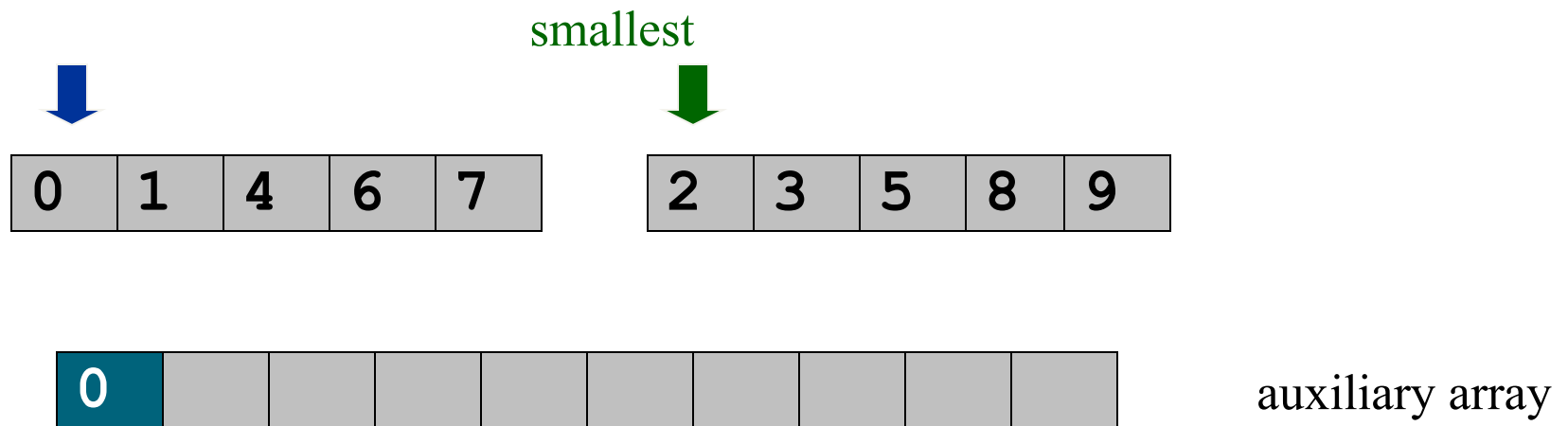
Sorting Revisited

```
def mergeSort(L):  
    if len(L) < 2:  
        return L[:]  
    else:  
        mid = len(L)//2  
        Left = mergeSort(L[:mid])  
        Right = mergeSort(L[mid:])  
        return merge(Left, Right)
```



Merging

- Keep track of the smallest element in each sorted half.
- Insert the smallest of the two elements into the auxiliary array.
- Repeat until done.



Merging

- Keep track of the smallest element in each sorted half.
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smallest



0	1	4	6	7
---	---	---	---	---

smallest



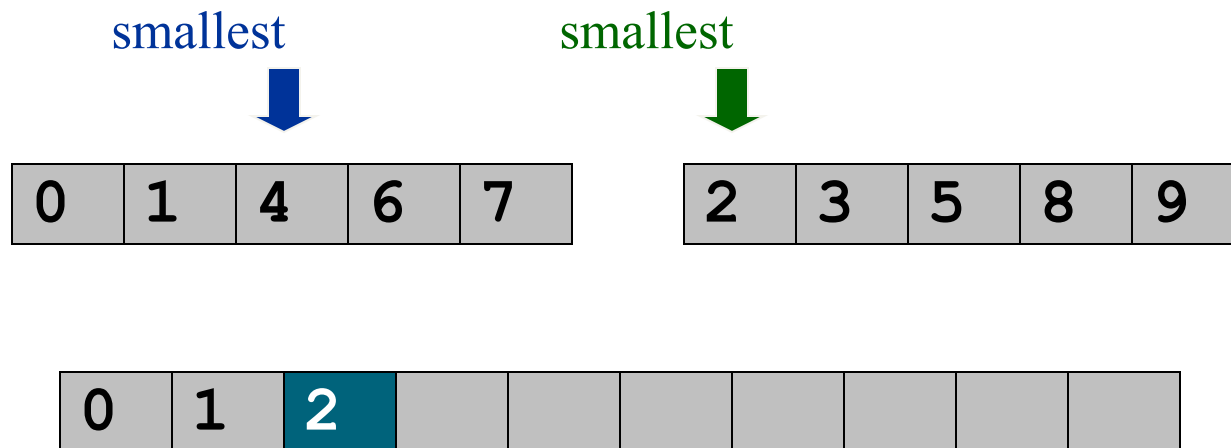
2	3	5	8	9
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0	1								
---	---	--	--	--	--	--	--	--	--

auxiliary array

Merging

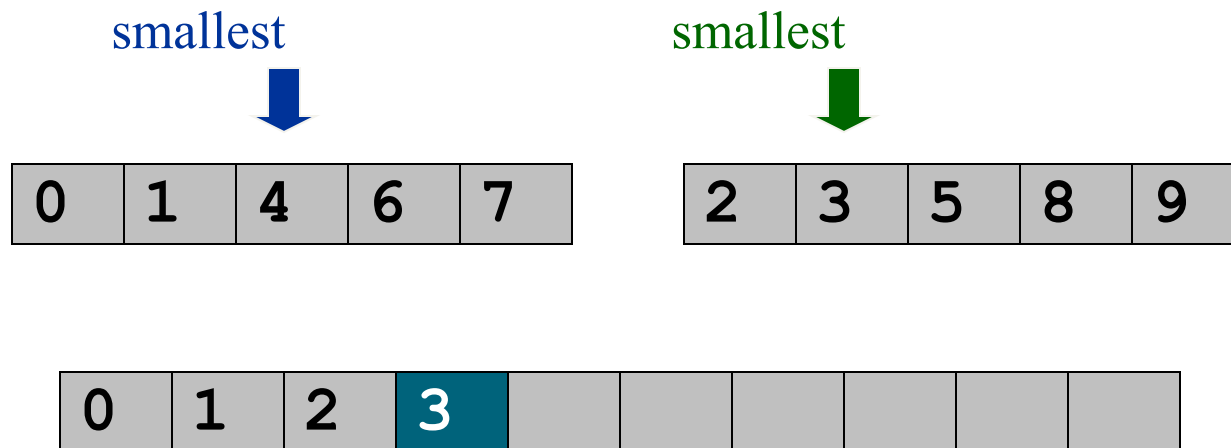
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auxiliary array

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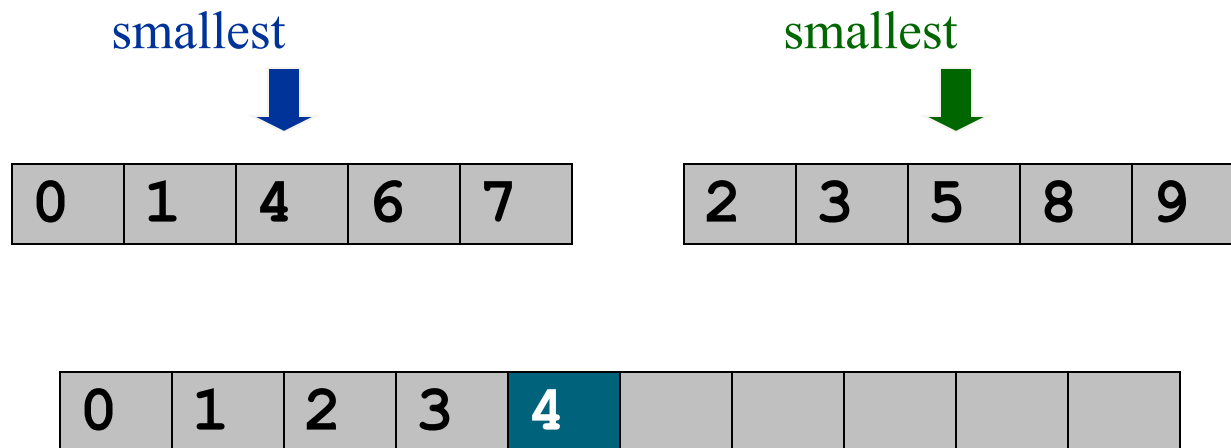
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auxiliary array

Merging

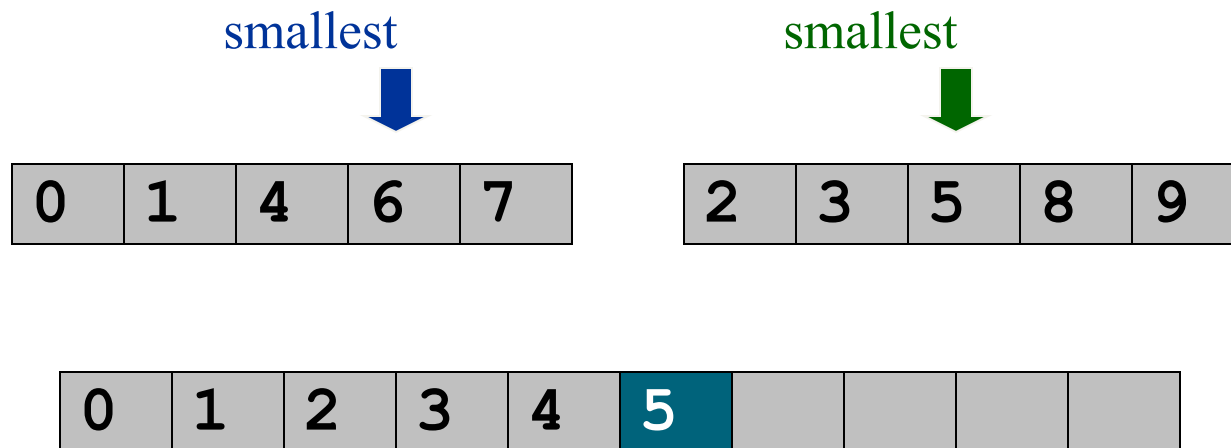
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auxiliary array

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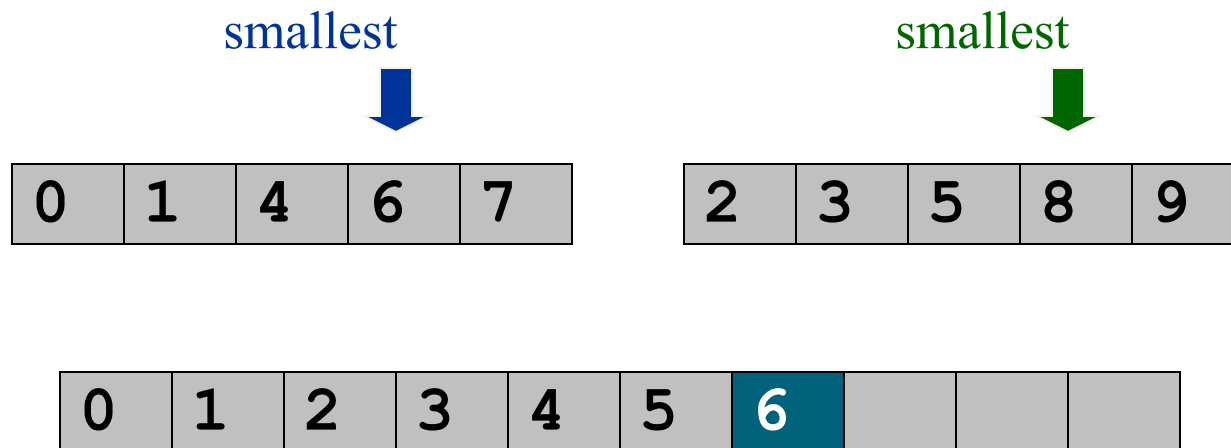
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auxiliary array

Merging

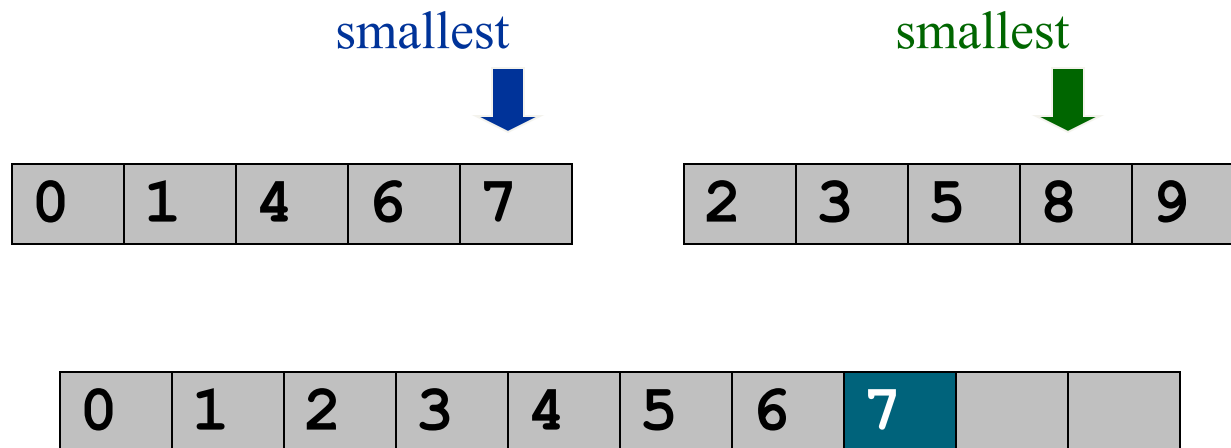
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auxiliary array

Merging

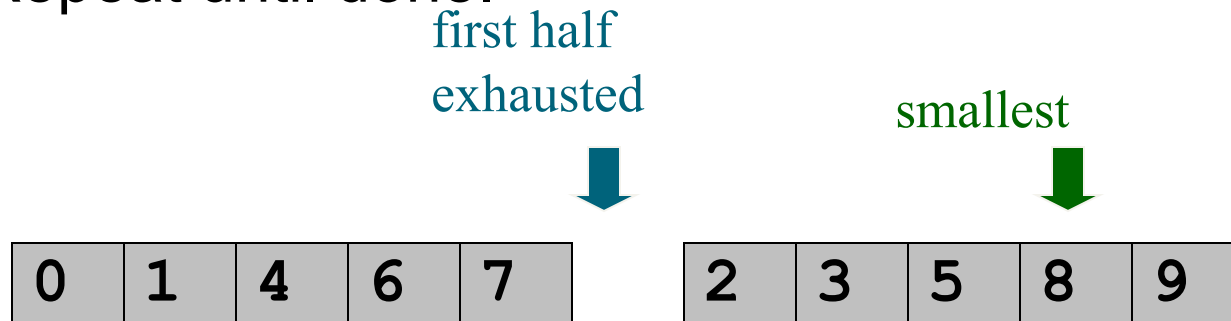
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auxiliary array

Merging

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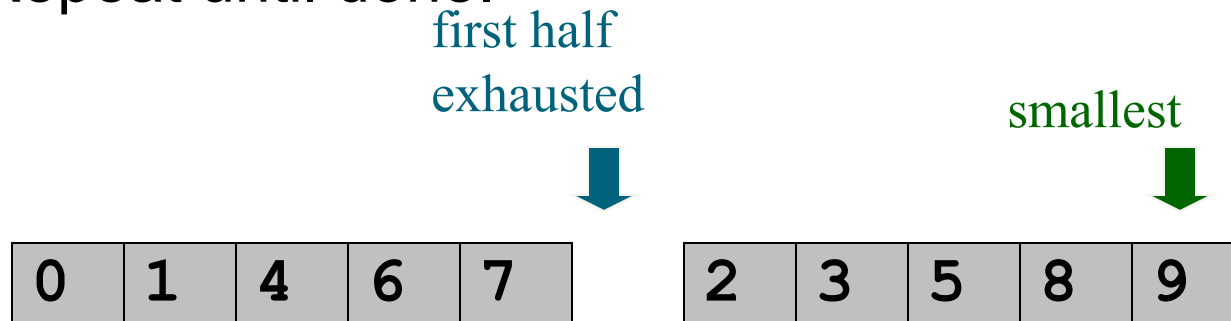


0	1	2	3	4	5	6	7	8	
---	---	---	---	---	---	---	---	---	--

auxiliary array

Merging

- Keep track of the smallest element in each sorted half.
- Insert the smallest of the two elements into the auxiliary array.
- Repeat until done.

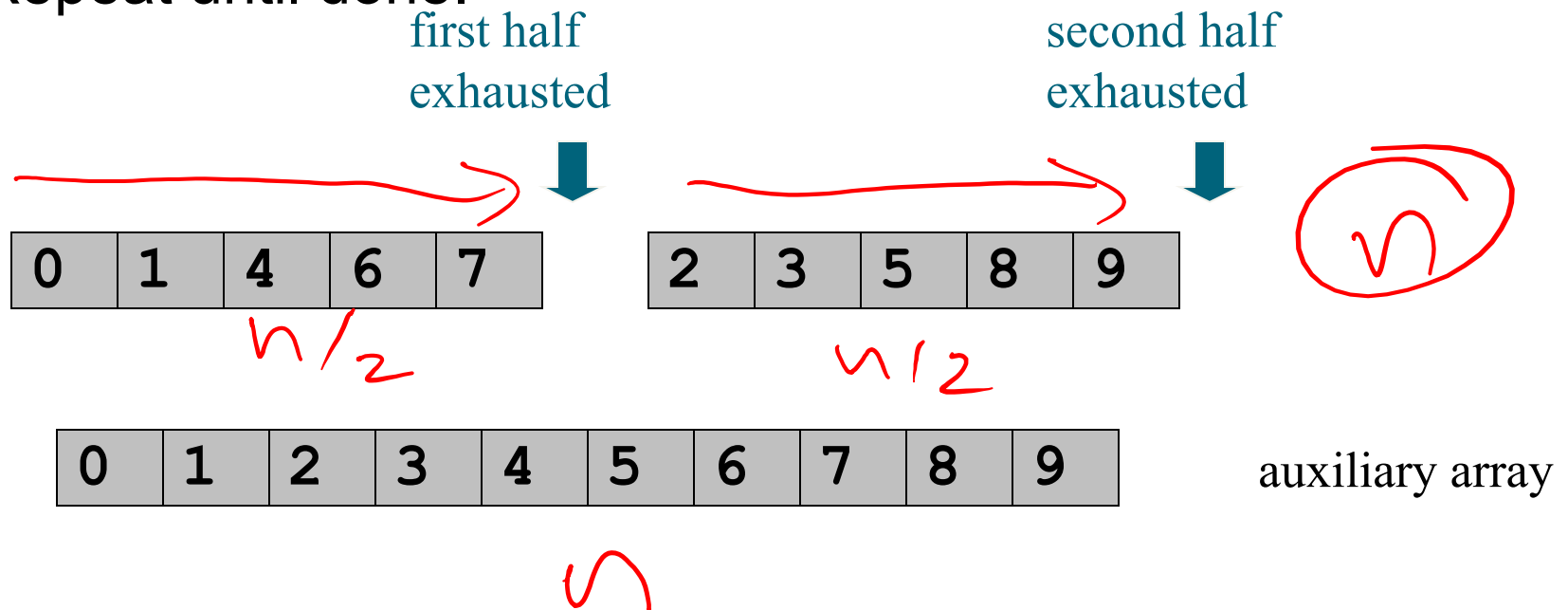


0	1	2	3	4	5	6	7	8	9
---	---	---	---	---	---	---	---	---	---

auxiliary array

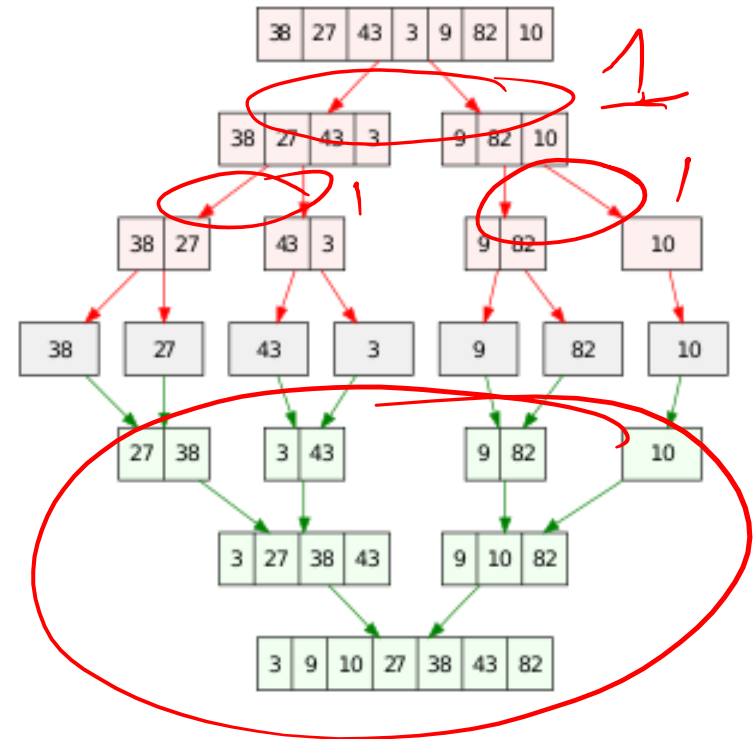
Merging

- Keep track of the smallest element in each sorted half.
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- Repeat until done.



Merge

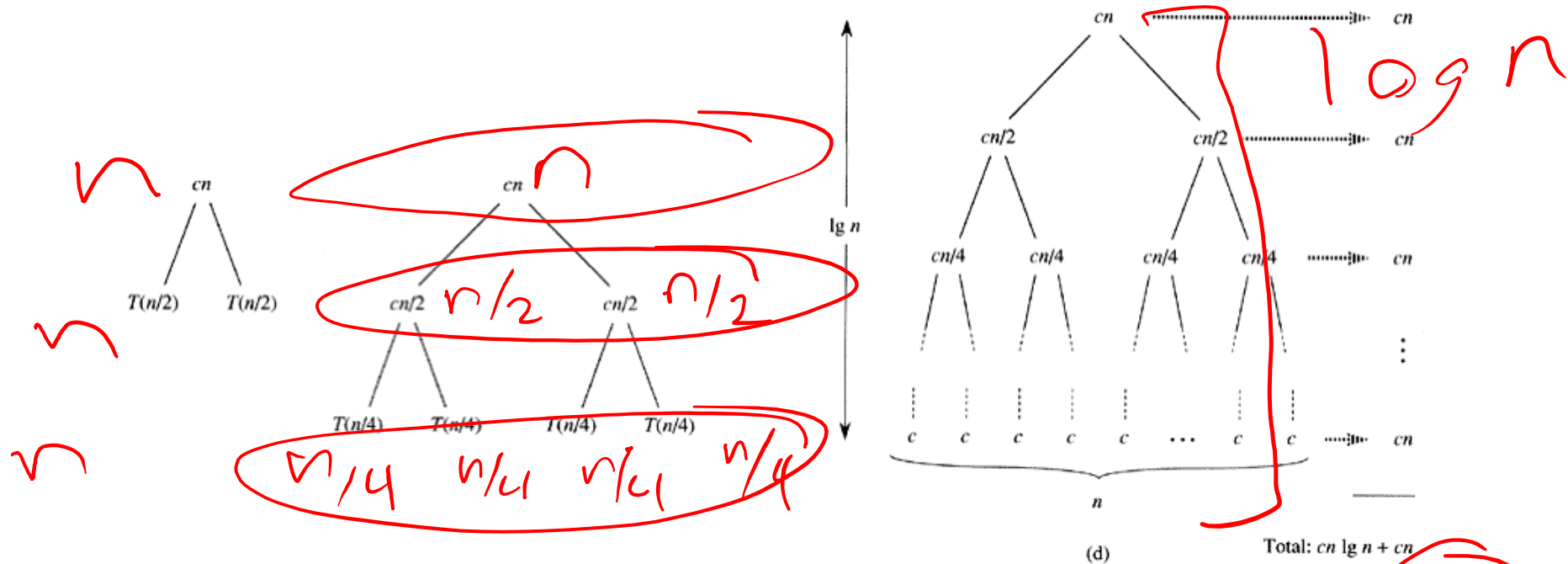
```
def merge(A, B):  
    out = []  
    i, j = 0, 0  
    while i < len(A) and j < len(B):  
        if A[i] < B[j]:  
            out.append(A[i])  
            i += 1  
        else:  
            out.append(B[j])  
            j += 1  
    while i < len(A):  
        out.append(A[i])  
        i += 1  
    while j < len(B):  
        out.append(B[j])  
        j += 1  
    return out
```



Running Time of Merge Sort

- How long does merge take?
- Accessing an arbitrary element of a list takes $O(1)$ time.
- Complexity is $T(n) = 2 \cdot T(n/2) + n$ (for $n \geq 2$).
- What does this resolve to?

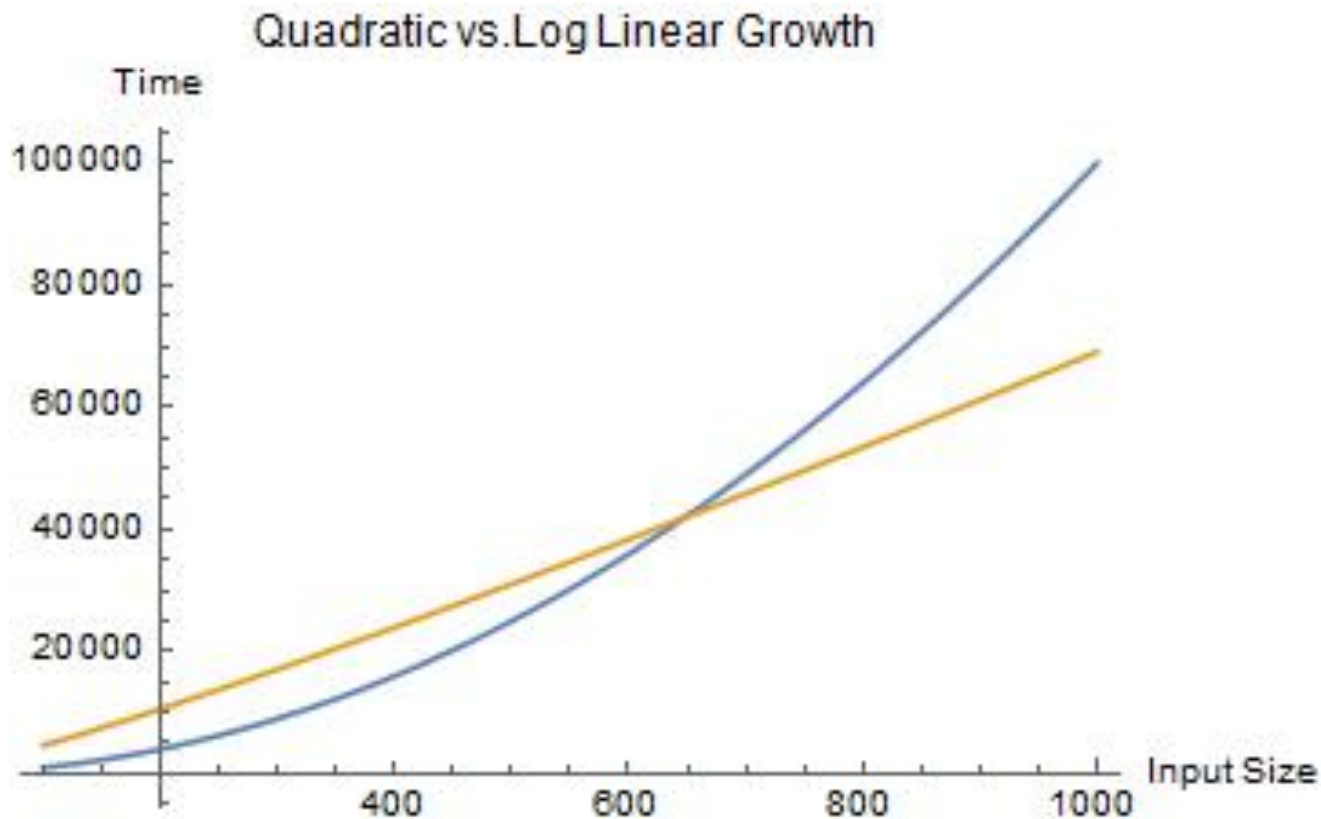
Recursion Tree



$$T(n) = 2 \cdot T(n/2) + n \in \Theta(n \log n)$$

Insertion vs. Merge Sort

Comparing $0.1n^2$ vs. $10 n \log n$



Running Time Comparison

$$T_1(n) = 0.1 n^2$$

$$T_2(n) = 10 n \log n$$

Input Size, n	$\Theta(n^2)$	$\Theta(n \log n)$
10	10 μ sec	332 μ sec
100	1 msec	6.64 msec
1,000	100 msec	100 msec
10,000	10 sec	1.3 sec
100,000	17 min	16 sec
1,000,000	28 hours	3 min
10,000,000	116 days	39 min

END

Paradigms Overview

Paradigms

- We have introduced the idea of paradigms.
- We will cover the following in detail:
 - Brute Force/Incremental
 - Divide and conquer
 - Dynamic programming
 - Greedy
 - Backtracking

Brute Force/Incremental

- Brute Force
 - Try every possibility
 - Often implement the algorithm "as stated"
 - Usually highly inefficient
- Incremental
 - Build up solution by adding to existing solution

Divide and Conquer

- Divide problem into subproblems
- Solve subproblems
- Combine subproblems to form solution
- Fairly fast
- Can't always combine subproblems properly

Dynamic Programming

- Similar to divide and conquer
- Less repeated work for overlapping problems

Greedy

- Solve a problem by making local decisions
- The local decisions combine to form a correct global solution to the problem
- Very fast
- But often, the local choices won't combine to form a global solution, so can't use Greedy

Backtracking

- Make a choice and explore to see where it leads
- If doesn't lead to a solution, try another option
- Slow
 - Sometimes so slow that you can't solve in a reasonable amount of time

END

Acknowledgements

- Introduction to Algorithms, 3rd edition, by T.H. Cormen, C.E. Leiserson, R.L. Rivest, C. Stein; MIT Press, 2009
- Wikipedia.org