





# 307307 Part 3 – Introduction to Deep Learning and Large Language Models





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- Introduction to Deep Neural Networks
  - CNNs
  - RNNs
  - The Transformer
- Contextual Word Embeddings
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  - Introduction to HuggingFace and the Transformers Library



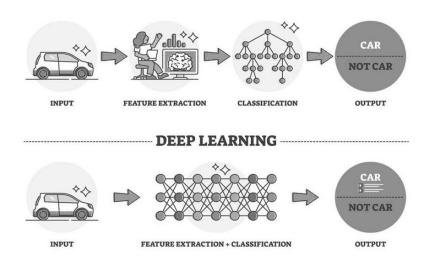


# Introduction to Deep Learning

- Neural Networks have revolutionized artificial intelligence by enabling machines to learn from data in ways that mimic human neural processes.
- Deep neural networks (DNNs) are Neural Networks that are composed of multiple processing layers that can learn representations of data with multiple levels of abstraction.
- The power of deep learning comes from its ability to automatically discover intricate patterns in raw data through the learning process, without requiring human engineers to manually specify all the knowledge needed by the computer system.

# input layer hidden layer 1 hidden layer 2 hidden layer 3 output layer

**Deep Neural Network** 







# Introduction to Deep Learning

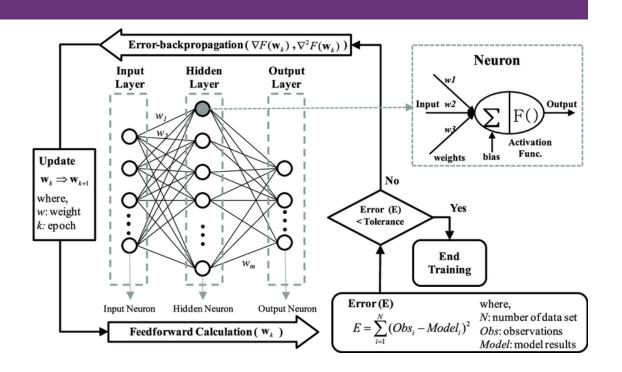
#### **Fundamentals of Neural Networks**

At their core, neural networks consist of:

- **1. Neurons**: Mathematical functions that take inputs, apply weights, add a bias, and produce an output
- **2. Layers**: Collections of neurons that process information in stages
- **3. Activation Functions**: Non-linear functions that introduce complexity into the network
- **4. Weights and Biases**: Parameters that are adjusted during training

The basic workflow involves:

- Forward propagation: Data flows through the network
- Loss calculation: The network's prediction is compared to the actual value
- Backpropagation: Errors are propagated backward to update weights
- Optimization: Weights are adjusted to minimize errors







# Convolutional Neural Networks

CNNs revolutionized image processing by introducing specialized layers that mimic how the visual cortex processes information.

Key components include:

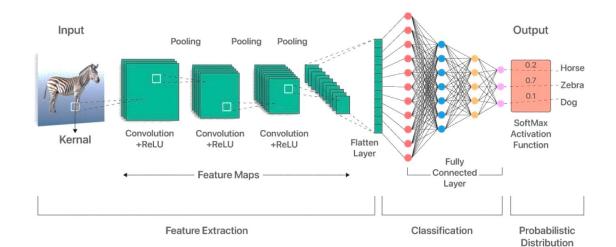
- 1. Convolutional Layers: Apply filters that scan across the input data to detect patterns
- **2. Pooling Layers**: Reduce dimensions while preserving important features
- **3. Fully Connected Layers**: Connect every neuron to every neuron in adjacent layers

Instead of each neuron connecting to every pixel in an image (which would be computationally expensive), CNNs use:

- Local connectivity: Neurons connect only to nearby pixels
- Parameter sharing: The same filter is applied across the entire image

Business applications include:

- Product image recognition
- Visual quality control in manufacturing
- Document processing
- Customer behavior analysis in retail



30	3	22	1	0
02	02	$1_0$	3	1
30	$1_{1}$	22	2	3
2	0	0	2	2
2	0	0	0	1

_			
	12.0	12.0	17.0
	10.0	17.0	19.0
	9.0	6.0	14.0





# Recurrent Neural Networks (RNNs)

Unlike traditional neural networks, RNNs process sequences by maintaining a form of memory of previous inputs.

#### Key characteristics:

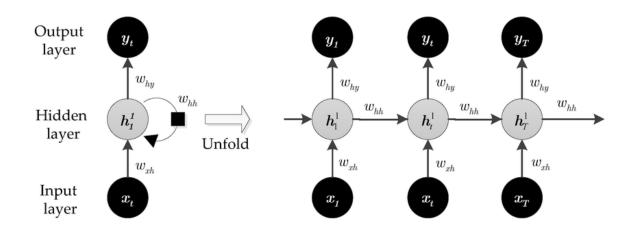
- Time-dependent processing: Output depends on both current and previous inputs
- Shared parameters: The same weights are applied at each time step
- Memory: Internal state acts as a form of short-term memory

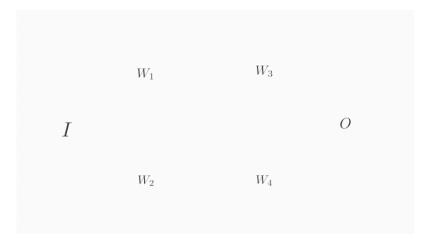
However, basic RNNs struggle with long-term dependencies due to:

- Vanishing gradient problem: The influence of early inputs fades over time
- Exploding gradient problem: Gradients grow uncontrollably during training

#### Business applications include:

- Language Modeling & Text Generation Predicting the next word in a sequence (e.g., autocomplete, chatbots).
- Machine Translation Translating text from one language to another.
- Speech Recognition Converting spoken language into written text.
- Stock Price Prediction Predicting future stock or financial data.
- Weather Forecasting Modeling temporal patterns in weather data.
- Patient Monitoring Analyzing sequences of medical data (e.g., ECG signals).
- Music Generation Creating sequences of musical notes.
- Fraud Detection Detecting unusual sequences in financial transactions.
- Network Intrusion Detection Monitoring patterns of activity over time.











# Transformers





# Transformers – Architecture and Principles

#### What is a Transformer?

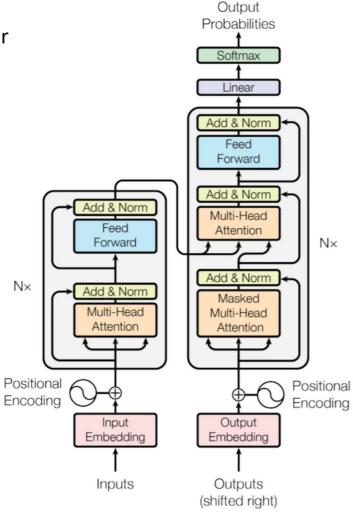
- A deep learning model based entirely on self-attention, with no recurrence or convolutions
- Introduced in the paper "Attention Is All You Need" (Vaswani et al., 2017)

#### **Transformer Components:**

- **1. Embeddings**: Convert tokens to vector representations
- 2. Positional Encoding: Adds position information
- **3. Multi-Head Attention**: Processes relationships from multiple perspectives
- 4. Feed-Forward Networks: Process each position independently
- 5. Layer Normalization: Stabilizes training
- 6. Residual Connections: Helps with gradient flow

#### **Architecture Variations:**

- Encoder-only (BERT): Good for understanding (classification, NER)
- Decoder-only (GPT): Good for generation
- Encoder-decoder (T5): Good for transformation tasks (translation, summarization)







# The Transformer in Detail

- The transformer architecture is designed to process sequential data, such as natural language, in a highly efficient and effective manner.
- Unlike traditional models that rely on sequential processing (like RNNs), transformers utilize a mechanism called self-attention, allowing them to analyze the entire input sequence at once.
- This capability enables them to capture complex relationships and dependencies between tokens in the sequence.

#### The transformer model consists of two main parts:

- **1. Encoder:** The encoder processes the input sequence and generates a continuous representation of it. This representation captures the contextual information of the input tokens.
- **2. Decoder:** The decoder takes the encoder's output and generates the final output sequence. It does this by predicting one token at a time, using the encoded representations and previously generated tokens.
- Both the encoder and decoder are composed of multiple identical layers—typically six layers in the original transformer architecture—allowing for deep learning and complex feature extraction.





# Key Components of Transformers

#### 1. Multi-Head Attention:

**Function:** Multi-head attention allows the model to focus on different parts of the input sequence simultaneously.

It computes attention scores for each token in relation to all other tokens, enabling the model to weigh the importance of each token when making predictions.

**Mechanism:** The attention mechanism uses three vectors: Query (Q), Key (K), and Value (V).

The attention scores are calculated as the dot product of the query and key vectors, scaled by the square root of the dimension of the key vectors.

These scores are then used to weight the value vectors, producing a context-aware representation of the input.

#### 2. Feed-Forward Networks:

**Function:** Each layer of the encoder and decoder contains a feed-forward neural network that processes the output from the attention mechanism.

This network enhances the model's ability to learn complex representations.

**Structure:** The feed-forward network consists of two linear transformations with a non-linear activation function (usually ReLU) applied between them.

This allows the model to capture intricate patterns in the data.





# Key Components of Transformers

#### 3. Positional Encoding:

**Purpose:** Since transformers do not inherently understand the order of tokens, positional encodings are added to the input embeddings.

This encoding provides information about the position of each token within the sequence, allowing the model to consider the order of words.

**Implementation:** Positional encodings are typically generated using sine and cosine functions, which create unique encodings for each position that can be added to the input embeddings.

#### 4. Layer Normalization:

**Function:** Layer normalization stabilizes the training process by normalizing the inputs to each layer.

This helps mitigate issues related to internal covariate shift and improves convergence during training.

**Application:** It is applied after the attention and feed-forward layers, ensuring that the outputs are centered and scaled appropriately.

#### 5. Residual Connections:

**Purpose:** Residual connections help facilitate the flow of gradients during training, addressing the vanishing gradient problem.

They allow the model to learn more effectively by providing a direct path for gradients to flow through the network.

**Implementation:** The output of each sub-layer (attention and feed-forward) is added back to the original input, creating a shortcut that enhances learning.

The transformer architecture is a powerful and flexible model that has transformed the landscape of natural language processing and other fields. Its ability to process entire sequences simultaneously, leverage self-attention mechanisms, and utilize deep learning through stacked layers makes it a robust choice for a wide range of tasks.



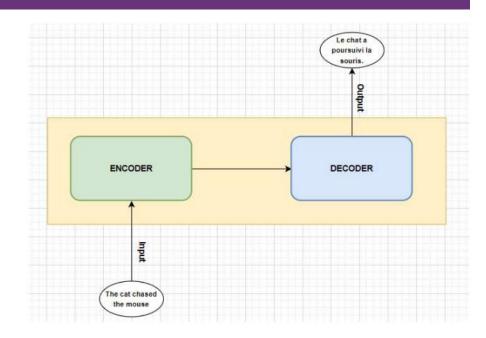


# **How Transformer Works**

Let's understand how the transformer takes input, processes and gives output.

We will consider a simple example of translating an English sentence to French using a transformer model. Suppose we have,

- Input sentence: "Your cat is a lovely cat"
   We want to translate this to French:
- Output sentence: "Ton chat est un chat adorable."
   The transformer takes the input, translates, and gives the output.





LOVELY

6422.693

6315.080

2141.081

CAT

171.411

3276.350

3633.421 8390.473 + 1281.458 7902.890 912.970 3821.102 1659.217 7018.620

1452.869 11179.24 10105.789

15409.093



# Input Preparation

#### English sentence (source):

"Your cat is a lovely cat"

- Tokenization: Break the sentence into tokens: ["<s>", "Your", "cat", "is", "a", "lovely", "cat", "</s>"]
- Embedding: Convert each token into a vector using a learned embedding matrix. These embeddings capture the semantic meaning of each word.
- Positional Encoding: Add position-based information to each token embedding to provide information about the position of each token in the sequence. This is necessary because transformers process the entire sequence simultaneously, unlike RNNs that process one word at a time.
- Without positional encoding, the self-attention mechanism would treat the sentence as a bag of words.

Embedding	Index ID	Vocabulary					
 [ 0.03 0.12 0.98 ] [ 0.04 0.08 0.32 ]	 1134 665	 a in	Original sentence	YOUR	CAT	IS	А
				952.207 5450.840	171.411 3276.350	621.659 1304.051	776.562 5567.288
[ 0.45 0.01 0.03 ] [ 0.93 0.54 0.58 ]	398 23	i joint	<b>Embedding</b> (vector of size 512)	1853.448	9192.819	0.565	58.942
 [ 0.37 0.72 0.08 ]	 76	 student	(vector or size 512)	1.658 2671.529	3633.421 8390.473	7679.805 4506.025	2716.194 5119.949
	 56	 Wednesday		+	+	+	+
[ 0.53 0.42 0.77 ] [ 0.09 0.71 0.03 ]	9235	,	Position Embedding (vector of size 512).		1664.068 8080.133 2620.399		
[ 0.22 0.95 0.37 ]	889	and 	Only computed once and reused for every sentence during training and inference.				
[ 0.07 0.13 0.48 ] [ 0.15 0.46 0.63 ]	65 456	May called			9386.405 3120.159		
				-	1835.479	<del>-</del> 	-
[ 0.45 0.01 0.04 ]	298 	am 	Encoder Input		11356.483 11813.218		
			(vector of size 512)		13019.826		
ı					 11510.632		





# Encoder

The encoder processes the full input sequence in parallel through a stack of layers.

The encoder takes the input embeddings with positional encodings and passes them through multiple layers of multi-head attention and feed-forward networks. Each encoder layer processes the input, allowing the model to learn complex representations of the sentence.

For example, in a sentence like "The cat chased the mouse", the attention mechanism in the encoder might learn that "cat" is related to "chased" and "mouse", capturing the semantic relationships between the tokens.

Each encoder layer includes:

#### Multi-head Self-Attention:

Each word learns which other words to focus on. Example: The second occurrence of "cat" may attend to the first "cat" to recognize repetition or coreference.

#### Add & Norm:

A residual connection followed by layer normalization.

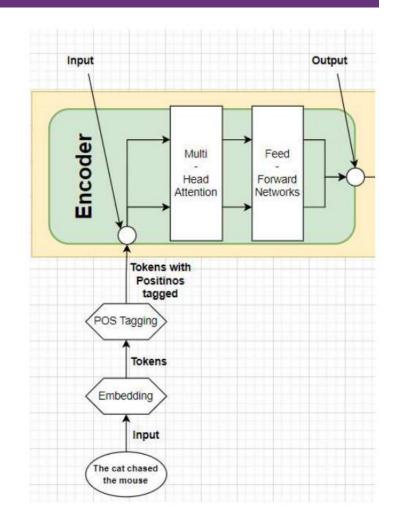
#### Feedforward Network:

A two-layer neural network processes each position independently.

#### Add & Norm:

Another residual connection and normalization.

 This stack is repeated several times (e.g., 6 layers), producing a set of contextualized vectors, one for each token.



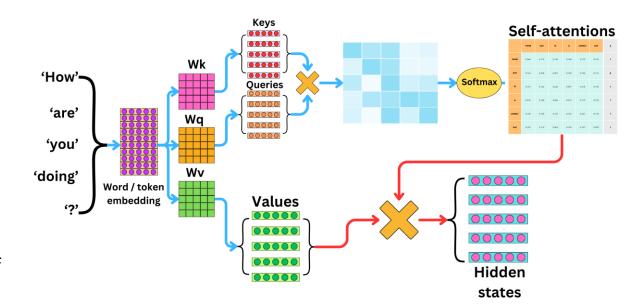




# The Attention Mechanism

- **1. Create Q, K, V matrices**: Each word embedding is multiplied by three learned weight matrices (WQ, WK, WV) to create Query, Key, and Value representations:
  - $Q = X \cdot WQ$
  - K = X · WK
  - V = X · WV
- **2. Compute attention scores**: Each query vector is dot-producted with all key vectors to get raw attention scores:
  - Scores =  $Q \cdot K^T$
- **3. Scale the scores**: Divide by Vdk (where dk is the dimension of the key vectors) to prevent the values from getting too large:
  - Scaled scores = (Q · K^T) / Vdk
- **4. Apply softmax**: Convert the scaled scores to probabilities:
  - Attention weights = softmax(Scaled scores)
- **5. Compute weighted values**: Multiply the attention weights by the value vectors:
  - Output = Attention weights · V

So the formula is: Attention(Q,K,V) = softmax((Q·K^T)/Vdk)  $\cdot$  V

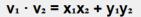


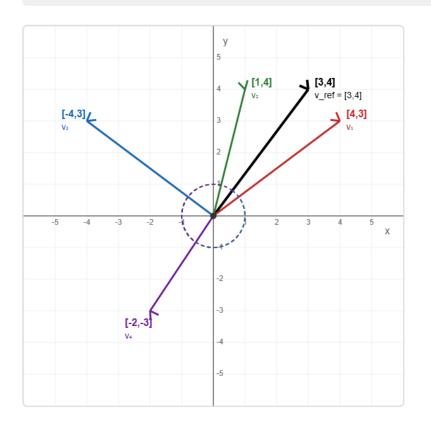






# Vector Dot Product as Similarity Measure





#### 1. Very Similar (High Positive)

Red Vector  $v_1 = [4, 3]$ 

 $v_1 \cdot v_ref = (4 \times 3) + (3 \times 4) = 24$ 

Almost same direction as reference

#### 2. Similar (Positive)

Green Vector  $v_2 = [1, 4]$ 

 $v_2 \cdot v_ref = (1 \times 3) + (4 \times 4) = 19$ 

Generally same direction

#### 3. Neutral (Zero)

Blue Vector  $v_3 = [-4, 3]$ 

 $v_3 \cdot v_ref = (-4 \times 3) + (3 \times 4) = 0$ 

Perpendicular (90° angle)

#### 4. Dissimilar (Negative)

Purple Vector  $v_4 = [-2, -3]$ 

$$v_4 \cdot v_ref = (-2 \times 3) + (-3 \times 4) = -18$$

Opposite direction





# Key Innovation: Self-Attention Mechanism

- Self-attention allows each word to compute its embedding by gathering information from all other words in the sequence.
- The "attention weights" determine how much each word should focus on other words.
- Words that are semantically related tend to have higher attention scores between them.
- This mechanism helps capture long-range dependencies and relationships regardless of word distance.
- Multiple attention heads in parallel (Multi-head Attention) allow the model to focus on different aspects of relationships.

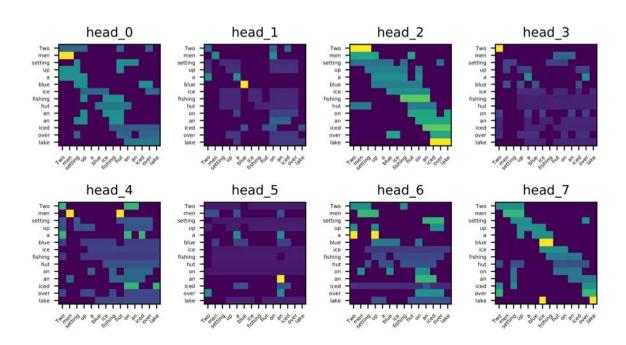
	YOUR	CAT	ıs	٨	LOVELY	CAT	Σ
YOUR	0.268	0.119	0.134	0.148	0.179	0.152	,
CAT	0.124	0.278	0.201	0.128	0.154	0.115	*
is	0.147	0.132	0.262	0.097	0.218	0.145	1
A	0.210	0.128	0.206	0.212	0.119	0.125	1
LOVELY	0.146	0.158	0.152	0.143	0.227	0.174	1
CAT	0.195	0.114	0.203	0.103	0.157	0.229	1





# Multiple Attention Heads

- Multiple attention heads in parallel (Multihead Attention) allow the model to focus on different aspects of relationships.
- For example, one head might learn which words are related by grammar, while another might focus on semantic meaning.
- This allows the model to capture a richer and more comprehensive understanding of the input.

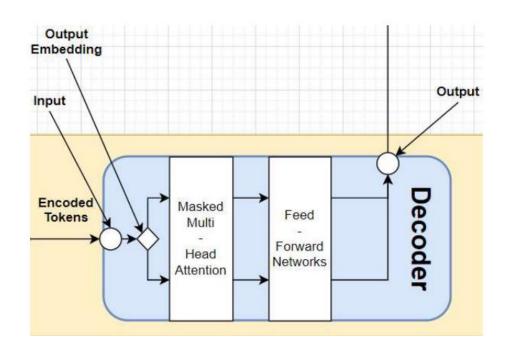






# **Decoding Process**

- The decoder takes the encoder's output and generates the output sequence in French.
- It uses masked multi-head attention to ensure that predictions for a given token do not depend on future tokens.
- This allows the decoder to generate the output one token at a time.
- The decoder also attends to the encoder's output, enabling it to incorporate context from the input sentence while generating the translation.
- For instance, the attention mechanism in the decoder might focus on the representation of "cat" when generating "Le chat", ensuring that the translation is consistent with the input.



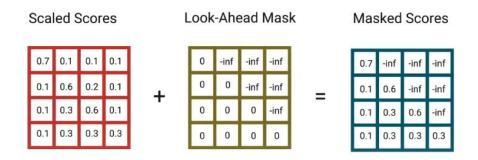




# **Decoder Components**

#### 1. Masked Multi-head Self-Attention:

Looks at the previously generated French words. Future words are masked to prevent cheating.



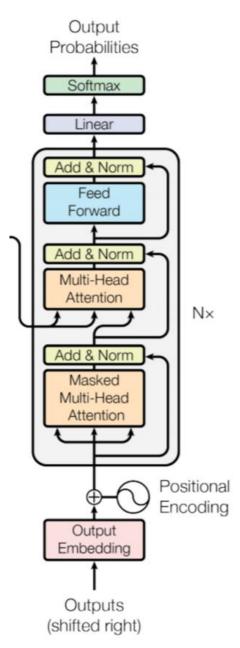
#### 2. Encoder-Decoder Attention:

Each decoder token can attend to all encoder outputs. Example: The decoder token "chat" may attend to the English "cat" to align the translation.

#### 3. Feedforward Network:

Processes each token vector separately.

Also, each sub-layer includes residual connections and layer normalization.







# Decoder Training and Inference

### **Training Phase:**

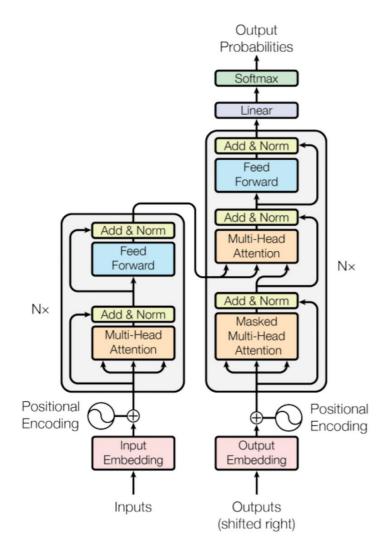
- The decoder begins with a special start-of-sequence token <s>
- At each time step, it receives the actual previous target words.
- Example: Step 1: <s>, Step 2: <s> Ton, Step 3: <s> Ton chat, etc.

#### **Inference Phase:**

- Starts with <s> and generates one word at a time.
- Each new word is used as input for the next step.
- Example:  $\langle s \rangle \rightarrow Ton \rightarrow chat \rightarrow est \rightarrow ...$

#### **Summary:**

By combining attention to past outputs and the encoded input, the decoder generates coherent, context-aware text—essential for tasks like translation and summarization.

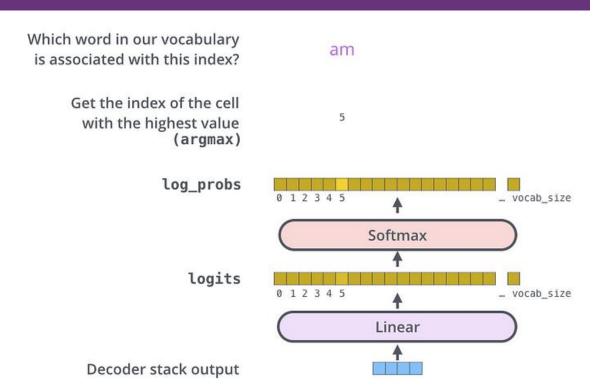






# Output Generation

- The decoder outputs a vector at each time step.
- A linear layer followed by softmax turns this vector into a probability distribution over the French vocabulary.
- The model selects the most probable next word ("Ton", then "chat", then "est", etc.).
- This continues until an end-of-sentence token </s> is generated or a length limit is reached.







# A Summary of how the Transformer Works

**Input Sentence:** "The cat chased the mouse."

#### **Input Encoding:**

- Break down the sentence into tokens (words).
- Convert each token into a numerical representation called an embedding.
- Add positional encodings to the embeddings to provide information about the position of each token.

#### **Encoder Processing:**

- The encoder takes the input embeddings with positional encodings.
- · Pass the input through multiple layers of multi-head attention and feed-forward networks.
- Each encoder layer processes the input, allowing the model to learn complex representations of the sentence.
- The attention mechanism in the encoder learns relationships between tokens (e.g., "cat" is related to "chased" and "mouse").

#### **Decoder Processing:**

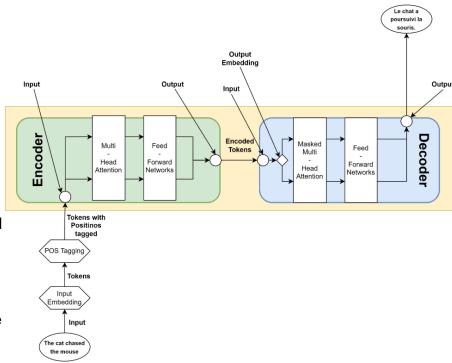
- · The decoder takes the encoder's output.
- Use masked multi-head attention to generate the output one token at a time.
- Attend to the encoder's output to incorporate context from the input sentence while generating the translation.
- The attention mechanism in the decoder focuses on relevant parts of the input (e.g., the representation of "cat" when generating "Le chat").

#### **Output Generation:**

- The decoder generates the output sequence token by token.
- For the example, it generates: "Le", "chat", "a", "poursuivi", "la", and "souris".
- The complete French translation is: "Le chat a poursuivi la souris."

#### **Key Advantages:**

- · Process the entire input sequence simultaneously.
- Use attention mechanisms to capture relationships between tokens.
- Efficiently translates sentences, even with long-range dependencies.



# Number of Parameters - Original Transformer Model



Component	Parameter	Formula / Size	Total Parameters
Input	Token embedding	Vocab_Size × d_model = 37000 × 512	≈ 18.94M
	Positional encoding (fixed)	n × d_model	Not learned (original paper used fixed)
Attention (per layer)	Q/K/V weights per head	$3 \times d_{model} \times d_{k} = 3 \times 512 \times 64$	98,304
	Output projection	d_model × d_model = 512 × 512	262,144
	Total per Multi-Head block	_	≈ 360K
Feed-Forward (per layer)	Linear 1: 512 × 2048	_	1,048,576
	Linear 2: 2048 × 512	-	1,048,576
	Total FFN per layer	_	≈ 2.10M
LayerNorm	2 × γ, β per layer	2 × d_model = 2 × 512	1,024
Encoder Block Total	_	Attention + FFN + LayerNorm	≈ 2.46M
Encoder Total (6 layers)	_	6 × 2.46M	≈ 14.76M
Decoder Total (6 layers)	Similar structure + cross attention	≈ 2.6M per layer	≈ 15.6M
Output Layer	d_model × Vocab_Size = 512 × 37000	tied/shared with embedding	≈ 18.94M
Total Model Parameters	_	Encoder + Decoder + Embedding + Output	≈ 65M





# References

- <a href="https://jalammar.github.io/illustrated-transformer/">https://jalammar.github.io/illustrated-transformer/</a>
- <a href="https://tamoghnasaha-22.medium.com/transformers-illustrated-5c9205a6c70f">https://tamoghnasaha-22.medium.com/transformers-illustrated-5c9205a6c70f</a>







# Context Aware Embeddings





# Contextual Word Embeddings (BERT and GPT)

#### **Key Innovation**

Unlike static embeddings (Word2Vec, GloVe), contextual models generate **different vectors for the same word** depending on its context.

#### Approach

- Uses deep, pre-trained neural networks (often transformer-based)
- Embeddings are derived from entire sentences, capturing syntax and semantics dynamically

#### **Examples**

- BERT Bidirectional Encoder Representations from Transformers (2018): Transformer-based neural networks trained with masked language modeling and next sentence prediction
- **GPT Generative Pre-training Transformer (2018):** Transformer-based unidirectional language model focused on generation.

#### **Characteristics**

- Embeddings are context-sensitive (e.g., "bank" in "river bank" vs. "savings bank")
- Each word is embedded based on its role in the sentence.
- Embeddings vary for the same word depending on its position and meaning.
- Significantly improve performance on downstream NLP tasks.





# BERT – Overview and Architecture

#### What is BERT?

- A pre-trained language model based on the Transformer encoder
- Developed by Google in 2018
- Reads text bidirectionally, enabling deep contextual understanding

#### **Key Ideas**

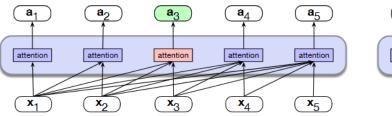
- Uses only the encoder stack of the Transformer
- Pre-trained on large text corpora, then fine-tuned on specific tasks

#### **Pretraining Objectives**

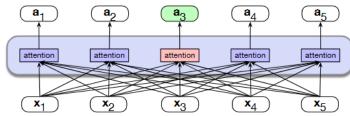
- Masked Language Modeling (MLM): Predict randomly masked words in a sentence
- Next Sentence Prediction (NSP): Predict if one sentence follows another

#### **Applications**

- Sentiment Analysis
- Question Answering
- Named Entity Recognition
- Text Classification
- Semantic Search



a) A causal self-attention layer



b) A bidirectional self-attention layer





# Number of Parameters – BERT

Component	Parameter	<b>BERT-Base</b> (L=12, H=768, A=12)	<b>BERT-Large</b> (L=24, H=1024, A=16)
Embedding Layer	Token + Positional + Segment	30522 × 768 + 512 × 768 + 2 × 768	30522 × 1024 + 512 × 1024 + 2 × 1024
		≈ 23.8M	≈ 31.4M
Self-Attention	Q/K/V + Output per layer	$4 \times H^2 = 4 \times 768^2 = 2.36M$	$4 \times 1024^2 = 4.19M$
	Total across all layers	12 × 2.36M = 28.3M	24 × 4.19M = 100.6M
Feedforward	Two linear layers per layer	$768 \times 3072 + 3072 \times 768 = 4.71M$	1024 × 4096 × 2 = 8.39M
	Total across all layers	12 × 4.71M = 56.5M	24 × 8.39M = 201.4M
LayerNorms	Two per layer	2 × 768 = 1.5K × 12 = 18K	2 × 1024 × 24 = 49K
Pooler	Final CLS output to 768 or 1024	768 × 768 = 0.59M	1024 × 1024 = 1.05M
<b>Total Parameters</b>	_	≈ 110M	≈ 340M

- L: number of layers (Transformer blocks)
- H: hidden size
- A: number of attention heads (H / A = size per head)
- Vocabulary size: 30,522
- FFN hidden size: 4×H (3072 for base, 4096 for large)





# GPT – Overview and Architecture

#### What is GPT?

- A family of **Transformer-based language models** developed by OpenAI
- Uses only the decoder stack of the original Transformer architecture
- Trained with causal (autoregressive) language modeling to predict the next token

#### **Training Objective**

Predict the next token in a sequence

#### **GPT Variants**

- **GPT-1**: Introduced the pretrain-then-finetune paradigm
- GPT-2: Scaled up model size, trained on web-scale data
- GPT-3: 175B parameters, enabled in-context learning
- **GPT-4**: Multimodal, stronger reasoning and generalization

#### **Applications**

- Text generation (e.g., chat, storytelling, code)
- Summarization
- Translation
- Question answering
- Semantic search and reasoning tasks

# Number of Parameters – GPT 3



Component	Parameter	Formula / Size	Total Parameters (Approx.)
Embedding Layer	Token Embeddings Vocab × d_model = 50K × 12288		614.4M
	Positional Embeddings	n_ctx × d_model = 2048 × 12288	25.2M
Self-Attention (per layer)	Q, K, V, Output	$4 \times d_{model} \times d_{model} = 4 \times 12288^{2}$	604.6M per layer
Feedforward (per layer)	2 layers (gelu)	d_model × d_ff + d_ff × d_model	1.2B per layer
LayerNorms (per layer)	Two per layer	2 × d_model	24.6K per layer
Total per layer	_	Self-attn + FFN + norms	≈ 1.8B per layer
Transformer Block Total	96 × 1.8B	_	≈ 172.8B
Final LayerNorm	-	d_model	12.3K
Output Layer (tied)	Shared with token embedding	_	— (tied with input embedding)
Total Parameters	_	Sum of above	≈ 175B

- d\_model = 12288
- n\_layers = 96
- n\_heads =  $96 \rightarrow$  each head has d\_k = d\_v = 128
- d\_ff = 4 × d\_model = 49152
- Vocabulary size ≈ 50,000
- Sequence length (n\_ctx) = 2048





# BERT vs. GPT

- BERT: Bidirectional, great for understanding
- GPT: Autoregressive, great for **generation**





# More About BERT

#### What is BERT?

- Bidirectional Encoder Representations from Transformers
- Developed by Google AI Language in 2018
- Pre-trained language model that revolutionized NLP
- Based on Transformer architecture (Attention mechanism)

#### **Key Advantages**

- Contextual embeddings: Word meanings change based on context
- Captures long-range dependencies
- Pre-trained on massive datasets → Transfer learning
- State-of-the-art performance on 11 NLP tasks when released

#### **Architecture Overview**

```
Input: [CLS] The cat sat on the mat [SEP]

↓

Token Embeddings

Position Embeddings

Segment Embeddings

↓

Transformer Encoder (12 or 24 layers)

↓

Contextual Representations
```

#### **Key Components**

- · [CLS]: Classification token (sentence-level tasks)
- [SEP]: Separator token (between sentences)
- · Multi-head attention: Allows model to focus on different positions
- · Feed-forward networks: Process attention outputs

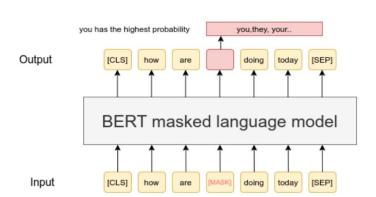


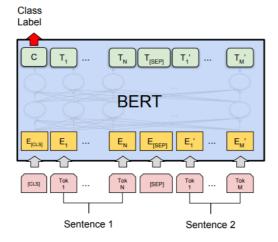


# BERT Pre-training

#### **Two Pre-training Tasks**

- 1. Masked Language Model (MLM)
- Randomly mask 15% of tokens
- Predict masked tokens using context
- Example: "The [MASK] is very cute" → "cat"
- 2. Next Sentence Prediction (NSP)
- Given two sentences, predict if B follows A
- Helps understand sentence relationships
- Example:
  - A: "It is raining heavily."
  - B: "I need an umbrella." → True





(a) Sentence Pair Classification Tasks: MNLI, QQP, QNLI, STS-B, MRPC, RTE, SWAG





# **BERT Variants**

#### **Common BERT Models**

Model	Parameters	Layers	Hidden Size	Attention Heads
BERT-Base	110M	12	768	12
BERT-Large	340M	24	1024	16
DistilBERT	66M	6	768	12
RoBERTa	355M	24	1024	16
ALBERT	12M-235M	12-24	768-4096	12-64

#### **Specialized Variants**

• **BioBERT**: Biomedical text

• **SciBERT**: Scientific text

• **FinBERT**: Financial text

• ClinicalBERT: Clinical notes





# Example: Print out BERT Embeddings

```
from transformers import BertTokenizer, BertModel
import torch
# Load pretrained BERT
tokenizer = BertTokenizer.from pretrained('bert-base-uncased')
model = BertModel.from pretrained('bert-base-uncased')
# Sentence
sentence = "He went to the bank to deposit money."
# Tokenize
inputs = tokenizer(sentence, return_tensors='pt')
# Get outputs
with torch.no grad(): # No training, just inference
   outputs = model(**inputs)
# Get the hidden states (embeddings)
embeddings = outputs.last_hidden_state # Shape: (batch_size, sequence_length,
# (hidden_size)
print(embeddings.shape) # Example output: torch.Size([1, 11, 768])
```







### Example: Question Answering using BERT

#### **QA Task Overview**

- Input: Context paragraph + Question
- Output: Answer span from the context
- BERT identifies start and end positions of answer

#### **QA Pipeline Components**

- 1. Tokenization: Convert text to tokens
- 2. Encoding: Create input embeddings
- **3. Model Inference**: Get start/end logits
- **4. Post-processing**: Extract answer text

```
# Import required libraries
from transformers import AutoTokenizer, AutoModelForQuestionAnswering
from transformers import pipeline
import torch
# Using pipeline (High-level API)
qa pipeline = pipeline( "question-answering",
model="bert-large-uncased-whole-word-masking-finetuned-squad",
tokenizer="bert-large-uncased-whole-word-masking-finetuned-squad" )
# Example usage
context = """ BERT is a method of pre-training language representations,
meaning that it trains a general-purpose language understanding
model on a large text corpus (like Wikipedia),
and then uses that model for downstream NLP tasks like question answering. """
question = "What is BERT?"
result = qa pipeline(question=question, context=context)
print(f"Answer: {result['answer']}")
print(f"Confidence: {result['score']:.4f}")
```

#### Model Choice Explanation

- bert-large-uncased-whole-word-masking-finetuned-squad
  - Based on BERT-Large architecture
  - Uncased: converts text to lowercase
  - Whole word masking: improved pre-training
  - Fine-tuned on SQuAD dataset (Stanford Question Answering Dataset)







# What is Hugging Face? 🤒

- A company and a community platform focused on democratizing Artificial Intelligence, especially Natural Language Processing (NLP) and Machine Learning (ML).
- Often called the "GitHub for Machine Learning."
- **Mission**: To make state-of-the-art ML models, datasets, and tools accessible to everyone.
- Started in 2016, initially with a chatbot app, then pivoted to open-source ML.





# Why is Hugging Face Important for LLMs? 🥕

- Accessibility: Provides easy access to thousands of pre-trained LLMs.
- Standardization: Offers standardized tools and interfaces for working with different models.
- Collaboration: Fosters a vibrant community for sharing models, datasets, and knowledge.
- Innovation: Accelerates research and development in the LLM field.
- Ease of Use: Simplifies complex ML workflows, from data preparation to model deployment.





# Core Components of the Hugging Face Ecosystem

#### Hugging Face Hub:

- The central place to find, share, and collaborate on models, datasets, and ML applications (Spaces).
- Over 350,000+ models, 75,000+ datasets!

#### Transformers Library:

- Python library providing thousands of pre-trained models for NLP, Computer Vision, Audio, and more.
- Supports PyTorch, TensorFlow, and JAX.
- Makes downloading, training, and using state-of-the-art models incredibly simple.

#### Datasets Library:

- Efficiently load and process large datasets.
- Optimized for speed and memory, built on Apache Arrow.
- Access to a vast collection of public datasets.

#### Tokenizers Library:

- Provides high-performance tokenizers crucial for preparing text data for LLMs.
- Offers various tokenization algorithms and pre-trained tokenizers.





### The Model Hub

#### Over 1,500,000+ Models Available

#### **Popular Model Categories:**

- Text Generation: GPT, LLaMA, Mistral, CodeLlama
- **Text Classification**: BERT, RoBERTa, DeBERTa
- Question Answering: BERT-based models
- Translation: T5, mT5, NLLB
- Code Generation: CodeT5, StarCoder
- Multimodal: CLIP, BLIP, LLaVA





# Key Libraries & Tools 🛠

#### Pipelines:

- The easiest way to use pre-trained models for inference across various tasks (e.g., text generation, sentiment analysis, translation) with just a few lines of code.
- Abstracts away much of the underlying complexity.

#### Accelerate:

- Simplifies running PyTorch training scripts on any kind of distributed setup (single/multi-GPU, TPU, multi-node).
- Write code once, run anywhere with minimal changes.

#### AutoTrain:

- A no-code/low-code tool for automatically training, evaluating, and deploying state-of-the-art ML models, including LLM fine-tuning.
- Simplifies the ML workflow for users without deep expertise.

#### Gradio:

- Quickly build and share interactive demos (web UIs) for your ML models.
- Integrates seamlessly with the Hugging Face Hub (Spaces).





# Practical Applications

#### For Researchers

- Quick prototyping with pre-trained models
- Easy comparison of different architectures
- Sharing and reproducing results

#### **For Developers**

- Production-ready model deployment
- Fine-tuning for specific use cases
- Integration with popular ML frameworks

#### For Students/Learners

- Hands-on experience with SOTA models
- Educational resources and tutorials
- Community support and discussions





Slide 8: Getting Started with Hugging Face



Browse models, datasets, and Spaces.

#### 2.Install Libraries:

Bash

pip install transformers datasets tokenizers accelerate gradio

#### 3.Try a Pipeline:

from transformers import pipeline

# Example: Sentiment Analysis classifier = pipeline("sentiment-analysis") result = classifier("Hugging Face is av

**4.Check out the Hugging Face Course**: Free and comprehensive!





# Getting Started with Hugging Face

- Explore the Hub: huggingface.co
- Browse models, datasets, and Spaces.
- Install Libraries:

```
pip install transformers datasets tokenizers accelerate gradio
```

• Try a Pipeline:

```
# Example: Sentiment Analysis

classifier = pipeline("sentiment-analysis")

result = classifier("Hugging Face is awesome!")

print(result)

# Example: Text Generation

generator = pipeline("text-generation")

output = generator("In a world of large language models,", max_length=50)

print(output)
```

- from transformers import pipeline
- Check out the Hugging Face Course: Free and comprehensive!

#### **Under the Hood**

- 1. Automatic model selection
- 2. Tokenization handled
- 3. Inference optimization
- 4. Result formatting
- 5. Device management

#### **Traditional Approach**

- 1. Load tokenizer
- 2. Preprocess text
- 3. Load model
- 4. Run inference
- 5. Post-process results
- ... 50+ lines of code





# Other Hugging face Pipelines

The Hugging Face transformers library supports a wide range of **pipelines**, each designed for a specific **natural language processing (NLP)** or **vision** task — so you can use powerful models without deep setup.

Pipeline Name	Task Description	
"sentiment-analysis"	Classify sentiment (positive/negative)	
"text-classification"	General text classification (multi-label or multi-class)	
"zero-shot-classification"	Classify into labels without training on them	
"text-generation"	Generate text (e.g., GPT models)	
"text2text-generation"	Text-to-text tasks (e.g., summarization, translation)	
"translation"	Translate between languages	
"summarization"	Generate a summary of input text	
"question-answering"	Extract answer from context	
"fill-mask"	Predict missing word in a sentence (BERT-style)	
"ner" (Named Entity Recognition)	Extract entities (like names, places, etc.)	
"conversational"	Chatbot-style conversation	
"sentence-similarity"	Measure similarity between two sentences	
"token-classification"	Classify each token (used for NER, POS tagging, etc.)	
"feature-extraction"	Extract embeddings/features from a model	
"table-question-answering"	QA over structured data (tables)	

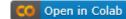
#### ➤ Sentiment Analysis

#### ➤ Summarization

#### ➤ Translation

#### ➤ Question Answering

#### To list all available pipelines in code:









# Training LLMs





# What is LLM Training?

- The process of teaching a neural network to understand, generate, and manipulate human language.
- Involves feeding the model vast amounts of text data.
- The model learns patterns, grammar, context, and even some level of "knowledge" from this data.
- The goal is to adjust the model's internal parameters (weights and biases) to perform specific language tasks effectively.





# Core Objectives of Training

- **Predictive Capability**: Primarily, to predict the next word (or token) in a sequence given the preceding words. This is fundamental to generation.
- **Contextual Understanding**: To learn relationships between words and grasp the meaning of sentences and passages.
- Knowledge Acquisition: To implicitly store and utilize factual information embedded in the training data.
- **Task-Specific Adaptation**: To perform downstream tasks like translation, summarization, question answering, or sentiment analysis (often through fine-tuning).





# Essential Components of LLM Training

- **Dataset**: Large corpus of text data (e.g., books, articles, websites). Quality, quantity, and diversity are crucial.
- **Model Architecture**: The neural network structure, predominantly the Transformer architecture (with self-attention mechanisms).
- Loss Function: A function that measures the difference between the model's predictions and the actual target values (e.g., cross-entropy for next-word prediction).
- **Optimizer**: An algorithm that updates the model's parameters to minimize the loss function (e.g., Adam, SGD).





### The General Training Loop

- **1. Data Preparation**: Collecting, cleaning, and tokenizing the text data into a format the model can understand.
- 2. Model Initialization: Setting initial random values for the model's parameters.
- 3. Forward Pass: Feeding input data through the model to get predictions.
- **4. Loss Calculation**: Comparing predictions to the actual data to quantify error using the loss function.
- **5. Backward Pass (Backpropagation)**: Calculating gradients, which indicate how each parameter contributed to the error.
- **6. Parameter Update**: Adjusting model parameters using the optimizer in the direction that reduces the loss.
- **7. Iteration**: Repeating steps 3-6 for many batches of data over multiple epochs (passes through the entire dataset).





# Pre-training: Building the Foundation

- The initial, most resource-intensive training phase.
- Models are trained on massive, diverse datasets (e.g., Common Crawl, Wikipedia, books).
- **Objective**: To learn general language understanding, grammar, common sense reasoning, and factual knowledge.
- Usually self-supervised (e.g., predicting masked words, next sentence prediction).
- Results in a base model with broad capabilities.
- Examples: GPT-3, BERT, Llama pre-training.





# Fine-tuning: Specializing the Model

- Takes a pre-trained base model and further trains it on a smaller, task-specific dataset.
- **Objective**: To adapt the general knowledge of the pre-trained model to perform well on a particular downstream task (e.g., medical question answering, legal document summarization).
- Requires significantly less data and computation than pre-training.
- Can also be used for instruction tuning (following prompts) or aligning with human preferences (RLHF).





# Data: The Critical Ingredient

- Quantity: LLMs require vast amounts of text to learn effectively. "More data is better" is often true, up to a point.
- Quality: Clean, well-formatted, and coherent data leads to better models. Garbage in, garbage out.
- **Diversity**: Exposure to various styles, domains, and perspectives helps create more robust and less biased models.
- Preprocessing:
  - Tokenization: Breaking text into smaller units (words, sub-words).
  - **Normalization**: Standardizing text (e.g., lowercasing, removing special characters).
  - Creating input IDs, attention masks.





### Model Architecture: The Transformer

- The dominant architecture for state-of-the-art LLMs.
- Key Innovations:
  - **Self-Attention Mechanism**: Allows the model to weigh the importance of different words in a sequence when processing information, capturing long-range dependencies.
  - Positional Encodings: Injects information about the position of tokens in the sequence.
  - Encoder-Decoder Structures (for some tasks) or Decoder-Only Structures (common for generation).
  - Feed-Forward Networks: Applied independently to each position





# Key Training Techniques & Hyperparameters

- Batch Size: Number of training examples utilized in one iteration. Affects gradient stability and memory usage.
- **Learning Rate**: Step size for parameter updates. Critical for convergence; too high can cause instability, too low can slow training.
- Epochs: Number of times the entire training dataset is passed through the model.
- **Regularization**: Techniques (e.g., dropout, weight decay) to prevent overfitting, where the model performs well on training data but poorly on unseen data.
- Gradient Clipping: Prevents exploding gradients by capping their maximum values.





# Computational Demands & Challenges

- Hardware: Requires powerful GPUs (Graphics Processing Units) or TPUs (Tensor Processing Units) for parallel computation.
- **Distributed Training**: Often necessary to train large models across multiple GPUs or machines, adding complexity.
- **Time**: Pre-training can take weeks or months, even with significant computational resources.
- Cost: Significant expenses for hardware, cloud computing, and energy consumption.
- **Memory**: Model parameters and activations require substantial memory. Techniques like mixed-precision training help.





# **Evaluating Trained LLMs**

- Perplexity: Measures how well a probability model predicts a sample. Lower is better.
- Task-Specific Metrics:
  - **BLEU, ROUGE**: For translation and summarization (overlap with reference texts).
  - Accuracy, F1-score: For classification tasks (e.g., sentiment analysis).
- **Benchmarks**: Standardized datasets and tasks for comparing models (e.g., GLUE, SuperGLUE, MMLU).
- **Human Evaluation**: Assessing fluency, coherence, helpfulness, and harmlessness by human raters. Often crucial for real-world performance.





# Ethical Considerations in Training

- Bias Amplification: Models can learn and perpetuate biases present in the training data (e.g., gender, racial, societal biases).
- **Harmful Content Generation**: Potential to generate misinformation, hate speech, or other harmful text.
- Data Privacy: Ensuring that sensitive information from training data is not memorized or leaked.
- Environmental Impact: Significant energy consumption of training large models.
- Accessibility and Equity: Ensuring benefits of LLMs are widely accessible.





# Summary of LLM Training

- LLM training is a complex, resource-intensive process of teaching models language from vast datasets.
- It typically involves **pre-training** for general understanding and **fine-tuning** for specific tasks.
- Key elements include the dataset, model architecture (Transformer), loss function, and optimizer.
- Significant computational power and careful evaluation are essential.
- Ethical considerations are paramount throughout the development and deployment lifecycle.





# LLM Training Details - More Recent Models & Considerations

Model (Version/Size)	Est. Data Size (Tokens)	Est. GPUs / Compute	Est. Electricity / Carbon Footprint	Est. Training Cost
GPT-3 (175B)	~500 Billion - 1 Trillion (incl. C4, Wikipedia, Books, etc.)	~10,000 V100 GPUs (for original run) / ~3.6M A100- equivalent hours (PaLM 540B reference)	~1,287 MWh (training) / ~552 tons CO₂eq (Patterson et al.)	\$4.6M - \$12M+ (compute, various estimates)
Llama 2 (70B)	2 Trillion	Reported 6,000 GPU- months (A100-80GB equivalent for the family) / 1.7M+ GPU hours for 70B	3.3M kWh (entire project) / 539 tons CO₂eq (training, 100% offset by Meta)	Significant (part of Meta's AI investment)
BLOOM (176B)	366 Billion (1.6 TB)	384 A100 GPUs for ~3.5 months	~433 MWh (training) / ~25-55 tons CO₂eq (trained in France, low-carbon energy)	~\$2M - \$5M (compute, public estimates)





# LLM Training Details - More Recent Models & Considerations

Model (Version/Size)	Est. Data Size (Tokens)	Est. GPUs / Compute	Est. Electricity / Carbon Footprint	Est. Training Cost
GPT-4	Not officially disclosed (speculated >> GPT-3, likely multi-trillion)	Not officially disclosed (speculated tens of thousands of A100s/H100s)	Not disclosed (Expected to be significantly higher than GPT-3; estimates range from 20,000-78,000 MWh & thousands of tons CO₂eq for comparable efforts)	Est. >\$60M - \$100M+ (compute, speculative)
Llama 3 (e.g., 70B, 400B+)	>15 Trillion (for the Llama 3 family)	Significant clusters of H100s (e.g., Meta mentioned two 24k H100 clusters)	Llama 3.1 405B est. ~11 GWh. Carbon footprint not yet fully disclosed, but Meta aims for net-zero operations.	Very High (part of Meta's large AI infrastructure investment)
Gemini (e.g., Ultra)	Not officially disclosed (multimodal, likely vast & diverse datasets)	Trained on Google's TPU v4 and v5e pods (thousands to tens of thousands of TPUs)	Not disclosed. Google emphasizes efficiency & use of renewable energy. Gemini 1.0 was reported to be more efficient than some predecessors.	Very High (part of Google DeepMind's core Al efforts)





# Discussion / Q&A

- What are the biggest challenges in training even larger and more capable LLMs?
- How can we mitigate biases in LLM training data and subsequent models?
- What future advancements in LLM training do you foresee?







Fine-Tuning Large Language Models (LLMs)





# What are Large Language Models?

- **Definition:** Al systems trained on vast text corpora to understand and generate human language
- Core capabilities: Text generation, summarization, translation, question answering, code generation
- Architecture: Based on transformer neural networks with billions of parameters
- Training approach: Self-supervised learning on unlabeled text data
- Examples: GPT-4, Claude 3, LLaMA, Gemini, Mistral





# Typical Hugging Face Workflow (LLMs)

- **1. Explore:** Find a suitable pre-trained model and dataset on the Hub.
- 2. Load: Use transformers to load the model and tokenizer, datasets to load data.
- 3. Preprocess: Tokenize your text data.

#### 4. Fine-Tune:

- Use the Trainer API in transformers for supervised fine-tuning.
- Or, write a custom training loop with accelerate for more control.
- **5. Infer/Evaluate:** Use the fine-tuned (or pre-trained) model for predictions and evaluate its performance.

#### 6. Share (Optional):

- 1. Push your fine-tuned model back to the Model Hub.
- 2. Create a demo in Hugging Face Spaces.





# Historical Evolution of Language Models

#### **Early Statistical Models (Pre-2010s)**

- N-gram models, Hidden Markov Models
- Rule-based systems with hard-coded grammar

#### **Early Neural Approaches**

- Recurrent Neural Networks (RNNs)
- Long Short-Term Memory (LSTM) networks
- Problem: Difficulty with long-range dependencies

#### **Transformer Revolution (2017-Present)**

- "Attention is All You Need" paper introduced transformer architecture
- BERT (2018): Bidirectional context for understanding
- GPT series (2018-Present): Autoregressive models for generation
- Scaling laws: Performance improves predictably with model size and data





# What Are Emergent Capabilities?

- Emergent capabilities are skills or behaviors that appear only when LLMs reach a certain scale.
- They do not gradually improve with size they suddenly appear at a threshold.
- Example: A model with 10B parameters can't solve logic puzzles. A 100B model can, without fine-tuning.

#### **Key Characteristics**

- Non-linear scaling: Capability does not improve linearly with model size.
- Unpredictability: You can't anticipate what emerges until the model is large enough.
- Zero-shot or few-shot performance: Often emerges without explicit task-specific training.

Capability	Description	Typical Threshold
In-context learning	Learning from examples in a prompt	~10B parameters
Chain-of-thought reasoning	Step-by-step logical explanations	~100B parameters
Arithmetic reasoning	Multi-step math problem solving	~50B parameters
Code generation	Writing syntactically correct, working code	~20B parameters
Zero-shot translation	Translating unseen languages	~100B parameters



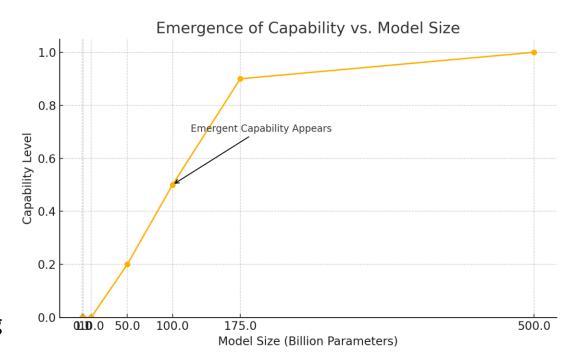


# Why Do They Happen?

- Increased model capacity allows for better pattern abstraction.
- Transformer architecture supports compositional reasoning.
- Training diversity and scale expose the model to rare patterns.
- Similar to phase transitions in physics abrupt qualitative change, not gradual.

#### **Real-World Examples**

- GPT-3.5 vs GPT-4: Noticeable emergence of reasoning and tool-use abilities
- Google's PaLM: Sudden jump in multilingual and logic capabilities
- Meta's LLaMA: Emergent code writing and mathematical understanding at larger scales







# What is Fine-Tuning?

#### Pre-training Phase

Trained on large corpus using Masked Language Modeling (MLM) and Next Sentence Prediction (NSP)

#### Fine-Tuning Phase

- Fine-tuning is the process of continuing the training of a pretrained LLM on a smaller, task-specific dataset.
- The objective is to specialize the model for a particular use case or domain.

#### Why Fine Tune LLMs?

- Improve performance on specific tasks.
- Inject domain-specific knowledge (legal, medical, financial, etc.).
- Adapt to company-specific language or tone.
- Reduce inference cost by limiting model size and scope.

#### Use Cases of BERT Fine-Tuning

- Customer Support Chatbots (trained on company FAQs).
- Legal Document Analysis.
- Scientific Paper Summarization.
- Code Assistants for specific frameworks.
- Sentiment classification for product reviews.





# Fine-Tuning Workflow

- 1. Load pre-trained BERT model
- 2. Add task-specific head (e.g., linear layer)
- 3. Tokenize and preprocess input text
- 4. Define loss function and optimizer
- 5. Train on labeled dataset
- 6. Evaluate and deploy







# Data Preparation

- Concatenate title and content into a single input string.
- Example input:

```
"[CLS] Toasts great but difficult to remove English muffins. I love the way this toaster even.
```

- Preprocess:
  - Lowercase (if using bert-base-uncased)
  - Tokenize with BertTokenizer
  - Pad/truncate to max length (e.g., 256 tokens)
  - Encode labels (0, 1)





# Model Setup

```
from transformers import BertTokenizer, BertForSequenceClassification

tokenizer = BertTokenizer.from_pretrained('bert-base-uncased')

model = BertForSequenceClassification.from_pretrained("bert-base-uncased", num_labels=2)
```

- Use BertForSequenceClassification
- num\_labels=2 for binary classification





# Tokenizing the Dataset

```
def tokenize(batch):
    return tokenizer(batch['title'] + " " + batch['content'], padding=True, truncation=True)

tokenized_dataset = dataset.map(tokenize, batched=True)
```

- Concatenate fields
- Apply BERT tokenization





# Training Step

```
from transformers import Trainer, TrainingArguments
training_args = TrainingArguments(
    output_dir='./results',
    per_device_train_batch_size=8,
    num train epochs=3,
    evaluation strategy="epoch"
trainer = Trainer(
    model=model,
    args=training_args,
    train_dataset=train_set,
    eval_dataset=val_set
trainer.train()
```

• a





### Evaluation

```
from sklearn.metrics import accuracy_score

def compute_metrics(pred):
    preds = pred.predictions.argmax(-1)
    return {"accuracy": accuracy_score(pred.label_ids, preds)}
```

- Use metrics like:
  - Accuracy
  - F1 Score
  - Precision/Recall