# Shaocong Ma | Curriculum Vitae

☐ 385-439-4778 • ☑ scma0908@gmail.com • ⑤ mshaocong.github.io

#### **Education**

Ph.D. in Electrical and Computer Engineering
 University of Utah
M.A. in Statistics
 University of California, Santa Barbara
B.S. in Statistics
 Sichuan University

## **Academic & Research Experiences**

❖ Postdoctoral Researcher, University of Maryland, College Park

Jun. 2024-present

Advisor: Prof. Heng Huang

- Memory-efficient optimization algorithms for modern Large Language Models (LLMs);
- Robust reinforcement learning (RL) algorithms for financial applications.
- ❖ Research Internship, Lawrence Livermore National Laboratory

May 2022-Aug. 2022

Advisor: Dr. Bhavya Kailkhura, Dr. James Diffenderfer

- o Graph-based physics-informed neural networks (PINNs) for computational fluid dynamics.
- Research Assistant, University of Utah

Aug. 2019-May 2024

Advisor: Prof. Yi Zhou

Robust and efficient reinforcement learning (RL) algorithms and stochastic optimization theory.

#### **Publications**

- [1] Shaocong Ma and Heng Huang. "On the Optimal Construction of Unbiased Gradient Estimators for Zeroth-Order Optimization". In: Advances in Neural Information Processing Systems (NeurIPS) (2025). NeurIPS 2025 Spotlight.
- [2] Shaocong Ma and Heng Huang. "Robust Reinforcement Learning in Finance: Modeling Market Impact with Elliptic Uncertainty Sets". In: *Advances in Neural Information Processing Systems (NeurIPS)* (2025).
- [3] Shaocong Ma and Heng Huang. "Revisiting Zeroth-Order Optimization: Minimum-Variance Two-Point Estimators and Directionally Aligned Perturbations". In: *International Conference on Learning Representations (ICLR)* (2025). *ICLR 2025 Spotlight*.
- [4] Shaocong Ma, Ziyi Chen, Yi Zhou, and Heng Huang. "Rectified Robust Policy Optimization for Model-Uncertain Constrained Reinforcement Learning without Strong Duality". In: *TMLR* (2025).
- [5] Shaocong Ma, James Diffenderfer, Bhavya Kailkhura, et al. "Deep learning of PDE correction and mesh adaption without automatic differentiation". In: *Machine Learning* 114.61 (2025).
- [6] Yi Zhou and Shaocong Ma. "Stochastic Optimization Methods for Policy Evaluation in Reinforcement Learning". In: Foundations and Trends in Optimization 6.3 (2024), pp. 145–192.
- [7] Shaocong Ma, Ziyi Chen, Shaofeng Zou, and Yi Zhou. "Decentralized Robust V-learning for Solving Markov Games with Model Uncertainty". In: *The Journal of Machine Learning Research (JMLR)* (2023).

- [8] Ziyi Chen, Shaocong Ma, and Yi Zhou. "Accelerated proximal alternating gradient-descent-ascent for nonconvex minimax machine learning". In: 2022 IEEE International Symposium on Information Theory (ISIT) (2022), pp. 672–677.
- [9] Ziyi Chen, Shaocong Ma, and Yi Zhou. "Finding correlated equilibrium of constrained Markov game: A primal-dual approach". In: *Advances in Neural Information Processing Systems (NeurIPS)* 35 (2022), pp. 25560–25572.
- [10] Shaocong Ma, Ziyi Chen, Yi Zhou, Kaiyi Ji, and Yingbin Liang. "Data sampling affects the complexity of online sgd over dependent data". In: *Uncertainty in Artificial Intelligence (UAI)* (2022), pp. 1296–1305.
- [11] Ziyi Chen, Shaocong Ma, and Yi Zhou. "Sample efficient stochastic policy extragradient algorithm for zero-sum markov game". In: *International Conference on Learning Representations (ICLR)* (2021).
- [12] Shaocong Ma, Ziyi Chen, Yi Zhou, and Shaofeng Zou. "Greedy-GQ with Variance Reduction: Finite-time Analysis and Improved Complexity". In: *International Conference on Learning Representations (ICLR)* (2020).
- [13] Shaocong Ma and Yi Zhou. "Understanding the impact of model incoherence on convergence of incremental SGD with random reshuffle". In: *International Conference on Machine Learning (ICML)* (2020), pp. 6565–6574.
- [14] Shaocong Ma, Yi Zhou, and Shaofeng Zou. "Variance-reduced off-policy TDC learning: Non-asymptotic convergence analysis". In: *Advances in Neural Information Processing Systems (NeurIPS)* 33 (2020), pp. 14796–14806.

#### **Honors & Awards**

*	NeurIPS 2025, Spotlight (top 3% of submissions)	2025
*	ICLR 2025, Spotlight (top 5% of submissions)	2025
*	AISTATS 2025, Best Reviewer Award	2025
*	Graduate 1st Place at University of Utah	2024
*	Invited Monograph Contributor, Now Publishers (Foundations and Trends in Optimization)	2024
*	Invited Participant (fully funded), NSF-Simons MoDL Annual Meeting, Simons Foundation, New York	2023
*	Excellence Scholarship, The College of Mathematics, Sichuan University	2013-2017
*	Second Prize, Chinese Mathematics Competitions for College Students, Sichuan Province Division	2014

## **Teaching Experiences**

**❖ Teaching Assistant**, University of Utah

2020-2021

- o ECE 3500: Fundamentals of Signals and Systems
- ❖ Teaching Assistant, University of California, Santa Barbara

2018-2019

- PSTAT 5A: Statistics; PSTAT 5LS: Statistics for Life Science; PSTAT 109: Statistics for Economics;
- o PSTAT 172A: Actuarial Statistics; PSTAT 175: Survival Analysis

### **Professional Services**

- ❖ Conference Reviewer: ICML; ICLR; NeurIPS; IEEE BigData; IJCAI; UAI; AAAI; AISTATS; RLC.
- ♦ Journal Reviewer: Transactions on Machine Learning Research (TMLR); IEEE Transactions on Signal Processing (TSP); IEEE Transactions on Networking (ToN); IEEE Transactions on Emerging Topics in Computational Intelligence (TETCI); Scientific Reports; Numerical Algorithms; Nonlinear Dynamics; European Journal of Control.
- Workshop Reviewer: ICLR Blogpost.