## MICROBURST SCALE SIZE DERIVED FROM MULTIPLE BOUNCES OF A

## MICROBURST SIMULTANEOUSLY OBSERVED WITH THE FIREBIRD-II

### 3 CUBESATS

# Contribution of Authors and Co-Authors

Manuscript(s) in Chapter(s) 1

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- 10 Contributions: [list contributions here, single-spaced]
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# Manuscript Information

- <sup>15</sup> [Type Author and Co-author(s) Names Here]
- Geophysical Research Letters
- Status of Manuscript: Published in a peer-reviewed journal
- 18 Wiley

14

Volume 45, Issue 17

20 <u>Key Points</u>

- Multiple bounces from a microburst were observed by the two FIREBIRD-II

  CubeSats at LEO.
- The lower bounds on the microburst scale size at LEO were 29  $\pm$  1 km (latitudinal) and 51  $\pm$  11 km (longitudinal).
- Deduced lower bound equatorial scale size was similar to the whistler-mode chorus source scale.

#### ABSTRACT

We present the observation of a spatially large microburst with multiple bounces made simultaneously by the FIREBIRD-II CubeSats on February 2nd, 2015. This is the first observation of a microburst with a subsequent decay made by two coorbiting but spatially separated spacecraft. From these unique measurements, we place estimates on the lower bounds of the spatial scales as well as quantify the electron bounce periods. The microburst's lower bound latitudinal scale size was  $29 \pm 1$  km and the longitudinal scale size was  $51 \pm 1$  km in low earth orbit. We mapped these scale sizes to the magnetic equator and found that the radial and azimuthal scale sizes were at least  $500 \pm 10$  km and  $530 \pm 10$  km, respectively. These lower bound equatorial scale sizes are similar to whistler-mode chorus wave source scale sizes, which supports the hypothesis that microbursts are a product of electron scattering by chorus waves. Lastly, we estimated the bounce periods for 200-800 keV electrons and found good agreement with four common magnetic field models.

#### Introduction

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The dynamics of radiation belt electrons are complex, and are driven by 41 competition between source and loss processes. A few possible loss processes are radial diffusion (Shprits and Thorne, 2004), magnetopause shadowing (Ukhorskiy et al., 2006), and pitch angle and energy diffusion due to scattering of electrons by plasma waves (e.g. Abel and Thorne, 1998; Horne and Thorne, 2003; Meredith et al., 2002; Mozer et al., 2018; Selesnick et al., 2003; Summers et al., 1998; Thorne et al., 46 There are a variety of waves that cause pitch angle scattering, including electromagnetic ion cyclotron waves, plasmaspheric hiss, and chorus (Millan and Thorne, 2007; Thorne, 2010). Chorus predominantly occurs in the dawn sector (6-12 magnetic local times (MLT)) (Li et al., 2009) where it accelerates electrons with 50 large equatorial pitch angles and scatters electrons with small equatorial pitch angles 51 (Horne and Thorne, 2003). Some of these electrons may be impulsively scattered 52 into the loss cone, where they result in short-duration ( $\sim 100$  ms) enhancements in precipitating flux called microbursts. Anderson and Milton (1964) coined the term microburst to describe high altitude 55

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balloon observations of ~ 100 ms duration enhancements of bremsstrahlung Xrays emitted from scattered microburst electrons impacting the atmosphere. Since
then, non-relativistic (less than a few hundred keV) microbursts have been routinely
observed with other balloon missions (e.g. Anderson et al., 2017; Parks, 1967; Woodger
et al., 2015). A review of the literature shows no reports of microbursts above a few
hundred keV observed by balloons (Millan et al., 2002; Woodger et al., 2015). This
lack of observation may be explained by relatively weaker pitch angle scattering of

relativistic electrons by chorus (Lee et al., 2012).

In addition to the X-ray signature for bursts of electron precipitation, the precipitating relativistic and non-relativistic electrons have been measured in situ by spacecraft orbiting in low earth orbit (LEO). Hereinafter, we refer to these electron signatures observed by LEO spacecraft also as microbursts. Microbursts have been observed with, e.g. the Solar Anomalous and Magnetospheric Particle Explorer's (SAMPEX) ¿ 150 keV and ¿ 1 MeV channels (Blake et al., 1996; Blum et al., 2015; Lorentzen et al., 2001a,b; Nakamura et al., 1995, 2000; O'Brien et al., 2004, 2003) and Focused Investigation of Relativistic Electron Bursts: Intensity, Range, and Dynamics (FIREBIRD-II) with its ¿ 200 keV energy channels (Anderson et al., 2017; Breneman et al., 2017; Crew et al., 2016).

Understanding microburst precipitation and its scattering mechanism is important to radiation belt dynamics. The scattering mechanism has been observationally studied by e.g. Lorentzen et al. (2001b) who found that microbursts and chorus waves predominantly occur in the dawn sector and Breneman et al. (2017) made 77 a direct observational link between individual microbursts and chorus elements. 78 Microbursts have been modeled and empirically estimated to be capable of depleting 79 the relativistic electron population in the outer radiation belt on the order of a day 80 (Breneman et al., 2017; O'Brien et al., 2004; Shprits et al., 2007; Thorne et al., 2005). 81 An important parameter in this estimation of instantaneous radiation belt electron losses due to microbursts is their scale size. Parks (1967) used balloon measurements of bremsstrahlung X-rays to estimate the high altitude scale size of predominantly low 84 energy microbursts to be  $40\pm14$  km. In Blake et al. (1996) a microburst with multiple bounces was observed by SAMPEX, and the microburst's latitudinal scale size in LEO was estimated to have been "at least a few tens of kilometers". Blake et al. (1996) concluded that typically microbursts are less than a few tens of electron gyroradii in size (at L = 5 at LEO, the gyroradii of 1 MeV electrons is on the order of 100 m).

Dietrich et al. (2010) used SAMPEX along with ground-based very low frequency

stations to conclude that during one SAMPEX pass, the observed microbursts had

scale sizes less than 4 km.

Since February 1st, 2015, microbursts have been observed by FIREBIRD-II, a pair of CubeSats in LEO. Soon after launch, when the two FIREBIRD-II spacecraft were at close range, a microburst with a scale size greater than 11 km was observed (Crew et al., 2016). On the same day, FIREBIRD-II simultaneously observed a microburst with multiple bounces. The microburst decay was observed over a period of a few seconds, while the spacecraft were traveling predominantly in latitude. Here we present the analysis and results of the latitude and longitude scale sizes and bounce periods of the first microburst with multiple bounces observed with the two FIREBIRD-II spacecraft.

## Spacecraft and Observation

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The FIREBIRD missions are comprised of a pair of identically-instrumented 103 1.5U CubeSats (15 x 10 x 10 cm) that are designed to measure electron precipitation 104 in LEO (Klumpar et al., 2015; Spence et al., 2012). The second mission, termed 105 FIREBIRD-II, was launched on January 31st 2015. The two FIREBIRD-II CubeSats, identified as Flight Unit 3 (FU3) and Flight Unit 4 (FU4), were placed in a 632 km 107 apogee, 433 km perigee, and 99° inclination orbit (Crew et al., 2016). FU3 and FU4 108 are orbiting in a string of pearls configuration with FU4 ahead, to resolve the space-109 time ambiguity of microbursts. Each FIREBIRD-II unit has two solid state detectors: 110 one is mounted essentially at the spacecraft surface, covered only by a thin foil acting 111 as a sun shade, with a field of view of 90° (surface detector), and the other is beneath 112 a collimator which restricts the field of view to 54° (collimated detector). Only FU3 113

has a functioning surface detector, so this analysis utilizes the collimated detectors. FU3's surface and collimated detectors, as well as FU4's collimated detector observe electron fluxes in six energy channels from  $\sim 230~{\rm keV}$  to  $> 1~{\rm MeV}$ . FIREBIRD-II's High Resolution (HiRes) electron flux data is gathered with an adjustable sampling period of 18.75 ms by default and can be as fast as 12.5 ms.

On February 2nd, 2015 at 06:12 UT, both FIREBIRD-II spacecraft simulta-119 neously observed an initial microburst, followed by subsequent periodic electron 120 enhancements of diminishing amplitude shown in Fig. 1.1. This is thought to be 121 the signature of a single burst of electrons, some of which precipitate, but the rest 122 mirror near the spacecraft then bounce to the conjugate hemisphere where they mirror 123 again and the subsequent bounces produce a train of decaying peaks (Blake et al., 124 1996; Thorne et al., 2005). This bounce signature occurred during the transition 125 between the main and recovery phases of a storm with a minimum Dst of -44 nT 126 (Kp = 4, and AE  $\approx 400$  nT). At this time, the HiRes data was sampled at 18.75 ms. 127 Five peaks were observed by both spacecraft. The fifth peak observed by FU4 was 128 comparable to the Poisson noise and was not used in this analysis. This microburst 129 was observed from the first energy channel ( $\approx 200-300 \text{ keV}$ ), to the fourth energy 130 channel ( $\approx 500-700 \text{ keV}$ ), and FU3's surface detector observed the microburst up 131 to the fifth energy channel (683 - 950 keV). 132

The HiRes data in Fig. 1.1 shows signs of energy dispersion, characterized by higher energy electrons arriving earlier than the lower energies. This time of flight energy dispersion tends to smear out the initial sharp burst upon each subsequent bounce. The first peak does not appear to be dispersed, and subsequent peaks show a dispersion trend consistent across energy channels. The black vertical bars have been added to Fig. 1.1 to highlight this energy dispersion. This dispersion signature and amplitude decay implies that the first peak was observed soon after the electrons

were scattered, followed by decaying bounces.

At this time, in magnetic coordinates, FIREBIRD-II was at McIlwain L = 4.7141 and MLT = 8.3, calculated with the Tsyganenko 1989 (T89) magnetic field model 142 (Tsyganenko, 1989) using IRBEM-Lib (Boscher et al., 2012). Geographically, they 143 were above Sweden, latitude =  $63^{\circ}$ N, longitude =  $15^{\circ}$ E, altitude = 650 km. This 144 geographic location is magnetically conjugate to the east of the so-called South 145 Atlantic Anomaly (SAA). The SAA is the location where the mirror points of electrons 146 tend to occur at locations deeper in the atmosphere owing to the offset of the 147 dipole magnetic field from the Earth's center. Electrons with pitch angles within the 148 drift loss cone (DLC) will encounter the SAA and be removed from their eastward 149 longitudinal drift paths (Comess et al., 2013; Dietrich et al., 2010). FU3 and FU4 are 150 therefore both in regions where the particles in the DLC have recently precipitated, 151 leaving only particles that were recently scattered. At the spacecraft location, locally 152 mirroring electrons would have mirrored at 95 km in the opposite hemisphere, with 153 more field aligned electrons mirroring at even lower altitudes. From the analysis done 154 by Fang et al. (2010), the peak in the total ionization rate in the atmosphere for 100 155 keV electrons is around 80 km altitude, while the total ionization rate from 1 MeV 156 electrons peaks around 60 km altitude. It is, therefore, expected that a fraction of the 157 microburst electrons will survive each encounter with the atmosphere. By plotting 158 the peak flux as a function of bounce (not shown), it was found that 40 - 60 % of the 159 microburst electrons were lost on the first bounce, similar to the 33% loss per bounce 160 observed for a bouncing microburst observed by SAMPEX (Thorne et al., 2005). 161

Analysis Analysis

At the beginning of the FIREBIRD-II mission, two issues prevented the proper analysis of the microburst's spatial scale size: the spacecraft clocks were not

synchronized, and their relative positions were not accurately known. We addressed these issues with a cross-correlation time lag analysis described in detail in the supporting information (SI). From this analysis, the time correction was  $2.28 \pm 0.12$  s (applied to Fig. 1.1) and the separation was  $19.9 \pm 0.9$  km at the time of the microburst observation.

#### 170 Electron Bounce Period

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We used this unique observation of bouncing electrons to calculate the bounce 171 period,  $t_b$  as a function of energy and compare it to the energy-dependent  $t_b$  curves 172 derived from four magnetic field models, the results of which are shown in Fig. 1.2. 173 The observed  $t_b$  and uncertainties were calculated by fitting the baseline-subtracted 174 HiRes flux. The baseline flux used in this analysis is given in O'Brien et al. (2004) 175 as the flux at the 10th percentile over a specified time interval, which in this analysis 176 was taken to be 0.5 seconds. The flux was fitted with a superposition of Gaussians 177 for each energy channel, and the uncertainty in flux was calculated using the Poisson 178 error from the microburst and baseline fluxes summed in quadrature. Using the fit 179 parameters, the mean  $t_b$  for the lowest four energy channels is shown in Fig. 1.2. The 180 trend of decreasing  $t_b$  as a function of energy is evident in Fig. 1.2, which further 181 supports the assumption that the subsequent peaks are bounces, and not a train of 182 microbursts scattered by bouncing chorus. 183

The decaying peaks in the 231-408 keV electron flux observed by FU3's lowest two energy channels (see Fig. 1.1) were right-skewed. One explanation is that there was in-channel energy dispersion within those channels. Since  $t_b$  of higher energy electrons is shorter, a right-skewed peak implies that higher energy electrons were more abundant within that channel e.g. in FU3's 231-300 keV channel, the 300 keV electrons will arrive sooner than the 231 keV electrons, but will they will be binned

in the same channel. A Gaussian fit cannot account for this in-channel dispersion, and as a first order correction, minima between peaks was used to calculate  $t_b$ , and is shown in Fig. 1.2. The observed energy-dependent dispersion shown in Fig. 1.2 is consistent with higher energy peaks returning sooner. This dispersion consistency further supports the assumption that the subsequent peaks are bounces, and not a train of microbursts scattered by bouncing chorus.

To compare the observed and modeled  $t_b$ , we superposed  $t_b$  curves for various 196 models including an analytical solution in a dipole (Schulz and Lanzerotti, 1974), and 197 numerical models: T89, Tsyganenko 2004 (T04) (Tsyganenko and Sitnov, 2005), and 198 Olson & Pfitzer Quiet (Olson and Pfitzer, 1982) in Fig. 1.2. The numerical  $t_b$  curves 199 were calculated using a wrapper for IRBEM-Lib. This code traces the magnetic field 200 line between mirror points, and calculates  $t_b$  assuming conservation of energy and the 201 first adiabatic invariant for electrons mirroring at FIREBIRD-II. With the empirical 202  $t_b$ , the models agree within FIREBIRD-II's uncertainties, but the T04 model has the 203 largest discrepancy compared to the other models.

# Microburst Energy Spectra

Next, we investigated the energy spectra of this microburst. The energy spectra 206 was modeled with an exponential that was fit to the peak flux derived from the 207 Gaussian fit parameters in section 1 to all but the highest energy channel. We found 208 that the E-folding energy,  $E_0 \sim 100$  keV. This spectra is similar to spectra show 209 by Lee et al. (2005) from STSAT-1 and Datta et al. (1997) from sounding rocket 210 measurements. The energy spectra is soft for a typical microburst observed with 211 FIREBIRD-II and there was no statistically significant change in  $E_0$  for subsequent 212 bounces. 213

#### Microburst Scale Sizes

Lastly, after we applied the time and separation corrections detailed in the SI, we mapped the locations of FU3 and FU4 in Fig. 1.3. The locations where FU3 saw peaks 1-5 and where FU4 saw peaks 1-4 are shown as P1-5 and P1-4, respectively. The lower bound on the latitudinal extent of the microburst was the difference in latitude between P1 on FU3 and P4 on FU4 and was found to be  $29 \pm 1$  km. The uncertainty was estimated from the spacecraft separation uncertainty described in the SI. This scale size is the largest reported by FIREBIRD-II.

In section 1, we showed that the observed decaying peaks were likely due to bouncing, so we assume that the observed electrons in subsequent bounces were the drifted electrons from the initial microburst. Under this assumption, the scattered electrons observed in the last bounce by FIREBIRD-II, must have drifted east from their initial scattering longitude, allowing us to calculate the minimum longitudinal scale size. Following geometrical arguments, the distance that electrons drift east in a single bounce is a product of the circumference of the drift shell foot print, and the fraction of the total drift orbit traversed in a single bounce and is given by,

$$d_{az} = 2\pi (R_E + A)\cos(\lambda) \frac{t_b}{\langle T_d \rangle}$$
(1.1)

where  $R_E$  is the Earth's radius, A is the spacecraft altitude,  $\lambda$  is the magnetic latitude,  $t_b$  is the electron bounce period, and  $\langle T_d \rangle$  is the electron drift period. Parks (2003) derived  $\langle T_d \rangle$  to be,

$$\langle T_d \rangle \approx \begin{cases} 43.8/(L \cdot E) & \text{if } \alpha_0 = 90^{\circ} \\ 62.7/(L \cdot E) & \text{if } \alpha_0 = 0^{\circ} \end{cases}$$
 (1.2)

where E is the electron energy in MeV, L is the L shell, and  $\alpha_0$  is the equatorial pitch angle. Electrons mirroring at FIREBIRD-II have  $\alpha_0 \approx 3.7^{\circ}$  and so the  $\alpha_0 = 0^{\circ}$  limit

was used.

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The microburst's longitudinal scale size is defined as the distance the highest 233 energy electrons drifted in the time between the observations of the first and last 234 peaks. This scale size is given by  $D_{az} = n d_{az}$  where n is the number of bounces 235 The stars in Fig. 1.3 (with labels corresponding to energy channel 236 boundaries) represent the locations when the microburst was observed at P1, such 237 that an electron of that energy would drift eastward to be seen at P5 for FU3 and P4 238 for FU4. Since FU3 observed more peaks it observed the larger longitudinal scale size 239 which is shown with the red dashed box in Fig. 1.3. FU3's fourth energy channel's 240 bounds are 555 keV and 771 keV, which correspond to longitudinal distances of  $39\pm1$ 241 km and  $51 \pm 1$ , respectively. The uncertainty was estimated by propagating the 242 uncertainty in the bounce time Eq. 1.1. While the observed minimum longitudinal 243 scale size is dependent on FIREBIRD-II's energy channels, the true scale size may not be.

To investigate how the microburst scale size compares to the scale sizes of chorus 246 waves near the magnetic equator, the microburst's longitudinal and latitudinal scale 247 sizes and their uncertainties in LEO were mapped to the magnetic equator with T89. 248 The radial scale size (latitudinal scale mapped from LEO) was greater than  $500 \pm 10$ 249 km. The azimuthal scale size (longitudinal scale mapped from LEO) of 555 keV 250 electrons was greater than  $450 \pm 10$  km and for the 771 keV electrons it was greater 251 than  $530 \pm 10$  km. The lower bound microburst scale size is similar to the chorus 252 scale sizes derived by Agapitov et al. (2017, 2011), and is discussed below. 253

#### Discussion and Conclusions

We presented the first observation of a large microburst with multiple bounces made possible by the twin FIREBIRD-II CubeSats. The microburst's lower bound

LEO latitudinal and longitudinal scale sizes of  $29 \pm 1$  km and  $51 \pm 1$  km make it one of the largest observed. The microburst's LEO scale size was larger than 258 the latitudinal scale sizes of typical ; 1 MeV microbursts reported in Blake et al. 259 (1996), approximately 10 times larger than reported in Dietrich et al. (2010), and 260 approximately 2.6 times larger than other simultaneous microbursts observed by 261 FIREBIRD-II (Crew et al., 2016). Lastly, the scale sizes derived here were similar to 262 the scale sizes of it 15 keV microbursts observed with a high altitude balloon (Parks, 263 1967). No energy dependence on the minimum latitudinal scale size was observed, 264 while the observed energy dependence of the minimum longitudinal scale size is an 265 artifact of the technique we used to estimate their drift motion. 266

The microburst scale size obtained in Section 1 and scaled to the geomagnetic 267 equator can be compared with the scales of chorus waves presumably responsible for 268 the rapid burst electron precipitation. Early direct estimates of the chorus source 269 scales were made by the coordinated measurement by ISEE-1, 2. The wave power correlation scale was estimated to be about several hundred kilometers across the background magnetic field (Gurnett et al., 1979). Furthermore, Santolik et al. (2003) 272 determined the correlation lengths of chorus-type whistler waves to be around 100 273 km based on multipoint CLUSTER Wide Band Data measurements near the chorus 274 source region at  $L \approx 4$ , during the magnetic storm of 18 April 2002. Agapitov et al. 275 (2017, 2011, 2010) recently showed that the spatial extent of chorus source region can 276 be larger, ranging from 600 km in the outer radiation belt to more than 1000 km in 277 the outer magnetosphere. The lower bound azimuthal and latitudinal scales obtained 278 in Section 1 and scaled to the magnetic equator, are similar to the whistler-mode 279 chorus source scale sizes reported in Agapitov et al. (2017, 2011). 280

No wave measurements from nearby spacecraft were available at this time.

Nevertheless, during the hours before and after this observation, the Van Allen Probes'

 $^{283}$  (Mauk et al., 2013) Electric and Magnetic Field Instrument and Integrated Science  $^{284}$  (Kletzing et al., 2013) observed strong wave power in the lower band chorus frequency  $^{285}$  range, inside the outer radiation belt between 22 and 2 MLT. Furthermore, AE  $\sim 400$   $^{286}$  nT at this time, and relatively strong chorus waves were statistically more likely to  $^{287}$  be present at FIREBIRD-II's MLT (Li et al., 2009).

The empirically estimated and modeled  $t_b$  in this study agree within FIREBIRD-288 II's uncertainties, confirming that the energy-dependent dispersion was due to 289 bouncing. The  $t_b$  curves are a proxy for field line length, and this agreement implies 290 that they are comparable. This is expected since the magnetosphere is not drastically 291 compressed at 8 MLT, but we expect a larger discrepancy near midnight, where the 292 magnetosphere is more stretched and difficult to accurately model. In future studies, 293 this analysis can be used as a diagnostic tool to validate field line lengths, and improve 294 magnetic field models. 295

The similarity of the microburst and chorus source region scale sizes, as well as magnetospheric location and conditions, further support the causal relationship between microbursts and chorus.

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### Acknowledgments

This work was made possible with help from the FIREBIRD team, and the 300 members of the Space Sciences and Engineering Laboratory at Montana State 301 University for their hard work to make this mission a success. In addition, M. 302 Shumko acknowledges Drew Turner for his suggestions regarding the bounce period 303 calculations, and Dana Longcope for his proofreading feedback. The FIREBIRD-II 304 data are available at http://solar.physics.montana.edu/FIREBIRD\_II/. This analysis 305 is supported by the National Science Foundation under Grant Numbers 0838034 and 306 1339414. Furthermore, the work of O. Agapitov was supported by the NASA grant 307

308 NNX16AF85G.

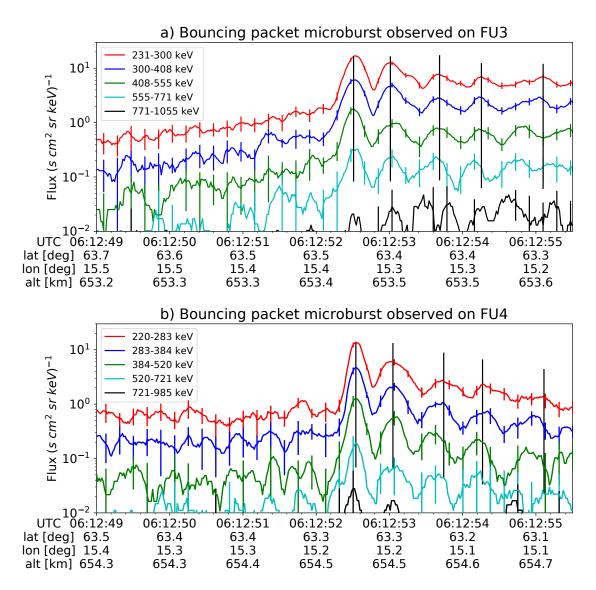


Figure 1.1: HiRes data of the microburst observed at February 2nd, 2015 at 06:12:53 UT, smoothed with a 150 ms rolling average. The subsequent bounces showed some energy dispersion. As discussed in the supporting information, a time correction of -2.28 s was applied to FU3. While the flux from five energy channels is shown, only channels with reasonable counting statistics were used for the spatial scale analysis. Vertical colored bars show the  $\sqrt{N}$  error every 10th data point and vertical black bars are lined up with the peaks in the 220-283 keV energy channel to help identify dispersion.

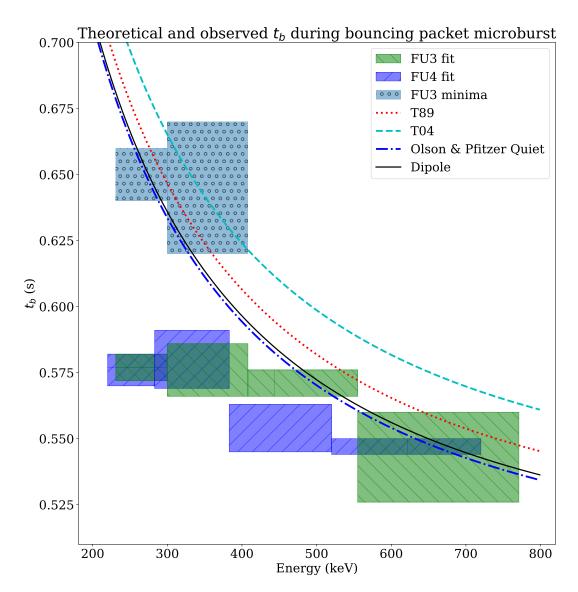


Figure 1.2: Observed and theoretical  $t_b$  for electrons of energies from 200 to 770 keV. The solid black line is  $t_b$  in a dipole magnetic field, derived in Schulz and Lanzerotti (1974). The red dotted and cyan dashed lines are the  $t_b$  derived using the T89, and T04 magnetic field models with IRBEM-Lib. Lastly, the blue dot-dash curve is the  $t_b$  derived using the Olson & Pfitzer Quiet model. The green and purple rectangles represent the observed  $t_b$  for FU3 and FU4 using a Gaussian fit, respectively. The blue rectangles represent the observed  $t_b$  calculated with the minima between the bounces. The width of the boxes represent the width of those energy channels, and the height represents the uncertainty from the fit.

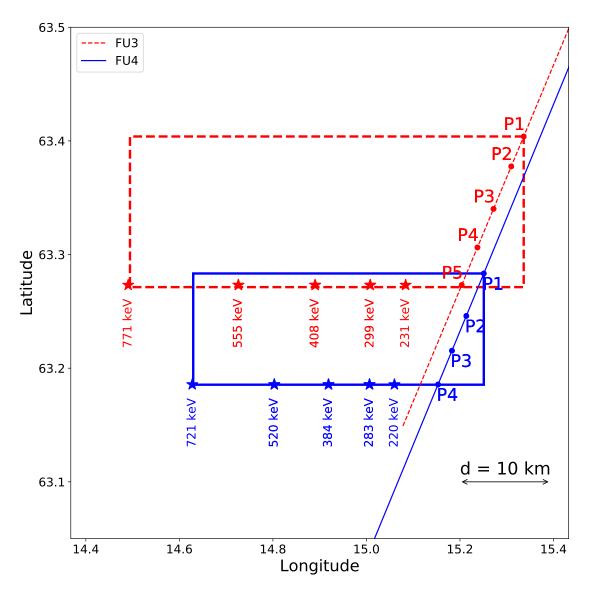


Figure 1.3: The topology of the FIREBIRD-II orbit and the multiple bounces of the microburst projected onto latitude and longitude with axis scaled to equal distance. Attributes relating to FU3 shown in red dashed lines, and FU4 with blue solid lines. The spacecraft path is shown with the diagonal lines, starting at the upper right corner. The labels P1-4 for FU4 and P1-5 for FU3 indicate where the spacecraft were when the N<sup>th</sup> peak was seen in the lowest energy channel in the HiRes data. The stars with the accompanying energy labels represent the locations of the electrons with that energy that started at time of P1, and were seen at the last peak on each spacecraft. The rectangles represent the lower bound of the microburst scale size, assuming that the majority of the electrons were in the upper boundary of energy channel 4.

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