

# Uniform LSUN (Locally-Structured Unitary Network)

LSUN, like PCA, is a fully linear transformation.

Please do not forget to run **setpath** in the top directory of this package, and then return to this directory.

Requirements: MATLAB R2022a

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```
clc, clear
isVisible = ~true;
support.fcn_download_img
```

```
kodim01.png already exists in ./data/
kodim02.png already exists in ./data/
kodim03.png already exists in ./data/
kodim04.png already exists in ./data/
kodim05.png already exists in ./data/
kodim06.png already exists in ./data/
kodim07.png already exists in ./data/
kodim08.png already exists in ./data/
kodim09.png already exists in ./data/
kodim10.png already exists in ./data/
kodim11.png already exists in ./data/
kodim12.png already exists in ./data/
kodim13.png already exists in ./data/
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kodim15.png already exists in ./data/
kodim16.png already exists in ./data/
kodim17.png already exists in ./data/
kodim18.png already exists in ./data/
kodim19.png already exists in ./data/
kodim20.png already exists in ./data/
kodim21.png already exists in ./data/
kodim22.png already exists in ./data/
kodim23.png already exists in ./data/
kodim24.png already exists in ./data/
See Kodak Lossless True Color Image Suite
```

```
imgfile = "./data/kodim01.png";
img = im2double(rgb2gray(imread(imgfile)));
```

```
% rblk = imd';
% nblocks = 9;
% blk = im2col(rblk,[14 14] , 'distinct')
nof = 1;
ky = 2*nof+1; % # of overlapping blocks (odd number)
kx = 2*nof+1;
disp([ky kx])
```

3 3

```
blksz = [4 4]; % Block size
% # of coeffs
nCoefs = 4;
```

## Local block PCA for reference

```
% % Create Sub-images
% s_img = createsubimg(blk);
[szy,szx] = size(img);
lbpcaimg = zeros(szy,szx);
for iBlkCol = 1:szx/blksz(2)
    for iBlkRow = 1:szy/blksz(1)
        % Extract ky x kx blocks
        subblks = fcn_extract_blks_(img,[iBlkRow,iBlkCol],blksz,[ky,kx]);
        % Reshape
        colblks = im2col(subblks,blksz, "distinct");
        % PCA
        %Vpca = pca(colblks.')
        mu = mean(colblks,2);
        colblkszm = colblks - mu;
        C = cov(colblkszm.');
        [~,S,V] = svd(C, "econ");
        [~,idxS] = sort(diag(S), "descend");
        V = V(:,idxS(1:nCoefs));
        %norm(Vpca - Vsrd, 'fro')
        % Approxiamtion
        targetblk = fcn_extract_blks_(img,[iBlkRow,iBlkCol],blksz,[1 1]);
        targetblk = reshape(V*V.'*(targetblk(:)-mu)+mu,size(targetblk));
        % Place block
        lbpcaimg = fcn_place_blks_(lbpcaimg,targetblk,[iBlkRow,iBlkCol],blksz);
    end
end
```

## Global block PCA for reference

```
% Reshape
```

```

colblks = im2col(img,blkSz,"distinct");
% PCA
%Vpca = pca(colblks.')
mu = mean(colblks,2);
colblkszm = colblks - mu;
C = cov(colblkszm.');
[~,S,V] = svd(C,"econ");
[~,idxS] = sort(diag(S),"descend");
V = V(:,idxS(1:nCoefs));
%norm(Vpca - Vsrd,'fro')
% Approxiamtion
gbpcaimg = col2im(V*V.'*(colblks-mu)+mu,blkSz,size(img),"distinct");

```

## Locally Structured Unitary Network (LSUN) for 2-D Grayscale image

```

% Decimation factor (Strides)
stride = blkSz; % [My Mx]

% Number of overlapping blocks (Polyphase order plus one)
ovlpFactor = [ky kx];

% Max epochs
maxEpochs = 128;

% Standard deviation of initial angles
stdInitAng = 0;

% No DC-leakage
noDcLeakage = true;

```

## Bivariate lattice-structure of filter banks

As a base system for LSUN, let us adopt a multidimensional linear-phase paraunitary filter banks (MD-LPPUFB) ( or non-separable oversampled lapped transform (NSOLT)) of type-I with the number of channels (the numbers of even and odd symmetric channels are identical to each other) and polyphase order (even):

$$\mathbf{E}(z_v, z_h) = \left( \prod_{k_h=1}^{N_h/2} \mathbf{V}_{2k_h}^{(h)} \bar{\mathbf{Q}}(z_h) \mathbf{V}_{2k_h-1}^{(h)} \mathbf{Q}(z_h) \right) \left( \prod_{k_v=1}^{N_v/2} \mathbf{V}_{2k_v}^{(v)} \bar{\mathbf{Q}}(z_v) \mathbf{V}_{2k_v-1}^{(v)} \mathbf{Q}(z_v) \right) \mathbf{V}_0 \mathbf{E}_0,$$

$$\mathbf{R}(z_v, z_h) = \mathbf{E}^T(z_v^{-1}, z_h^{-1}),$$

where

- $\mathbf{E}(z_v, z_h)$ : Type-I polyphase matrix of the analysis filter bank
- $\mathbf{R}(z_v, z_h)$ : Type-II polyphase matrix in the synthesis filter bank

- $z_d \in \mathbb{C}, d \in \{v, h\}$ : The parameter of Z-transformation direction
- $N_d \in \mathbb{N}, d \in \{v, h\}$ : Polyphase order in direction  $d$  (number of overlapping blocks)
- $\mathbf{V}_0 = \begin{pmatrix} \mathbf{W}_0 & \mathbf{O} \\ \mathbf{O} & \mathbf{U}_0 \end{pmatrix} \begin{pmatrix} \mathbf{I}_{M/2} \\ \mathbf{O} \\ \mathbf{I}_{M/2} \\ \mathbf{O} \end{pmatrix} \in \mathbb{R}^{P \times M}, \mathbf{V}_n^{\{d\}} = \begin{pmatrix} \mathbf{I}_{P/2} & \mathbf{O} \\ \mathbf{O} & \mathbf{U}_n^{\{d\}} \end{pmatrix} \in \mathbb{R}^{P \times P}, d \in \{v, h\}$ , where  $\mathbf{W}_0, \mathbf{U}_0, \mathbf{U}_n^{\{d\}} \in \mathbb{R}^{P/2 \times P/2}$  are orthonormal matrices.
- $\mathbf{Q}(z) = \mathbf{B}_P \begin{pmatrix} \mathbf{I}_{P/2} & \mathbf{O} \\ \mathbf{O} & z^{-1} \mathbf{I}_{P/2} \end{pmatrix} \mathbf{B}_P, \bar{\mathbf{Q}}(z) = \mathbf{B}_P \begin{pmatrix} z \mathbf{I}_{P/2} & \mathbf{O} \\ \mathbf{O} & \mathbf{I}_{P/2} \end{pmatrix} \mathbf{B}_P, \mathbf{B}_P = \frac{1}{\sqrt{2}} \begin{pmatrix} \mathbf{I}_{P/2} & \mathbf{I}_{P/2} \\ \mathbf{I}_{P/2} & -\mathbf{I}_{P/2} \end{pmatrix}$

**【Example】** For  $P/2 = 3$ , a parametric orthonormal matrix  $\mathbf{U}(\theta, \mu)$  can be constructed by

$$\mathbf{U}(\theta, \mu) := \begin{pmatrix} \mu_1 & 0 & 0 \\ 0 & \mu_1 & 0 \\ 0 & 0 & \mu_2 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_2 & -\sin \theta_2 \\ 0 & \sin \theta_2 & \cos \theta_2 \end{pmatrix} \begin{pmatrix} \cos \theta_1 & 0 & -\sin \theta_1 \\ 0 & 1 & 0 \\ \sin \theta_1 & 0 & \cos \theta_1 \end{pmatrix} \begin{pmatrix} \cos \theta_0 & -\sin \theta_0 & 0 \\ \sin \theta_0 & \cos \theta_0 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

$$\mathbf{U}(\theta, \mu)^T = \begin{pmatrix} \cos \theta_0 & \sin \theta_0 & 0 \\ -\sin \theta_0 & \cos \theta_0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \theta_1 & 0 & \sin \theta_1 \\ 0 & 1 & 0 \\ -\sin \theta_1 & 0 & \cos \theta_1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_2 & \sin \theta_2 \\ 0 & -\sin \theta_2 & \cos \theta_2 \end{pmatrix} \begin{pmatrix} \mu_0 & 0 & 0 \\ 0 & \mu_1 & 0 \\ 0 & 0 & \mu_2 \end{pmatrix},$$

where  $\theta \in \mathbb{R}^{(P-2)P/8}$  and  $\mu = \{-1, 1\}^{P/2}$ . For the sake of simplification, the sign parameters  $\mu_k$  are fixed to  $-1$  for  $\mathbf{U}_n^{\{d\}}$  with odd  $n$ , otherwise they are fixed to  $+1$ .

Partial differentiation can be, for example, conducted as

$$\frac{\partial}{\partial \theta_1} \mathbf{U}(\theta, \mu)^T = \begin{pmatrix} \cos \theta_0 & \sin \theta_0 & 0 \\ -\sin \theta_0 & \cos \theta_0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} -\sin \theta_1 & 0 & \cos \theta_1 \\ 0 & 0 & 0 \\ -\cos \theta_1 & 0 & -\sin \theta_1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_2 & \sin \theta_2 \\ 0 & -\sin \theta_2 & \cos \theta_2 \end{pmatrix} \begin{pmatrix} \mu_0 & 0 & 0 \\ 0 & \mu_1 & 0 \\ 0 & 0 & \mu_2 \end{pmatrix}.$$

A locally-structured unitary network (LSUN) allows to change the parameters block by block.

### 【References】

- MATLAB SaivDr Package: <https://github.com/msiplab/SaivDr>
- S. Muramatsu, K. Furuya and N. Yuki, "Multidimensional Nonseparable Oversampled Lapped Transforms: Theory and Design," in IEEE Transactions on Signal Processing, vol. 65, no. 5, pp. 1251-1264, 1 March 2017, doi: [10.1109/TSP.2016.2633240](https://doi.org/10.1109/TSP.2016.2633240).
- S. Muramatsu, T. Kobayashi, M. Hiki and H. Kikuchi, "Boundary Operation of 2-D Nonseparable Linear-Phase Paraunitary Filter Banks," in IEEE Transactions on Image Processing, vol. 21, no. 4, pp. 2314-2318, April 2012, doi: [10.1109/TIP.2011.2181527](https://doi.org/10.1109/TIP.2011.2181527).

- S. Muramatsu, M. Ishii and Z. Chen, "Efficient parameter optimization for example-based design of nonseparable oversampled lapped transform," 2016 IEEE International Conference on Image Processing (ICIP), Phoenix, AZ, 2016, pp. 3618-3622, doi: 10.1109/ICIP.2016.7533034.
- Furuya, K., Hara, S., Seino, K., & Muramatsu, S. (2016). Boundary operation of 2D non-separable oversampled lapped transforms. *APSIPA Transactions on Signal and Information Processing*, 5, E9. doi:10.1017/ATSIPI.2016.3.
- S. Muramatsu, A. Yamada and H. Kiya, "A design method of multidimensional linear-phase paraunitary filter banks with a lattice structure," in IEEE Transactions on Signal Processing, vol. 47, no. 3, pp. 690-700, March 1999, doi: 10.1109/78.747776.

## Definition of custom layers and networks

Use a custom layer of Deep Learning Toolbox to implement Analysis LSUN.

### Definition of layers w/ Learnable properties

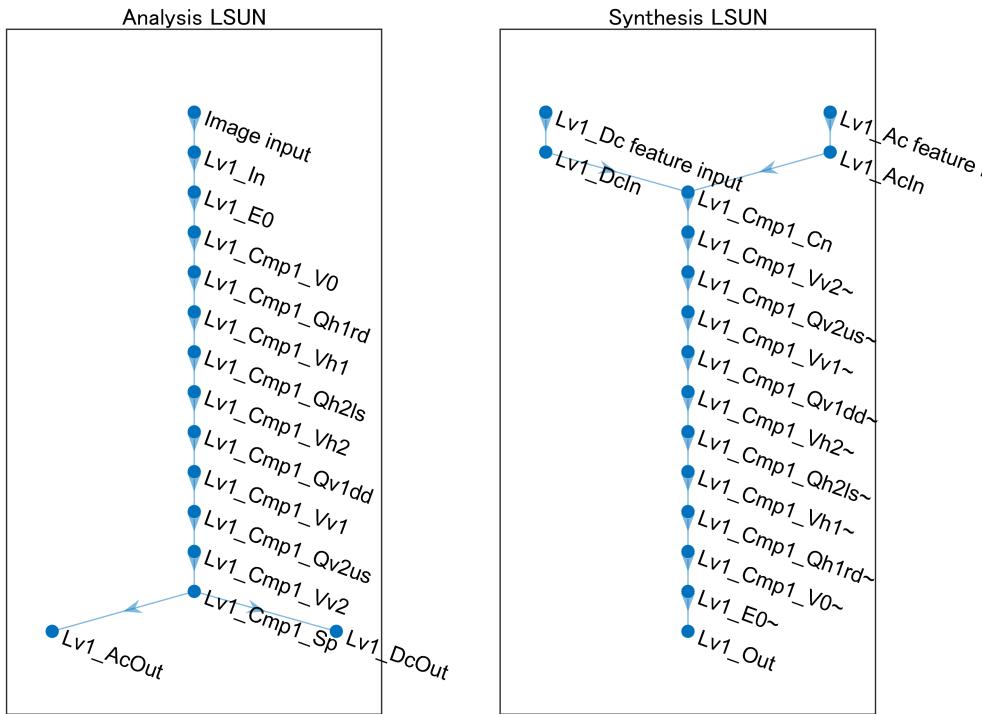
- Initial rotation:  $V_{0,b}$  (tansacnet.lsun.lsunInitialRotationLayer)
- Intermediate rotation:  $V_{n,b}^{\{d\}}$  (tansacnet.lsun.lsunIntermediateRotationLayer)

### Definition of layers w/o Learnable properties

- Bivariate DCT (2-D IDCT):  $E_0$  (tansacnet.lsun.lsunBlockDctLayer)
- Vertical up extension:  $Q(z_v)$  (tansacnet.lsun.lsunAtomExtensionLayer)
- Vertical down extension:  $\bar{Q}(z_v)$  (tansacnet.lsun.lsunAtomExtensionLayer)
- Horizontal left extension:  $Q(z_h)$  (tansacnet.lsun.lsunAtomExtensionLayer)
- Horizontal right extension:  $\bar{Q}(z_h)$  (tansacnet.lsun.lsunAtomExtensionLayer)

```
import tansacnet.lsun.*
analysislgraph = fcn_createlsungraph2d([], ...
    'InputSize',[szy szx],...
    'Stride',stride,...)
    'OverlappingFactor',ovlpFactor,...)
    'NumberOfVanishingMoments',noDcLeakage,...)
    'Mode','Analyzer');
synthesislgraph = fcn_createlsungraph2d([], ...
    'InputSize',[szy szx],...
    'Stride',stride,...)
    'OverlappingFactor',ovlpFactor,...)
    'NumberOfVanishingMoments',noDcLeakage,...)
    'Mode','Synthesizer'));
figure
subplot(1,2,1)
plot(analysislgraph)
title('Analysis LSUN')
subplot(1,2,2)
```

```
plot(synthesislgraph)
title('Synthesis LSUN')
```



```
% Construction of synthesis network.
analysisnet = dlnetwork(analysislgraph);

% Initialize
nLearnables = height(analysisnet.Learnables);
for iLearnable = 1:nLearnables
    if analysisnet.Learnables.Parameter(iLearnable)=="Angles"
        analysisnet.Learnables.Value(iLearnable) = ...
            cellfun(@(x) x+stdInitAng*randn(size(x)), ...
            analysisnet.Learnables.Value(iLearnable), 'UniformOutput',false);
    end
end
```

```
import tansacnet.lsun.*
% Construction of analysis network
analysislgraph = layerGraph(analysisnet);
synthesislgraph = fcn_cpparamsana2syn(synthesislgraph,analysislgraph);
```

```
Copy angles from Lv1_Cmp1_V0 to Lv1_Cmp1_V0~
Copy angles from Lv1_Cmp1_Vh1 to Lv1_Cmp1_Vh1~
Copy angles from Lv1_Cmp1_Vh2 to Lv1_Cmp1_Vh2~
```

```
Copy angles from Lv1_Cmp1_Vv1 to Lv1_Cmp1_Vv1~  
Copy angles from Lv1_Cmp1_Vv2 to Lv1_Cmp1_Vv2~
```

```
synthesisnet = dlnetwork(synthesislgraph);
```

## Confirmation of the adjoint relation (perfect reconstruction)

```
x = rand([szy szx],'double');  
dlx = dlarray(x,'SSCB'); % Deep learning array (SSCB: Spatial,Spatial,Channel,Batch)  
[dls{1:2}] = analysisnet.predict(dlx);  
dly = synthesisnet.predict(dls{:});  
display("MSE: " + num2str(mse(dlx,dly)))
```

```
"MSE: 8.9731e-10"
```

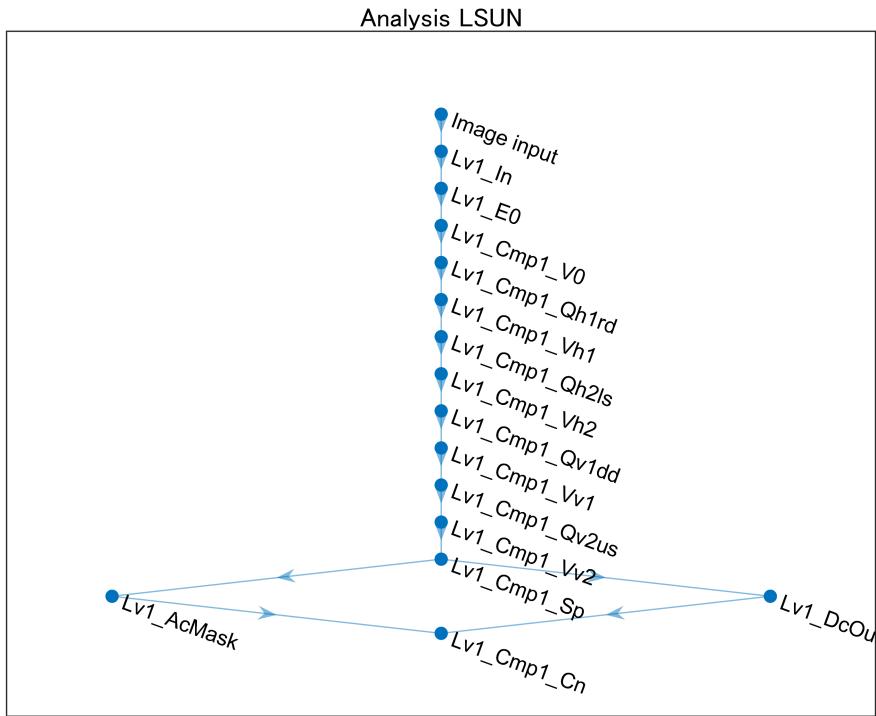
## Parameter optimization and approximation

```
import tansacnet.lsun.*  
analysislgraph = layerGraph(analysisnet);  
  
% Coefficient masking  
nChsTotal = prod(stride);  
coefMask = [ones(nCoefs,1); zeros(nChsTotal-nCoefs,1)];  
coefMask = [coefMask(1:2:end); coefMask(2:2:end)];  
%nLevels = 1;  
%for iLv = nLevels:-1:1  
iLv = 1;  
strLv = sprintf('Lv%0d_',iLv);  
% For AC  
analysislgraph = analysislgraph.replaceLayer([strLv 'AcOut'],...  
    maskLayer('Name',[strLv 'AcMask'],'Mask',coefMask(2:end),...  
    'NumberOfChannels',nChsTotal-1));  
%strLvPre = strLv;  
%end  
  
% Output layer  
iCmp = 1;  
strCmp = sprintf('Cmp%0d_',iCmp);  
%analysislgraph = analysislgraph.addLayers([...  
    %    lsunChannelConcatenation2dLayer('Name',[strLv strCmp 'Cn']) ...  
    %    lsunRegressionLayer('Coefficient output')  
    %]);  
analysislgraph = analysislgraph.addLayers(...  
    lsunChannelConcatenation2dLayer('Name',[strLv strCmp 'Cn']));  
analysislgraph = analysislgraph.connectLayers(...  
    [strLv 'AcMask'], [strLv strCmp 'Cn/ac']);  
analysislgraph = analysislgraph.connectLayers(...  
    [strLv 'DcOut'], [strLv strCmp 'Cn/dc']);
```

```

figure
plot(analysisgraph)
title('Analysis LSUN')

```



```

% Image data store
fs = matlab.io.datastore.FileSet(imgfile);
imds = imageDatastore(imgfile, "ReadFcn", @(x) im2double(rgb2gray(imread(imgfile))));
%patchds = randomPatchExtractionDatastore(imds,imds,[szy szx], 'PatchesPerImage',1);
figure
%minibatch = preview(patchds);
%inputimg = minibatch.InputImage;
imshow(preview(imds));

```

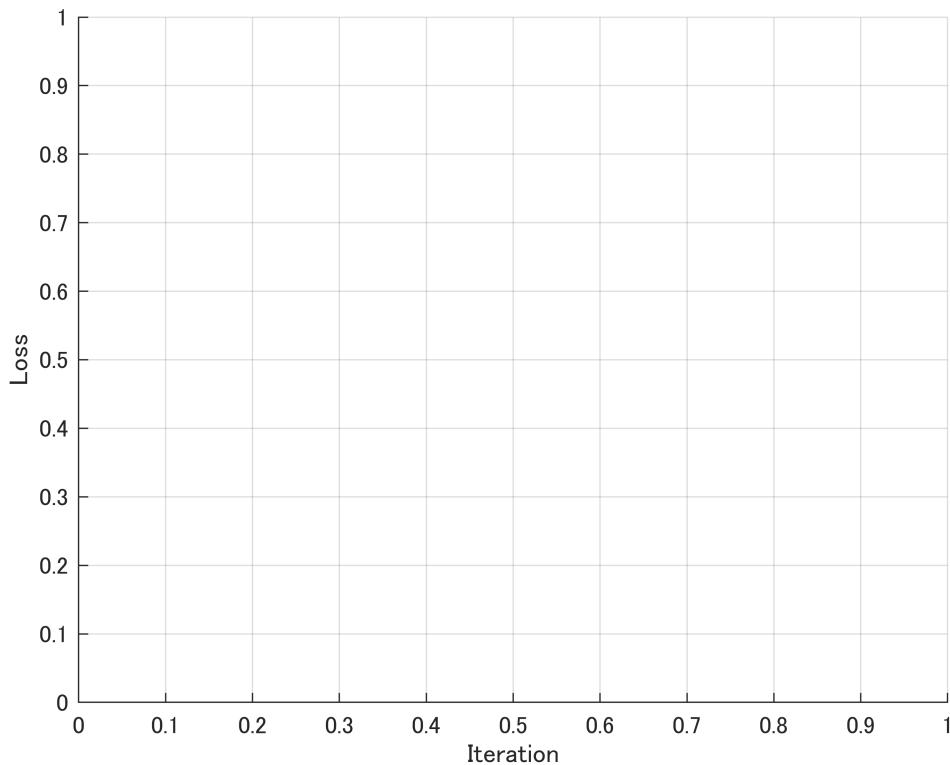


```
drawnow
%figure
%responses = minibatch.ResponseImage;
%montage(responses,'Size',[2 4]);
```

```
d1X = dlarray(gpuArray(readimage(imds,1)), "SSCB");
trainnet = dlnetwork(analysisgraph,d1X);
trainnet.Initialized
```

```
ans = Logical
1

%miniBatchSize = 1;
%mbq = minibatchqueue(patchds, ...
%    'MiniBatchSize', miniBatchSize, ...
%    'MiniBatchFormat', {'SSBC', 'SSBC'});
figure
lineLossTrain = animatedline('Color',[0.85 0.325 0.098]);
ylim([0 inf])
xlabel("Iteration")
ylabel("Loss")
grid on
```



```
% Training
velocity = [];
iteration = 0.01;
momentum = 0.9;
decay = 0.01;
initialLearnRate = 1e-2;
start = tic;

% Loop over epochs.
for epoch = 1:maxEpochs
    % Shuffle data.
    %shuffle(mbq);
    shuffle(imds);

    % Loop over mini-batches.
    while hasdata(imds) % hasdata(mbq)
        iteration = iteration + 1;

        % Read mini-batch of data.
        %[dlX, T] = next(mbq);
        dlX = dlarray(gpuArray(read(imds)), "SSCB");

        % Evaluate the model gradients, state, and loss using dlfeval and the
```

```

% modelGradients function and update the network state.
[gradients,loss] = dlfeval(@modelGradients,trainnet,dlX);

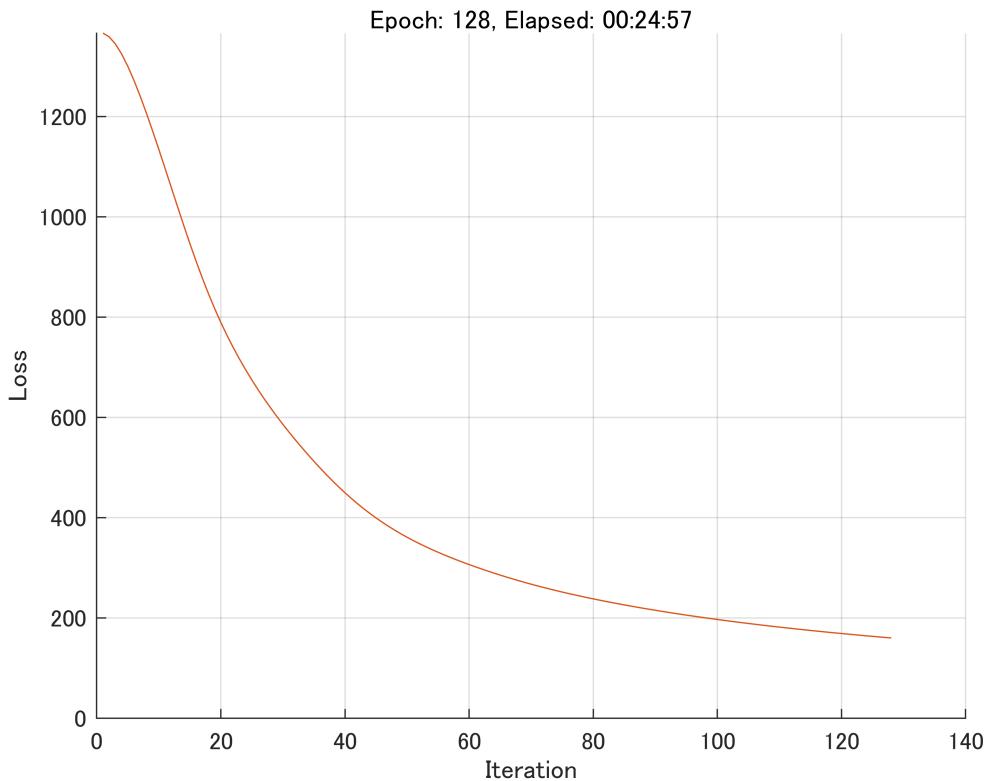
% Determine learning rate for time-based decay learning rate schedule.
learnRate = initialLearnRate/(1 + decay*iteration);

% Update the network parameters using the SGDM optimizer.
[trainnet,velocity] = sgdmupdate(trainnet,gradients,velocity,learnRate,momentum);

% Display the training progress.
D = duration(0,0,toc(start),'Format','hh:mm:ss');
addpoints(lineLossTrain,iteration,loss)
title("Epoch: " + epoch + ", Elapsed: " + string(D))
drawnow
end

reset(imds);
end

```



## Approximation by LSUN-base linear autoencoder

```

import tansacnet.lsun.*
lsunlgraph = fcn_createlsunlgraph2d([],...
    'InputSize',[szy szx],...
    'Stride',stride,...)
    'OverlappingFactor',ovlpFactor,...)

```

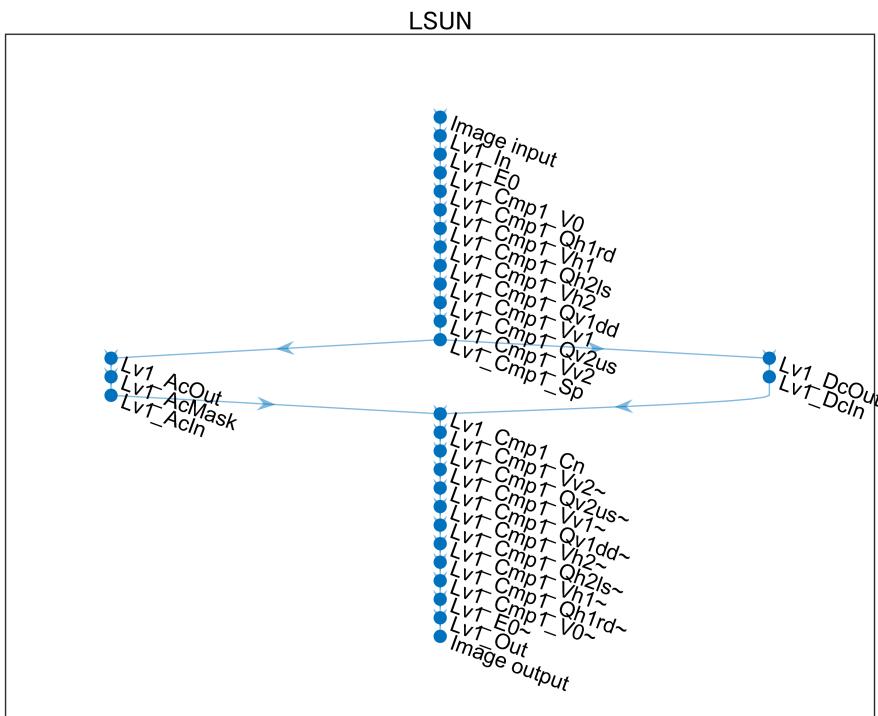
```
'NumberOfVanishingMoments',noDcLeakage,...  
'Mode','Whole');  
trainlgraph = layerGraph(trainnet);  
lsunlgraph = fcn_cpparamsana2syn(lsunlgraph,trainlgraph);
```

```
Copy angles from Lv1_Cmp1_V0 to Lv1_Cmp1_V0~  
Copy angles from Lv1_Cmp1_Vh1 to Lv1_Cmp1_Vh1~  
Copy angles from Lv1_Cmp1_Vh2 to Lv1_Cmp1_Vh2~  
Copy angles from Lv1_Cmp1_Vv1 to Lv1_Cmp1_Vv1~  
Copy angles from Lv1_Cmp1_Vv2 to Lv1_Cmp1_Vv2~
```

```
lsunlgraph = fcn_cpparamssyn2ana(lsunlgraph,lsunlgraph);
```

```
Copy angles from Lv1_Cmp1_V0~ to Lv1_Cmp1_V0  
Copy angles from Lv1_Cmp1_Vh1~ to Lv1_Cmp1_Vh1  
Copy angles from Lv1_Cmp1_Vh2~ to Lv1_Cmp1_Vh2  
Copy angles from Lv1_Cmp1_Vv1~ to Lv1_Cmp1_Vv1  
Copy angles from Lv1_Cmp1_Vv2~ to Lv1_Cmp1_Vv2
```

```
%nLevels = 1;  
%for iLv = nLevels:-1:1  
iLv = 1;  
strLv = sprintf('Lv%0d_',iLv);  
lsunlgraph = lsunlgraph.disconnectLayers([strLv 'AcOut'],[strLv 'AcIn']);  
% For AC  
lsunlgraph = lsunlgraph.addLayers(...  
maskLayer('Name',[strLv 'AcMask'],'Mask',coefMask(2:end),...  
'NumberOfChannels',nChsTotal-1));  
lsunlgraph = lsunlgraph.connectLayers([strLv 'AcOut'],[strLv 'AcMask']);  
lsunlgraph = lsunlgraph.connectLayers([strLv 'AcMask'],[strLv 'AcIn']);  
%strLvPre = strLv;  
%end  
  
figure  
plot(lsunlgraph)  
title('Linear autoencoder with LSUN')
```



Predict

```
lsunnet = assembleNetwork(lsunlgraph);
lsunaimg = lsunnet.predict(img);
```

## Results

```
figure
imshow(img)
title("Original")
```

Original



```
figure  
imshow(lbpcaimg)  
title("Approx. by Local block PCA (PSNR: " + num2str(psnr(img,lbpcaimg))+ " dB)")
```

Approx. by Local block PCA (PSNR: 33.8196 dB)



```
figure  
imshow(gbpcaimg)  
title("Approx. by Global block PCA (PSNR: " + num2str(psnr(img,gbpcaimg))+ " dB)")
```

Approx. by Global block PCA (PSNR: 26.4824 dB)



```
figure  
imshow(lsunaimg)  
title("Approx. by LSUN (PSNR: " + num2str(psnr(img,im2double(lsunaimg)))+" dB)")
```



## Definitions of local functions

Function to extract a local patch block from a global array

```
function y = fcn_extract_blk_(x,iBlk,blkSz,k)
% Extend array x
ky = k(1);
kx = k(2);
iBlkRow = iBlk(1);
iBlkCol = iBlk(2);
padsz = [(ky-1)/2, (kx-1)/2].*blkSz;
xx = padarray(x,padsz,"circular");
%
posy = (iBlkRow-1)*blkSz(1)+1;
posx = (iBlkCol-1)*blkSz(2)+1;
y = xx(posy:posy+ky*blkSz(1)-1,posx:posx+kx*blkSz(2)-1);
end
```

Function to place a local patch block to a global array

```
function y = fcn_place_blk_(y,blk,iBlk,blkSz)
```

```
% Extend array x
iBlkRow = iBlk(1);
iBlkCol = iBlk(2);
posy = (iBlkRow-1)*blksz(1)+1;
posx = (iBlkCol-1)*blksz(2)+1;
y(posy:posy+blksz(1)-1,posx:posx+blksz(2)-1) = blk;
end
```

Loss function

$$L(\theta) = \|\mathbf{x}\|_2^2 - \|F_\theta(\mathbf{x})\|_2^2,$$

where  $F_\theta(\cdot)$  is a unitaly analyzer with a coefficient mask.  $L(\theta) \geq 0$  is guaranteed.

```
function [gradients, loss] = modelGradients(dlnet, dlX)
% Forward data through the dlnetwork object.
dlY = forward(dlnet,dlX); % F(x)
% Compute loss.
Nx = size(dlX,4);
Ny = size(dlY,4);
loss = sum(dlX.^2,"all")/Nx-sum(dlY.^2,"all")/Ny;
% Compute gradients.
gradients = dlgradient(loss,dlnet.Learnables);
loss = double(gather(extractdata(loss)));
end
```