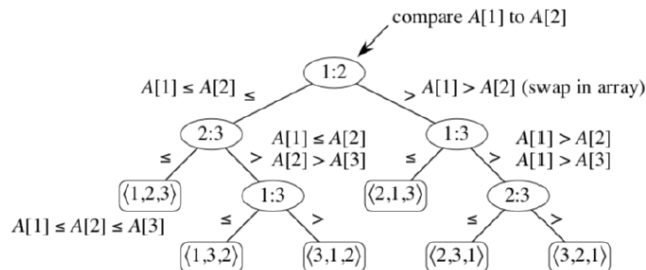


Sorting in Linear Time

- 모든 비교 정렬 알고리즘은 최악의 경우 $\Omega(n \lg n)$ 번의 비교 필요
- 정렬 알고리즘의 실행은 결정 트리의 루트에서 하나의 리프까지 경로를 따라가는 것

the decision-tree model for comparison sort



$$n! \leq l \leq 2^h$$

$$h \geq \lg(n!) = \Omega(n \lg n)$$

by eq.3.19 $\lg(n!) = \Theta(n \lg n)$

l : # of leaves

h : height of decision-tree = 최악의 경우 비교 횟수

Counting Sort

- 각 원소는 $[0, k]$ 인 정수인 경우
- 각 원소에 대해 그보다 작은 원소의 갯수를 세면 정렬 후 원소의 위치를 알 수 있다

```

1  let  $C[0..k]$  be a new array
2  for  $i = 0$  to  $k$ 
3       $C[i] = 0$ 
4  for  $j = 1$  to  $A.length$ 
5       $C[A[j]] = C[A[j]] + 1$ 

```

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| A | 2 | 5 | 3 | 0 | 2 | 3 | 0 | 3 |

| | | | | | | |
|---|---|---|---|---|---|---|
| | 0 | 1 | 2 | 3 | 4 | 5 |
| C | 2 | 0 | 2 | 3 | 0 | 1 |

```

7  for  $i = 1$  to  $k$ 
8       $C[i] = C[i] + C[i - 1]$ 

```

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| A | 2 | 5 | 3 | 0 | 2 | 3 | 0 | 3 |

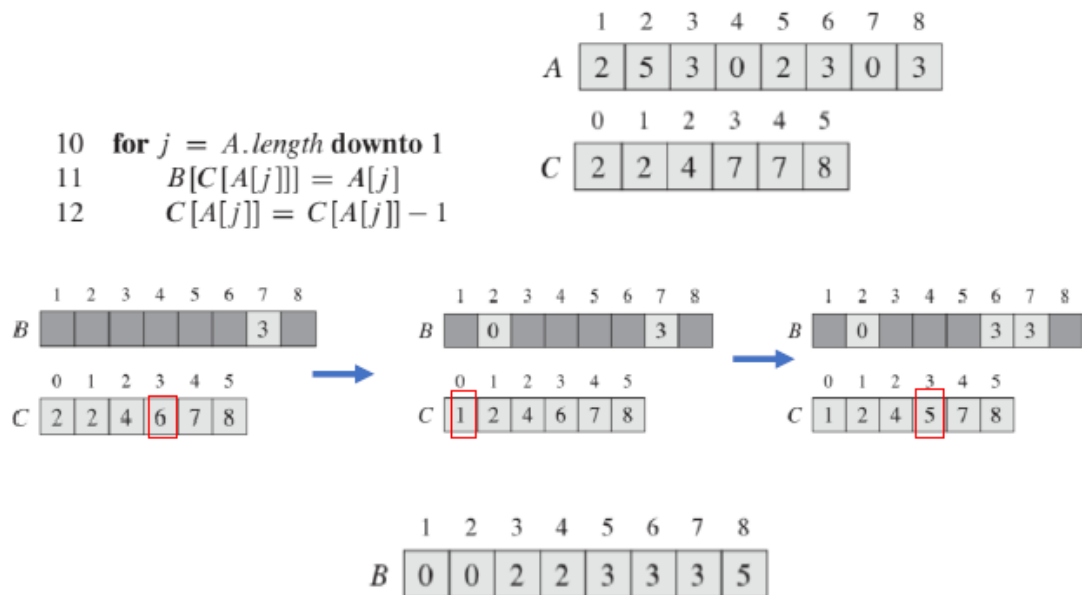
| | | | | | | |
|---|---|---|---|---|---|---|
| | 0 | 1 | 2 | 3 | 4 | 5 |
| C | 2 | 0 | 2 | 3 | 0 | 1 |

(a)

→

| | | | | | | |
|---|---|---|---|---|---|---|
| | 0 | 1 | 2 | 3 | 4 | 5 |
| C | 2 | 2 | 4 | 7 | 7 | 8 |

(b)



- Stable sort
 - 출력 배열에서 값이 같은 숫자가 입력 배열에 있던 것과 같은 순서를 유지하는 정렬
 - running time : $\Theta(k + n)$ if $k = O(n)$, $\Theta(n)$

COUNTING-SORT(A, B, k)

```

1  let  $C[0..k]$  be a new array
2  for  $i = 0$  to  $k$ 
3       $C[i] = 0$ 
4  for  $j = 1$  to  $A.length$ 
5       $C[A[j]] = C[A[j]] + 1$ 
6  //  $C[i]$  now contains the number of elements equal to  $i$ .
7  for  $i = 1$  to  $k$ 
8       $C[i] = C[i] + C[i - 1]$ 
9  //  $C[i]$  now contains the number of elements less than or equal to  $i$ .
10 for  $j = A.length$  downto 1
11      $B[C[A[j]]] = A[j]$ 
12      $C[A[j]] = C[A[j]] - 1$ 
  
```

Radix Sort

- 가장 낮은 자리 숫자부터 정렬하는 것을 자리수만큼 반복

RADIX-SORT(A, d)

```

1  for  $i = 1$  to  $d$ 
2      use a stable sort to sort array  $A$  on digit  $i$ 
  
```

| | | | |
|-----|-----|-----|-----|
| 329 | 720 | 720 | 329 |
| 457 | 355 | 329 | 355 |
| 657 | 436 | 436 | 436 |
| 839 | 457 | 839 | 457 |
| 436 | 657 | 355 | 657 |
| 720 | 329 | 457 | 720 |
| 355 | 839 | 657 | 839 |

- 각각의 정렬은 stable 해야 함, counting sort 사용
- running time : $\Theta(d(n + k))$ total If $k = O(n)$, time = $\Theta(dn)$
- 높은 자리부터 정렬하면

| | | |
|----|----|----|
| 34 | 17 | 31 |
| 17 | 25 | 34 |
| 25 | 34 | 25 |
| 31 | 31 | 17 |

Bucket Sort

- 입력이 uniformly distributed in (0,1)인 경우

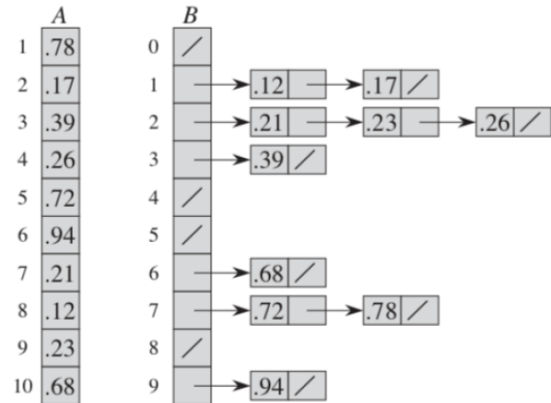
A.length = B.length 라는 것이 복잡도 계산의 핵심

BUCKET-SORT(A)

```

1  let B[0..n-1] be a new array
2  n = A.length
3  for i = 0 to n-1
4      make B[i] an empty list
5  for i = 1 to n
6      insert A[i] into list B[⌊nA[i]⌋]
7  for i = 0 to n-1
8      sort list B[i] with insertion sort
9  concatenate the lists B[0], B[1], ..., B[n-1] together in order

```



- Time Complexity

- n_i : # of elements in B[i]
- insertion sort on runs in $O(n^2)$

$$\Rightarrow T(n) = \Theta(n) + \sum_{i=0}^{n-1} O(n_i^2).$$

- average case running time

$$E[T(n)] = E\left[\Theta(n) + \sum_{i=0}^{n-1} O(n_i^2)\right]$$

$$= \Theta(n) + \sum_{i=0}^{n-1} E[O(n_i^2)]$$

$$= \Theta(n) + \sum_{i=0}^{n-1} O(E[n_i^2])$$

$$E[T(n)] = \Theta(n) + \sum_{i=0}^{n-1} O(2 - 1/n)$$

$$= \Theta(n) + O(n)$$

$$= \Theta(n)$$

- $E[n_i^2] = 2 - 1/n$ 이므로

$E[n_i^2] = 2 - 1/n$ in bucket sort

$X_{ij} = I\{A[j] \text{ falls in bucket } i\}$

for $i = 0, 1, \dots, n-1$ and $j = 1, 2, \dots, n$. Thus,

$$n_i = \sum_{j=1}^n X_{ij}.$$

$$\begin{aligned} E[n_i^2] &= E\left[\left(\sum_{j=1}^n X_{ij}\right)^2\right] \\ &= E\left[\sum_{j=1}^n \sum_{k=1}^n X_{ij} X_{ik}\right] \\ &= E\left[\sum_{j=1}^n X_{ij}^2 + \sum_{1 \leq j \leq n} \sum_{\substack{1 \leq k \leq n \\ k \neq j}} X_{ij} X_{ik}\right] \\ &= \sum_{j=1}^n E[X_{ij}^2] + \sum_{1 \leq j \leq n} \sum_{\substack{1 \leq k \leq n \\ k \neq j}} E[X_{ij} X_{ik}] \end{aligned}$$

$$\begin{aligned} E[X_{ij}^2] &= 1^2 \cdot \frac{1}{n} + 0^2 \cdot \left(1 - \frac{1}{n}\right) \\ &= \frac{1}{n}. \end{aligned}$$

When $k \neq j$, the variables X_{ij} and X_{ik} are independent

$$\begin{aligned} E[X_{ij} X_{ik}] &= E[X_{ij}] E[X_{ik}] \\ &= \frac{1}{n} \cdot \frac{1}{n} \\ &= \frac{1}{n^2}. \end{aligned}$$

$$\begin{aligned} E[n_i^2] &= \sum_{j=1}^n \frac{1}{n} + \sum_{1 \leq j \leq n} \sum_{\substack{1 \leq k \leq n \\ k \neq j}} \frac{1}{n^2} \\ &= n \cdot \frac{1}{n} + n(n-1) \cdot \frac{1}{n^2} \\ &= 1 + \frac{n-1}{n} \\ &= 2 - \frac{1}{n}. \end{aligned}$$

- Worst-case running time of bucket sort

n_i : # of elements in $B[i]$

insertion sort on runs in $O(n^2)$

$$\rightarrow T(n) = \Theta(n) + \sum_{i=0}^{n-1} O(n_i^2).$$

worst case running time $\Theta(n^2)$ when ?

BUCKET-SORT(A)

1 let $B[0..n-1]$ be a new array

2 $n = A.length$

3 for $i = 0$ to $n-1$

4 make $B[i]$ an empty list

5 for $i = 1$ to n

6 insert $A[i]$ into list $B[\lfloor nA[i] \rfloor]$

7 for $i = 0$ to $n-1$

8 sort list $B[i]$ with insertion sort

9 concatenate the lists $B[0], B[1], \dots, B[n-1]$ together in order

- Running times of sorting algorithms

| Algorithm | Worst-case running time | Average-case/expected running time |
|----------------|-------------------------|------------------------------------|
| Insertion sort | $\Theta(n^2)$ | $\Theta(n^2)$ |
| Merge sort | $\Theta(n \lg n)$ | $\Theta(n \lg n)$ |
| Heapsort | $O(n \lg n)$ | — |
| Quicksort | $\Theta(n^2)$ | $\Theta(n \lg n)$ (expected) |
| Counting sort | $\Theta(k + n)$ | $\Theta(k + n)$ |
| Radix sort | $\Theta(d(n + k))$ | $\Theta(d(n + k))$ |
| Bucket sort | $\Theta(n^2)$ | $\Theta(n)$ (average-case) |