More lists

Readings: HtDP, sections 11, 12, 13 (Intermezzo 2).

Topics:

- Sorting a list
- List abbreviations
- Lists containing lists
- Dictionaries and association lists
- Lists of lists as 2D data
- Processing two lists simultaneously
- Consuming a list and a number

CS 135 Fall 2019 08: More lists

Sorting a list

When writing a function to consume a list, we may find that we need to create an helper function to do some of the work. The helper function may or may not be recursive itself.

1

2

Sorting a list of numbers provides a good example; in this case the solution follows easily from the templates and design process.

In this course and CS 136, we will see several different sorting algorithms.

CS 135 Fall 2019 08: More lists

Filling in the list template

```
;; (sort lon) sorts the elements of lon in nondecreasing order

;; sort: (listof Num) → (listof Num)

(check-expect (sort (cons 2 (cons 0 (cons 1 empty)))) . . . )

(define (sort lon)

(cond [(empty? lon) . . . ]

[else (. . . (first lon) . . . (sort (rest lon)) . . . )]))
```

If the list lon is empty, so is the result.

Otherwise, the template suggests doing something with the first element of the list, and the sorted version of the rest.

```
;; (sort lon) sorts the elements of lon in nondecreasing order

;; sort: (listof Num) → (listof Num)

(check-expect (sort (cons 2 (cons 0 (cons 1 empty)))) . . .)

(define (sort lon)

(cond [(empty? lon) empty]

[else (insert (first lon) (sort (rest lon)))]))
```

insert is a recursive helper function that consumes a number and a sorted list, and inserts the number to the sorted list.

CS 135 Fall 2019

CS 135 Fall 2019

08: More lists

4

5

A condensed trace of sort and insert

08: More lists

The helper function insert

We again use the list template for insert.

```
;; (insert n slon) inserts the number n into the sorted list slon ;; so that the resulting list is also sorted. ;; insert: Num (listof Num) \rightarrow (listof Num) ;; requires: slon is sorted in nondecreasing order (define (insert n slon) (cond [(empty? slon) ...] [else (... (first slon) ... (insert n (rest slon)) ...)]))
```

If slon is empty, the result is the list containing just n.

If slon is not empty, another conditional expression is needed.

n is the first number in the result if it is less than or equal to the first number in slon.

Otherwise, the first number in the result is the first number in slon, and the rest of the result is what we get when we insert n into (rest slon).

```
CS 135 Fall 2019 08: More lists 7

(define (insert n slon)
  (cond [(empty? slon) (cons n empty)]
      [(<= n (first slon)) (cons n slon)]
      [else (cons (first slon) (insert n (rest slon)))]))
```

CS 135 Fall 2019

08: More lists

8

A condensed trace of insert

```
(insert 4 (cons 1 (cons 2 (cons 5 empty)))) 

\Rightarrow (cons 1 (insert 4 (cons 2 (cons 5 empty)))) 

\Rightarrow (cons 1 (cons 2 (insert 4 (cons 5 empty)))) 

\Rightarrow (cons 1 (cons 2 (cons 4 (cons 5 empty))))
```

Our sort with helper function insert are together known as **insertion** sort.

List abbreviations

Now that we understand lists, we can abbreviate them.

In DrRacket, "Beginning Student With List Abbreviations" provides new syntax for list abbreviations, and a number of additional convenience functions.

Remember to follow the instructions in Module 01 when changing language levels.

CS 135 Fall 2019 08: More lists 10

The expression

```
(cons exp1 (cons exp2 (... (cons expn empty)...)))
```

can be abbreviated as

```
(list exp1 exp2 ... expn)
```

The result of the trace we did on the last slide can be expressed as (list 1 2 4 5).

CS 135 Fall 2019 08: More lists 11

(second my-list) is an abbreviation for (first (rest my-list)).

third, fourth, and so on up to eighth are also defined.

Use these **sparingly** to improve readability.

The templates we have developed remain very useful.

cons vs. list

Note that cons and list have different results and different purposes.

We use list to construct a list of fixed size (whose length is known when we write the program).

We use cons to construct a list from one new element (the first) and a list of arbitrary size (whose length is known only when the second argument to cons is evaluated during the running of the program).

CS 135 Fall 2019

08: More lists

13

Quoting lists

If lists built using list consist of just symbols, strings, and numbers, the list abbreviation can be further abbreviated using the quote notation we used for symbols.

(cons 'red (cons 'blue (cons 'green empty))) can be written '(red blue green).

(list 5 4 3 2) can be written '(5 4 3 2), because quoted numbers evaluate to numbers; that is, '1 is the same as 1.

What is '()?

CS 135 Fall 2019

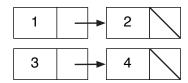
08: More lists

14

Lists containing lists

Lists can contain anything, including other lists, at which point these abbreviations can improve readability.

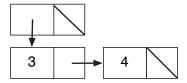
Here are two different two-element lists.



(cons 1 (cons 2 empty))

(cons 3 (cons 4 empty))

Here is a one-element list whose single element is one of the two-element lists we saw above.

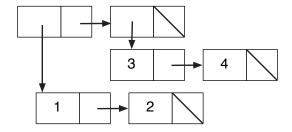


(cons (cons 3 (cons 4 empty))
empty)

We can create a two-element list by consing the other list onto this one-element list.

CS 135 Fall 2019 08: More lists 16

We can create a two-element list, each of whose elements is itself a two-element list.



(cons (cons 1 (cons 2 empty)) (cons (cons 3 (cons 4 empty)) empty))

CS 135 Fall 2019 08: More lists 17

We have several ways of expressing this list in Racket:

Clearly, the abbreviations are more expressive.

Example: taxes

A company needs to process their payroll – a list of employee names and their salaries. It produces a list of each employee name and the tax owed. The tax owed is computed with tax-payable from Module 04.

```
Payroll: TaxOwed:

(list (list "Asha" 50000) (list "Joseph" 100000) (list "Joseph" 18423.915) (list "Sami" 10000))

CS 135 Fall 2019 08: More lists 19
```

Data definitions

```
;; A Payroll is one of:
;; * empty
;; * (cons (list Str Num) Payroll)

;; A TaxOwed is one of:
;; * empty
;; * (cons (list Str Num) TaxOwed)
```

CS 135 Fall 2019

08: More lists

20

Template

```
;; (payroll-template pr)

;; payroll-template: Payroll \rightarrow Any
(define (payroll-template pr)

(cond [(empty? pr) ...]

[(cons? pr) ... (first pr) ...

... (payroll-template (rest pr)) ...]))
```

A payroll is just a list, so this looks exactly like the (listof X) template – so far...

Some information from our data definition is not yet captured in the template: The list's first item is known to be of the form (list Str Num).

It's useful to reflect that fact in the template:

- It reminds us of all the data available to us when solving the problem.
- Our solutions (derived from the template) will often access the parts of the sublist.

22

```
CS 135 Fall 2019 08: More lists

;; (payroll-template pr)

;; payroll-template: Payroll → Any

(define (payroll-template pr)

   (cond [(empty? pr) ...]

        [(cons? pr) (... (first (first pr)) ...

        ... (first (rest (first pr))) ...

        (payroll-template (rest pr)) ...)]))
```

Some short helper functions will make our code more readable.

Non-recursive helper functions only need a purpose.

Finish compute-taxes

08: More lists

26

Alternate solution

CS 135 Fall 2019

Alternate templates leading to the second solution

Different kinds of lists

When we introduced lists in module 05, the items they contained were not lists. These were **flat lists**.

We have just seen **lists of lists**. A Payroll is a list containing a two-element flat list.

In later lecture modules, we will use lists containing unbounded flat lists.

We will also see **nested lists**, in which lists may contain lists that contain lists, and so on to an arbitrary depth.

CS 135 Fall 2019 08: More lists 29

Dictionaries

Once upon a time, a dictionary was a book in which you look up a word to find a definition. Nowdays, a dictionary is an app:



More generally, a dictionary contains a number of **keys**, each with an associated **value**.

Examples:

- Your contacts list. Keys are names, and values are telephone numbers.
- Your seat assignment for midterms. Keys are userids, and values are seat locations.
- Stock symbols (keys) and prices (values).

Many two-column tables can be viewed as dictionaries. The previous examples can all be viewed as two-column tables.

CS 135 Fall 2019 08: More lists 31

Dictionary operations

What operations might we wish to perform on dictionaries?

- lookup: given a key, produce the corresponding value
- add: add a (key,value) pair to the dictionary
- remove: given a key, remove it and its associated value

CS 135 Fall 2019 08: More lists 32

Association lists

One simple solution uses an **association list**, which is just a list of (key, value) pairs.

We store the pair as a two-element list. For simplicity, we will use numbers as keys and strings as values.

```
;; An association list (AL) is one of:
;; * empty
;; * (cons (list Num Str) AL)
```

We can create association lists based on other types for keys and values. We use Num and Str here just to provide a concrete example.

We impose the additional restriction that an association list contains at most one occurrence of any key.

Since we have a data definition, we could use AL for the type of an association list, as given in a contract.

Another alternative is to use (listof (list Num Str)).

```
CS 135 Fall 2019 08: More lists 34
```

We can use the data definition to produce a template.

```
CS 135 Fall 2019 08: More lists 35
```

Lookup operation

Recall that lookup consumes a key and a dictionary (association list) and produces the corresponding value.

In coding lookup, we have to make a decision. What should it produce if the lookup fails?

Since all valid values are strings, it can produce false to indicate that the key was not present in the association list.

```
(check-expect (lookup 3 (list 1 "John") (list 3 "Winnie")) "Winnie")
(check-expect (lookup 2 (list 1 "John") (list 3 "Winnie")) false)
```

```
;; (lookup-al k alst) produces the value corresponding to key k,
;; or false if k not present
;; lookup-al:

(define (lookup-al k alst)
    (cond [(empty? alst) false]
        [(= k (first (first alst))) (second (first alst))]
        [else (lookup-al k (rest alst))]))

CS 135 Fall 2019

08: More lists

37
```

We will leave the add and remove functions as exercises.

This solution is simple enough that it is often used for small dictionaries.

For a large dictionary, association lists are inefficient in the case where the key is not present and the whole list must be searched.

In a future module, we will impose structure to improve this situation.

CS 135 Fall 2019 08: More lists 38

Two-dimensional data

Another use of lists of lists is to represent a two-dimensional table.

For example, here is a multiplication table:

```
(mult-table 3 4) \Rightarrow
(list (list 0 0 0 0)
(list 0 1 2 3)
(list 0 2 4 6))
```

The c^{th} entry of the r^{th} row (numbering from 0) is $r \times c$.

We can write mult-table using two applications of the "count up" idea.

```
;; (mult-table nr nc) produces multiplication table
    with nr rows and nc columns
;; mult-table: Nat Nat \rightarrow (listof (listof Nat))
(define (mult-table nr nc)
  (rows-from 0 nr nc))
;; (rows-from r nr nc) produces mult. table, rows r...(nr-1)
;; rows-from: Nat Nat Nat \rightarrow (listof (listof Nat))
(define (rows-from r nr nc)
  (cond [(>= r nr) empty]
         [else (cons (row r nc) (rows-from (add1 r) nr nc))]))
                                                                          40
CS 135 Fall 2019
                                 08: More lists
;; (row r nc) produces rth row of mult. table of length nc
;; row: Nat Nat \rightarrow (listof Nat)
(define (row r nc)
  (cols-from 0 r nc))
;; (cols-from c r nc) produces entries c...(nc-1) of rth row of mult. table
;; cols-from: Nat Nat Nat \rightarrow (listof Nat)
(define (cols-from c r nc)
  (cond [(>= c nc) empty]
         [else (cons (* r c) (cols-from (add1 c) r nc))]))
                                                                          41
CS 135 Fall 2019
                                 08: More lists
```

Processing two lists simultaneously

We now look at a more complicated recursion, namely writing functions which consume two lists (or two data types, each of which has a recursive definition).

Following the textbook, we will distinguish three different cases, and look at them in order of complexity.

The simplest case is when one of the lists does not require recursive processing.

Case 1: processing just one list

```
As an example, consider the function my-append.
```

```
;; (my-append lst1 lst2) appends lst2 to the end of lst1
;; my-append: (listof Any) (listof Any) \rightarrow (listof Any)
;; Examples:
(check-expect (my-append empty '(1 2)) '(1 2))
(check-expect (my-append '(3 4) '(1 2 5)) '(3 4 1 2 5))
(define (my-append lst1 lst2)
 ...)
                                                                      43
CS 135 Fall 2019
                               08: More lists
(define (my-append lst1 lst2)
  (cond [(empty? lst1) lst2]
         [else (cons (first lst1)
                     (my-append (rest lst1) lst2))]))
The code only does simple recursion on lst1.
The parameter lst2 is "along for the ride".
append is a built-in function in Racket.
```

A condensed trace

CS 135 Fall 2019

08: More lists

44

Case 2: processing in lockstep

To process two lists lst1 and lst2 in lockstep, they must be the same length, and are consumed at the same rate.

lst1 is either empty or a cons, and the same is true of lst2 (four possibilities in total).

However, because the two lists must be the same length, (empty? lst1) is true if and only if (empty? lst2) is true.

This means that out of the four possibilities, two are invalid for proper data.

The template is thus simpler than in the general case.

```
(define (lockstep-template lst1 lst2)

(cond [(empty? lst1) ... ]

[else

(... (first lst1) ... (first lst2) ...

(lockstep-template (rest lst1) (rest lst2)) ... )]))
```

08: More lists

46

CS 135 Fall 2019 08: More lists 47

Example: dot product

CS 135 Fall 2019

To take the dot product of two vectors, we multiply entries in corresponding positions (first with first, second with second, and so on) and sum the results.

Example: the dot product of $(1\ 2\ 3)$ and $(4\ 5\ 6)$ is $1\cdot 4 + 2\cdot 5 + 3\cdot 6 = 4 + 10 + 18 = 32.$

We can store the elements of a vector in a list, so $(1\ 2\ 3)$ becomes '(1\ 2\ 3).

For convenience, we define the empty vector with no entries, represented by empty.

The function dot-product

```
;; (dot-product lon1 lon2) computes the dot product
    of vectors lon1 and lon2
;; dot-product: (listof Num) (listof Num) \rightarrow Num
;; requires: lon1 and lon2 are the same length
(check-expect (dot-product empty empty) 0)
(check-expect (dot-product '(2) '(3)) 6)
(check-expect (dot-product '(2 3) '(4 5)) 23)
(define (dot-product lon1 lon2)
 ...)
                                                                      49
CS 135 Fall 2019
                               08: More lists
(define (dot-product lon1 lon2)
  (cond [(empty? lon1) 0]
        [else (+ (* (first lon1) (first lon2))
                  (dot-product (rest lon1) (rest lon2)))]))
```

CS 135 Fall 2019

08: More lists

50

A condensed trace

Case 3: processing at different rates

If the two lists lst1, lst2 being consumed are of different lengths, all four possibilities for their being empty/nonempty are possible:

```
(and (empty? lst1) (empty? lst2))
(and (empty? lst1) (cons? lst2))
(and (cons? lst1) (empty? lst2))
(and (cons? lst1) (cons? lst2))
```

Exactly one of these is true, but all must be tested in the template.

CS 135 Fall 2019

08: More lists

52

The template so far

```
(define (twolist-template lst1 lst2)
  (cond [(and (empty? lst1) (empty? lst2)) ...]
      [(and (empty? lst1) (cons? lst2)) ...]
      [(and (cons? lst1) (empty? lst2)) ...]
      [(and (cons? lst1) (cons? lst2)) ...]))
```

The first case is a **base case**; the second and third may or may not be.

CS 135 Fall 2019

08: More lists

53

Refining the template

```
(define (twolist-template lst1 lst2)
  (cond
    [(and (empty? lst1) (empty? lst2)) ...]
    [(and (empty? lst1) (cons? lst2)) (... (first lst2) ... (rest lst2) ...)]
    [(and (cons? lst1) (empty? lst2)) (... (first lst1) ... (rest lst1) ...)]
    [(and (cons? lst1) (cons? lst2)) ???]))
```

The second and third cases may or may not require recursion.

The fourth case definitely does, but its form is unclear.

Further refinements

There are many different possible natural recursions for the last cond answer ???:

```
... (first lst2) ... (twolist-template lst1 (rest lst2)) ...
... (first lst1) ... (twolist-template (rest lst1) lst2) ...
... (first lst1) ... (first lst2) ... (twolist-template (rest lst1) (rest lst2)) ...
```

We need to reason further in specific cases to determine which is appropriate.

CS 135 Fall 2019

08: More lists

55

Example: merging two sorted lists

We wish to design a function merge that consumes two lists.

Each list is sorted in ascending order (no duplicate values).

merge will produce one such list containing all elements.

As an example:

```
(merge (list 1 8 10) (list 2 4 6 12)) \Rightarrow (list 1 2 4 6 8 10 12)
```

We need more examples to be confident of how to proceed.

CS 135 Fall 2019

08: More lists

56

```
(merge empty empty) \Rightarrow empty
(merge empty (list 2)) \Rightarrow (list 2)
(merge (list 1 3) empty) \Rightarrow (list 1 3)
(merge (list 1 4) (list 2)) \Rightarrow (list 1 2 4)
(merge (list 3 4) (list 2)) \Rightarrow (list 2 3 4)
```

Reasoning about merge

If lon1 and lon2 are both nonempty, what is the first element of the merged list?

```
It is the smaller of (first lon1) and (first lon2).
```

If (first lon1) is smaller, then the rest of the answer is the result of merging (rest lon1) and lon2.

If (first lon2) is smaller, then the rest of the answer is the result of merging lon1 and (rest lon2).

```
CS 135 Fall 2019
```

08: More lists

58

CS 135 Fall 2019

08: More lists

59

A condensed trace (with lists)

```
(\text{merge (list 3 4)} \\ (\text{list 2 5 6}))
\Rightarrow (\text{cons 2 (merge (list 3 4)} \\ (\text{list 5 6}))))
\Rightarrow (\text{cons 2 (cons 3 (merge (list 4)} \\ (\text{list 5 6}))))
\Rightarrow (\text{cons 2 (cons 3 (cons 4 (merge empty} \\ (\text{list 5 6})))))
\Rightarrow (\text{cons 2 (cons 3 (cons 4 (cons 5 (cons 6 empty))))})
```

Consuming a list and a number

We defined recursion on natural numbers by showing how to view a natural number in a list-like fashion.

We can extend our idea for computing on two lists to computing on a list and a number, or on two numbers.

A predicate "Does *elem* appear at least *n* times in this list?"

Example: "Does 2 appear at least 3 times in the list (list 4 2 2 3 2 4)?" produces true.

CS 135 Fall 2019

08: More lists

61

The function at-least?

```
;; (at-least? n elem lst) determines if elem appears
;; at least n times in lst.
;; at-least?: Nat Any (listof Any) → Bool
(check-expect (at-least? 0 'red (list 1 2 3)) true)
(check-expect (at-least? 3 "hi" empty) false)
(check-expect (at-least? 2 'red (list 'red 'blue 'red 'green)) true)
(check-expect (at-least? 3 'red (list 'red 'blue 'red 'green)) false)
(check-expect (at-least? 1 7 (list 5 4 0 5 3)) false)

(define (at-least? n elem lst) ...)
```

Developing the code

The recursion will involve the parameters n and lst, once again giving four possibilities:

```
(define (at-least? n elem lst)

(cond [(and (zero? n) (empty? lst)) ...]

[(and (zero? n) (cons? lst)) ...]

[(and (> n 0) (empty? lst)) ...]

[(and (> n 0) (cons? lst)) ...]))
```

Once again, exactly one of these four possibilities is true.

Refining at-least?

```
(define (at-least? n elem lst)

(cond [(and (zero? n) (empty? lst)) ...]

[(and (zero? n) (cons? lst)) ...]

[(and (> n 0) (empty? lst)) ...]

[(and (> n 0) (cons? lst)) ???]))
```

In which cases can we produce the answer without further processing?

In which cases do we need further recursive processing to discover the answer? Which of the natural recursions should be used?

CS 135 Fall 2019 08: More lists 64

Improving at-least?

In working out the details for each case, it becomes apparent that some of them can be combined.

If n is zero, it doesn't matter whether lst is empty or not. Logically, every element always appears at least 0 times.

This leads to some rearrangement of the template, and eventually to the code that appears on the next slide.

CS 135 Fall 2019 08: More lists 65

Improved at-least?

```
\begin{aligned} &(\text{define (at-least? n elem lst)}) \\ &(\text{cond [(zero? n) true]} \\ &\quad & [(\text{empty? lst) false}] \\ &\quad &; \text{list is nonempty, } n >= 1 \\ &\quad & [(\text{equal? (first lst) elem) (at-least? (sub1 n) elem (rest lst))]}) \end{aligned}
```

Two condensed traces

```
(at-least? 3 'green (list 'red 'green 'blue)) ⇒
(at-least? 3 'green (list 'green 'blue)) ⇒
(at-least? 2 'green (list 'blue)) ⇒
(at-least? 2 'green empty) ⇒ false

(at-least? 1 8 (list 4 8 15 16 23 42)) ⇒
(at-least? 1 8 (list 8 15 16 23 42)) ⇒
(at-least? 0 8 (list 15 16 23 42)) ⇒ true
```

Testing list equality

```
;; (list=? lst1 lst2) determines if lst1 and lst2 are equal ;; list=?: (listof Num) (listof Num) \rightarrow Bool (define (list=? lst1 lst2) (cond [(and (empty? lst1) (empty? lst2)) ...] [(and (empty? lst1) (cons? lst2)) (... (first lst2) ... (rest lst2) ...)] [(and (cons? lst1) (empty? lst2)) (... (first lst1) ... (rest lst1) ...)] [(and (cons? lst1) (cons? lst2)) ???]))
```

Reasoning about list equality

Two empty lists are equal; if one is empty and the other is not, they are not equal.

If both are nonempty, then their first elements must be equal, and their rests must be equal.

The natural recursion in this case is

```
(list=? (rest lst1) (rest lst2))
```

```
(define (list=? lst1 lst2)
  (cond [(and (empty? lst1) (empty? lst2)) true]
        [(and (empty? lst1) (cons? lst2)) false]
        [(and (cons? lst1) (empty? lst2)) false]
        [(and (cons? lst1) (cons? lst2))
        (and (= (first lst1) (first lst2))
        (list=? (rest lst1) (rest lst2)))]))
```

Some further simplifications are possible.

CS 135 Fall 2019

08: More lists

70

Built-in list equality

As you know, Racket provides the predicate equal? which tests structural equivalence. It can compare two atomic values, two structures, or two lists. Each of the nonatomic objects being compared can contain other lists or structures.

At this point, you can see how you might write equal? if it were not already built in. It would involve testing the type of data supplied, and doing the appropriate comparison, recursively if necessary.

CS 135 Fall 2019

08: More lists

71

Goals of this module

You should understand the principle of insertion sort, and how the functions involved can be created using the design recipe.

You should be able to use list abbreviations and quote notation for lists where appropriate.

You should be able to construct and work with lists that contain lists.

You should understand the three approaches to designing functions that consume two lists (or a list and a number, or two numbers) and know which one is suitable in a given situation.