

# ETHICS OF ARTIFICIAL INTELLIGENCE

## Course guide v2.17

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### 1 Course aims and objectives

Artificial intelligence (AI) is developing at an extremely rapid pace. We should expect to see significant changes in our society as AI systems become embedded in many aspects of our lives. This course will cover philosophical issues raised by current and future AI systems. Questions we consider include:

- How do we align the aims of autonomous AI systems with our own?
- Does the future of AI pose an existential threat to humanity?
- How do we prevent learning algorithms from acquiring morally objectionable biases?

- Should autonomous AI be used to kill in warfare?
- How should AI systems be embedded in our social relations? Is it permissible to fall in love with an AI system?
- What sort of ethical rules should AI like a self-driving car use?
- Can AI systems suffer moral harms? And if so, of what kinds?
- Can AI systems be moral agents? If so, how should we hold them accountable?
- How should we live with and understand minds that are alien to our own?

By the end of the course, a student should be able to:

- Demonstrate knowledge of philosophical issues involved in ethics of artificial intelligence
- Demonstrate familiarity with relevant examples of AI systems
- Show ability to work in a small team
- Show ability to produce written work regularly to a deadline
- Acquire ability to express arguments clearly and concisely
- Gain skills in research, analysis and argumentation

In certain respects, you may find this course more challenging, and in other respects more approachable, than other philosophy courses. It may be more approachable because the relevance of the issues introduced in the readings is easy to understand; some of the examples used may be familiar from everyday life or science fiction; videos, podcasts, and magazine articles help to introduce the to you topic; some of the issues have been discussed recently in the media; and it is easy to have an uninformed opinion on many of these topics. It may be more challenging because well-thought-out literature on these topics is relatively thin on the ground – the onus is on *you* to develop the debate further and with rigour; both the technology and the philosophical literature are fast moving – you should be checking recent journal articles and working out how recent tech, or as yet unconsidered philosophical approaches, fit with what we discuss; current discussions may be confusing as smart people talk past each other – it is your job to sort out what are the key issues; you will need to engage with work outside mainstream philosophy (e.g. in computer science, social theory, economics, law, and public policy), which may be unfamiliar to you; you cannot just sit back and learn ‘the answer’ to the questions in this course – the debates are happening now and you are expected to participate in them.

I hope that you enjoy the course and find it challenging.

## 2 Format of the course

This course may differ from others you have taken, so please read this section carefully.

This course is taught in the *flip-classroom* format.<sup>1</sup> In class, you will write, work in a team, present ideas, discuss the ideas of others, and engage in constructive and rational dialogue. The best way of learning philosophy is to do it, and that’s what we’ll do together.

Before each class, you are expected to: (i) have done the essential reading (and watch the video where relevant) and (ii) have thought of at least one question about the essential reading to bring to class. In class we will work together to understand the reading and assess the claims and argument. I will not assume that you have understood every aspect of the reading before class. It is fine to be

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<sup>1</sup>For more information on flipped classrooms, and why one might use them instead of lectures, see: Gibbs, Graham. ‘Lectures don’t work, but we keep using them’ *Times Higher Education*, November 21, 2013; Wilson, Karen, and James H. Korn. ‘Attention during lectures: Beyond ten minutes.’ *Teaching of Psychology* 34.2 (2007): 85–89; Bligh, Donald A. *What’s the Use of Lectures?* Intellect books, 1998; Bishop, Jacob Lowell, and Matthew A. Verleger. ‘The flipped classroom: A survey of the research.’ *EASE National Conference Proceedings* 30.9 (2013).

puzzled by parts of the reading. However, I will assume that that you have (a) done the reading and (b) made a serious attempt to understand it before class.

The plan for each class is as follows. We begin by summarising the main points from the reading. Note that this is not a lecture – students will be expected to contribute to the summary. We will then develop points of critical scrutiny based on the reading. Finally, we work through questions you have brought to class, breaking them up into smaller questions where necessary.

During the class, different tables will be given different tasks. A table might be asked to summarise a part of the reading, argue for a particular case (*pro* or *contra*), assess the merits of a given view, think of counterexamples to a generalisation or fallacies with an argument, or find out some information that is relevant to answering the question. Work together with others at your table to solve the task. We will share the work of each table and discuss how it helps answer our questions. Some tasks may be harder than others, and in some cases there may be no known solution. In such a case, it is your table's job to explore the logical landscape, map out and carefully justify the options, and share these with the class. This is what good philosophical work often consists in.

## 2.1 Practicalities

*Before the course starts:*

1. You need a Google account if you don't have one already: <https://accounts.google.com/SignUp?>
2. Log on to the course's Google Drive web page to access all the materials – *you can find a link to this web page on Learn*
3. You should familiarise yourself with Google Docs if you are not already: <https://goo.gl/vkApYk>.

*Before each class:*

1. Read the essential reading (and watch video where relevant) **before class** for each week.
2. Come to class with at least **1 question prepared about the reading**. At the start of each class you will need to enter your question into your table's Google document. Make sure your question can be expressed concisely and clearly, and that it has a clear connection to the reading. Try writing the question down in no more than 2 sentences before you come. Examples of possible questions include:
  - 'I don't understand why the author says X', where X is some specific passage of the reading.
  - 'I don't see why X follows from Y', where this is part of the argument of the reading.
  - 'Here is a counterexample to X', where X is some claim in the reading
  - 'I'm not sure how X applies to Y', where Y is case that is relevant but not yet considered

*In class:*

1. Bring a copy (either electronic or hard copy) of the essential reading
2. Bring your own device (e.g. laptop, tablet, smartphone) or use the shared terminal on your table
3. Log on to the Google Drive page for the course, and open the 'Workbook' folder for your table
4. For each week, your table should start a new Google document in here in which you write down your questions, jot down the table's thoughts, and compose your solutions to tasks. This document will be shared with the class and your table can speak to it to introduce your table's ideas.

### 3 Assessment

- 10% participation grade
- 20% short writing assignment (500 words)
- 20% short writing assignment (500 words)
- 50% end-of-semester essay (2,000 words)

Word limits for the writing assignments *include* footnotes, but *exclude* bibliography and the question/essay title. These are hard limits – do not go over them.

Deadlines for the writing assignments and essay are posted on Learn.

#### 3.1 Participation grade

You earn your participation grade by (i) coming to class with questions; (ii) participating in the work at your table and the class discussion.

*Regarding (i):*

This is calculated from the register of attendance taken at the start of each class. You earn 8%, up to a maximum of 64% (8 x 8%), for every class that you attend for weeks 2–11 inclusive (10 weeks). You may miss up to 2 classes in this period without penalty with respect to your grade. This concession is to allow for the possibility of illness, personal emergency, etc. You do not need to inform me if you are missing class for these reasons. If you need to miss >2 classes, then you should talk to your personal tutor and the student support office and if necessary apply for special circumstances.

*Regarding (ii):*

I observe interactions during class and I award marks to your participation grade based on this. These marks will be based your willingness to contribute in a thoughtful and constructive way to your table's work and to the class discussion, not on the correctness or otherwise of your contributions. Some dos and don'ts:

**Don't:**

- Be rude
- Always be silent
- Fail to help your table's discussion
- Check your email, social media during class
- Chat with others about matters unrelated to the class
- Contribute to discussion in a way that shows you haven't done the reading
- Attempt to derail/distract your table's work
- Make off-topic remarks or questions to the class
- Fail to listen to others
- Exclude others at your table from the discussion

**Do:**

- Engage critically with the reading we are discussing
- Offer answers
- Ask questions
- Respond thoughtfully to other students
- Advance the discussion in ways that show you have listened and read
- Politely prompt the discussion to return to points that have not be resolved

- Organise and help prepare your table's response
- Give clear, concise, and articulate summary of your table's view in class discussion
- Solicit input from everyone at your table

You should expect that your total marks for your participation – combining (i) and (ii) – will fall within roughly the expected range of marks for an assessed work piece of work (~40%–80%). Merely by attending the required number of classes, you earn 64%. By participating in class in the ways listed under (ii) you can boost this mark into first-class territory. Marks for the participation component will be released at the end of the course.

Note that (i) and (ii) are not independent measures. A good way to demonstrate that you are participating in class in the ways described under (ii) is to be in as many classes as possible (and to read and think about this topic as much as possible). Conversely, if you do not attend classes, it will be hard to demonstrate (ii) and so earn a good participation grade. If it is not clear already, it is in your interest to attend *all* classes.

### 3.2 Short writing assignments

I have posted examples of questions you may use in the 'Example questions' document on the Google drive. You are not restricted to these questions. You may use questions that have come up in class discussion or during your table's work. You are also welcome to think up a entirely new question. If you do not use a question on the 'Example questions' list, **email the question to me** so I can confirm its suitability before you start to write.

It is ok to recycle questions between short writing assignments and essays. However, there should be no overlap in the answer.

Short assignments are assessed using the Philosophy-specific marking guidelines. You can find a copy of these guidelines in the 'Course Info' folder. The guidelines emphasise precision in your writing and good understanding of the course material (as well as rigour and clarity). Please read them before starting work.

For a short writing assignment, you will need to narrow the focus of your answer significantly to cover it in 500 words. You might want to start your answer with, 'I will focus on X here', where X is just one issue raised by the question.

You should think of a short writing assignment as a miniature essay. It is assessed in the same way. Here are some dos and don'ts for short writing assignments:

#### Don't:

- Attempt to summarise or engage with an entire paper – too much for a short assignment!
- Merely express likes/dislikes – justify your view with rational argument
- Make *ad hominen* attacks on the author – engage with the substance of what he/she says

#### Do:

- Explain the literature in your own words
- Use simple worked examples to illustrate your points and demonstrate understanding
- Focus exclusively on developing 1 (or at most 2) points – it's ok to ignore everything else
- Draw on the further readings and your own research where appropriate
- Explore a problem/question/counterexample
- Consider possible responses on behalf of the author
- Be honest if you don't understand something – but provide some careful candidate hypotheses about what you *think* it might be

### 3.3 Essays

I have posted examples of questions you may use in the 'Example questions' document on the Google drive. You are not restricted to these questions. You may use questions that have come up in class discussion or during your table's work. You are also welcome to think up a entirely new question. If you do not use a question on the 'Example questions' list, **email the question to me** so I can confirm its suitability before you start to write.

It is ok to recycle questions between short writing assignments and essays. However, there should be no overlap in the answer.

Essays are assessed using the Philosophy-specific marking guidelines. You can find a copy of these guidelines in the 'Course Info' folder. Please read them before starting work.

In class, we will discuss strategies for writing excellent essays and short writing assignments (see 'Strategy for writing a 1st class essay' in the 'Course Info' folder). The single most important piece of advice for a philosophy essay is that depth is more important than breadth: better to have a narrow focus and cover one issue in depth rather than many topics superficially.

Regarding your reading, the essential and secondary readings should be your first port of call. But you should not restrict yourself to these. Follow up and read relevant cited papers in the bibliographies of papers from the reading list, use Google and Google Scholar's useful 'cited by' feature to explore further responses to the papers you read, visit the websites and journals listed below to discover other relevant articles for your essay. Ethics of AI is a fast moving field and a relevant article may appear during the course of the semester.

I'm happy to give advice on essays, and suggest appropriate readings after you have explored yourself.

If you *haven't written a Philosophy essay before*, you may want to look at the following advice:

- Jim Pryor: [Guidelines on Writing a Philosophy Paper](#)
- Amy Kind: [How to Write a Philosophy Paper](#)
- Philosophy Faculty, Cambridge: [Tackling the Philosophy Essay](#)
- Harvard Writing Center: [A Brief Guide to Writing the Philosophy Paper](#)
- Peter Lipton: [Writing Philosophy](#)
- Peter Smith: [Writing Style](#)
- My document, 'Strategy for writing a first class essay', in the Course Info folder

## 4 Contact details

You can talk to me about possible essay titles, plans for your essay, questions about the course, or anything else related. I am usually quick to respond over email. However, if you would rather see me face-to-face, please book to see me in my office hours. To do this, click the following link: <https://calendly.com/sprevak/office-hours-meeting>. If you cannot come in the listed hours, send me an email ([mark.sprevak@ed.ac.uk](mailto:mark.sprevak@ed.ac.uk)) and we can arrange another time. My office is 5.12 in the Dugald Stewart Building

You can also contact the Philosophy teaching office for administrative queries about the course (this includes asking for an extension to deadlines due to illness): [philinfo@ed.ac.uk](mailto:philinfo@ed.ac.uk).

## 5 Reading

### 5.1 Background reading

If you are completely new to this topic, this podcast introduces some of issues we discuss:

- [Living with Robots: A Conversation with Kate Darling](#), *Waking Up* podcast, 1 March 2017

I recommend that you read these two books. They will help you understand many of the topics.

- Bostrom, N. (2014), *Superintelligence: Paths, Dangers, Strategies*, Oxford University Press
- Wallach, W., Allen, C. (2008), *Moral Machines*, Oxford University Press

If you would like an introduction to ethics, these are good starting points:

- Blackburn, S. (2002) *Being Good: A Short Introduction to Ethics*, Oxford: Oxford University Press
- Bennett, C. (2010) *What is this thing called Ethics?*, London: Routledge
- Copp, D. (Ed.) (2005) *The Oxford Handbook of Ethical Theory*, Oxford: Oxford University Press
- Driver, J. (2006) *Ethics: The Fundamentals*, Oxford: Wiley-Blackwell

If you have not studied philosophy before, then these are excellent resources for filling in gaps in knowledge:

- [The Stanford Encyclopedia of Philosophy](#)
- [The Internet Encyclopedia of Philosophy](#)
- [Routledge Encyclopedia of Philosophy Online](#)
- [Philosophy Compass](#)

### 5.2 Class reading

For each week, the readings (along with other useful resources like videos and tutorials) are listed below. These resources are divided into *essential* and *secondary*. Essential readings and videos are the material that it is your responsibility to read before each class.

Please also delve into the secondary readings and videos. They can help you develop your thoughts about the essential reading and help with you come up with a focused question for class.

All the essential readings and as many as possible of the secondary readings are posted as PDFs in the 'Readings' folder.

Before class, read the essential reading carefully. You may find a paper challenging or difficult – persist! If you do not understand something, read it again, think about it, try to make sense of it in your own words. If after multiple attempts to make sense, you still cannot, then there is a good chance that you have identified a real problem in the article – a perfect point for your question, or to form the basis of an excellent essay!

Jim Pryor has some wonderful practical advice for reading philosophy (as he says, '[you should expect to read a philosophy article more than once](#)').

#### Week 1 – What is ethics of AI?

Essential reading:

- N. Bostrom and E. Yudkowsky. 'The ethics of artificial intelligence'. In W. M. Ramsey and K. Frankish, editors, *The Cambridge Handbook of Artificial Intelligence*, pages 316–334. Cambridge University Press, Cambridge, 2014.

Secondary:

- 'Benefits & risks of artificial intelligence', Future of Life Institute
- 'Top 9 ethical issues in artificial intelligence', World Economic Forum, 21 Oct 2016
- E. Yudkowsky. 'Artificial intelligence as a positive and negative factor in global risk' In *Global Catastrophic Risks*, edited by Nick Bostrom and Milan M. Cirkovic, 308–345. New York: Oxford University Press, 2008.
- K. Grace, J. Salvatier, A. Dafoe, B. Zhang, and O. Evans. 'When Will AI Exceed Human Performance? Evidence from AI Experts', arXiv:1705.08807, May 2017 and associated blogpost on AI Impacts ('Some survey results!')
- S. J. Russell, D. Dewey, and M. Tegmark, 'Research priorities for robust and beneficial artificial intelligence', *AI Magazine*, 2015

## Week 2 – The singularity

Essential video:

- Harris, S. 'Can we build AI without losing control over it?', TED talk, October 2016.

Essential reading:

- Chalmers, D. (2010). The singularity: A philosophical analysis. *Journal of Consciousness Studies*, 17(9-1), 7-65.

Secondary:

- 20 papers responding to Chalmers's paper in 2 special issues of *Journal of Consciousness Studies* (these can be downloaded as PDFs from a computer on the University of Edinburgh network):
  - <http://www.ingentaconnect.com/content/imp/jcs/2012/00000019/f0020001>
  - <http://www.ingentaconnect.com/content/imp/jcs/2012/00000019/f0020007>
- Armstrong, S., Sandberg, A. & Bostrom, N. (2012). Thinking Inside the Box: Controlling and Using an Oracle AI. *Minds & Machines* (2012) 22: 299–324.
- Bostrom, N. (2014), *Superintelligence: Paths, Dangers, Strategies*, Oxford University Press, Chapters 2–6
- Chalmers, D. (2012). The Singularity: A reply to commentators. *Journal of Consciousness Studies*, 19(7-8), 141–167.
- E. Yudkowsky. 'Three Major Singularity Schools', blogpost on Machine Intelligence Research Institute, September 2007
- Good, I.J. (1965) Speculations concerning the first ultraintelligent machine, in Alt, F. & Rubinoff, M. (eds.) *Advances in Computers*, vol 6, New York: Academic
- Shanahan, M. (2015) *The Technological Singularity*, Cambridge, MA: MIT Press
- [The Singularity Film](#) has some nice interviews with experts.

## Week 3 – The value alignment problem

Essential video:

- Bostrom, N. 'What happens when our computers get smarter than we are?', TED talk, April 2015



Essential reading:

- Bostrom, N. (2012). The Superintelligent Will: Motivation and Instrumental Rationality in Advanced Artificial Agents. *Minds & Machines* 22: 71–85.

Secondary:

- Bostrom, N. (2014), *Superintelligence: Paths, Dangers, Strategies*, Oxford University Press, Chapters 7–8, 12
- E. Yudkowsky and S. Harris ‘[AI: Racing Toward the Brink](#)’, interview and podcast on Machine Intelligence Research Institute, 28 February 2018
- E. Yudkowsky. ‘There’s No Fire Alarm for Artificial General Intelligence’, blogpost on Machine Intelligence Research Institute, 13 October 2017
- E. Yudkowsky. ‘[The AI Alignment Problem: Why It’s Hard, and Where to Start](#)’, recorded lecture at Stanford University on May 5, 2016 for the Symbolic Systems Distinguished Speaker series.
- N. Soares, ‘The Value Learning Problem’. Ethics for Artificial Intelligence Workshop at 25th International Joint Conference on Artificial Intelligence (IJCAI-2016) New York, NY, USA 9–15 July 2016
- S. J. Russell. ‘Q & A: The future of artificial intelligence’
- S. J. Russell. ‘[3 principles for creating safer AI](#)’, TED talk, April 2017
- [Robot & Frank](#) nicely explores some of difficulties of a machine learning human values

Week 4 – Racist AI

Essential video:

- O’Neil, C. (2016). ‘[The era of blind faith in big data must end](#)’, TED talk, April 2017

Essential reading:

- Binns, R. (2017). ‘Algorithmic Accountability and Public Reason’, *Philosophy & Technology*

Secondary:

- The AI Now Institute, [Algorithmic Accountability Policy Toolkit](#), posted on their website, 1 October 2018
- A. Chander, The Racist Algorithm, 115 *Michigan Law Review* 1023, 1046 (2017)
- B. Goodman and S. Flaxman (2016) ‘European Union regulations on algorithmic decision-making and a “right to explanation”’, arXiv:1606.08813
- H. Nissenbaum (2001). How computer systems embody values. *Computer*, 34(3), 120–119.
- J. A. Kroll, S. Barocas, E. W. Felten, J. R. Reidenberg, D. G. Robinson, H. Yu, Accountable Algorithms, *University of Pennsylvania Law Review* 165 (2017)
- L. T. Liu, S. Dean, E. Rolf, M. Simchowitz, M. Hardt, [Delayed Impact of Fair Machine Learning](#), blogpost on Berkeley Artificial Intelligence Research, 17 May 2018
- Mittelstadt, B. D., Allo, P., Taddeo, M., Wachter, S., & Floridi, L. (2016). The ethics of algorithms: Mapping the debate. *Big Data & Society*, 1–21.
- N. Diakopoulos (2016). Accountability in algorithmic decision making. *Communications of the ACM*, 59(2). New York, NY, 56–62.
- O’Neil, C. (2016). *Weapons of Math Destruction: How Big Data Increases Inequality and Threatens Democracy*, Crown Publishing Group.
- Pasquale, F. (2015). *The Black Box Society*, Harvard University Press
- R. Ghani. ‘You Say You Want Transparency and Interpretability?’, blogpost on 29 April, 2016

- [Algorithmic bias: From discrimination discovery to fairness-aware data mining](#) recorded tutorial with lots of extra resources

## Week 5 – Autonomous weapons

Essential video:

- N. Sharkey, [‘Killer Robots in War and Civil Society’](#), video talk, 10 August 2015.

Essential reading:

- Sparrow, R. (2007). ‘Killer robots’, *Journal of Applied Philosophy*, 24, 62–77.

Secondary:

- Danaher, J. (2016) Robots, law and the retribution-gap , *Ethics and Information Technology* 18, 299–309
- B. J. Strawser (2010) Moral Predators: The Duty to Employ Uninhabited Aerial Vehicles, *Journal of Military Ethics*, 9:4, 342–368
- H. M. Roff & D. Danks (in press). “Trust but Verify”: The difficulty of trusting autonomous weapons systems. *Journal of Military Ethics*
- Muller, V. C. and Simpson, T. W. ‘Autonomous Killer Robots Are Probably Good News’ in Ezio Di Nucci & Filippo Santoni de Sio (eds.): *Drones and Responsibility: Legal, Philosophical and Socio-Technical Perspectives on the Use of Remotely Controlled Weapons*. London: Ashgate.
- N. Sharkey (2010) Saying ‘No!’ to Lethal Autonomous Targeting, *Journal of Military Ethics*, 9:4, 369–383
- N. Sharkey (2012) The Evitability of Autonomous Robot Warfare, *International Review of the Red Cross*, 94/886: 787–99.
- R. C. Arkin (2010) The Case for Ethical Autonomy in Unmanned Systems, *Journal of Military Ethics*, 9:4, 332–341
- Simpson, T. W. and Muller, V. C. (2016) Just war and robot’s killings, *Philosophical Quarterly*, 66 (263), 302–22

## Week 6 – Falling in love with AI

Essential video:

- Devlin, K. (2017). [‘Sex robots’](#), TED talk, April 2017
- Radiolab (2018). [‘More or less Human’](#), Podcast, May 2018

Essential reading:

- Turkle, S. (2011), *Alone Together*, Basic Books – Introduction (‘Alone Together’) & Chapter 3 (‘True Companions’)

Secondary:

- Devlin, K. ‘In defence of sex machines: why trying to ban sex robots is wrong’, *The Conversation*, 17 September, 2015
- Devlin, K. (2018) *Turned On*, Bloomsbury Press
- Eskens, R. (2017) ‘Is sex with robots rape?’ *Journal of Practical Ethics*
- Essays in this edited collection:
  - Danaher, J., McArthur, N. (Eds.), (2017). [Robot Sex: Social and Ethical Implications](#), MIT Press.

- Levy, D. (2009) *Love and Sex with Robots*, Duckworth
- Mar, A. (2017). 'Love in the time of robots: Are we ready for intimacy with androids?', *Wired Magazine*, 17 October 2017
- Proudfoot, D. Rethinking Turing's test. *The Journal of Philosophy*, 110:391–411, 2013
- Richardson, K. (2015). 'The Asymmetrical "Relationship": Parallels Between Prostitution and the Development of Sex Robots', *SIGCAS Computers & Society*, 45, 290–293
- Sharkey, A. (2014) Robots and human dignity: a consideration of the effects of robot care on the dignity of older people, *Ethics and Information Technology* 16, pp. 63–75
- Sharkey, A. (2016) Should we welcome robot teachers?, *Ethics and Information Technology* 18, pp. 283–297
- Sharkey, A. and Sharkey, N. (2012) Granny and the robots: Ethical issues in robot care for the elderly, *Ethics and Information Technology* 14, pp. 27–40
- Sparrow, R. (2016) Robots in aged care: a dystopian future?, *AI and Society* 31, pp. 445–454
- Sparrow, R. (2017) 'Robots, rape, and representation', *International Journal of Social Robotics* 4, 465–477
- The Verge, (2018), 'Sony's Aibo is a very good robot dog', news report, 9 January 2018
- *Her* is a rather good film that explores some of these ideas

## Week 7 – Humans need not apply

Essential video:

- CGP Grey, 'Humans Need Not Apply', video talk, 13 August 2014
- Autor, D. H., 'Will automation take away our jobs?', TED talk, September 2016

Essential reading:

- Autor, D. H. (2015), 'Why Are There Still So Many Jobs? The History and Future of Workplace Automation', *The Journal of Economic Perspectives*, 29, pp. 3–30

Secondary:

- Arntz, M., T. Gregory and U. Zierahn (2016), 'The Risk of Automation for Jobs in OECD Countries: A Comparative Analysis', *OECD Social, Employment and Migration Working Papers*, No. 189, OECD Publishing, Paris.
- Brynjolfsson, E. and McAfee, A. (2014). *The Second Machine Age*, WW Norton and Co.
- Collins, K. 'A programmer automated their data-entry job. Now the question is whether to tell their employer', *Quartz*, 30 June 2017
- Danaher, J. (2017) Will Life Be Worth Living in a World Without Work? Technological Unemployment and the Meaning of Life, *Science and Engineering Ethics* 23, pp. 41–64
- Simon, M. (2018) *The tale of the painting robot that didn't steal anyone's job*, *Wired Magazine*, 8 February 2018
- Standage, T. 'Automation and anxiety', special report in *The Economist*, 25 June 2016

## Week 8 – Good and bad robots

Essential video:

- Anderson, M., Anderson, S. L. 'The Ethical Robot', UConn Today, 15 June 2011
- IEEE Spectrum, 'How to build a moral robot', Youtube, 31 May 2016

Essential reading:

- Allen, C., Varner, G., Zinser, J. (2000) 'Prolegomena to any future artificial moral agent' *Journal of Experimental & Theoretical Artificial Intelligence* 12, 251–261

Secondary:

- Allen, C., Smit, I., Wallach, W. (2005) 'Artificial morality: Top-down, bottom-up, and hybrid approaches', *Ethics and Information Technology* 7, 149–155
- Anderson, M., Anderson, S. L. (2010) 'Robot be good' *Scientific American* 303, 72–77
- Bostrom, N. (2014), *Superintelligence: Paths, Dangers, Strategies*, Oxford University Press, Chapter 13
- Danaher, J. (2017) 'The rise of the robots and the crisis of moral patiency' *AI & Society* 34, 129–136
- Giubilini, A., Savulescu, J. (2018) 'The artificial moral advisor' *Philosophy & Technology* 31, 169–188
- Howard, D., Muntean, I. (2017) 'Artificial Moral Cognition: Moral Functionalism and Autonomous Moral Agency' in T.M. Powers (ed.), *Philosophy and Computing*, Philosophical Studies Series 128
- McGrath, S. (2009) 'The puzzle of pure moral deference' *Philosophical Perspectives* 23, 321–344
- Savulescu, J., Maslen, H. (2015) 'Moral Enhancement and Artificial Intelligence: Moral AI?' in J. Romportl et al. (eds.), *Beyond Artificial Intelligence*
- Schwitzgebel, E. '[Should You Defer to Ethical Experts?](#)', blogpost on 15 March 2019
- Wallach, W., Allen, C. (2008), *Moral Machines*, Oxford University Press
- Yudkowsky, E. (2004), 'Coherent extrapolated volition', Machine Intelligence Research Institute

## Week 9 – Who lives and who drives?

Essential video:

- Lin, P. '[The ethical dilemma of self-driving cars](#)', TED talk, 8 December 2015
- Rahwan, I. '[What moral decisions should driverless cars make?](#)', TED talk, 8 September 2017

Essential reading:

- Nyholm, S. 'The ethics of crashes with self-driving cars: A roadmap, I' *Philosophy Compass* 13, e12507
- Nyholm, S. 'The ethics of crashes with self-driving cars: A roadmap, II' *Philosophy Compass* 13, e12506

Secondary:

- Awad, E., Dsouza, S., Kim, R., Rahwan, I., et al. (2018). 'The Moral Machine experiment' *Nature* 563, 59–64
- Bonnefon, J. F., Shariff, A., & Rahwan, I. (2016). 'The social dilemma of autonomous vehicles' *Science* 352, 1573–1576
- Etzioni, A. and Etzioni, O. (2017) 'Incorporating Ethics into Artificial Intelligence' *The Journal of Ethics* 21, 403–418
- Gogoll, J., Muller, J. F. (2017) 'Autonomous Cars: In Favor of a Mandatory Ethics Setting' *Science and Engineering Ethics* 23, 681–700
- Goodall, N. J. (2014) 'Ethical Decision Making During Automated Vehicle Crashes', *Transportation Research Record: Journal of the Transportation Research Board* 2424, 58–65

- Gurney, J. K. (2016). 'Crashing into the unknown: An examination of crash-optimization algorithms through the two lanes of ethics and law', *Albany Law Review* 79, 183–267
- Hevelke, A., Nida-Rumelin, J. (2015). 'Responsibility for crashes of autonomous vehicles: An ethical analysis', *Science and Engineering Ethics* 21, 619–630
- Himmelreich, J. (2018). 'Never Mind the Trolley: The Ethics of Autonomous Vehicles in Mundane Situations' *Ethical Theory and Moral Practice* 21, 669–684
- Lin, P. (2016) 'Why Ethics Matters for Autonomous Cars' in M. Maurer et al. (eds.), *Autonomous Driving*
- Nyholm, S. (2018) 'Attributing Agency to Automated Systems: Reflections on Human–Robot Collaborations and Responsibility-Loci' *Science and Engineering Ethics* 24, 1201–1219
- Nyholm, S., Smids, J. (2016) 'The Ethics of Accident-Algorithms for Self-Driving Cars: an Applied Trolley Problem?' *Ethical Theory and Moral Practice* 19, 1275–1289
- Purves, D., Jenkins, R. & Strawser, B. J. 'Autonomous Machines, Moral Judgment, and Acting for the Right Reasons' *Ethical Theory and Moral Practice* 18, 851–872
- Sparrow, R., Howard, M. (2017). 'When human beings are like drunk robots: Driverless vehicles, ethics, and the future of transport', *Transportation Research Part C* 80, 206–215.
- [The Moral Machine](#) is a website with an interesting collection of moral dilemmas

## Week 10 – Robot rights

Essential video:

- Cohen, G. [A.I. Ethics: Should We Grant Them Moral and Legal Personhood?](#), video posted to YouTube, 23 September 2016
- Darling, K. (2015) '[Children Beating Up Robot Inspires New Escape Maneuver System](#)', *IEEE Spectrum*, 6 August 2015

Essential reading:

- Korsgaard, K. M. (2004). 'Fellow Creatures: Kantian Ethics and Our Duties to Animals', in *The Tanner Lectures on Human Values*, Grethe B. Peterson (ed.), Volume 25/26, Salt Lake City: University of Utah Press.

Secondary:

- Bryson, J. J. (2010) 'Robots Should Be Slaves' in *Close Engagements with Artificial Companions: Key social, psychological, ethical and design issue*, Yorick Wilks (ed.), pp 63–74
- Dennett, D. C. (1978) 'Why you can't make a computer that feels pain.' *Synthese* 38, 415–449.
- Gruen, L. (2017) 'The Moral Status of Animals', *The Stanford Encyclopedia of Philosophy* (Fall 2017 Edition), Edward N. Zalta (ed.)
- Kagan, S. (2016), 'What's Wrong with Speciesism?' *Journal of Applied Philosophy* 33, 1–21 and responses in the same journal issue:  
– <http://onlinelibrary.wiley.com/doi/10.1111/japp.2016.33.issue-1/issuetoc>
- Singer, P. (1974) 'All Animals are Equal', *Philosophic Exchange*, 5, Article 6
- Singer, P. (1993), *Practical Ethics*, second edition, Cambridge: Cambridge University Press; first edition, 1979.
- Singer, P. (2009), 'Speciesism and Moral Status', *Metaphilosophy*, 40, 567–581
- Solum, L. B., (1992) 'Legal Personhood for Artificial Intelligences', *North Carolina Law Review* 70, 1231–1287
- Wegner, D. M., Gray, K. (2016), *The Mind Club*, Penguin Books

## Week 11 – Living with alien minds

Essential video:

- Coldewey, D., ‘[Laying a trap for self-driving cars](#)’, *TechCrunch*, 17 March 2017
- Marcus, G. and LeCun, Y. ‘[Debate: “Does AI Need More Innate Machinery?”](#)’, YouTube video posted on 20 October 2017 (2 hours)

Essential reading:

- Lake, B. M., Ullman, T. D., Tenenbaum, J. B., Gershman, S. J. (2017) ‘Building machines that learn and think like people’, *Behavioral and Brain Sciences*, e253 (only the main paper; the many commentaries are optional for class but useful to read later if you are writing an essay on this topic)

Secondary:

- Athalye, A. ‘[Robust Adversarial Examples](#)’, blogpost on OpenAI, 17 July 2017
- Goodfellow, I. J., Shlens, J. & Szegedy, C. (2015) ‘Explaining and harnessing adversarial examples’, arXiv:1707.07397v2
- Goodfellow, I., Papernot, N., Huang, S., Duan, V., Abbeel, P. & Clark, J. ‘[Attacking Machine Learning with Adversarial Examples](#)’, blogpost on OpenAI, 24 February 2017
- Harley, A. ‘[An Interactive Node-Link Visualization of Convolutional Neural Networks](#)’
- Karn, U. ‘[An Intuitive Explanation of Convolutional Neural Networks](#)’, blogpost, 11 August 2016
- Karpathy, A. ‘[Visualizing what ConvNets learn](#)’, post on Stanford CS class CS231n: Convolutional Neural Networks for Visual Recognition
- Kurakin, A., ‘[Adversarial Examples In The Physical World - Demo](#)’, YouTube video to accompany paper arXiv:1607.02533, 1 November 2016
- MITCSAIL, ‘[Fooling Image Recognition with Adversarial Examples](#)’, YouTube video to accompany paper arXiv:1707.07397v2, 2 November 2017
- Marcus, G. ‘[Bengio v Marcus, and the Past, Present and Future of Neural Network Models of Language](#)’
- Marcus, G. ‘[In defense of skepticism about deep learning](#)’, blogpost 14 January 2018
- Marcus, G. ‘[The deepest problem with deep learning](#)’, blogpost 1 December 2018
- Mordvintsev, A., Olah, C., Tyka, M. ‘[Inceptionism: Going Deeper into Neural Networks](#)’, blogpost on Google Research Blog, 13 July 2015
- Ribeiro, M. T. et al (2016) ‘[“Why Should I Trust You?” Explaining the Predictions of Any Classifier](#)’, arXiv:1602.04938v3

## 6 Further resources

### 6.1 Websites

These websites have useful material – blogposts, articles, videos, news items, links – relevant to this course. This is a fast moving area and the websites are updated regularly.

- [AI Now Institute](#) at NYU has useful reports and publications.
- [Center for Human-Compatible AI](#) has a superb bibliography.
- [Ethics + Emerging Sciences Group](#) often links to interesting news stories.
- [Ethics of AI conference at NYU](#) streamed video discussions from October 2016.
- [Future of Humanity Institute](#) is the other big UK centre worth checking out.
- [Future of Life Institute](#) has lots of useful resources and links.

- [LessWrong](#) has interesting posts on the alignment problem and related issues in decision making and a useful wiki
- [Leverhulme Centre for the Future of Intelligence](#) is a major UK centre on this topic and it's worth checking out its events and news.
- [The Machine Intelligence Research Institute](#) has many useful publications and blog posts

## 6.2 Journals

These journals regularly publish on the topics relevant to this course. If you are writing your essay and looking for extra reading on a topic, or a slightly different topic, then dip into recent issue.

- *AI & Society*
- *Ethical Theory and Moral Practice*
- *Ethics and Information Technology*
- *Minds & Machines*
- *Philosophy & Technology*
- *Science and Engineering Ethics*
- *arXiv* (with [sanity preserver](#)) – not a journal but most current research on AI is here