

Production of amorpha-4,11-diene in yeast, and its conversion to dihydroartemisinin acid, precursor to the antimalarial agent artemisinin

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Malaria, caused by *Plasmodium sp.*, results in almost one million deaths and over 200 million new infections annually. The World Health Organization has recommended that artemisinin-based combination therapies be used for treatment of malaria. Artemisinin is a sesquiterpene lactone isolated from the plant *Artemisia annua*. However, the supply and price of artemisinin fluctuate greatly, and an alternative production method would be valuable to increase availability. We describe progress toward the goal of developing a supply of semisynthetic artemisinin based on production of the artemisinin precursor amorpha-4,11-diene by fermentation from engineered *Saccharomyces cerevisiae*, and its chemical conversion to dihydroartemisinin acid, which can be subsequently converted to artemisinin. Previous efforts to produce artemisinin precursors used *S. cerevisiae* S288C overexpressing selected genes of the mevalonate pathway [Ro et al. (2006) *Nature* 440:940–943]. We have now overexpressed every enzyme of the mevalonate pathway to *ERG20* in *S. cerevisiae* CEN.PK2, and compared production to CEN.PK2 engineered identically to the previously engineered S288C strain. Overexpressing every enzyme of the mevalonate pathway doubled artemisinin acid production, however, amorpha-4,11-diene production was 10-fold higher than artemisinin acid. We therefore focused on amorpha-4,11-diene production. Development of fermentation processes for the reengineered CEN.PK2 amorpha-4,11-diene strain led to production of >40 g/L product. A chemical process was developed to convert amorpha-4,11-diene to dihydroartemisinin acid, which could subsequently be converted to artemisinin. The strains and procedures described represent a complete process for production of semisynthetic artemisinin.

Malaria, caused primarily by the *Plasmodium falciparum* and *Plasmodium vivax* parasites, is a disease overwhelmingly affecting areas of poverty in the developing world. There were over 200 million new infections and an estimated 781,000 deaths in 2009, predominantly affecting children under five years of age (1). *P. falciparum*, which causes the most virulent form of malaria, has become resistant to all inexpensive antimalarial drugs readily available in the developing world (2, 3). An antimalarial agent to which widespread resistance has not been observed is artemisinin, a sesquiterpene lactone peroxide extracted from the shrub *Artemisia annua*. The World Health Organization (WHO) recommends the use of artemisinin derivatives in combination with another effective schizontocidal drug, a treatment known as artemisinin-based combination therapy (ACT), for the first-line treatment of malaria (3). In recent years there have been large fluctuations in the price and availability of ACTs (4), and in 2007–2008 less than 15% of children under five years of age with fever received ACTs in 11 out of 13 countries surveyed (5). There is an urgent need to increase the supply of artemisinin to both stabilize the price and increase the availability of ACTs in the

developing world, but a significant increase in the cultivation of *A. annua* would be needed to satisfy projected global demand (6). Chemical synthesis of artemisinin is not economically feasible because of the complexity and low yield of the process (7), however semisynthesis of artemisinin following microbial production of a precursor molecule may be feasible (6). This report describes progress toward the production of semisynthetic artemisinin.

Heterologous production of amorpha-4,11-diene (Fig. 5, compound 1) was first described from *Escherichia coli* which had been engineered to express the mevalonate pathway from *Saccharomyces cerevisiae* and amorpha-4,11-diene synthase (ADS) from *A. annua* (8). Development of a two-phase partitioning bioreactor allowed production of 0.5 g/L of amorpha-4,11-diene (9). Analysis of transcriptional responses and pathway metabolites showed that 3-hydroxy-3-methylglutaryl coenzyme A (HMG-CoA) reductase limited production, leading to perturbation of fatty acid biosynthesis and generalized membrane stress (10, 11). Subsequent replacement of the *S. cerevisiae* HMG-CoA reductase and HMG-CoA synthase with equivalent enzymes from *Staphylococcus aureus*, and concomitant fermentation development, allowed production of 27 g/L of amorpha-4,11-diene (12).

Production of amorpha-4,11-diene from *S. cerevisiae* was initially developed as a tool to aid the cloning of the cytochrome P450 believed to be responsible for the production of artemisinin acid, a late-stage oxidized precursor of artemisinin (13). A series of engineering steps, namely high-level expression of ADS, overexpression of farnesyl diphosphate synthase and the catalytic domain of HMG-CoA reductase, reduced expression of squalene synthase, and generalized increased expression of the mevalonate pathway by overexpression of an activated allele of the *UPC2* transcription factor, *UPC2-1*, allowed production of 153 mg/L of amorpha-4,11-diene in shake-flasks. This strain was used for functional expression of CYP71AV1 [also known as amorpha-4,11-diene oxidase (AMO)], the cytochrome P450 responsible for the three-step oxidation of amorpha-4,11-diene, along with its cognate reductase, *AaCPR* (*A. annua* cytochrome P450 reduc-

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tase), producing >100 mg/L artemisinic acid (13). Incorporation of all *A. annua*-derived genes (*ADS*, *CYP71AV1*, and *AaCPR*) on a single expression plasmid allowed production of 2.5 g/L of artemisinic acid by development of a galactose-based fermentation process (14), though plasmid stability was shown to be lower in a strain producing artemisinic acid compared to a strain producing amorpha-4,11-diene, and production of artemisinic acid led to induction of pleiotropic drug resistance genes (15). We now describe the creation of new *S. cerevisiae* strains and processes for the production of amorpha-4,11-diene, culminating in a 250-fold increase in production of amorpha-4,11-diene to 40 g/L concentration, and a process for its efficient chemical conversion to the artemisinin precursor dihydroartemisinic acid.

Results

Eliminating Utilization of Galactose. Any process involving fermentation to economically produce precursors for the semisynthesis of artemisinin for the developing world would be unable to use galactose as a sole carbon source as it is too expensive. Strains of *S. cerevisiae* lacking the *gal1* gene are unable to metabolize galactose, but galactose still acts as a gratuitous inducer (16) for all galactose-regulated genes in the artemisinic acid-producing strain EPY330 (15). Fig. 1A shows that deleting *GAL1* in EPY330 (=Y87; Table 1) allows production of artemisinic acid using glucose as a carbon source and galactose as a gratuitous inducer. Transcript levels were not analyzed, but given that the GAL regulon is still subject to glucose repression, it is likely that induction occurred following the diauxic shift and ethanol was used for artemisinic acid biosynthesis. Specific production of artemisinic acid by the *gal1Δ* strain grown in a high concentration of glucose (2.0%) is similar to the *GAL1* strain grown in 1.8% galactose (Fig. 1A).

Codon Optimization of Heterologous Genes. We investigated whether *S. cerevisiae* codon optimization of heterologous genes would increase production of amorpha-4,11-diene or artemisinic acid. For production of artemisinic acid the plasmid containing all three *A. annua* genes, pESC-Leu2d::ADS/AMO/CPR (15) was resynthesized with *S. cerevisiae* codon-optimized *A. annua* genes, and the orientation of the *P_{GAL1}-ADS-T_{PGK1}* expression cassette reversed. The reversal of the *GAL1* promoters ensures that recombination cannot occur [which would lead to elimination of *CYP71AV1* (AMO) and ADS in pESC-Leu2d::ADS/AMO/CPR] to generate pAM322 (Fig. S1). We compared production of artemisinic acid by strains containing either pESC-Leu2d::ADS/AMO/CPR (strain Y87) or pAM322 (strain Y137). Y137 grew (Fig. 1B) and produced artemisinic acid (Fig. 1C) to similar levels as Y87, suggesting that codon optimization did not improve production. However, given the elimination of the possibility for intergenic recombination to occur, all subsequent strains producing artemisinic acid contained pAM322. A plasmid expressing only ADS (pAM426) was generated by recircularization of pAM322 following digestion by PvuII, eliminating the AMO/*AaCPR* expression cassette (Fig. S1). To allow fair comparison between artemisinic acid and amorpha-4,11-diene production, pAM426 was used in all subsequent amorpha-4,11-diene-producing strains.

Reconstruction of *S. cerevisiae* Production Strains. All prior strains, constructed in *S. cerevisiae* S288C, overexpressed selected mevalonate pathway genes from the galactose-regulated *GAL1* promoter. Production of amorpha-4,11-diene and artemisinic acid were further increased by galactose-regulated (*P_{GAL1}*) expression of the UPC2-1 transcription factor (13). *UPC2-1* encodes an activated allele of *UPC2* (17, 18), which increases transcription of most ergosterol pathway biosynthetic genes and is also a regulator of hypoxia-expressed genes. We decided to reconstruct the production strain so that every mevalonate pathway enzyme as far

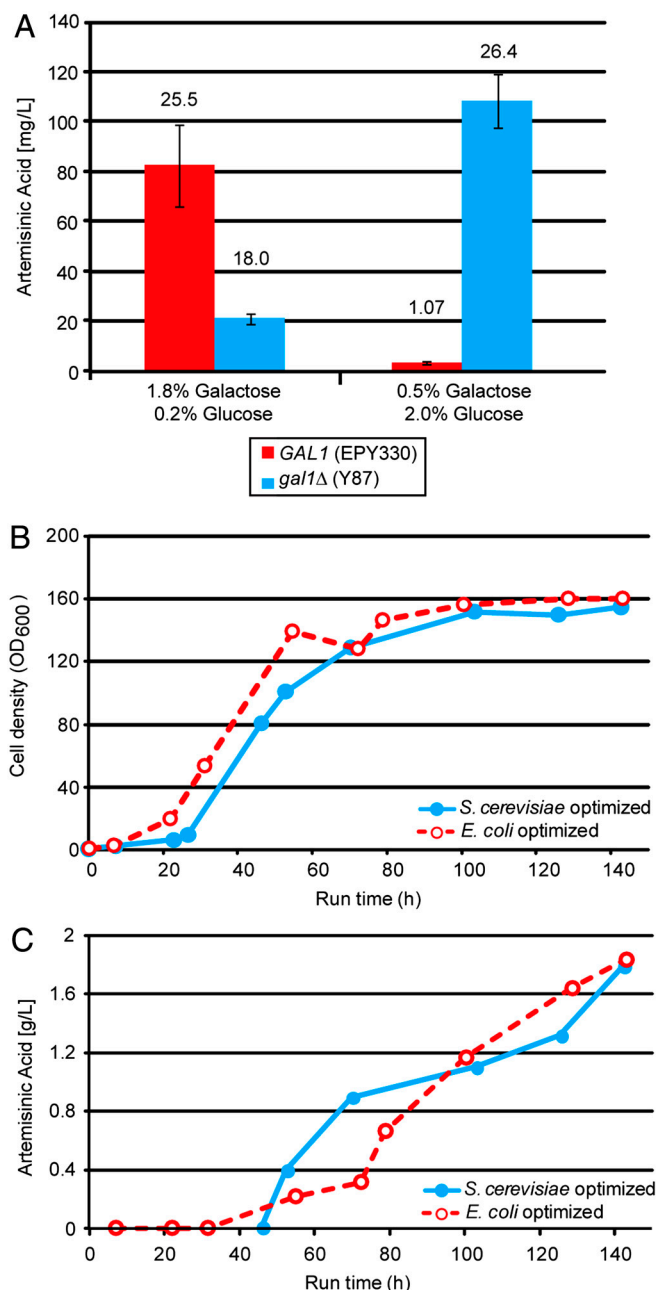


Fig. 1. Production of artemisinic acid in Gen 1.0 yeast strains. (A) Production of artemisinic acid in shake-flask cultures of EPY330 (*GAL1*) and Y87 (*gal1Δ*) in defined media containing either low or high concentrations of glucose (± 1 SD of three flasks). Numbers above the bars show specific production (mg/L/OD₆₀₀). (B) Growth and (C) artemisinic acid production in fed-batch glucose-limited fermentors of Y87 containing a high-copy plasmid expressing *E. coli*-optimized ADS/*CYP71AV1*/*AaCPR* and Y137 containing pAM322, expressing *S. cerevisiae*-optimized ADS/*CYP71AV1*/*AaCPR*.

as *ERG20* is transcribed from high-expression galactose-regulated promoters (*P_{GAL1}* or *P_{GAL10}*). We elected to construct the new strains in *S. cerevisiae* CEN.PK2 rather than S288C. Whereas S288C has been much used for genetic investigation, and was the first strain to have its genome sequenced (19), little physiological information exists. CEN.PK2 has better characterized physiology, and its significantly higher sporulation efficiency (20, 21) simplifies strain construction using Mendelian genetics. CEN.PK2 was engineered in two ways: (i) the engineering scheme of the earlier S288C strains (13) was recapitulated to produce strains designated as Gen 1.0, and (ii) every enzyme of the mevalonate path-

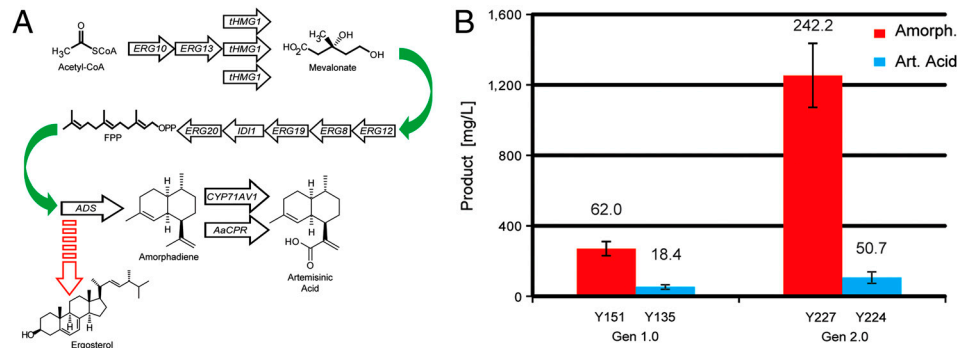


Fig. 2. Construction of CEN.PK2 Gen 2.0 and comparison of production by Gen 1.0 and 2.0 strains. (A) Schematic of the mevalonate pathway showing genes overexpressed in Gen 2.0 strains from *GAL1* or *GAL10* promoters in block arrows. Dashed arrow represents the ergosterol pathway transcriptionally restricted at *ERG9*. (B) Production of amorpho-4,11-diene by Y151 (Gen 1.0) and Y227 (Gen 2.0), and artemisinic acid by Y135 (Gen 1.0) and Y224 (Gen 2.0) after 72 h growth in shake-flasks. Error bars show ± 1 SD of three flasks. Numbers above the bars show specific production (mg/L/OD₆₀₀).

way as far as *ERG20* was transcribed from the galactose-regulated divergent *GAL1*/*GAL10* promoters to produce Gen 2.0 strains (Fig. 2A, Fig. S2). Three copies of *tHMG1* were integrated, as HMG-CoA reductase expression has been shown to be limiting (13). Table 1 and Table S1 summarize Gen 1.0 and Gen 2.0 strains that were constructed. Two Gen 2.0 strains were initially generated, Y224 containing pAM322 for production of artemisinic acid, and Y227 containing pAM426 (expressing only ADS; Fig. S1), for production of amorpho-4,11-diene. Production by Y224 and Y227 was compared to equivalent CEN.PK2 Gen 1.0 strains in galactose shake-flask cultures. Production of amorpho-4,11-diene by Y227 was approximately fivefold that of Y151, its Gen 1.0 equivalent, whereas production of artemisinic acid by Y224 was only approximately twofold that of Y135, its Gen 1.0 equivalent strain (Fig. 2B). Production of both amorpho-4,11-diene and artemisinic acid were, therefore, significantly increased by overexpression of the entire mevalonate pathway to *ERG20* in Gen 2.0 strains, but the increase in production of amorpho-4,11-diene was more than double that of the increase in production of artemisinic acid. Most notably, production of amorpho-4,11-diene by Y227, the Gen 2.0 ADS-expressing strain, was over 10-fold higher than production of artemisinic acid by Y224, its equivalent Gen 2.0 artemisinic acid-producing strain. Interestingly, pAM322 was found to be very stable in Y224 [100% stable after 72 h growth in flasks, assessed by retention of the LEU2 plasmid selectable marker (15)], in contrast to the artemisinic acid-producing S288C Gen 1.0 strains containing pESC-Leu2d::ADS/AMO/CPR (15), and CEN.PK2 Gen 1.0 strain bearing pAM322 (Y135; 70% stable after 72 h growth in flasks). Given

the dramatically higher production of amorpho-4,11-diene compared to artemisinic acid in Gen 2.0 strains, or amorpho-4,11-diene in Gen 1.0 strains (Fig. 2B), we decided to pursue production of amorpho-4,11-diene in Gen 2.0 strains.

Fermentation Testing and Process Development. Utilization of galactose was eliminated prior to testing production of amorpho-4,11-diene in fermentation by deleting the *GAL1* *GAL10* *GAL7* gene cluster. The resulting strain, Y337 (=Y227 *gal1Δ gal7Δ gal10Δ*; Table 1), is unable to metabolize galactose, but still requires a low concentration of galactose for induction of the mevalonate pathway and ADS genes transcribed by galactose-regulated promoters. Y337 was grown in glucose-limited fed-batch fermentation with induction by galactose occurring at the end of the batch growth phase (*SI Materials and Methods*, Figs. S3–S5); under this fermentation condition Y337 produced 2.0 g/L amorpho-4,11-diene (Fig. 3B) with a yield of 1.11 Cmol % (molar percentage of substrate carbon added to the fermentation incorporated into amorpho-4,11-diene). We tested the effect of phosphate restriction on production in glucose-limited fed-batch fermentations (Fig. 3A and B). A range of lower concentrations of phosphate in the feed, batch, or both were tested to identify phosphate delivery that would capture the increase in per cell productivity without disrupting carbon delivery in the fed-batch process to the extent the run would be less productive. Fig. S6 and Fig. S7 show that decreasing phosphate concentrations in the batch or feed media can indeed lead to higher production of amorpho-4,11-diene. Highest production was achieved with 8 g/L KH_2PO_4 in the batch but no KH_2PO_4 in the feed,

Table 1. Strain genotypes and products

Strain	Base strain	Genotype and [plasmid]	Source	Produces
Gen 1.0				
EPY330	S288C	<i>MATα his3Δ1 leu2Δ0 P_{GAL1}-tHMG1::δ1 P_{GAL1}-UPC2-1::δ2 erg9::P_{MET3}-ERG9::HIS3 P_{GAL1}-ERG20::δ3 P_{GAL1}-tHMG1::δ4 [pESC-leu2d-ADS/AMO/CPR]</i>	(15)	AA
Y87	S288C	EPY330 <i>gal1Δ::K. lactis URA3 lys2Δ0</i>	This work	AA
Y135	CEN.PK2	<i>MATα erg9::Kan^r-P_{MET3}-ERG9 trp1::TRP1-P_{GAL1}-ERG20 his3::HIS-P_{GAL1}-tHMG1 leu2::HIS-P_{GAL1}-tHMG1 ura3::URA3-P_{GAL1}-upc2-1 [pAM322: 2μ-leu2d P_{GAL1}-ADS P_{GAL10}-CYP71AV1 P_{GAL1}-AaCPR (codon opt)]</i>	This work	AA
Y137	S288C	As Y87, but plasmid is pAM322	This work	AA
Y151	CEN.PK2	As Y135, but plasmid is pAM426 [2μ-leu2d P _{GAL1} -ADS (codon opt)]	This work	Amorph
Gen 2.0				
Y224	CEN.PK2	<i>MATα erg9Δ::kan^r-P_{MET3}-ERG9, leu2-3,112::HIS-P_{GAL1}-MVD1-P_{GAL10}-ERG8 his3Δ1::HIS-P_{GAL1}-ERG12-P_{GAL10}-ERG10ade1Δ::P_{GAL1}-tHMG1-P_{GAL10}-IDI1-ADE1 ura3-52::P_{GAL1}-tHMG1-P_{GAL10}-ERG13-URA3trp1-289::P_{GAL1}-tHMG1-P_{GAL10}-ERG20-TRP1 [pAM322]</i>	This work	AA
Y227	CEN.PK2	As Y224, but plasmid is pAM426	This work	Amorph
Y293	CEN.PK2	Y227 <i>gal80Δ::nat^r</i>	This work	Amorph
Y337	CEN.PK2	Y227 <i>gal1Δ gal7Δ gal10Δ::HphA</i>	This work	Amorph

Amorph, amorpho-4,11-diene; AA, artemisinic acid. A complete list of strains, including progenitor strains, is listed in Table S1.

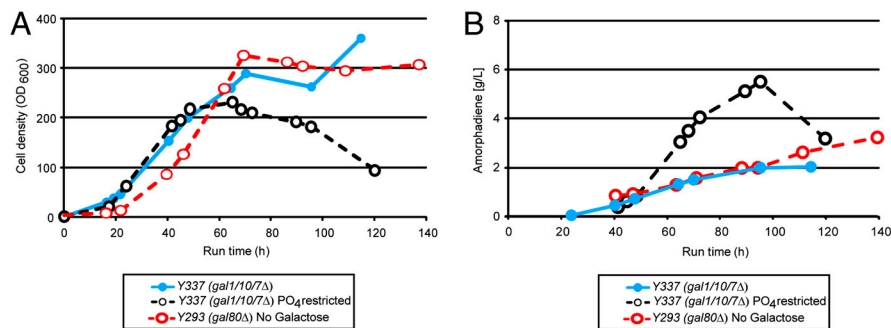


Fig. 3. Development of fed-batch glucose-limited fermentations for the production of amorpha-4,11-diene. (A) Growth and (B) amorpha-4,11-diene production by Y337 in glucose-limited fermentations with and without phosphate limitation, and Y293 in glucose-limited fermentation. Production of amorpha-4,11-diene was induced in Y337 fermentations by addition of galactose (see *SI Materials and Methods*).

compared to 8 g/L KH_2PO_4 in the batch and 9 g/L KH_2PO_4 in the unrestricted process. Growth was somewhat restricted (Fig. 3A), but production of amorpha-4,11-diene was more than doubled, attaining 5.5 g/L after 95 h with a yield of 3.23 Cmol % (Fig. 3B). The use of ethanol has been described as a carbon source for the production of hydrocortisone (derived from the mevalonate pathway) in yeast (22). We also wished to see whether production could be increased when ethanol is provided as a carbon source, based on the notion that ethanol could increase the supply of cytosolic acetyl-CoA. To this end we tested mixed glucose/ethanol feeds following the glucose batch phase of growth. The mixed-feed strategy resulted in similar growth (Fig. 4A), but also gave a significant increase in production of amorpha-4,11-diene, attaining 16.5 g/L after 118 h with a yield of 6.03 Cmol % (Fig. 4B). It is also notable that production continued after maximum cell density had been achieved. Attempts to increase production of amorpha-4,11-diene by the use of phosphate restriction with mixed glucose/ethanol feeds gave variable results and were not pursued (Fig. S8, Fig. S9).

Y337 (=Y227 *gal1Δ gal7Δ gal10Δ*) does not metabolize galactose, but still requires addition of a low concentration of galactose to initiate production of amorpha-4,11-diene. It would be advan-

tageous to eliminate the use of galactose altogether to further decrease the cost of amorpha-4,11-diene production. To this end, we deleted the *GAL80* gene from Y227, the galactose-utilizing amorpha-4,11-diene producing Gen 2.0 strain. Gal80p is a negative regulator of the galactose regulon which acts by binding to the C-terminal transcriptional activation domain of Gal4p in non-galactose carbon sources; it is released from Gal4p upon addition of galactose, and in the absence of a repressing carbon source such as glucose the release of Gal80p from Gal4p allows induction of galactose-regulated genes (23). The amorpha-4,11-diene production strain with deletion of *GAL80* was designated Y293 (=Y227 *gal80Δ*; Table 1). In glucose-limited fed-batch fermentation without addition of galactose, Y293 performed similarly to Y337, which required addition of galactose to induce production (Fig. 3). Given the similar behavior of Y293 and Y337 we elected to continue fermentation development with Y293, thus obviating the use of galactose altogether.

We wished to test whether production could be increased with the use of pure ethanol feeds. Two different feed strategies were tested, an ethanol pulse feed without ethanol restriction, and a restricted ethanol feed with lower oxygen uptake rate (OUR). The cell density achieved was appreciably lower than that

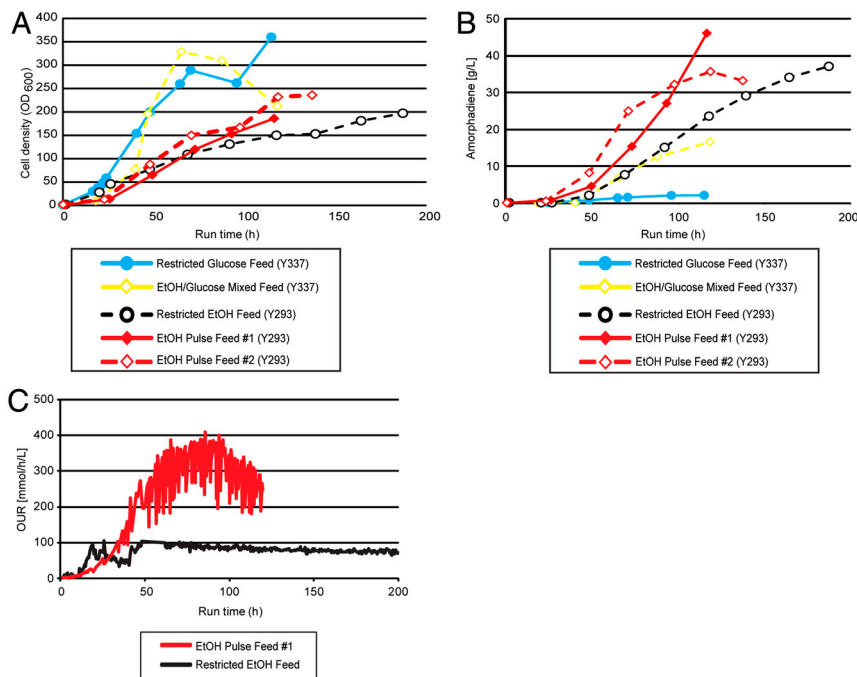


Fig. 4. Development of fed-batch glucose/ethanol and ethanol feed fermentations. (A) Growth and (B) amorpha-4,11-diene production by Y337 with restricted glucose feed and mixed glucose and ethanol feed, and Y293 with ethanol pulse feed (replicate fermentations) and restricted ethanol feed. (C) Oxygen uptake rate of Y293 ethanol pulse feed and restricted ethanol feed fermentors.

achieved with either glucose or glucose/ethanol mixed feed (Fig. 4A). Production of amorph-4,11-diene, however, was significantly higher than all earlier processes, attaining 41 g/L for the unrestricted ethanol pulse feed after 116 h (yield of 16.98 Cmol %); a replicate fermentation attained 36 g/L. A restricted ethanol feed produced 37 g/L amorph-4,11-diene (yield of 18.67 Cmol %), albeit after a longer run time of 187 h (Fig. 4B). An important advantage of using a restricted ethanol feed process is that significantly lower OURs are required for production in comparison to the ethanol pulse feed process (Fig. 4C).

Chemical Conversion of Amorph-4,11-diene to Dihydroartemisinin Acid. Amorph-4,11-diene, compound **1**, was converted to dihydroartemisinin acid, compound **4**, in three steps beginning with selective hydroboration of the double bond at the 11-position (Fig. 5). Using the hindered borane 9-borabicyclo[3.3.1]nonane (9-BBN) a 79.3% yield of alcohol compound **2** was achieved as an 85:15 mixture (by ^1H – NMR) of epimers with the desired *R*-epimer predominating. Several methods reported to convert primary alcohols to carboxylic acids in one step (24, 25) produced complex mixtures from compound **2**, presumably due to interaction with the olefinic linkage at the 4-position. Thus a two-step oxidation sequence was employed in which SO_3 pyridine complex (26) oxidized compound **2** to aldehyde compound **3** (76.4%) followed by treatment of compound **3** with the oxidant NaOCl_2 in DMSO (27, 28) to form compound **4** (79.9%). The use of DMSO as the solvent was crucial because the NaOCl formed in the reaction preferentially reacts with it, thus protecting the double bond at the 4-position. The overall yield of compound **4** from compound **1** by this route was 48.4%.

Discussion

Initial work showed that deletion of *GAL1*, a gene required for the utilization of galactose, allowed the use of galactose as a gratuitous inducer while eliminating its use as a carbon source. An attempt to increase production of artemisinin acid by codon optimization of the heterologous genes from *A. annua* was unsuccessful, perhaps reflecting the recent realization that expression optimization by simple codon usage bias is of limited value (29). However, the redesigned plasmid could not eliminate genes by recombination and was used for subsequent work.

We chose to reengineer CEN.PK2 rather than S288C to produce Gen 2.0 strains in which every enzyme of the mevalonate pathway leading to the production of farnesyl diphosphate was transcribed from high-expression galactose-regulated promoters. The enhanced sporulation efficiency of CEN.PK2 greatly simplified the construction of Gen 2.0 strains, and the better documented physiology of CEN.PK2 (20) suggested that this strain would be suitable for fermentative production. The use of the galactose-

regulated *GAL1,10* promoters to overexpress the mevalonate pathway genes allowed repression of expression during strain construction, thus avoiding buildup of any potentially toxic intermediates (8) which may have resulted in strain instability during construction. Intermediate buildup was not assayed in the final strains, and we did not investigate whether overexpression of all the mevalonate pathway genes was necessary. Regulated overexpression of all relevant mevalonate pathway genes in Gen 2.0 strains led to a fivefold increase in production of amorph-4,11-diene in shake-flasks, but only a twofold increased production of artemisinin acid. Production of amorph-4,11-diene by Y227 was over 10-fold higher than production of artemisinin acid by Y224, its equivalent Gen 2.0 artemisinin acid-producing strain (Fig. 2). This very significantly higher production led us to concentrate on the development of amorph-4,11-diene production by fermentation. Low plasmid stability was not the reason for the poor production of artemisinin acid, as plasmid pAM322, expressing ADS, CYP71AV1, and *AaCPR*, was found to be 100% stable in Gen 2.0 strains, in contrast to Gen 1.0 strains where marked instability was observed (15). Presumably there is strong selective pressure for maintenance of pAM322 in Gen 2.0 strains, which is lacking in Gen 1.0 strains.

Initial development of a fermentation process for the production of amorph-4,11-diene used a derivative of the Gen 2.0 strain Y227 known as Y337 in which galactose utilization had been disabled by deletion of the *GAL1 GAL10 GAL7* gene cluster. A glucose-limited fed-batch fermentation process in which amorph-4,11-diene production was induced by the addition of a low concentration of galactose at the end of batch growth resulted in production of 2.0 g/L amorph-4,11-diene. An initial attempt to increase production by phosphate limitation, on the rationale that restricting phosphate would limit growth and channel greater carbon flux to product, resulted in more than doubling of amorph-4,11-diene production. The biggest increase in production was observed when ethanol was added to the feed, initially as a glucose/ethanol mixed feed following the glucose batch phase of growth. The rationale for adding ethanol to the feed was that direct supply of ethanol may increase the supply of cytosolic acetyl-CoA to the mevalonate pathway (30). An alternative explanation is that use of ethanol eliminated glucose repression, but this scenario is considered unlikely as glucose was still present in the feed, and the concentration of glucose in the feed stage of the fed-batch fermentations was very low, and mostly undetectable. However, transcript levels were not monitored during the different fermentation procedures, and it is not possible to unequivocally determine the reason for the increase in amorph-4,11-diene production in a glucose/ethanol mixed-feed fermentation. The glucose/ethanol mixed-feed approach led to production of 16.5 g/L of amorph-4,11-diene with significantly increased yield.

The final stage of fermentation development was conducted with Y293, an amorph-4,11-diene-producing strain in which expression of Gal80p (the negative-regulator of galactose-regulon gene expression) had been eliminated. The removal of Gal80p expression eliminated the need to add galactose for induction of amorph-4,11-diene production. Y293 produced a similar concentration of amorph-4,11-diene to Y337 (which cannot metabolize galactose), but Y293 did not require addition of galactose to the fermentation. Two different strategies for feeding pure ethanol as feed carbon source were evaluated. Whereas an unrestricted ethanol feed (a pulse feed) produced the highest concentration of amorph-4,11-diene, its high OUR makes it industrially infeasible. Conversely, the ethanol restricted-feed process, capable of producing 37 g/L of amorph-4,11-diene, has a lower OUR that may be amenable to adaptation to industrial-scale fermentation. The use of *S. cerevisiae* for the production of amorph-4,11-diene has considerable advantages over the earlier *E. coli* process (12), notably the absence of an expensive inducer

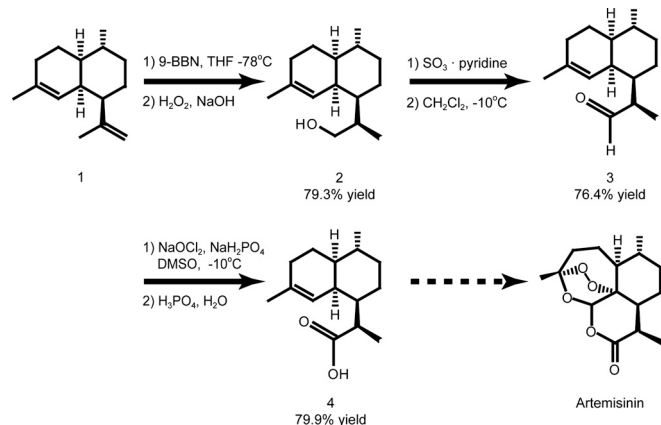


Fig. 5. Synthesis of dihydroartemisinin acid from amorph-4,11-diene. Only the *R* isomer is shown.

such as IPTG, and the lower OUR required for the restricted-feed ethanol process. In large-scale fermentations, high oxygen uptake rates require high sparge rates, high agitation rates, and/or supplementation with pure oxygen (31). In addition, the heat generation resulting from rapid substrate and oxygen consumption requires increased cooling capacity to maintain temperature (32). Further process development is underway to implement practical solutions at large scale.

The overall aim of the strain construction and fermentation development was to create a process that could feasibly be developed for cost-effective production of artemisinin for the developing world. An earlier fermentation process for production of artemisinic acid used galactose for both growth and induction (14). A drawback with this process, other than the low titer of artemisinic acid produced (2.3 g/L), was the cost of galactose; galactose is approximately 100-fold more expensive than glucose (*SI Materials and Methods*). The advantage of eliminating catabolism of galactose by deletion of the *GAL1 GAL10 GAL7* gene cluster is that galactose is required solely as an inducer, and the quantities added to the fermentor are much lower (10 g/L in the induction feed medium; *SI Materials and Methods*). The final strain used for fermentation development (Y293) contained a deletion of *GAL80* and did not require addition of galactose for induction, thus providing a further cost saving. Ethanol is approximately twice the cost of glucose on a weight basis (*SI Materials and Methods*). However, addition of ethanol, initially as a mixed-feed then as pure ethanol feed, resulted in approximately 20-fold increased production of amorpha-4,11-diene [2.0 g/L with restricted glucose feed, compared to 41 g/L with unrestricted ethanol feed (Fig. 4)]. The yield of amorpha-4,11-diene production also increased significantly with ethanol feed, rising from 1.11 Cmol % with restricted glucose feed to 18.67 Cmol % with restricted ethanol feed; this increased yield demonstrates a dramatic increase in the conversion of substrate carbon into amorpha-4,11-diene. Given that the market price of artemisinin is over 200-fold higher than that of ethanol, and that the production of amorpha-4,11-diene is a significant fraction of the total production cost, it is economically reasonable to use ethanol as a fermentation carbon source. Nonetheless, efforts are underway to increase the production and yield of amorpha-4,11-diene from glucose.

Dihydroartemisinic acid (Fig. 5, compound 4) is a late-stage intermediate in a number of total syntheses of artemisinin (33) and its derivatives (34), and an efficient industrial-scale synthesis from amorpha-4,11-diene would greatly aid in efforts to produce large amounts of artemisinin-based antimalarial drugs. For small scale (submolar) synthesis scale we used 9-borabicyclo[3,3,1]nonane for the hydroboration of amorpha-4,11-diene to form dihydroartemisinic alcohol (Fig. 5, compound 2) because it did not affect the sensitive double bond at the 4-position. We elected to use a two-step oxidation process because common reagents for converting primary alcohols to carboxylic acids in one step are either chromium based or contain components that were likely to react with the sensitive double bond at the 4-position. The readily available and scalable sulfur trioxide-pyridine complex (35) smoothly converts compound 2 to dihydroartemisinic aldehyde (Fig. 5, compound 3), which is subsequently oxidized to dihydroartemisinic acid (Fig. 5, compound 4) with inexpensive inorganic reagents (26–28).

This work links together each of the key steps of a complete process for the production of semisynthetic artemisinin. The construction of a complete mevalonate pathway under strong induction, along with fermentation development, was crucial to obtaining high production of amorpha-4,11-diene. Combining high-level production of amorpha-4,11-diene with demonstration of successful chemical conversion to dihydroartemisinic acid represents a significant milestone toward the development of an economically viable process for the production of semisynthetic

artemisinin. A major challenge remains that the Gen 2.0 reengineered strain of yeast did not produce significantly more artemisinic acid than the original Gen 1.0 strains (Fig. 2B). Production of artemisinic acid by *S. cerevisiae* at concentrations comparable to that of amorpha-4,11-diene by Y293 would be advantageous, as the chemical conversion of artemisinic acid to artemisinin would be simpler than production from amorpha-4,11-diene. To directly produce high levels of artemisinic acid from *S. cerevisiae*, the underlying cause of the lower production of artemisinic acid compared to amorpha-4,11-diene will need to be identified and remedied.

Materials and Methods

***S. cerevisiae* Strain Construction.** Five separate DNA integration constructs (Fig. S2) were made, all based on transcription of two mevalonate pathway genes from the divergent *GAL1,10* promoter, as follows: *S. cerevisiae* genomic DNA covering several hundred nucleotides upstream of the desired integration site was amplified by PCR with a flanking *PmeI* restriction enzyme site. A selection marker was also PCR amplified from genomic DNA with 40 nt of overlap to the downstream terminus of the upstream integration site amplicon. The fragments were combined by overlap PCR (36). Several hundred nucleotides of genomic DNA downstream of the desired integration site were PCR amplified with a *PmeI* site at the downstream terminus. The two amplicons were joined by overlap PCR to yield a fragment (no. 1) of the following composition: (*PmeI*)-upstream integration fragment-selection marker-(*XmaI*)-downstream integration fragment-(*PmeI*). The resulting amplicon was ligated into the TOPO pCR2.1 vector (Invitrogen). The genomic locus encoding the open reading frame and transcriptional terminator of the first gene to be expressed was PCR amplified with an *XmaI* site at its upstream terminus. The *P_{GAL1,10}* promoter was PCR amplified with 40 nt of overlap to the upstream gene, and the promoter and gene combined by overlap PCR. The *P_{GAL1,10}* promoter also contained 40 nt of overlap to the downstream gene to be expressed. The genomic locus of the second gene to be expressed was PCR amplified, and the two amplicons combined by overlap extension to yield a fragment (no. 2) of the following composition: (*XmaI*)-upstream gene-*P_{GAL1,10}* promoter-downstream gene-(*XmaI*). The resulting amplicon was ligated into the TOPO ZERO Blunt II Cloning vector. Fragments no. 1 and no. 2 were combined by digesting the TOPO pCR2.1 vector containing fragment no. 1 with *XmaI*, and subsequent ligation with fragment no. 2 released from its TOPO ZERO Blunt II vector by *XmaI* digestion, to yield a fragment of the following composition: (*PmeI*)-upstream integration fragment-selection marker-(*XmaI*)-upstream gene-*P_{GAL1,10}* promoter-downstream gene-(*XmaI*)-downstream integration fragment-(*PmeI*). This combined fragment was released from the TOPO ZERO Blunt II Cloning vector by digestion with *PmeI*, purified, and used to transform *S. cerevisiae* CEN.PK2 derivatives. Full details of each construction are provided in *SI Materials and Methods*; Table S2 lists all oligonucleotide primers.

Media and Growth Conditions. *S. cerevisiae* strains (Table 1) were grown on synthetic complete medium agar plates at 30 °C with 2% glucose as the carbon source unless otherwise indicated, lacking leucine where needed for selection of plasmids (37). Flask and fermentor media were based on that of van Hoek et al. (38) (*SI Materials and Methods*). Amorpha-4,11-diene produced in flask cultures was captured by addition of 20% vol/vol isopropyl myristate to the medium; amorpha-4,11-diene produced in fermentors was captured by the addition of 10% vol/vol methyl oleate. Fermentations took place in 2 L Sartorius Biostat B plus twins with gas-flow ratio controllers. Full details are provided in *SI Materials and Methods*.

Assay Methods. Amorpha-4,11-diene. Production of amorpha-4,11-diene was monitored by gas chromatography with flame-ionization detection (GC/FID). Fermentor samples were prepared by mixing 0.4 mL cell lysis reagent [two parts Novagen YeastBuster protein reagent (EMD Biosciences, P/N 71186-4) and one part 2 M HCl] with 0.1 mL whole broth and 1 mL ethyl acetate containing 10 mg/L transcarophyllene (surrogate; Sigma-Aldrich; ≥98.5% purity; P/N 22075) in a 2 mL glass vial. For determination of amorpha-4,11-diene from flask cultures the isopropyl myristate overlay was sampled, and diluted directly into ethyl acetate/transcarophyllene. The sample was mixed for 30 min on a vortex mixer. After phase separation 0.6 mL of the ethyl acetate layer was transferred to a GC vial for analysis. The ethyl acetate-extracted samples were analyzed using the GC/FID. Amorpha-4,11-diene peak areas were converted to concentration values from external standard calibrations using authentic compounds. A 1 μ L sample was split 1:20 and separated using a DB-WAX column (50 m \times 200 μ m \times 0.2 μ m; P/N 128-7052;

Agilent) with hydrogen as the carrier gas at flow rate at 1.57 mL/min. The temperature program for the analysis was as follows: the column was initially held at 150 °C for 3.0 min, followed by a temperature gradient of 5 °C/min to a temperature of 250 °C, where the column was held at 250 °C for 5 min to elute all remaining components. Under these conditions trans-caryophyllene and amorpho-4,11-diene and elute at 4.95 and 5.77 min, respectively.

Artemisinic acid. A 1 mL aliquot of well-mixed fermentation broth was diluted in 9 mL of methanol + 0.1% formic acid. The mixture is then mixed on a vortex mixer for 30 min and centrifuged at 16,000 × g for 5 min. One hundred microliters of the supernatant was diluted into 900 µL methanol + 0.1% formic acid. A 20 µL aliquot was injected on an Agilent 1200 HPLC with UV detection at 212 nm. A Supelco Discovery C₈ column (4.6 mm × 100 mm × 5.0 µm, Supelco, P/N 569423-U) equipped with the appropriate guard column (4.0 mm × 20.0 mm, Supelco, P/N 59589-U) was used for separation, with the following gradient at a flow rate of 1 mL/min (channel A, water + 0.1% formic acid; channel B, methanol + 0.1% formic acid): 0–0.5 min 70% B, gradually increasing to 97% B from 0.5 to 6.7 min, hold at 97% B until 7 min, decrease to 70% B from 7–7.5 min, and reequilibrate to 70% B from 7.5 to 9.5 min. The column was held at 25 °C during the separation. Under these conditions, artemisinic acid was found to elute at 6.3 min. Artemisinic acid peak areas were converted to concentrations from external standard calibrations of authentic compounds.

Chemistry. General information. NMR spectra were recorded in deuterated chloroform by Acorn NMR using a JEOL ECX-400 NMR spectrometer operating at 400 MHz for ¹H and 100.5 MHz for ¹³C. Chemical shifts are reported in δ units relative to SiMe₄ as an internal standard (δ = 0). GC/MS data were obtained with an Agilent 5975 inert mass selective detector coupled to an Agilent 6890 N network GC system using an Agilent 19091Z-005 50 m capillary column. Helium was used as the carrier gas. Operating conditions: inlet temperature 250 °C, initial temperature 50 °C for 0.5 min then ramp 5 °C/min to 190 °C then ramp 60 °C/min to 300 °C and hold for 1 min. Total run time 11.33 min. All reagents and solvents were obtained from Sigma-Aldrich and used as received. Thin layer chromatography (TLC) was performed on 2.5 × 7.5 cm silica gel 60 F₂₅₄ glass plates from EMD Chemicals, Inc. using ethyl acetate/hexane mixtures as eluents. Column chromatography was conducted with EtOAc/hexane mixtures as eluents and Merck grade 9385 silica gel (230–400 mesh) obtained from Sigma-Aldrich.

Dihydroartemisinic alcohol, compound 2. Amorpho-4,11-diene (compound 1, 0.150 g, 0.734 mmol) was dissolved in tetrahydrofuran (THF; 5.0 mL, Aldrich Sure/Seal) and cooled to –78 °C under a blanket of N₂. A 0.5 M solution of 9-BBN in THF (1.47 mL, 0.734 mol) was added via syringe drive over 19 min with magnetic stirring. The dry-ice acetone bath was allowed to come to room temperature and the reaction stirred for 16 h. The reaction was again cooled to –78 °C and a second portion of 9-BBN (1.47 mL, 0.734 mol) added via syringe drive over 4 min. The reaction was stirred for 16 h at which time

TLC (20% EtOAc/hexane) revealed only a trace of compound 1 remaining. A solution of NaOH (0.294 g, 0.734 mol) in 2.5 mL of H₂O was added, the mixture cooled to 0 °C with an ice bath and 0.75 mL of 30% H₂O₂ added. The ice bath was removed and after 1 h the THF was evaporated under reduced pressure. The residue was subjected to extractive workup with ether and H₂O. The ether layer was dried (MgSO₄) and evaporated under reduced pressure. Flash chromatography of the crude product on silica gel (20% EtOAc/hexane) provided 0.010 g of compound 1 and 0.119 g (79.3% based on recovered starting material) of compound 2 as a colorless oil consisting of a 85:15 mixture (¹H – NMR) of 11- R : 11 – S isomers of compound 1 that rapidly solidified. The ¹H – NMR and MS spectra of the major isomer were identical to those previously published (39). For additional details, see Fig. 5.

Dihydroartemisinic aldehyde, compound 3. Dihydroartemisinic alcohol (compound 2, 0.444 g, 2.00 mmol), triethylamine (1.12 mL, 0.808 g, 8.00 mmol), and 10.4 mL of 5:1 CH₂Cl₂/DMSO were combined and cooled to –10 °C with an ice/NaCl bath. The mixture was magnetically stirred and SO₃ · py (0.796 g, 5.00 mmol) was added in three equal portions over 20 min. The ice bath was removed and the reaction stirred for 15 h at ambient temperature at which time GC/MS showed the reaction to be complete. The reaction mixture was poured into 10 mL of 10% aqueous citric acid solution and stirred for 10 min. The layers were separated and the organic phase washed with 10 mL of 10% aqueous citric acid solution, 10 mL of saturated NaHCO₃ solution, 10 mL of NaCl solution, dried (MgSO₄) and the CH₂Cl₂ removed under reduced pressure. The residue was passed through a 1 × 2 cm plug of silica gel with 20% EtOAc/hexane to afford 0.377 g (76.4%) of compound 3 with ¹H – NMR and MS spectra identical to those previously published (40). For additional details, see Fig. 5.

Dihydroartemisinic acid, compound 4. Dihydroartemisinic aldehyde (0.250 g, 1.13 mmol) was dissolved in 20 mL of DMSO with mechanical stirring followed by addition over 2 h of a solution of NaOCl₂ (0.143 g, 1.58 mmol) and NaH₂PO₄ (0.938 g, 6.92 mmol) in 10.0 mL of H₂O. After stirring at ambient temperature for an additional 2 h, 15 mL of aqueous NaHCO₃ solution were added and the stirring continued for 15 h. The solution was acidified to pH 2 (Colorphast Strip) by the dropwise addition of concentration H₃PO₄, which resulted in the precipitation of compound 4 as fine white needles. Yield, 0.214 g (79.9%). The ¹H – NMR and MS spectra of compound 4 were identical to those previously published (34). For additional details, see Fig. 5.

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