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# Bachelor Thesis

## My Bachelor Thesis

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Stuttgart, November 4, 2020



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## Eidesstattliche Erklärung

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Ort, Datum: \_\_\_\_\_

Unterschrift: \_\_\_\_\_  
Marcel Pascal Stolin



---

## Zusammenfassung

Hier kommt eine deutschsprachige Zusammenfassung hin.

## Abstract

Abstract in English.



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# Notation

## Konventionen

$x$	Skalar
$\underline{x}$	Spaltenvektor
$\mathbf{x}, \underline{\mathbf{x}}$	Zufallsvariable/-vektor
$\hat{x}, \hat{\underline{x}}$	Mittelwert/-vektor
$x^*, \underline{x}^*$	Optimaler Wert/Vektor
$x_{0:k}, \underline{x}_{0:k}$	Folge von Werten $(x_0, x_1, \dots, x_k)$ / Vektoren $(\underline{x}_0, \underline{x}_1, \dots, \underline{x}_k)$
$\mathbf{A}$	Matrizen
$\mathcal{A}$	Mengen
$\preceq, \prec$	schwache/strenge Präferenzrelation
$\mathbb{R}$	Reelle Zahlen
$\mathbb{N}$	Natürliche Zahlen
■	Ende eines Beispiels
□	Ende eines Beweises

## Operatoren

$\mathbf{A}^T$	Matrixtransposition
$\mathbf{A}^{-1}$	Matrixinversion
$ \mathbf{A} $	Determinante einer Matrix
$ \mathcal{A} $	Kardinalität der Menge $\mathcal{A}$
$\text{pot}(\mathcal{A})$	Potenzmenge von $\mathcal{A}$
$E\{\cdot\}$	Erwartungswertoperator
$\mathcal{O}(g)$	O-Kalkül entsprechend der Landau-Notation bei welcher beispielsweise $f(x) \in \mathcal{O}(g(x))$ besagt, dass die Funktion $f(x)$ die Komplexität $\mathcal{O}(g(x))$ besitzt

## Spezielle Funktionen

$\Pr(\mathcal{E})$	Wahrscheinlichkeitsmaß, welches die Wahrscheinlichkeit angibt, dass Ereignis $\mathcal{E}$ eintritt
$p(\underline{x})$	(Wahrscheinlichkeits-)Dichtefunktion für kontinuierliche $\underline{x}$

	und Zähldichte für diskrete $\underline{x}$
$p(\underline{x} \underline{y})$	Bedingte Dichtefunktion
$P(\underline{x})$	(Wahrscheinlichkeits-)Verteilungsfunktion
$\operatorname{erf}(x)$	Gauß'sche Fehlerfunktion
$\exp(x)$	Exponentialfunktion $e^x$
$\mathcal{N}(\underline{x}; \hat{\underline{x}}, \mathbf{C}_x)$	Gaußdichte, d. h. Dichtefunktion eines normalverteilten Zufallsvektors $\underline{x}$ mit Mittelwertvektor $\hat{\underline{x}}$ und Kovarianzmatrix $\mathbf{C}_x$

# Introduction

Storing huge amounts of data has become inexpensive in recent years, but processing it, requires parallel computations on clusters with multiple machines [CZ18]. Complex computation operations rely on a IT infrastructure with the ability to perform operations on scale.

In order to achieve high scalability, computing systems need to adapt dynamically to demands and conditions of the workload.

**TODO: EVTL  
DATUM AUF  
NOVEMBER  
ÄNDERN;  
WEGEN  
STARTDATUM!!  
in den online  
quellen**

## 1.1 Problem statement

ETL <sup>1</sup> operations are compute-intensive. During the execution of analytic applications, performance thresholds can be reached and the computing system can become out-of-order.

In addition, many algorithms profit from data-parallelism.

## 1.2 Research questions

## 1.3 Thesis structure

---

<sup>1</sup> Extract transform load



## Chapter 2

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### **Related Work**



## Chapter 3

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# Background

In this chapter bla bla

**TODO: Describe  
Chapter**

### 3.1 Autonomic computing

Autonomic computing is an architecture for computing systems to enable the ability to manage themselves in accordance to high level objectives configured by administrators [KC03]. These computing systems dynamically adapt to demands and conditions of the workload [KC03]. An intelligent control-loop is responsible to collect all important details of the computing system and make decision according to the collected details. To automate the tasks, the intelligent control-loop is organized into four categories:

- **Self-configuring** - Components in the environment have to adapt dynamically to system changes using policies. For example, deploying or removing new components.
- **Self-healing** - If system errors have being detected, the control-loop has to perform policy-based actions without disrupting the environment.
- **Self-optimizing** - The control-loop has to monitor the resources and should adapt to changes dynamically.
- **Self-protecting** - Detection and protection against threats.

An autonomic computing environment consists of an autonomic manager, managed-resources and a knowledge-base.

#### 3.1.1 Managed resources

Managed resources are software or hardware components in the computing environment. For example, a managed resource can be a database, service, application, server or different entity. Each managed resource implements

an interface to enable the autonomic manager to communicate with the managed resource.

These interface are called touchpoints.

### 3.1.2 Autonomic manager

The autonomic manager implements an intelligent control-loop to collect system metrics from the managed resources and acts according to the collected details. It can only make adjustments within it's own scope and uses policies to make decisions of what actions have to be executed to accommodate the objectives. To be self-managing, the autonomic manager has to implement the following four automated functions.

- **Monitor** - The monitor function is responsible to collect the needed metrics from all managed resources and applies aggregation and filter operations to the collected data. After that the function reports the metrics.
- **Analyze** - To determine if changes have to be made to the computing system, the collected data has to be analyzed.
- **Plan** - If changes have to be made, an appropriate change plan has to be generated. A change plan consists of actions that are needed to achieve the configured goals and objectives. The change plan needs to be forwarded to the execute function.
- **Execute** - The execute function applies all necessary changes to the computing system.

Multiple autonomic manager can exist in an autonomic computing environment to perform only certain parts. For example, there can be one autonomic manager which is responsible to monitor and analyze the system and another autonomic manager to plan and execute. To create a complete and closed control-loop, multiple autonomic manager can be composed together.

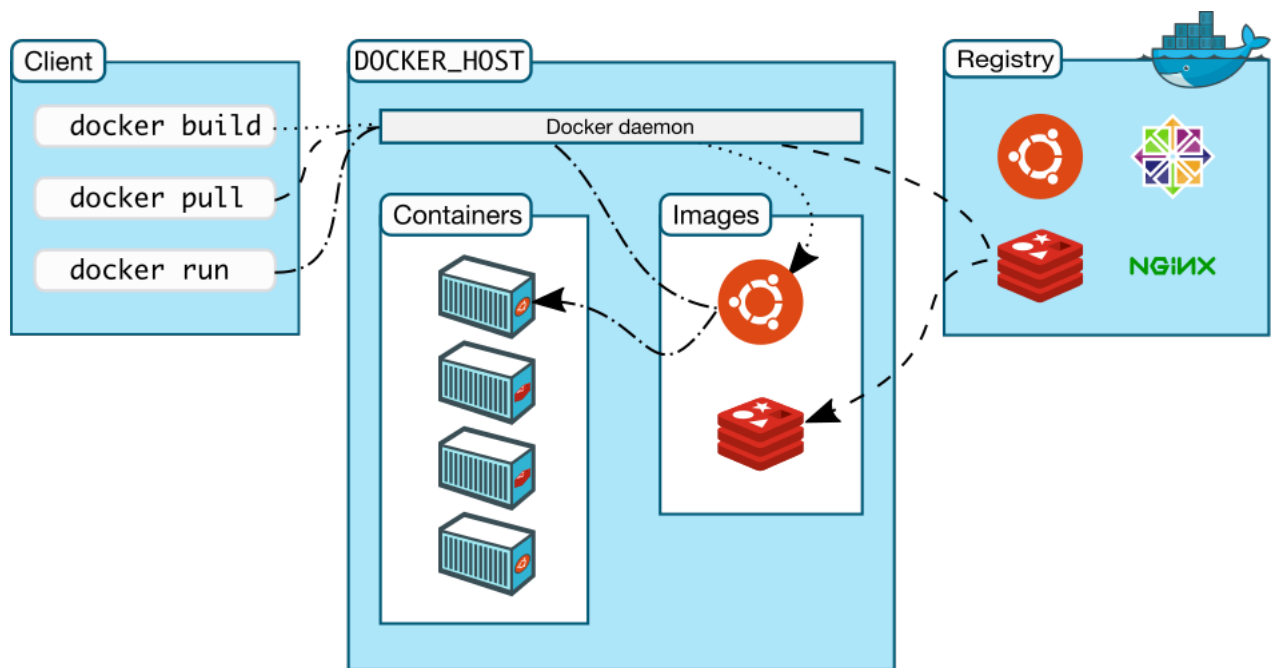
## 3.2 Docker

Docker is an open-source platform that enables containerization of applications. Containerization is a technology to package, ship and run applications and their environment in individual containers. Docker is not a container technology itself, it hides the complexity of working with container technologies directly and instead provides an abstraction and the tools to work with containers [NK19, BMDM20, PNKM20].

### 3.2.1 Docker architecture

Figure 3.1 illustrates the client server architecture of Docker which consists of of a Docker client, the Docker daemon and a registry.





**Figure 3.1:** Docker architecture - Source: Authors own model, based on [Inc20].

**Docker client:** The Docker client is an interface for the user to send commands to the Docker daemon [Inc20].

**Docker daemon:** The Docker daemon manages all containers running on the host system and handles the containers resources, networks and volumes [BMDM20].

**Docker Registry:** A Docker registry stores images. Images can be pushed to a public or private registry and pulled from it to build a container [Inc20].

### 3.2.2 Docker image

An Image is a snapshot of the environment that is needed to run an application in a Docker container. The environment consists of all files, libraries and configurations that are needed for the application to run properly [Inc20, NK19]. Images can be created from existing containers or from executing a build script called Dockerfile. A Dockerfile is a text file consisting of instructions for building an image. The Docker image builder executes the instructions of a Dockerfile from top to bottom [NK19].

```
FROM ubuntu:latest

RUN apt-get update && apt-get install -y git

ENTRYPOINT ["git"]
```

**Listing 3.1:** Example of a Dockerfile

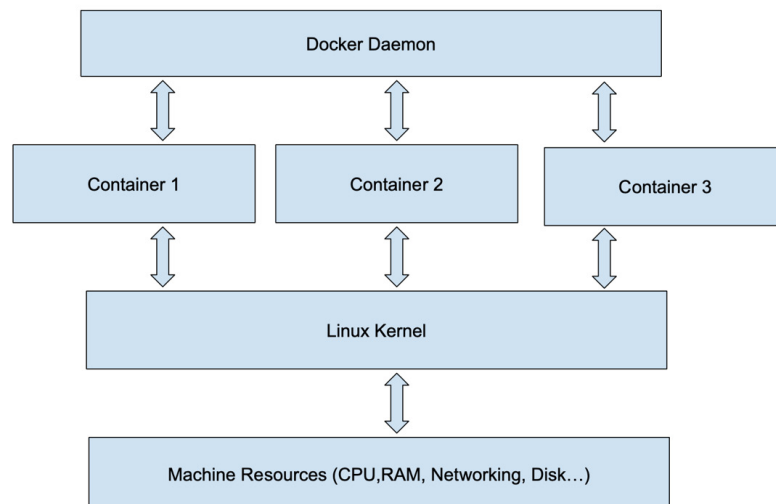
Listing 3.1 provides an example of a Dockerfile with three instructions.

1. `FROM ubuntu:latest` - This image is build on top of the latest Ubuntu image. Dockerfiles have to start with a `FROM` instruction [NK19].
2. `RUN apt-get update && apt-get install -y git` - Update the package manager and install Git.
3. `ENTRYPOINT ["git"]` - Set the git command as the entrypoint of this image.

**TODO:** Vielleicht noch erklären wie Images mit Docker build erstellt werden.

### 3.2.3 Docker Container

A container is an execution environment running on the host-system kernel.



**Figure 3.2:** Docker basic container structure - Source: Authors own model, based on [BMDM20].

The advantage of a container is its lightweight nature. As illustrated in Figure 3.2, containers take advantage of OS-level virtualization instead of hardware-virtualization without the need of a hypervisor [Inc20, NK19]. Containers share the resources of the host-system instead of using reserved resources [BMDM20]. Multiple containers can run on the host-system kernel and are by default isolated from each other [Inc20]. In Docker, a container is a runnable unit of an image and is used for distributing and testing applications. A container can be configured to expose certain resources to the host system, e.g. network ports [BMDM20].

### 3.2.4 Docker Compose

Docker Compose is a tool to run multi-container applications on a single host. A multi-container application consist of a stack of services, where each service is deployed as a container [BMDM20, Inc20]. Services can be

configured in a YAML<sup>1</sup> file called *docker-compose.yml*. This file defines the requirements of each service and determines how a service communicates to other services [KK18].

## 3.3 Apache Spark

Apache Spark is an open-source computing framework for parallel data processing on a large computer cluster. Spark manages the available resources and distributes computation tasks across a cluster to perform big-data processing operations at large scale [CZ18]. Before Spark was developed, Hadoop MapReduce [DG10] was the framework of choice for parallel operations on a computer cluster [ZCF<sup>+</sup>10]. Spark accomplished to outperform Hadoop by 10x for iterative Machine Learning [ZCF<sup>+</sup>10]. It is implemented in Scala<sup>2</sup>, a JVM-based language and provides a programming interface for Scala, Java<sup>3</sup>, Python<sup>4</sup> and R<sup>5</sup>. In addition, Spark includes an interactive SQL shell and libraries to implement Machine Learning and streaming applications [CZ18]. It was developed in 2009 as the Spark research project at UC Berkeley and became an Apache Software Foundation project in 2013 [CZ18].

### 3.3.1 Spark programming model

Spark provides resilient distributed datasets (RDDs) as the main abstraction for parallel operations [ZCF<sup>+</sup>10]. Core types of Spark's higher-level structured API are built on top of RDDs [CZ18] and will automatically be optimized by Spark's Catalyst optimizer to run operations quick and efficient [Luu18].

**Resilient distributed datasets:** Resilient distributed datasets are fault-tolerant, parallel data structures to enable data sharing across cluster applications [ZCD<sup>+</sup>12]. They allow to express different cluster programming models like MapReduce, SQL and batched stream processing [ZCD<sup>+</sup>12]. RDDs have been implemented in Spark and serve as the underlying data structure for higher level APIs (Spark structured API) [ZCD<sup>+</sup>12]. RDD's are a immutable, partitioned collection of records and can only be initiated through transformations (e.g. map, filter) on data or other RDD's. An advantage of RDDs is, that they can be recovered through lineage. Lost partitions of an RDD can be recomputed from other RDDs in parallel on different nodes [ZCD<sup>+</sup>12]. RDDs are lower level APIs and should only be used in applications if custom data partitioning is needed [CZ18]. It is recommended to use Sparks structured API objects instead. Optimizations for

**TODO:**  
**Master-Slave**  
**Architektur + Bild**

1 YAML Ain't Markup Language - <https://yaml.org/>

2 Scala programming language. <https://www.scala-lang.org/>

3 Java programming language. <https://www.oracle.com/java/>

4 Python programming language. <https://www.python.org/>

5 R programming language. <https://www.r-project.org/>

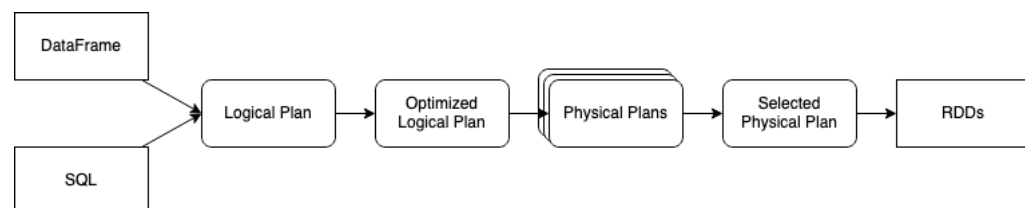
RDDs have to be implemented manually while Spark automatically optimizes the execution for structured API operations [CZ18].

**Spark structured API:** Spark provides high level structured APIs for manipulating all kinds of data. The three distributed core types are Datasets, DataFrames and SQL Tables and Views [CZ18]. Datasets and DataFrames are immutable, lazy evaluated collections that provide execution plans for operations [CZ18]. SQL Tables and Views work the same way as DataFrames, except that SQL is used as the interface instead of using the DataFrame programming interface [CZ18]. Datasets use JVM types and are therefore only available for JVM based languages. DataFrames are Datasets of type Row, which is the Spark internal optimized format for computations. This has advantages over JVM types which comes with garbage collection and object instantiation [CZ18].

**Spark Catalyst:** Spark also provides a query optimizer engine called Spark Catalyst. Figure 3.3 illustrates how the Spark Catalyst optimizer automatically optimizes Spark applications to run quickly and efficient. Before executing the user's code, the Catalyst optimizer translates the data-processing logic into a logical plan and optimizes the plan using heuristics [Luu18]. After that, the Catalyst optimizer converts the logical plan into a physical plan to create code that can be executed [Luu18].

Logical plans get created from a DataFrame or a SQL query. A logical plan represents the data-processing logic as a tree of operators and expressions where the Catalyst optimizer can apply sets of rule-based and cost-based optimizations [Luu18]. For example, the Catalyst can position a filter transformation in front of a join operation [Luu18].

From the logical plan, the Catalyst optimizer creates one or more physical plans which consist of RDD operations [CZ18]. The cheapest physical plan will be generated into Java bytecode for execution across the cluster [Luu18].



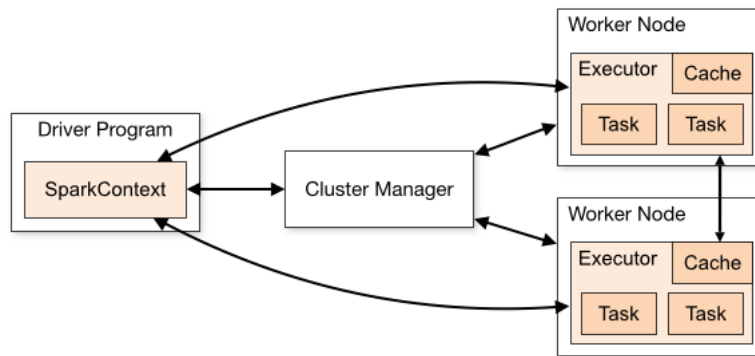
**Figure 3.3:** Optimization process of the Spark Catalyst - Source: Authors own model, based on [Luu18].

TODO: Bild  
nochmal machen  
mit abstand +  
QUELLE anpassen

### 3.3.2 Spark application architecture

Figure 3.4 illustrates the main architecture of a Spark cluster. The architecture follows the master-worker model where the Spark driver is the master and the Spark executors are the worker [Luu18].

TODO: Lieber  
Doch  
Master/Slave ???  
TODO: Nicht  
Was ist mit dem  
ganz richtig,  
Cluster Manager  
Master und  
Worker sind  
machines und  
driver und  
executor sind  
prozesse



**Figure 3.4:** Overview of a Spark cluster architecture - Source: Authors own model, based on [Apa20].

**Spark driver:** The Spark driver is a JVM process on a physical machine and responsible to maintain the execution of a Spark application [CZ18]. It coordinates the application tasks onto each available executor [Luu18]. To get launch executors and get physical resources, the Spark driver interacts with the cluster manager [CZ18, Luu18].

**Spark Executor:** A Spark executor performs the tasks given by the Spark driver [CZ18]. It runs as a JVM process and runs until the Spark application finishes [Luu18]. After the executor finishes, it reports back to the Spark driver [CZ18]. Each task will be performed on a separate CPU core to enable parallel processing [Luu18].

**Cluster manager:** The cluster manager is an external service that orchestrates the work between the machines in the cluster [Luu18, Apa20]. The cluster manager knows about the resources of each worker and decides on which machine the Spark driver process and the executor processes run [Luu18, CZ18]. Spark supports different services that can run as the cluster manager: Standalone, Apache Mesos<sup>6</sup>, Hadoop YARN[VMD<sup>+</sup>13] and Kubernetes<sup>7</sup> [Apa20]. The cluster manager provides three different deploy modes for acquiring resources in the cluster.

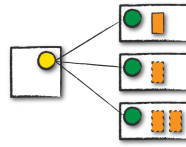
**TODO: Explain standalone**

- Cluster mode
- Client mode
- Local mode

To run an application in cluster mode, the user has to submit a precompiled JAR, python script or R script to the cluster manager [CZ18]. After that, the cluster manager starts the driver process and executor processes exclusively for the Spark application on machines inside the cluster [CZ18, Luu18].

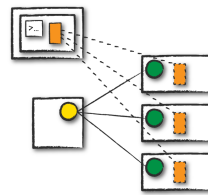
<sup>6</sup> Apache Mesos. <https://mesos.apache.org/>

<sup>7</sup> Kubernetes. <https://kubernetes.io/>



**Figure 3.5:** Spark's cluster mode - Source: Authors own model, based on [CZ18].

The difference between the client mode and the cluster mode is that, the driver process runs on the client machine outside of the Spark cluster [CZ18].



**Figure 3.6:** Spark's client mode - Source: Authors own model, based on [CZ18].

The local mode starts a Spark application on a single computer [CZ18]. It is not recommended to use the local mode in production, instead it should be used for testing Spark applications or learning the Spark framework [CZ18].

### 3.3.3 Spark application implementation

The concept of a Spark application consists of calling transformations and actions. A transformation creates a DataFrame or a Dataset, the logical data structures of a Spark application. The computation of a Spark application gets processed when an action gets called in the application. The transformations of a Spark application build up a directed acyclic graph (DAG) of instructions. By calling an action, the DAG will break down into stages and tasks to create a single job for execution [CZ18].

```
# Initialize a SparkSession
sparkSession = SparkSession\
    .builder\
    .getOrCreate()

# Create a dataframe with a transformation
dataframe = sparkSession.range(1, 1000)
# Apply another transformation
dataframe = dataframe.filter(dataframe.id % 2 == 0)
# Call an action
count = dataframe.count()
```

**Listing 3.2:** Example of a Python3 Spark application

Listing 3.2 demonstrates an example implementation of a Spark application. At first a SparkSession gets initialized. Each Spark application must include a SparkSession to initialize the application driver and executors [CZ18]. In

Addition, the `SparkSession` provides an API for data-processing logic and configuration of the Spark application [Luu18]. After that, a `DataFrame` gets created with the range transformation to include each number from 1 to 1000 in the `DataFrame`. Next, a filter transformation is applied on the `DataFrame` to sort out any odd number. At the end, the number of rows gets saved in a variable with the count action.

The Spark framework provides a `spark-submit` executable to launch a Spark application inside a cluster.

```
$SPARK_HOME/bin/spark-submit \
  --master spark://spark-master:7077 \
  application.py
```

**Listing 3.3:** Execution of a Spark Python application using the `spark-submit` executable

Listing 3.3 provides an example how the `spark-submit` executable can be used to launch a Spark Python application.

### 3.3.4 Spark standalone cluster deployment

The standalone mode is a basic cluster-manager build specifically for Spark. It is developed to only run Spark but supports workloads at large scale [CZ18].

Spark provides build-in scripts to start a master node and worker nodes in standalone mode. ABC demonstrates how a master node and worker node gets launched using the build-in scripts.

## 3.4 RAPIDS accelerator for Apache Spark

The RAPIDS accelerator for Apache Spark is a plugin suite to enable GPU acceleration for computing operations on Apache Spark 3.x [Spa20]. To accelerate computing operations, it uses the RAPIDS<sup>8</sup> libraries and extends the Spark programming model (see Subsection 3.3.1) [Spa20, McD20].

### 3.4.1 Extension of the Spark programming model

The plugin suite extends the Spark programming model with a new `DataFrame` based on Apache Arrow[Fou20] data structures and the Catalyst optimizer to generate GPU-aware query plans [McD20].

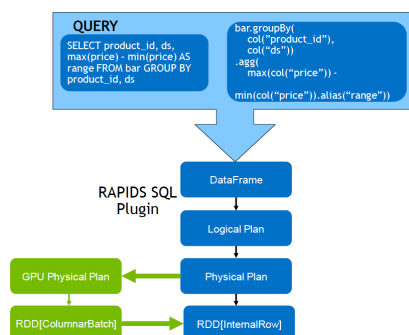
Apache arrow is a data platform to build high performance applications that work with large dataset's and to improve analytic algorithms. A component of Apache Arrow is the Arrow Columnar Format, an in-memory data structure specification for efficient analytic operations on GPUs and CPUs [Fou20].

**TODO:** Create listing table von Luu18 vll als Anhang (Table 2-1 Subdirectories Inside the spark-2.1.1-bin-hadoop2.7 Directory)

**TODO:** Why only standalone

<sup>8</sup> Open GPU Data Science - <https://rapids.ai/>

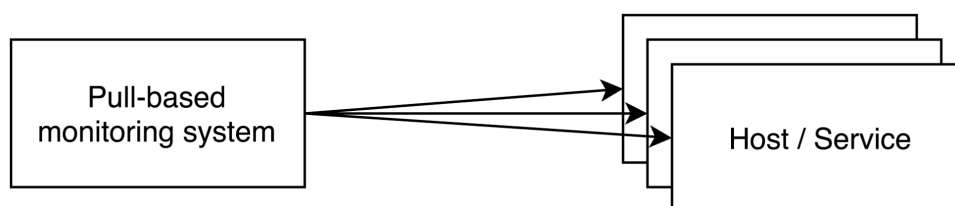
Spark's DataFrame and SQL use the RAPIDS APIs to run transformations and actions on a GPU. The Spark Catalyst optimizer identifies operator in a query plan that are supported by the RAPIDS APIs. To execute the query plan, these operators can be scheduled on a GPU within the Spark cluster [McD20]. If operators are not supported by the RAPIDS APIs, a physical plan for CPUs will be generated by the Catalyst optimizer to execute RDD operations [McD20]. Figure 3.7 illustrates, how a query plan gets optimized with the RAPIDS accelerator for Spark enabled.



**Figure 3.7:** Catalyst optimization with RAPIDS accelerator for Apache Spark - Source: Authors own model, based on [McD20].

## 3.5 Prometheus

Prometheus is an open-source monitoring and alerting system [Pro20]. To collect and store data, Prometheus supports a multi-dimensional key-value pair based data model which can be analyzed in real-time using the PromQL query language [SP20]. It follows the pull-based approach to scrape metrics from hosts and services [BP19].



**Figure 3.8:** Pull-based approach to scrape metrics - Source: [BP19].

As Figure 3.8 demonstrates, a pull-based monitoring system scrapes metrics from services which makes them available for the monitoring system. In this case, the monitoring system needs a list of all hosts and services to monitor [BP19].

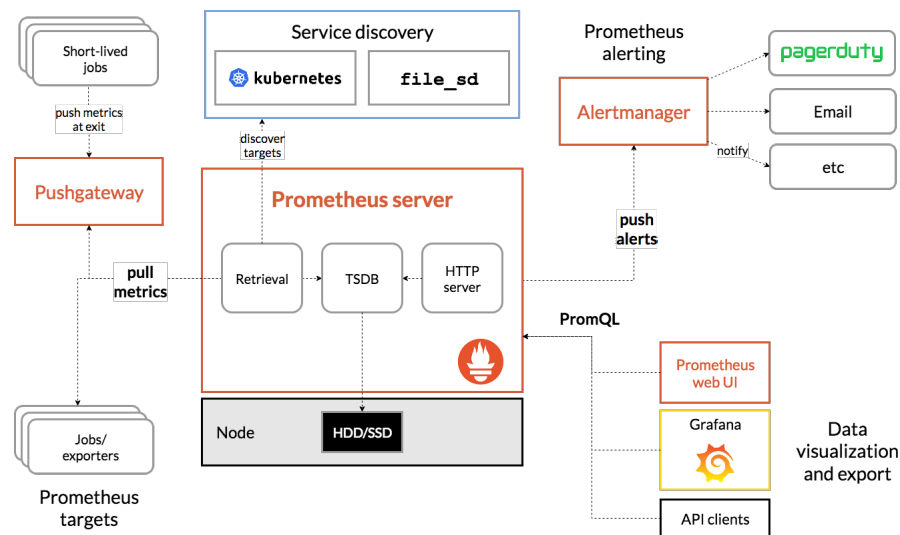
A monitoring system keeps track of the health status of components in a computing cluster. Because of the complexity of modern computing clusters, the effort is too high to observe components manually [BP19].

A metric is an observed property of software or hardware, e.g. the usage of a CPU core. To measure metrics, data-points will be recorded at a fixed time interval. A data-point is a pair of a value and a timestamp. The



combination of multiple data-point's is called a time-series [Tur16].

### 3.5.1 Prometheus architecture



TODO: Bilder für components

**Figure 3.9:** Prometheus high-level architecture - Source: Authors own model, based on [Pro20, BP19].

Figure 3.9 illustrates the high-level architecture of Prometheus. The Prometheus ecosystem provides multiple components. Components can be optional, depending on the monitoring needs of the environment [BP19]. The main components of a Prometheus system are Prometheus server, Alertmanager, service discovery, exporters, Pushgateway and visualization tools [Pro20].

**Prometheus server:** The Prometheus server is the main component of a Prometheus system. It is responsible to collect metrics as time-series data from targets and stores the collected data in the built-in TSDB [BP19]. Prometheus uses the concept of scraping to collect metrics from a target. A target host has to expose an endpoint to make metrics available in the Prometheus data format [SP20]. Additionally, the Prometheus server triggers alerts to the Alertmanager if a configured condition becomes true [Pro20].

**Alertmanager:** If an alerting rule becomes true, the Prometheus server generates an alert and pushes it to the Alertmanager. The Alertmanager generates notifications from the received alerts. A notification can take multiple forms like emails or chat messages. Webhooks can be implemented to trigger custom notifications [BP19].

**Service discovery:** As mentioned before, Prometheus follows a pull-based approach to fetch metrics from a target. To know about all targets, Prometheus needs a list of the corresponding hosts. The service discov-

ery manages the complexity of maintaining a list of hosts manually in an changing infrastructure [BP19].

**Exporters:** If an application does not support an endpoint for Prometheus, an exporter can be used to fetch metrics and make them available to the Prometheus server. An exporter is a monitoring agent running on a target host that fetches metric from the host and exports them to the Prometheus server [SP20].

**Pushgateway:** If a target is not designed to be scraped, metrics can be pushed against the Pushgateway[Pro20]. The Pushgateway converts the data into the Prometheus data format and passes them to the Prometheus server [SP20].

**Visualization:** Prometheus supports various tools for virtualization of the scraped data. Grafana<sup>9</sup> is one of the widely used tools for this occasion.

### 3.5.2 Monitoring Docker container

## 3.6 Gitlab

## 3.7 K-MEANS

## 3.8 Naive Bayes Classifier

## 3.9 Scaling heat

## 3.10 KHP

TODO:  
Wahrscheinlich  
erst nach der  
implementation

---

<sup>9</sup> Grafana: The open observability platform - <https://grafana.com/>

## Chapter 4

---

# Design

### 4.1 Cluster architecture

TODO: Describe  
Chapter



## Chapter 5

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# Implementation

### 5.1 Cluster architecture

TODO: Describe  
Chapter



## Chapter 6

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# Evaluation

### 6.1 Cluster architecture

TODO: Describe  
Chapter





## Chapter 7

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# Outlook

## 7.1 Cluster architecture

TODO: Describe  
Chapter



## Chapter 8

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# Conclusion

### 8.1 Cluster architecture

TODO: Describe  
Chapter



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