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18<sup>th</sup> call: MERGESORT(A, 1, |A|)

MERGE SORT(A, p, r)

```

1: if p < r
2:   q = ⌊(p+r)/2⌋
3:   MERGE SORT(A, p, q)
4:   MERGE SORT(A, q+1, r)
5:   MERGE(A, p, q, r)
6: endif
  
```

The decrementing fn:

Given (A, p, r), let  $\mathcal{S}$  denote all states in the execution of MERGESORT with inputs (A, p, r).

$d: \mathcal{S} \rightarrow \mathbb{N}$

$\mathcal{S} \mapsto r-p$

↳ things accessible to us for the computation of  $d(\mathcal{S})$ :

- variables:  $\underbrace{A, p, r}_{\text{input}}, q$
- implicitly:  $i \leftarrow$  recursion depth
- I can define  $n = |A|$

Need to show:

→  $d$  is well-defined ( $d(\mathcal{S}) \in \mathbb{N}$ )

→  $d$  is decrementing: Recursion: top of recursive calls < top of cur.  
 Loop: next time at the top of loop, we are strictly less

①



# MERGE (A, p, q, r)

1:  $n \leftarrow q - p + 1$

2:  $m \leftarrow r - q$

3:  $L \leftarrow$  new array of length  $n+1$  with  $L[n+1] = \infty$

4:  $R \leftarrow$  new array of length  $m+1$  with  $R[m+1] = \infty$

5: for  $i = 1$  to  $n$

6: |  $L[i] \leftarrow A[p+i-1]$

7: end for

8: for  $j = 1$  to  $m$

9: |  $R[j] \leftarrow A[q+j]$

10: end for

11:  $i \leftarrow 1$

12:  $j \leftarrow 1$

13: for  $k = p$  to  $r$

14: | if  $L[i] \leq R[j]$

15: | |  $A[k] \leftarrow L[i]$

16: | |  $i++$

~~end if~~

17: | else

18: | |  $A[k] \leftarrow R[j]$

19: | |  $j++$

20: | end if else

## Decrementing Functions

$d_1: S \rightarrow \mathbb{N}$   
 $s \mapsto n-i$

$d_2: S \rightarrow \mathbb{N}$   
 $s \mapsto m-j$

$d_3: S \rightarrow \mathbb{N}$   
 $s \mapsto \text{~~some~~ } r-k$   
OR

$d_4: S \rightarrow \mathbb{N}$   
 $s \mapsto \text{~~some~~ } n+m-i-j$   
 $= (n-i) + (m-j)$



example:

MERGE SORT ( $[6, 8, 11], 1, 3$ )

$\oplus d(S) = 2$

1: ✓

2:  $q = \lfloor 4/2 \rfloor = 2$

3: MERGE SORT ( $A, 1, 2$ )

4: MERGE SORT ( $A, 3, 3$ )

⋮

recursive call

MERGE SORT ( $[6, 8, 11], 1, 2$ )

$\oplus d(S) = 1$

⋮

another recursion

MERGE SORT ( $[6, 8, 11], 3, 3$ )

$\oplus d(S) = 3 - 3 = 0 \in \mathbb{N}$



A, B statements

A = the loop terminates  
B = the loop is correct

A TRUE  
and

$A \Rightarrow B$

then we know:

B is true!

① the loop terminates  
and

② if the loop terminates,  
then the loop is correct

∴ the loop is correct

need to prove both  
of these!



# LOOP (+ RECURSION) INVARIANTS

Statements: <sup>\*</sup> (a sentence that evaluates T/F)<sup>\*</sup>

$L$  = the loop invariant

$\{ L_i = \text{the loop inv. at the start of iteration } i \}$

$Q$  = ~~what the loop is~~ post-condition(s)  
what should be true at the end of the loop. (i.e., what the loop is supposed to accomplish)

$P$  = pre conditions

$G$  = the loop guard (what keeps you in the loop)

The three parts to proving partial correctness of a loop: (if loop ends, then it was correct)

1. INITIALIZATION (like the base case in induction)

Prove  $P \Rightarrow L$

2. MAINTENANCE (like 1.A + Inductive step in induction)

$L_i \wedge G \Rightarrow L_{i+1}$

"if when entering a loop the LI holds, then the next time I am at the top of the loop, the L.I. still holds?"

3. END

$L \wedge \neg G \Rightarrow Q$