

Paper Seminar

# **SMART: Robust and Efficient Fine-Tuning for Pre-trained Natural Language Models through Principled Regularized Optimization**

Jiang et al., 2020, ACL

**Myeongsup Kim**

Integrated M.S./Ph.D. Student  
Data Science & Business Analytics Lab.  
School of Industrial Management Engineering  
Korea University

Myeongsup\_kim@korea.ac.kr

# Introduction

- **Complexity of Deep Learning Model**
- **Complexity of Language Model**

## Introduction

-What This Seminar Does Not Cover

### <What This Seminar Does Not Cover>

- **Details of BERT**

[Devlin et al., BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding, NAACL, 2019](#)

- **Details of RoBERTa**

[Liu et al., RoBERTa: A Robustly Optimized BERT Pretraining Approach, arXiv, 2019](#)

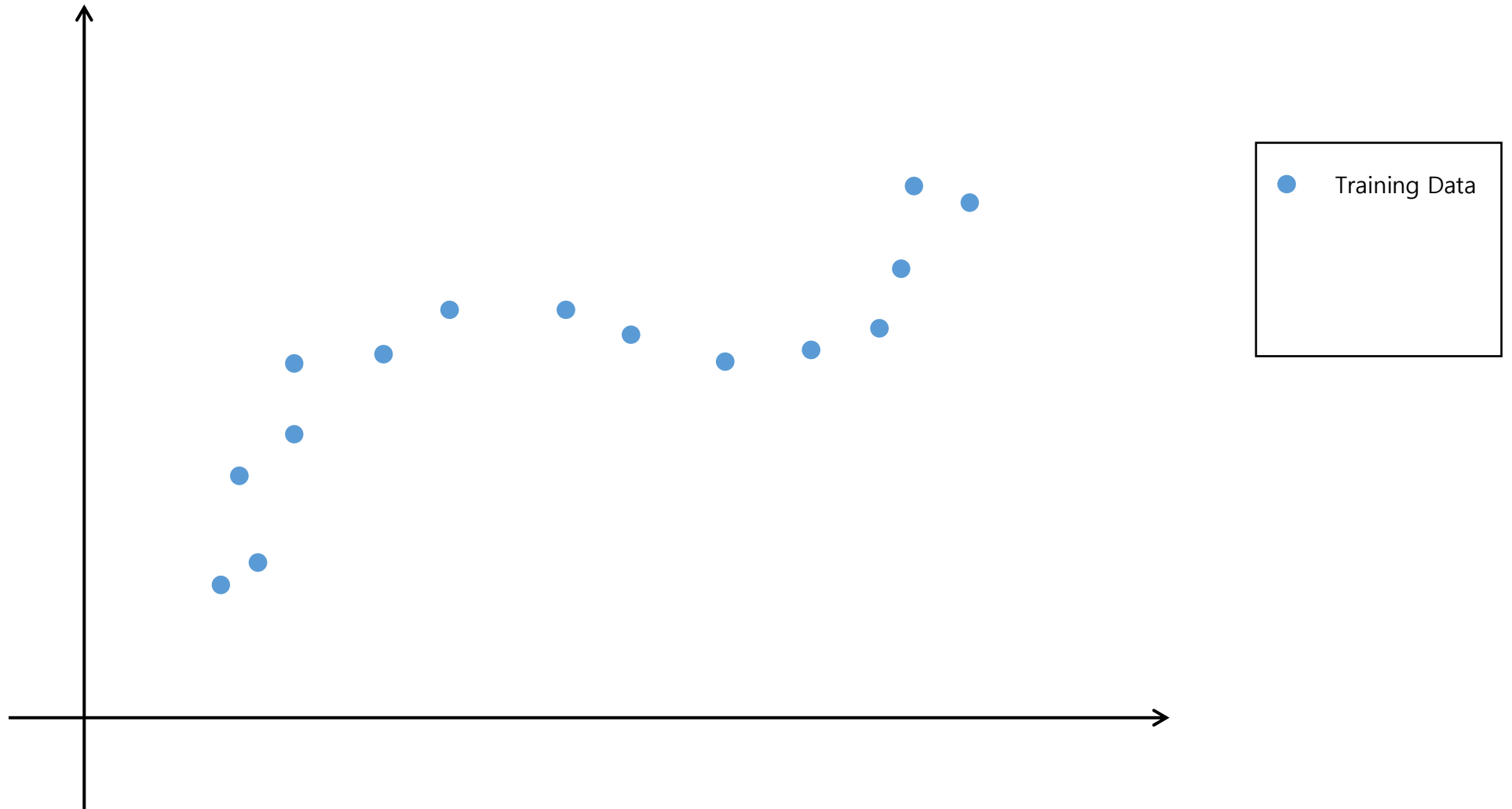
- **Details of FreeLB**

[Zhu et al., FreeLB: Enhanced Adversarial Training for Natural Language Understanding, ICLR, 2020](#)

# Introduction

-Complexity of Deep Learning Model

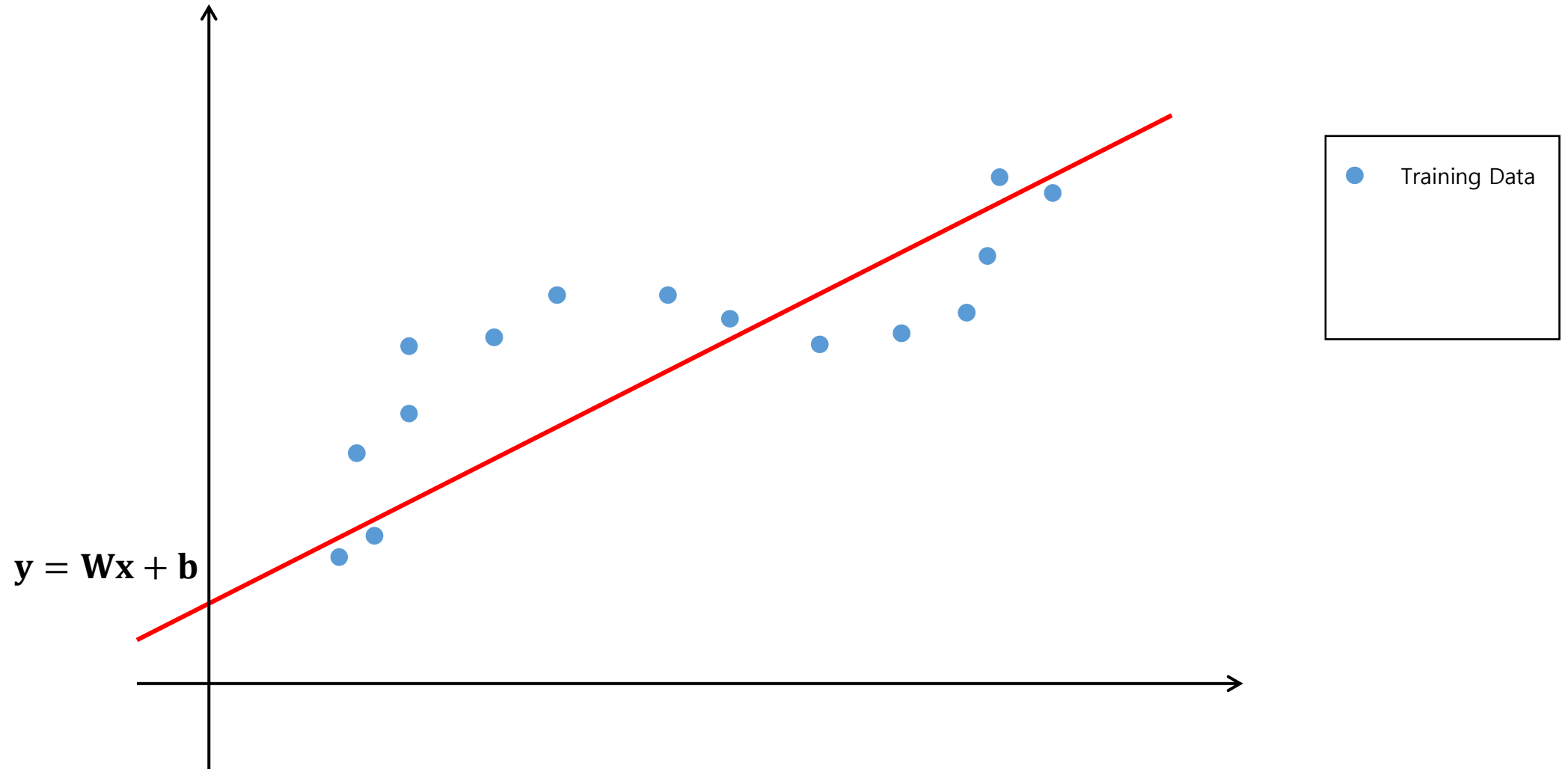
## <Machine Learning Model>



# Introduction

-Complexity of Deep Learning Model

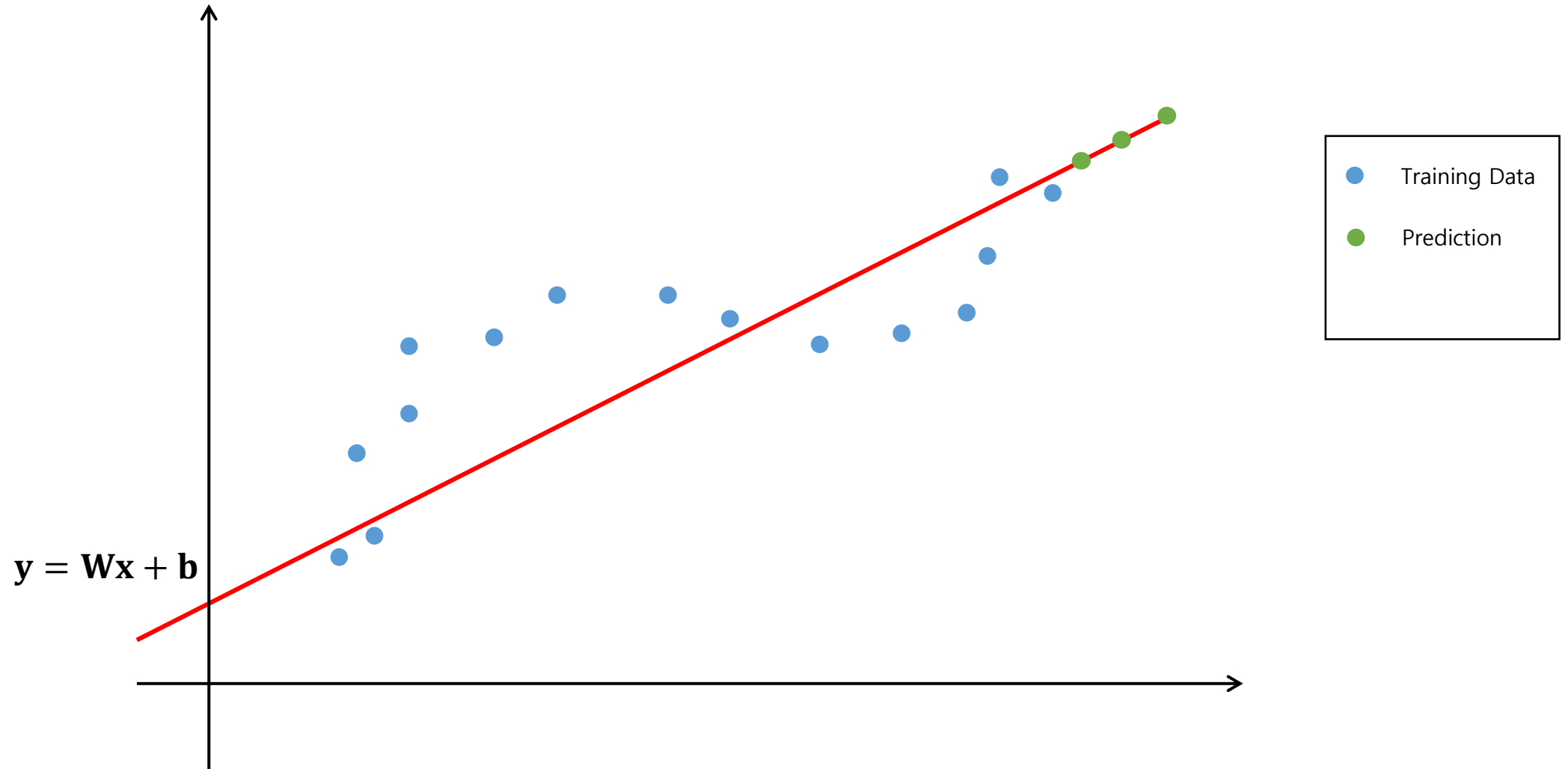
## <Machine Learning Model>



# Introduction

-Complexity of Deep Learning Model

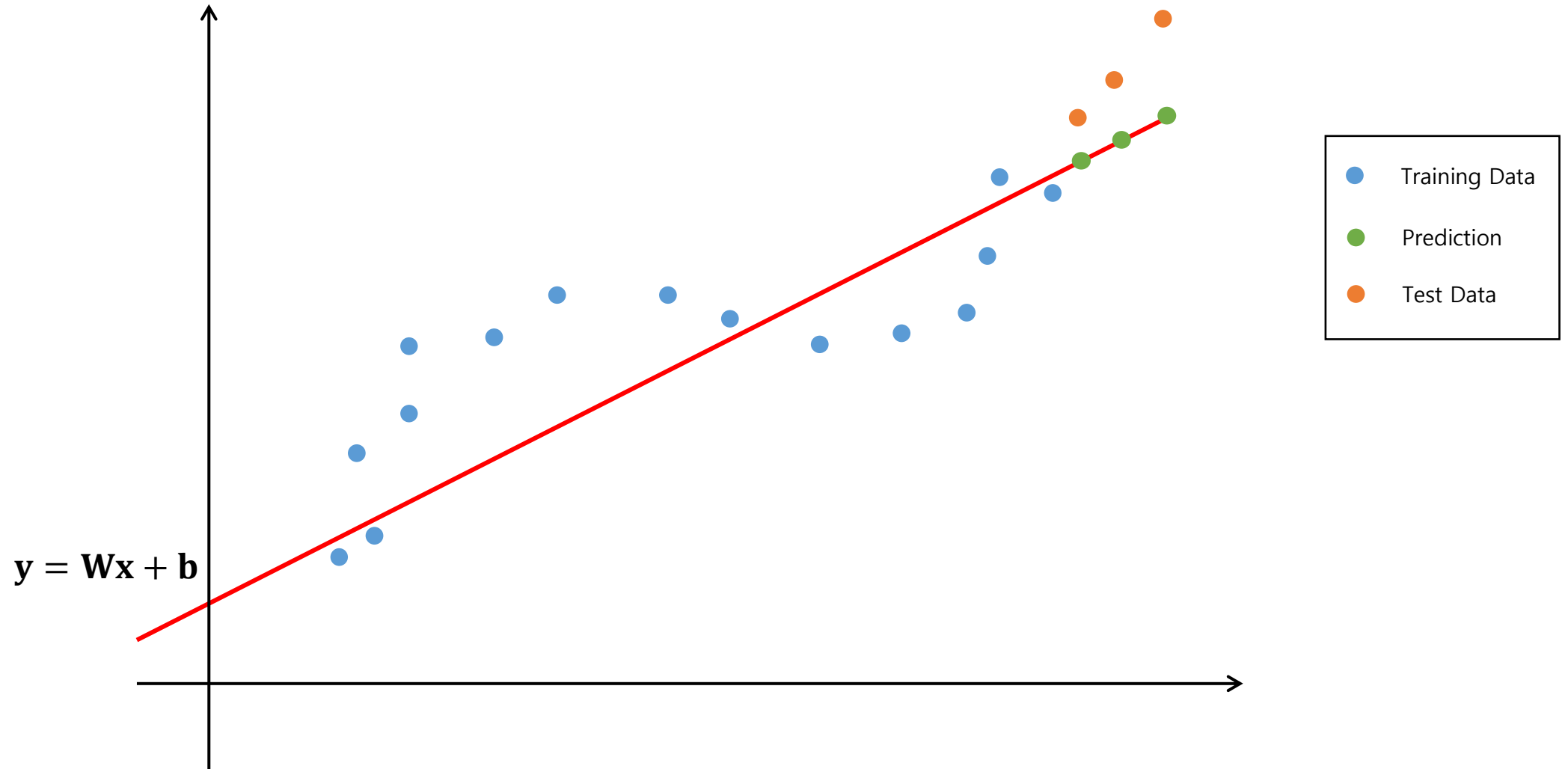
## <Machine Learning Model>



# Introduction

-Complexity of Deep Learning Model

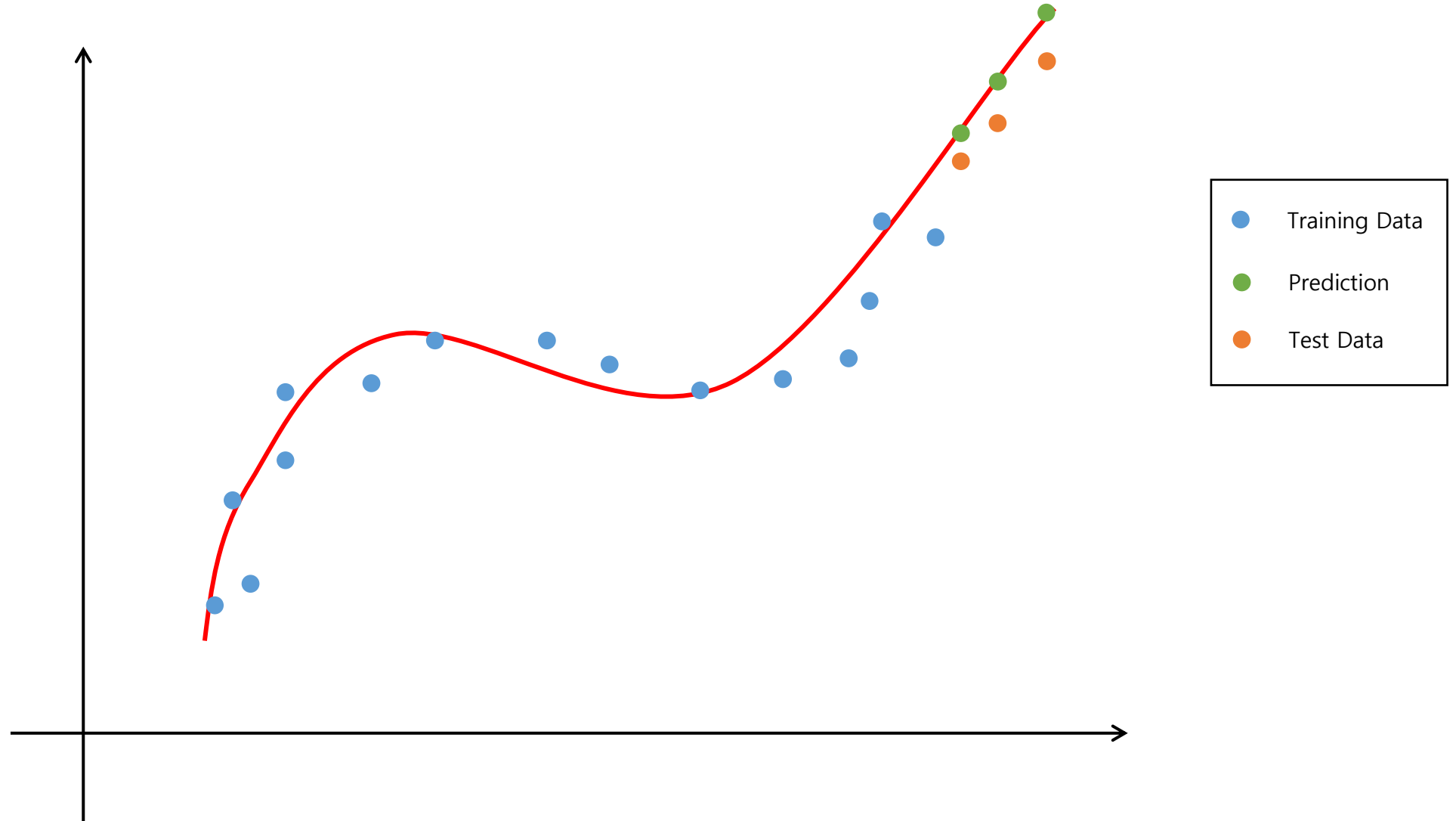
## <Machine Learning Model>



# Introduction

-Complexity of Deep Learning Model

## <Machine Learning Model>

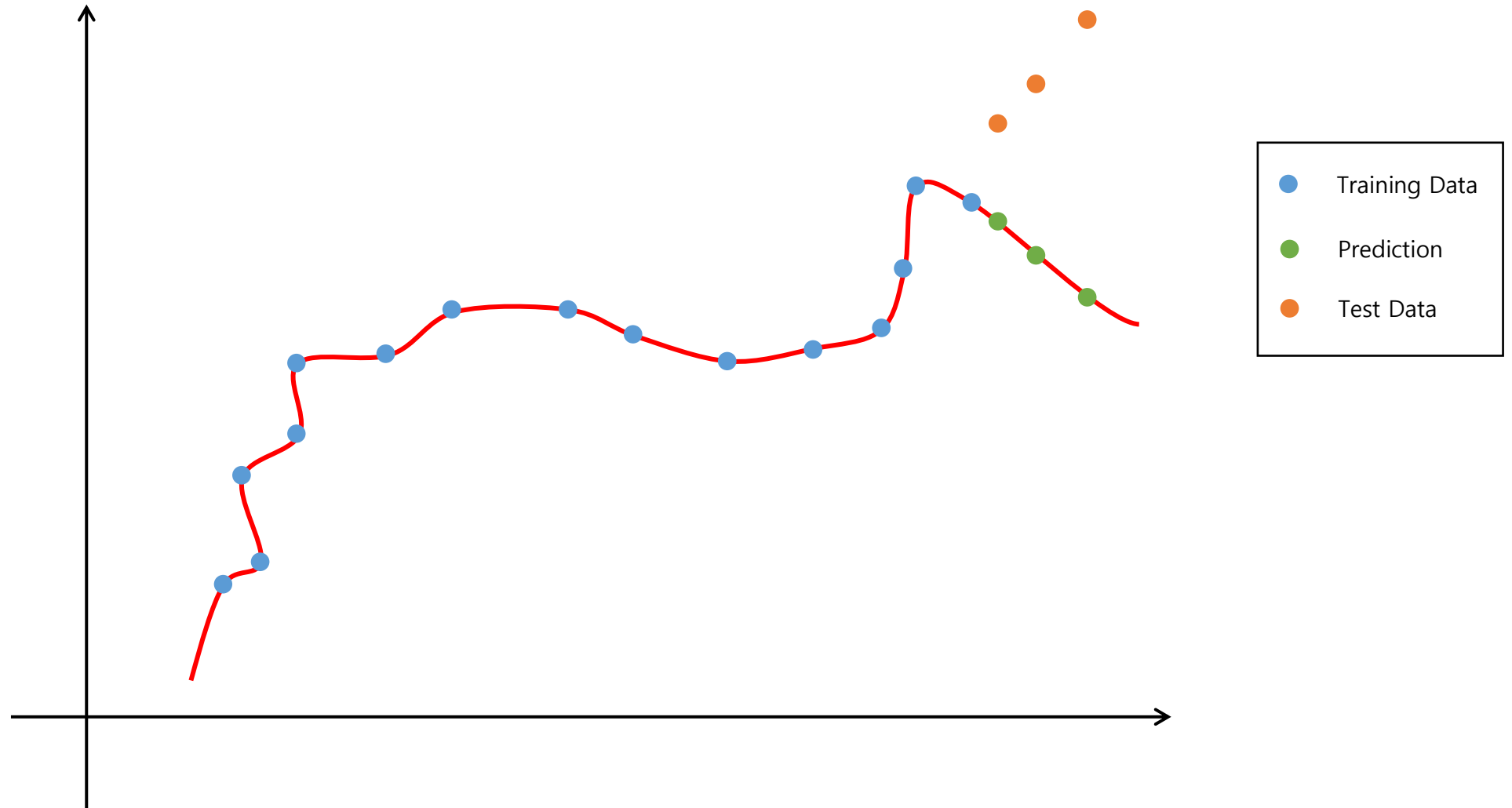




# Introduction

-Complexity of Deep Learning Model

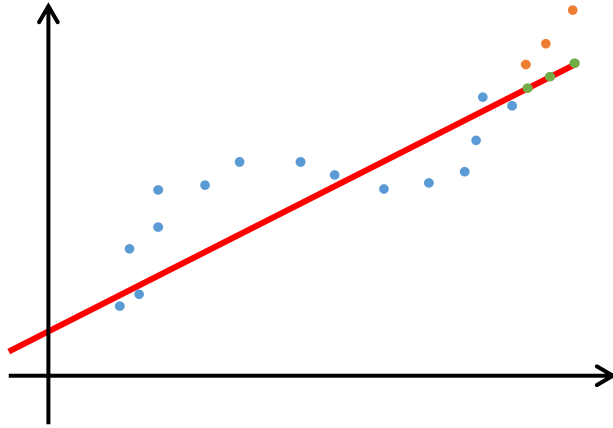
## <Machine Learning Model>



# Introduction

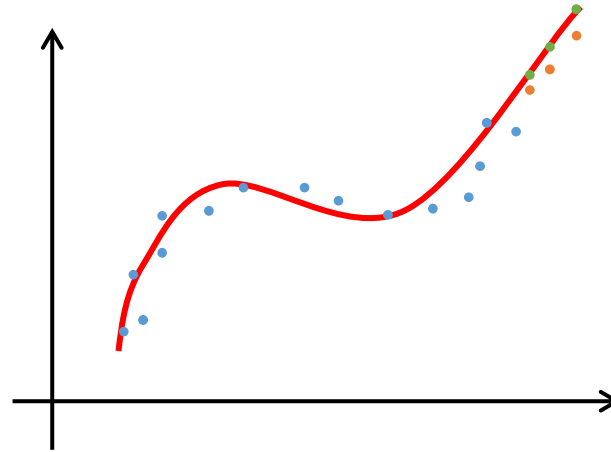
-Complexity of Deep Learning Model

<Complexity>



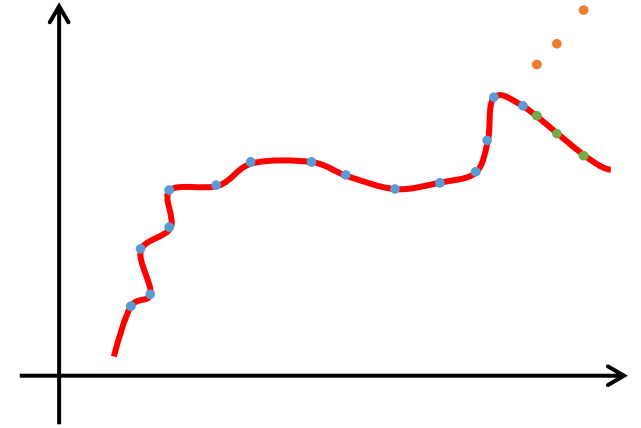
Too Low Complexity

Underfitting



Appropriate Complexity

Fitted Well



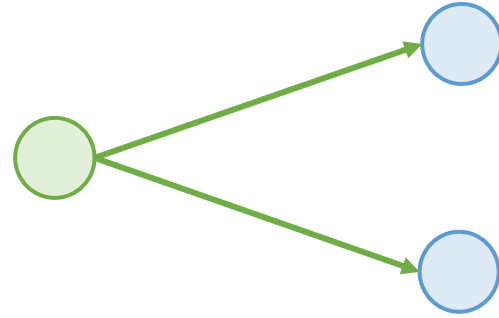
Too High Complexity

Overfitting

# Introduction

-Complexity of Deep Learning Model

## <Deep Learning Model>

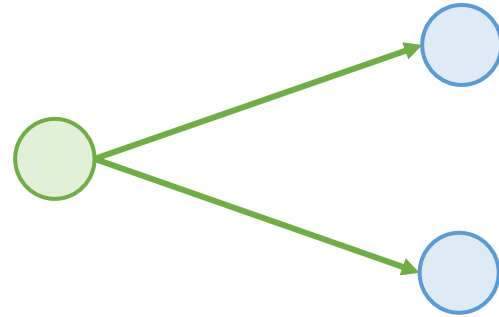


$$y = Wx + b$$

# Introduction

-Complexity of Deep Learning Model

## <Deep Learning Model>



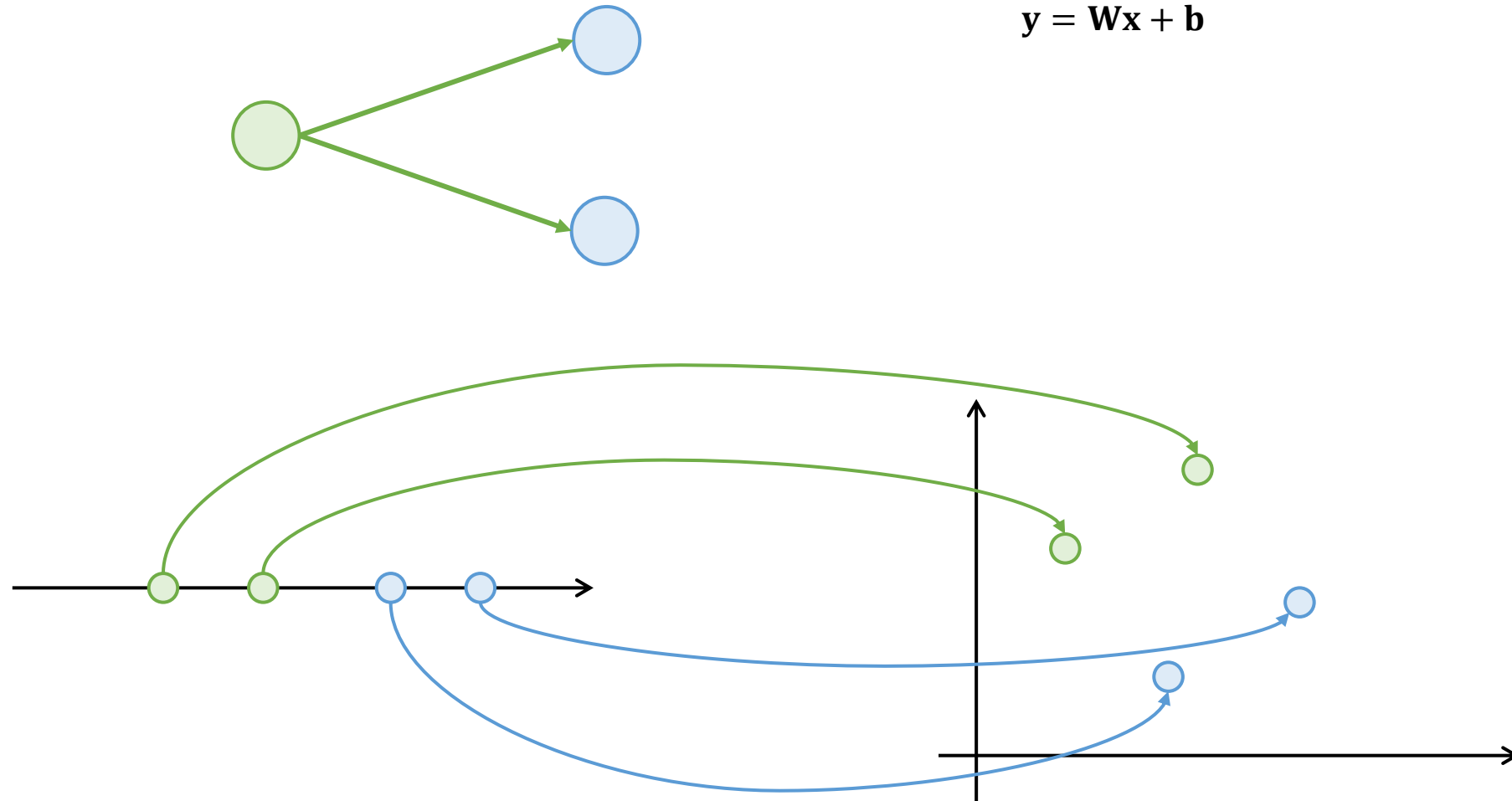
$$y = Wx + b$$



# Introduction

-Complexity of Deep Learning Model

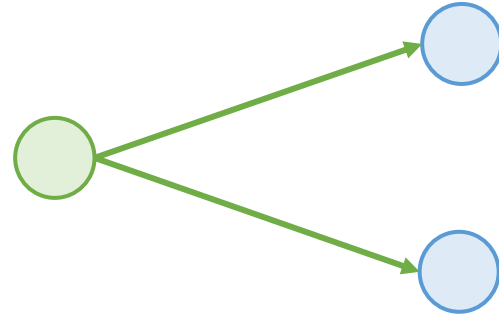
## <Deep Learning Model>



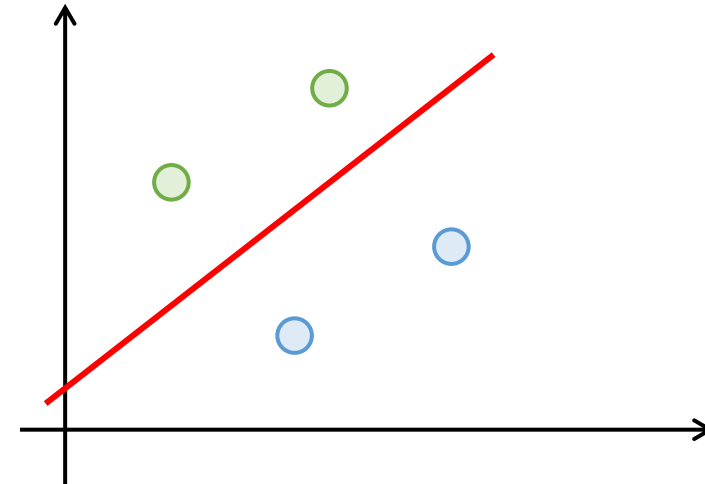
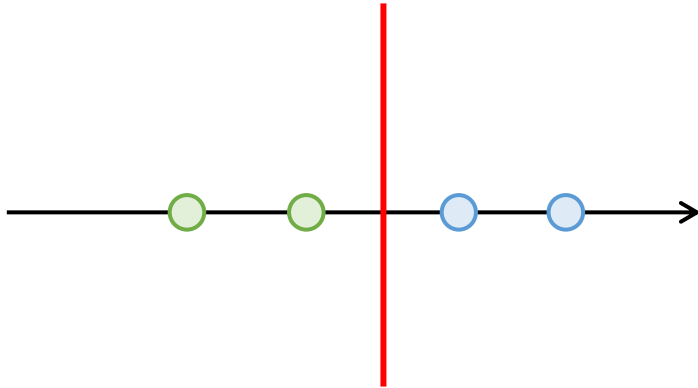
# Introduction

-Complexity of Deep Learning Model

## <Deep Learning Model>



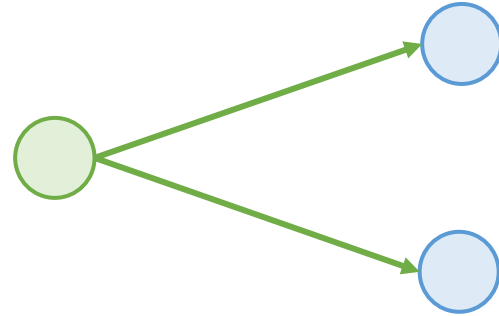
$$y = Wx + b$$



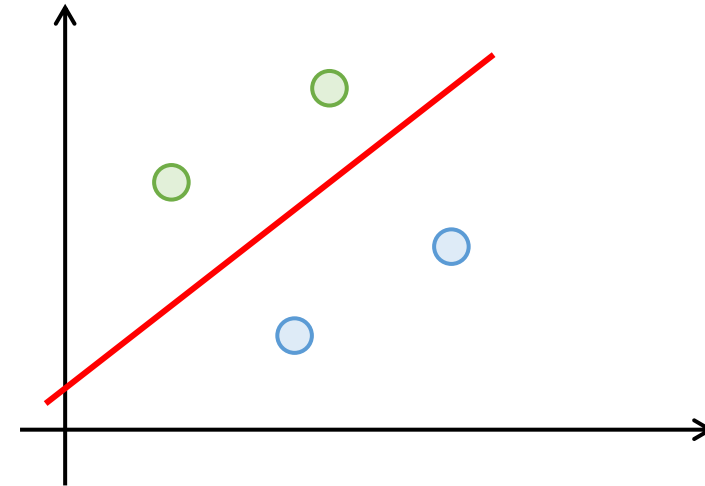
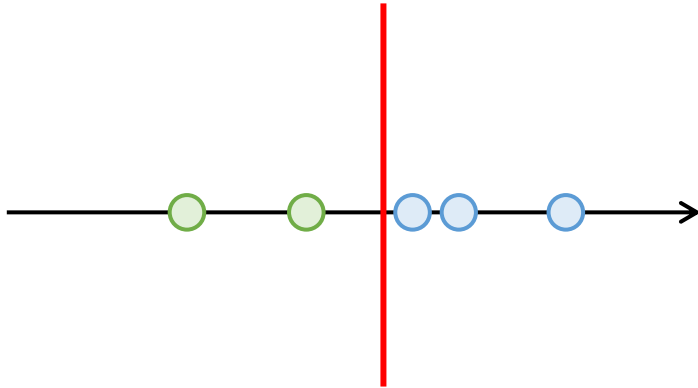
# Introduction

-Complexity of Deep Learning Model

## <Deep Learning Model>



$$y = Wx + b$$

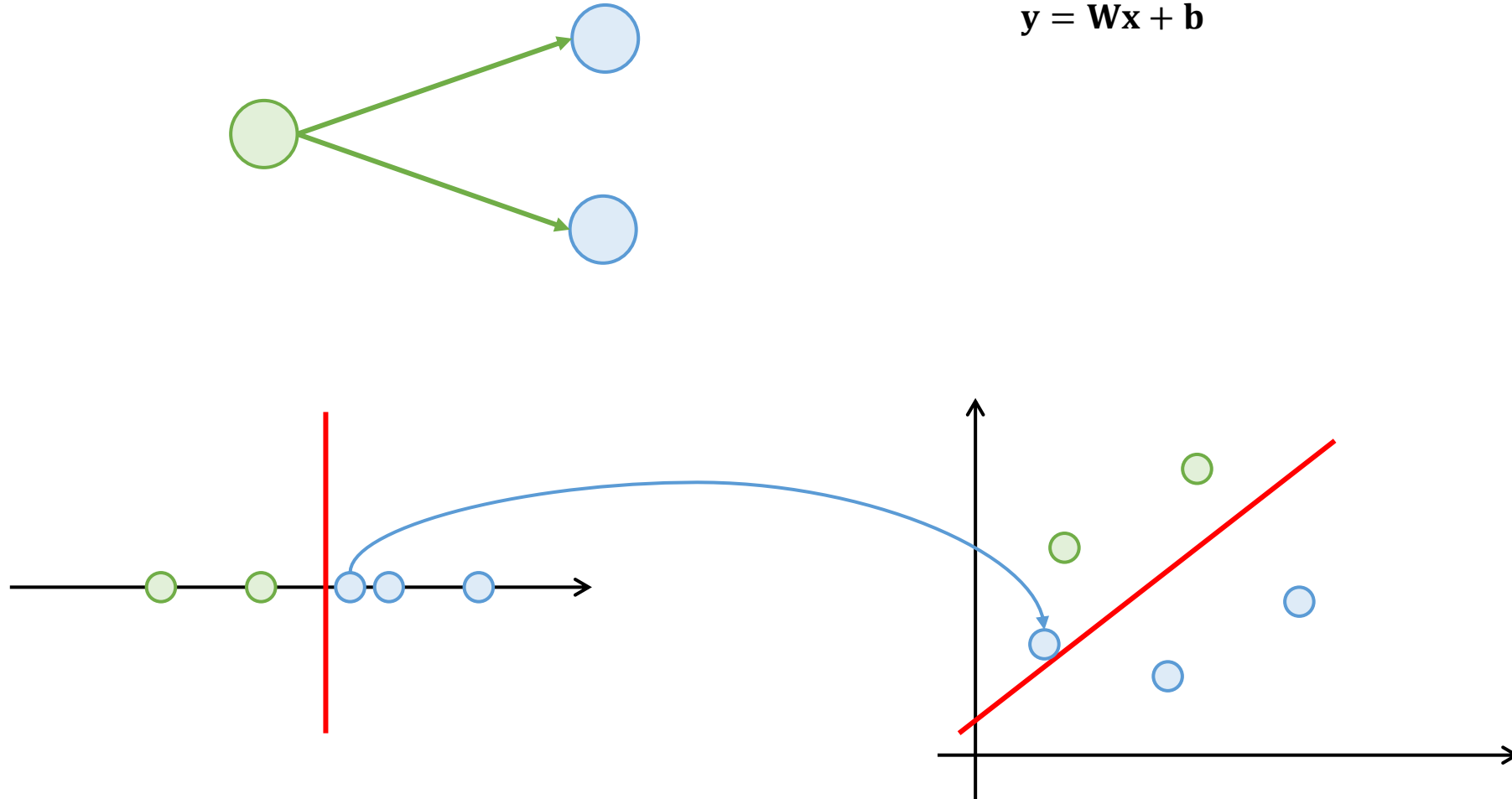


# Introduction

-Complexity of Deep Learning Model

## <Deep Learning Model>

$$y = Wx + b$$



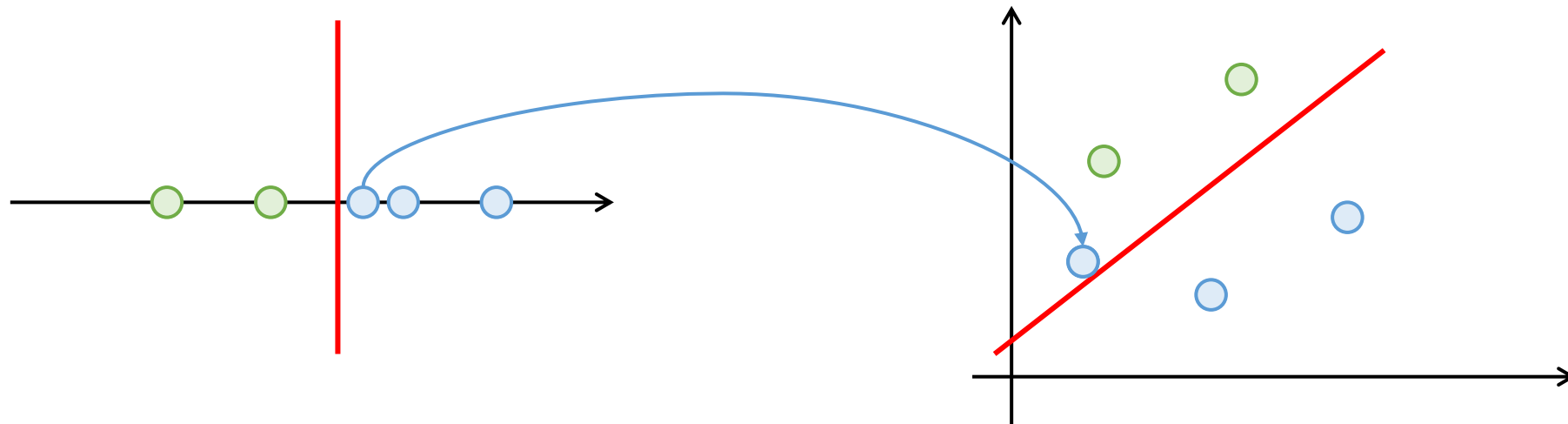
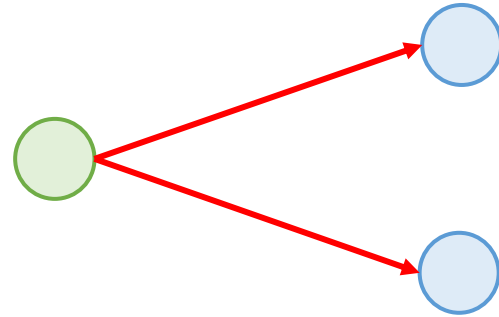


# Introduction

-Complexity of Deep Learning Model

## <Complexity of Deep Learning Model>

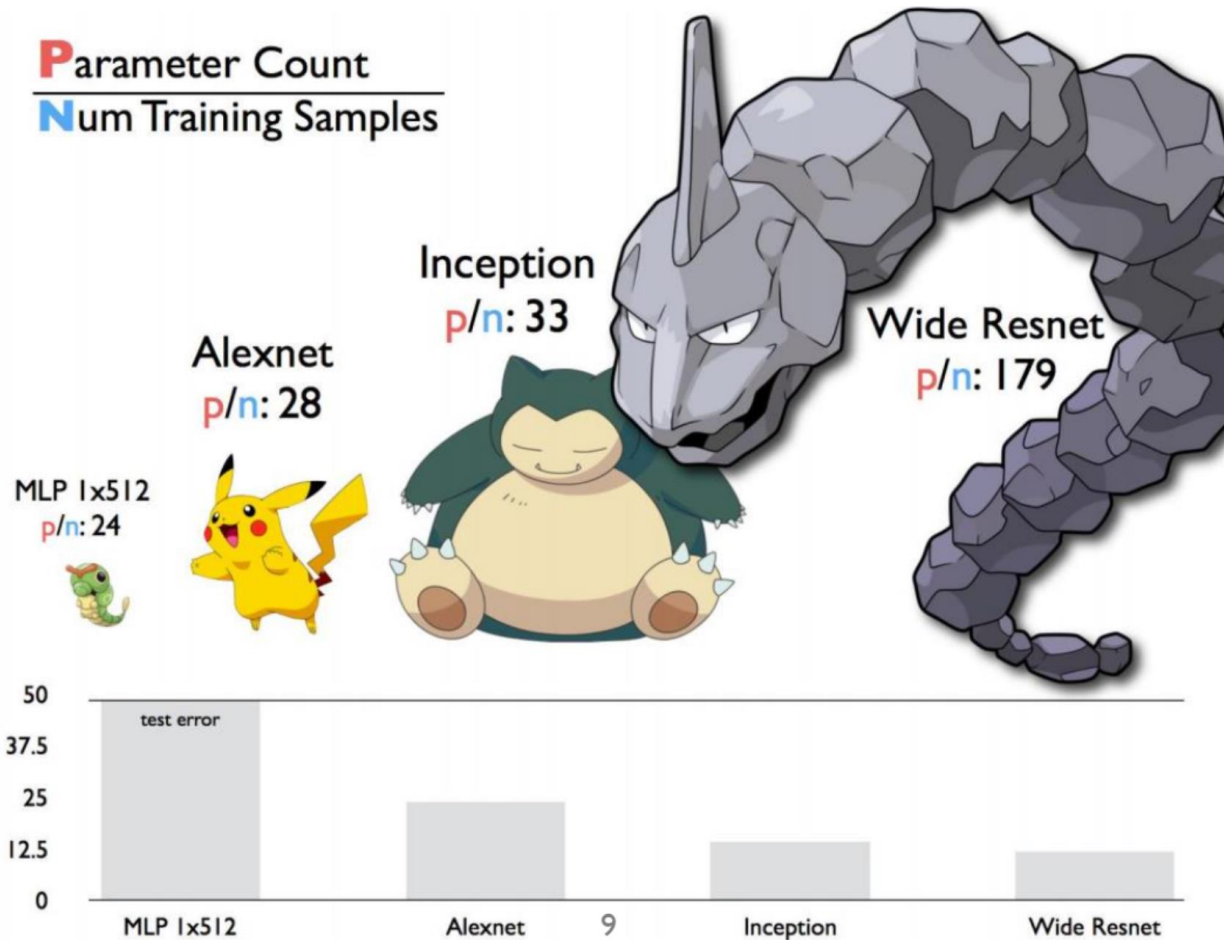
$$y = \mathbf{W}\mathbf{x} + \mathbf{b}$$



# Introduction

-Complexity of Deep Learning Model

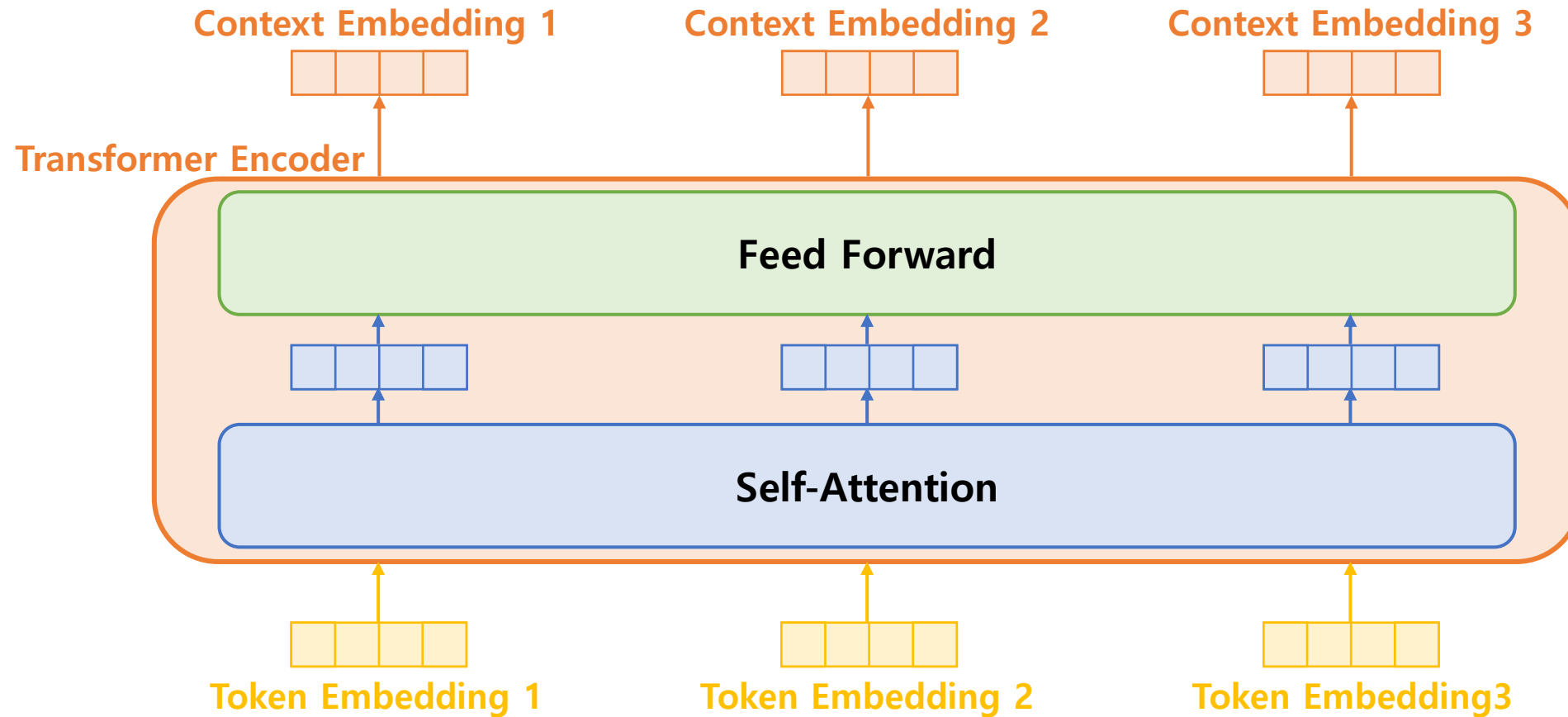
## <Complexity of Deep Learning Model>



# Introduction

-Complexity of Language Model

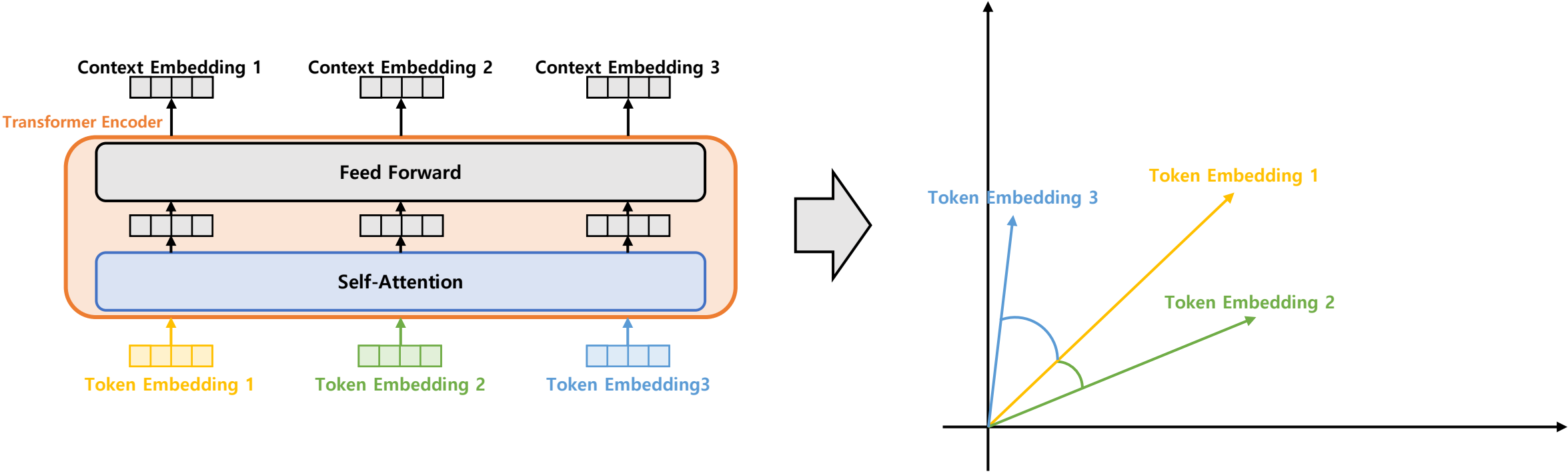
## <Language Model>



# Introduction

-Complexity of Language Model

## <Self Attention>

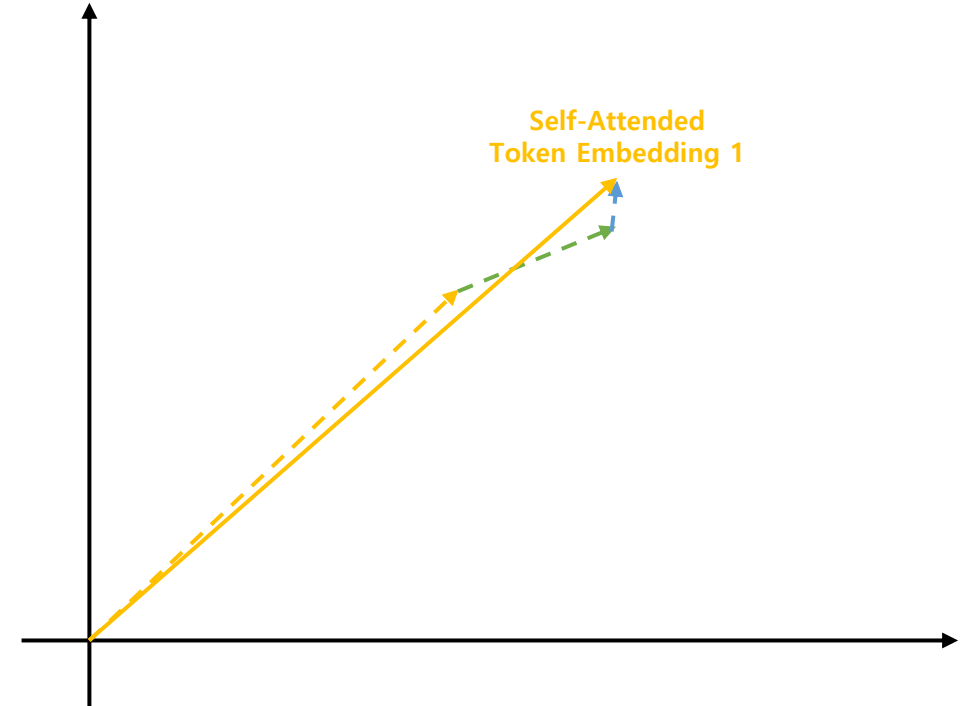
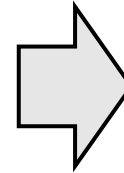
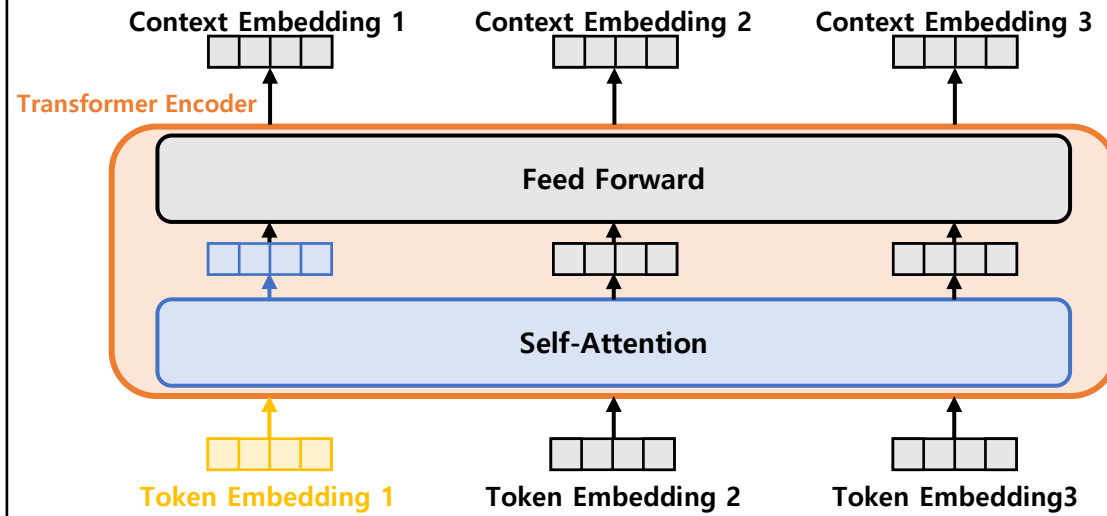


# Introduction

-Complexity of Language Model

From [Paper Review] FreeLB: Enhanced Adversarial Training for Natural Language Understanding (Myeongsup Kim, 2021)

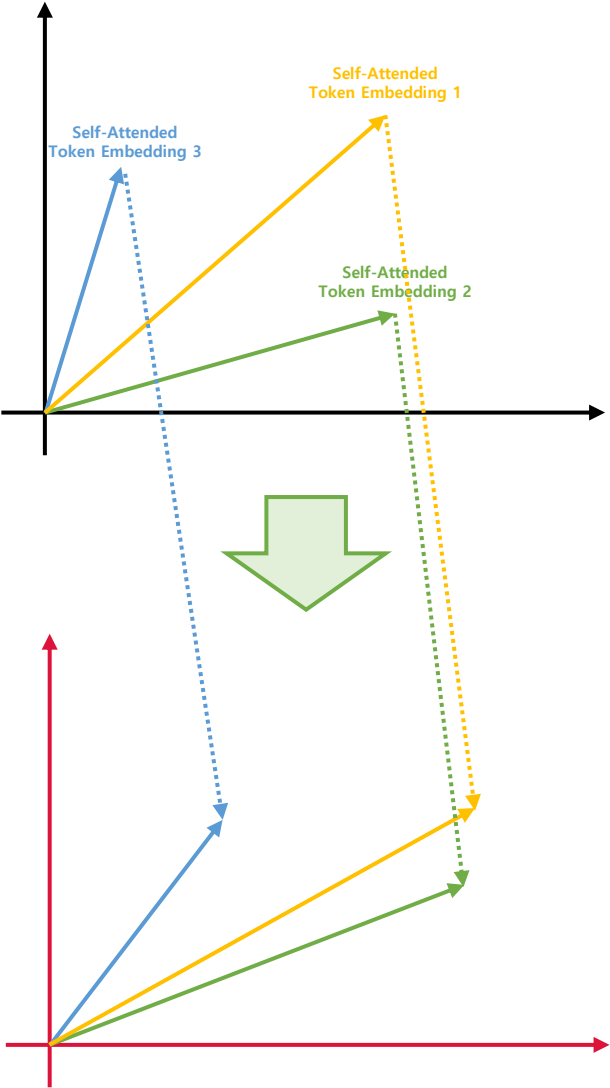
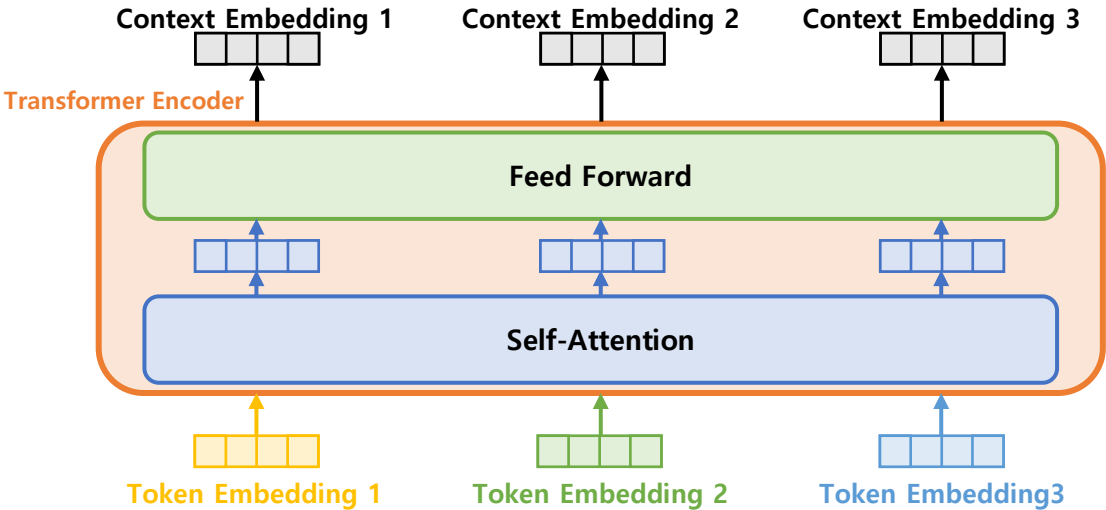
## <Self Attention>



# Introduction

-Complexity of Language Model

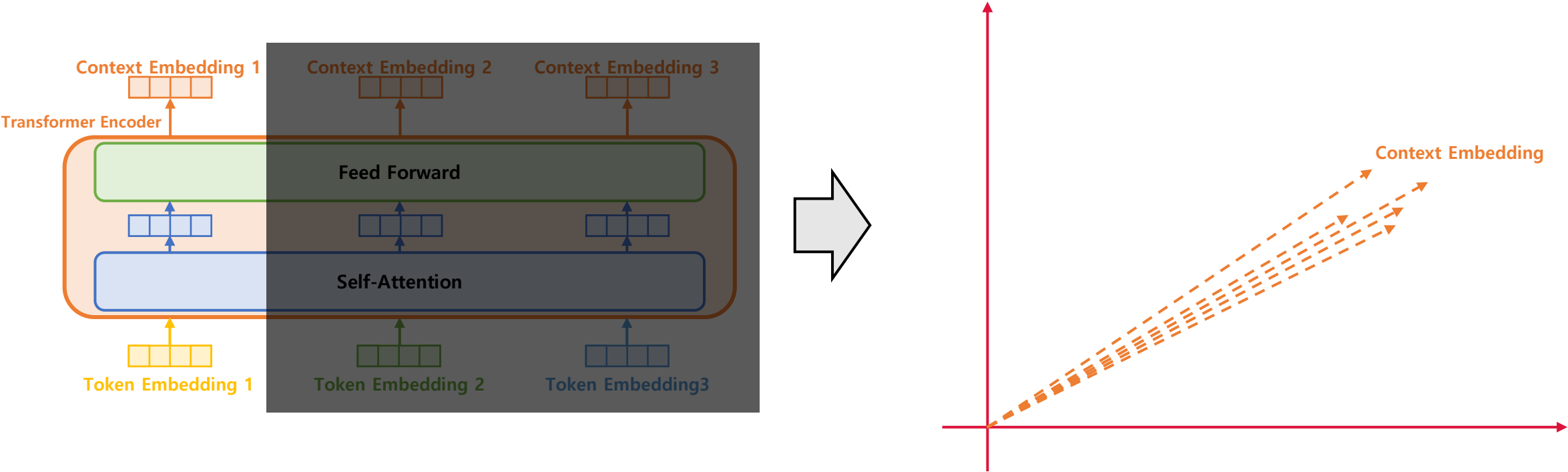
## <Feed Forward>



# Introduction

-Complexity of Language Model

## <Contextualized Representation>

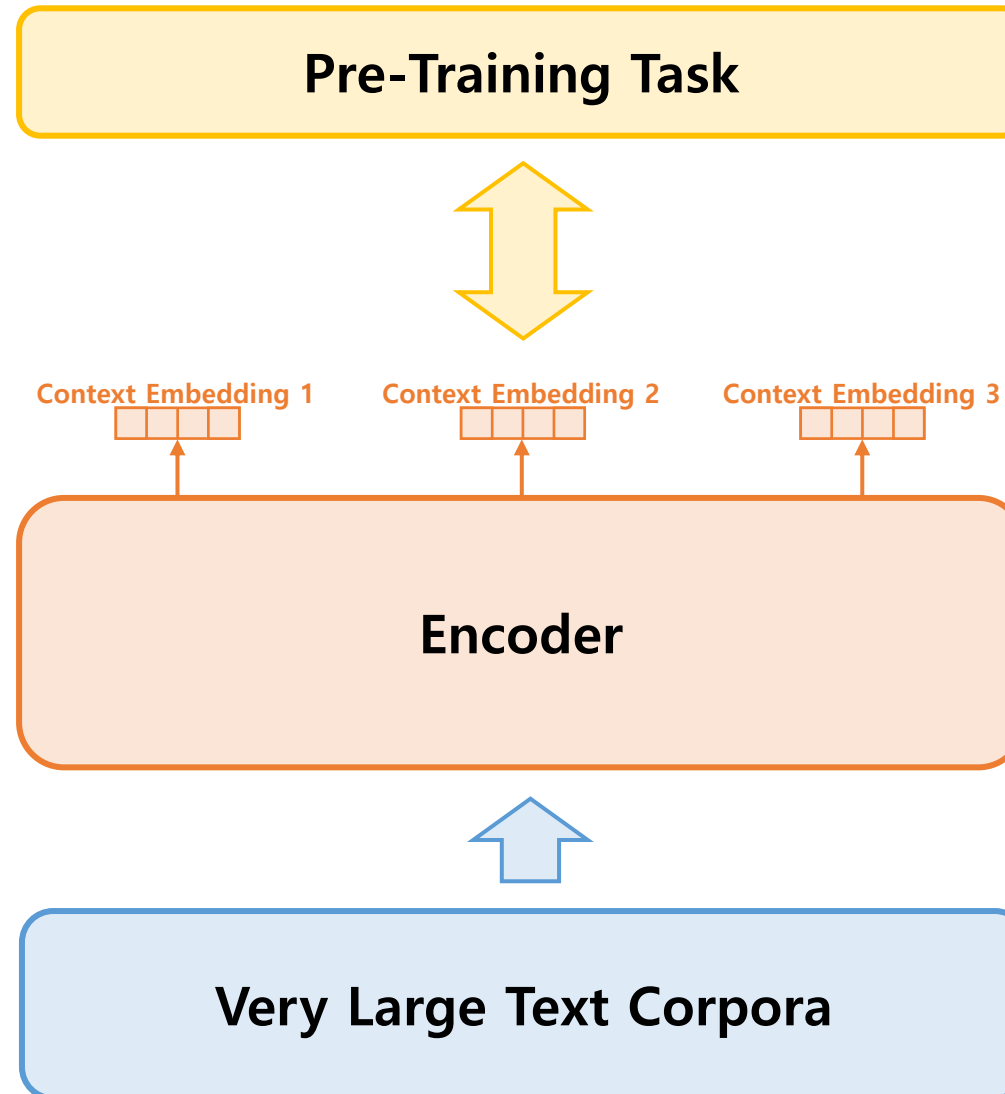


# Introduction

-Transformer-Based Language Model

[From \[Paper Review\] FreeLB: Enhanced Adversarial Training for Natural Language Understanding \(Myeongsup Kim, 2021\)](#)

## <Pre-Training>





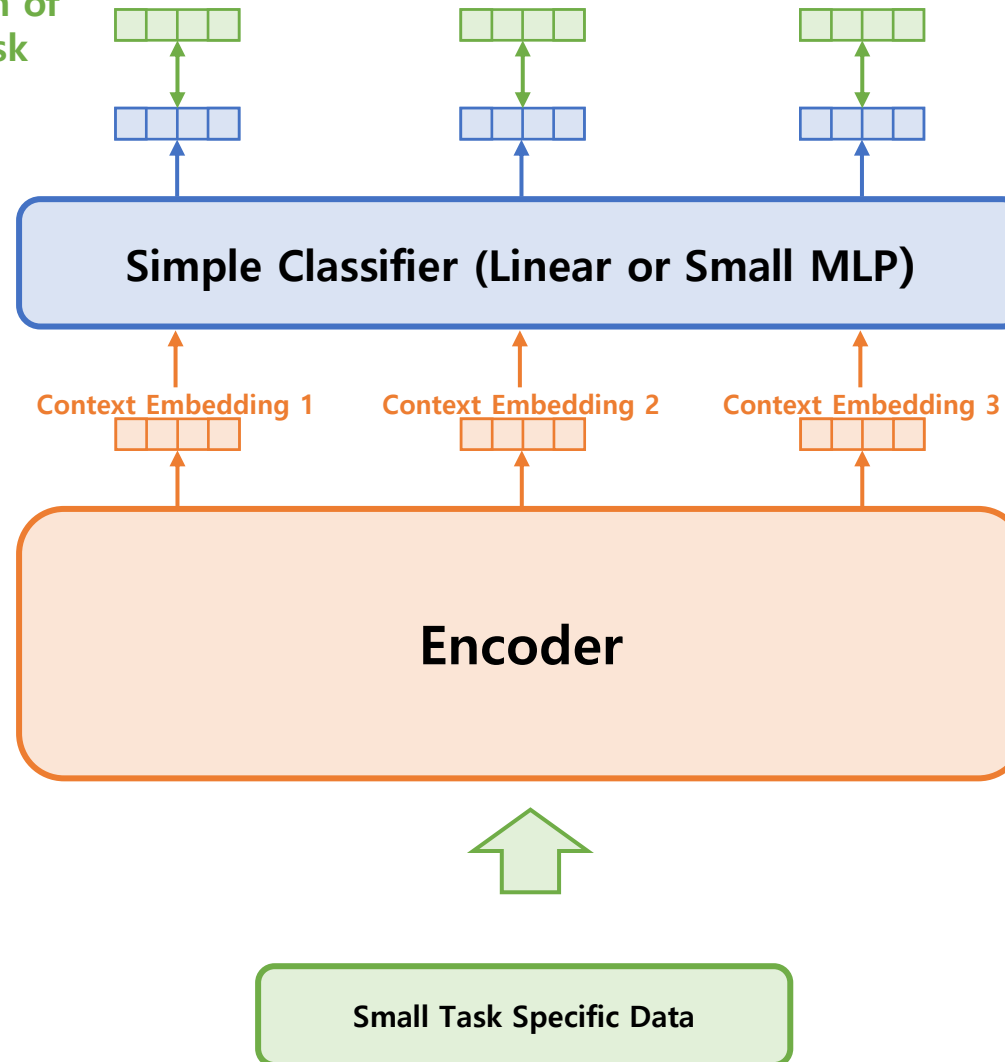
# Introduction

-Transformer-Based Language Model

[From \[Paper Review\] FreeLB: Enhanced Adversarial Training for Natural Language Understanding \(Myeongsup Kim, 2021\)](#)

## <Fine-Tuning>

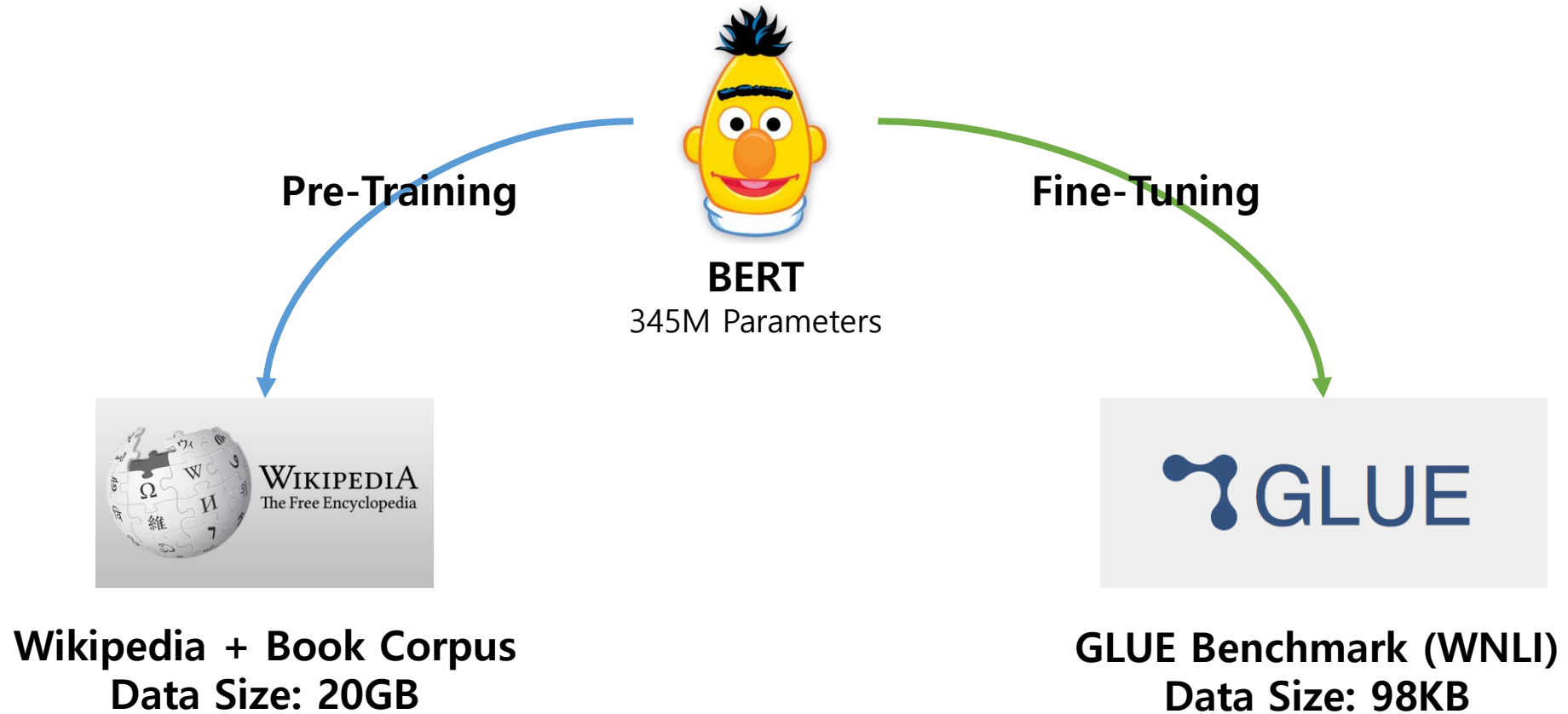
Ground Truth of  
Specific Task



# Introduction

-Transformer-Based Language Model

## <Complexity of Language Model>



## Introduction

-Transformer-Based Language Model

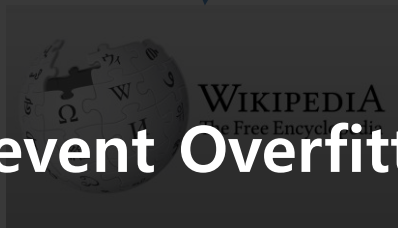
### <Complexity of Language Model>

**There is a Risk of Overfitting Because the Amount of Data is Smaller When Fine-Tuning Model than When Pre-Training Model**



BERT

345M Parameters



Wikipedia: 2,500M words  
Book Corpus: 800M words



IMDB: 50,000 Text Data

**How to Prevent Overfitting when Fine-Tuning The Large Language Model?**

# **SMART: Robust and Efficient Fine-Tuning for Pre-Trained Natural Language Models through Principled Regularized Optimization**

*Jiang et al., 2020, ACL*

# **SMART: Robust and Efficient Fine-Tuning for Pre-Trained Natural Language Models through Principled Regularized Optimization**

*Jiang et al., 2020, ACL*

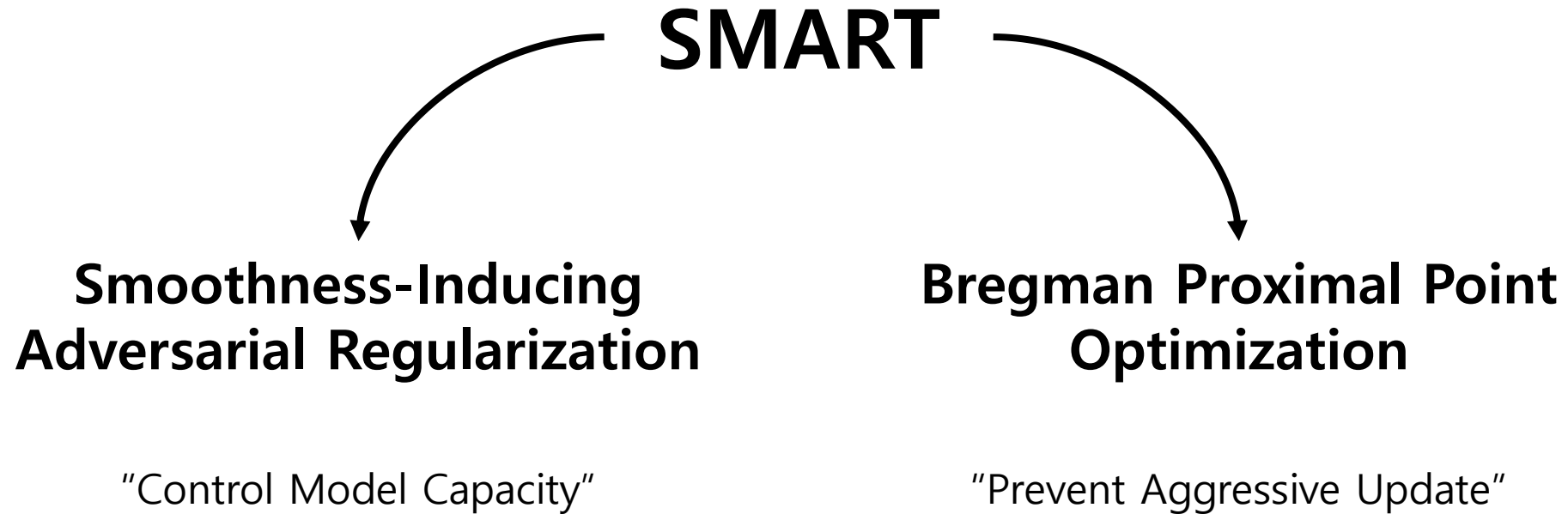
# Method

- **Smoothness-Inducing Adversarial Regularization**
- **Bregman Proximal Point Optimization**

## Method

-Overall Purpose

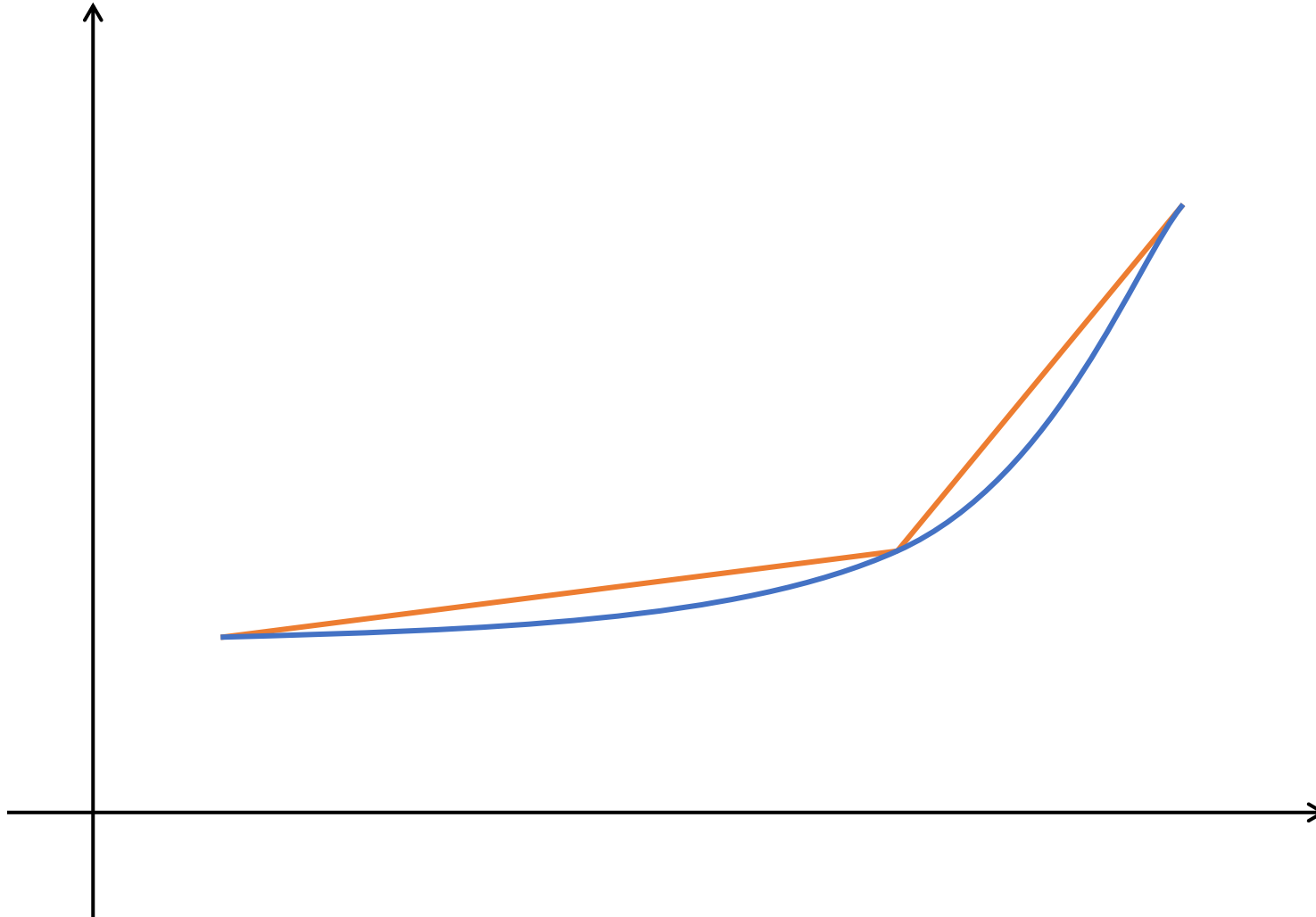
<Overall Purpose of SMART>



## Method

-Smoothness-Inducing Adversarial Regularization

<Smoothness>

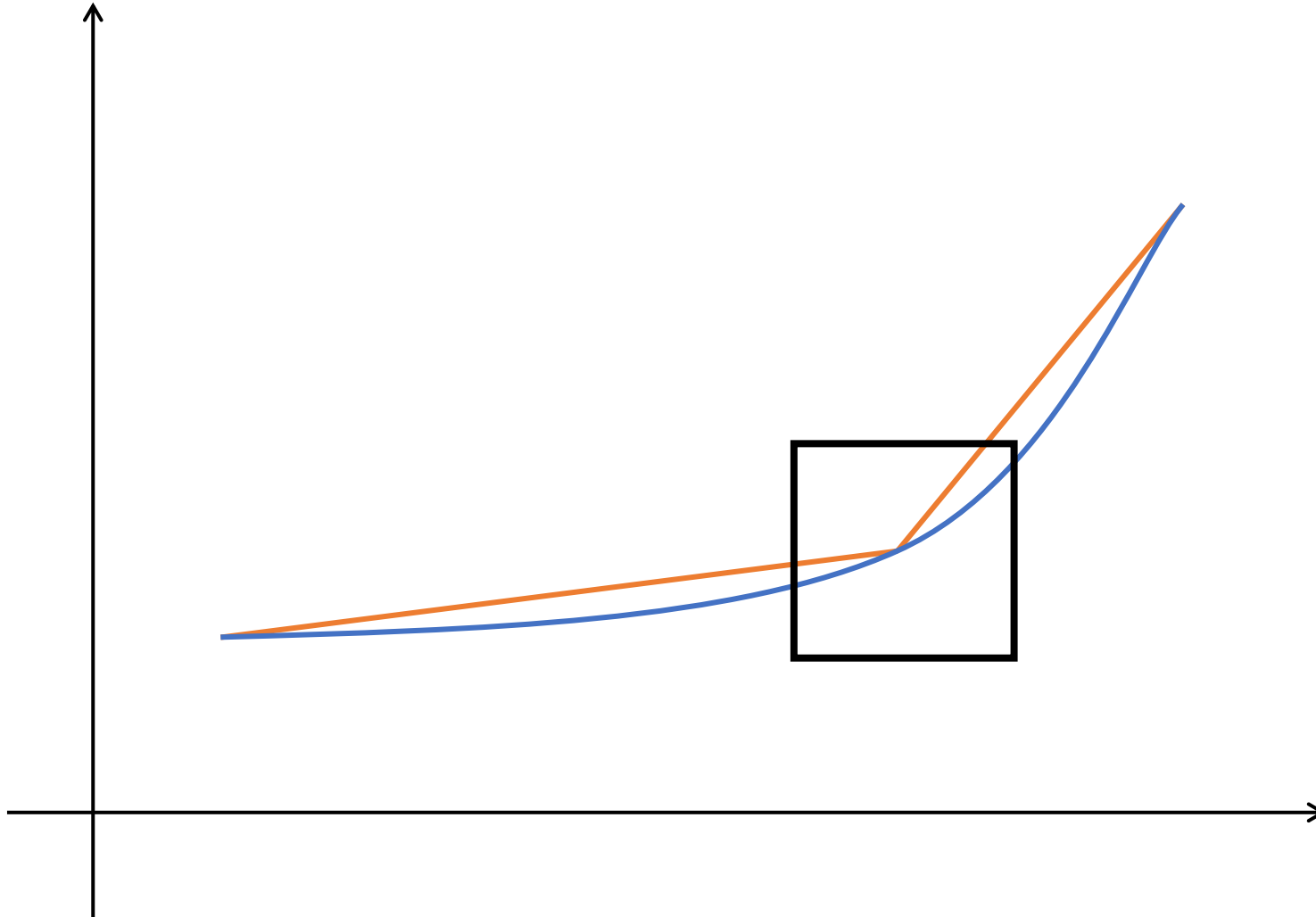




## Method

-Smoothness-Inducing Adversarial Regularization

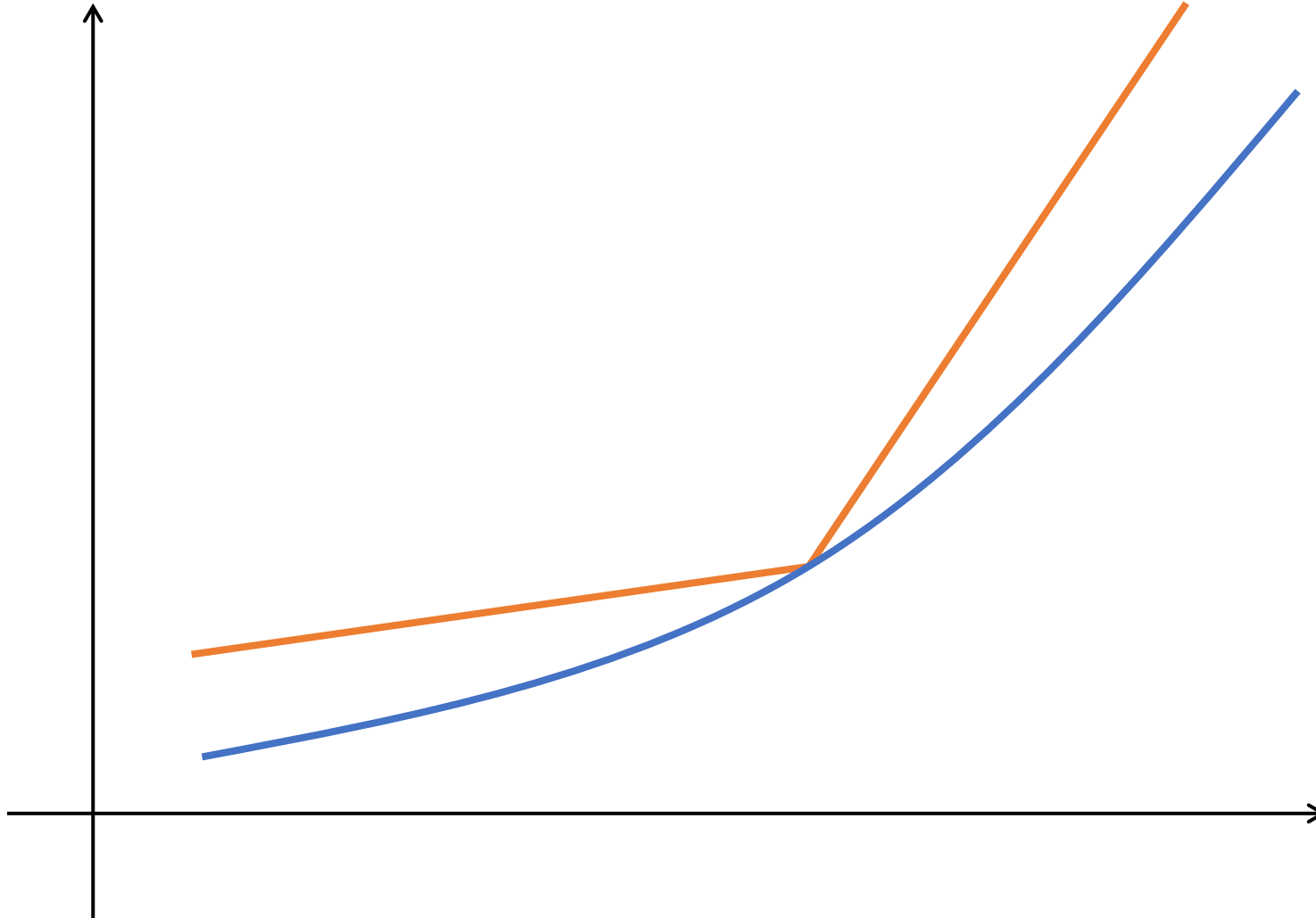
<Smoothness>



## Method

-Smoothness-Inducing Adversarial Regularization

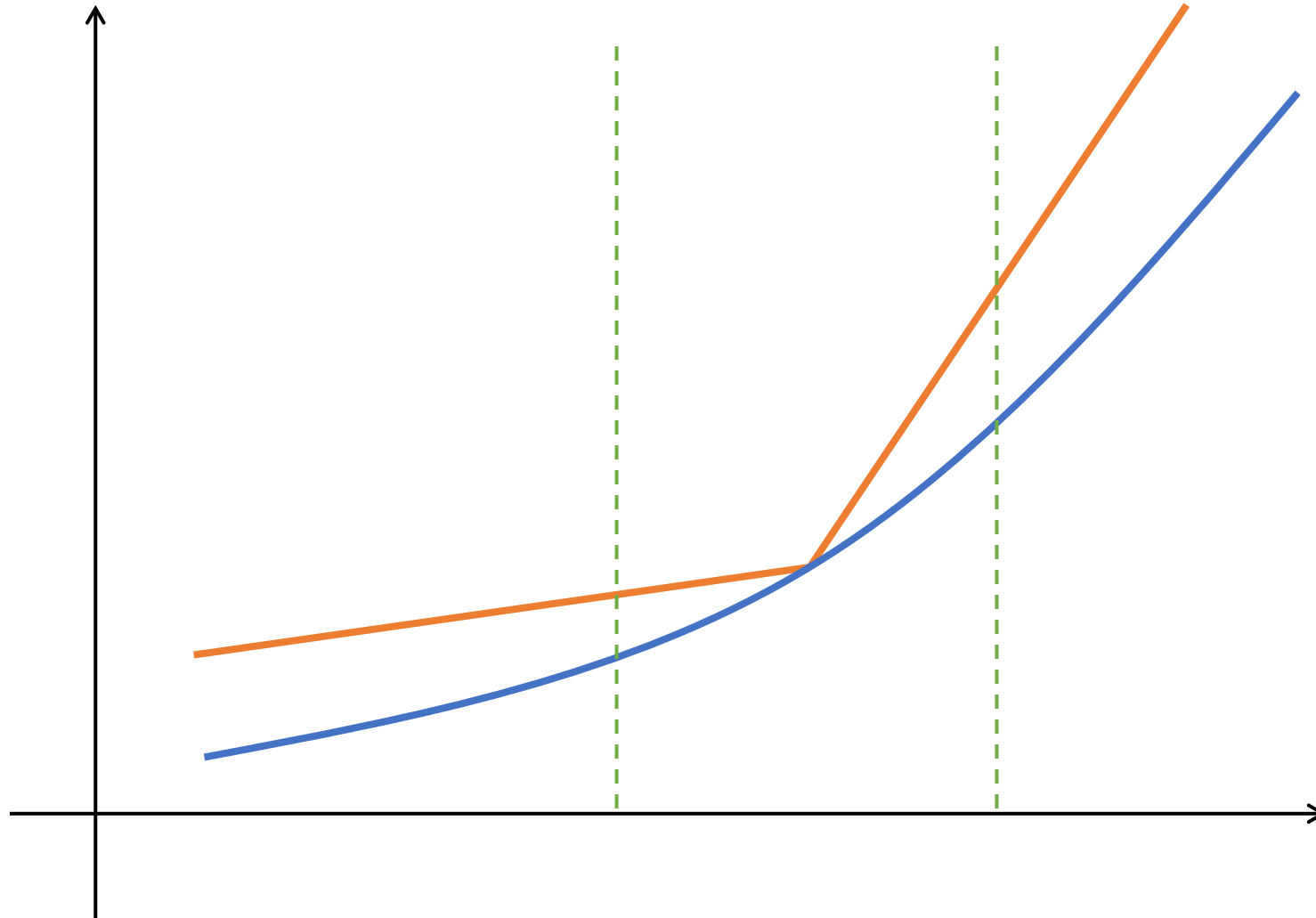
<Smoothness>



## Method

-Smoothness-Inducing Adversarial Regularization

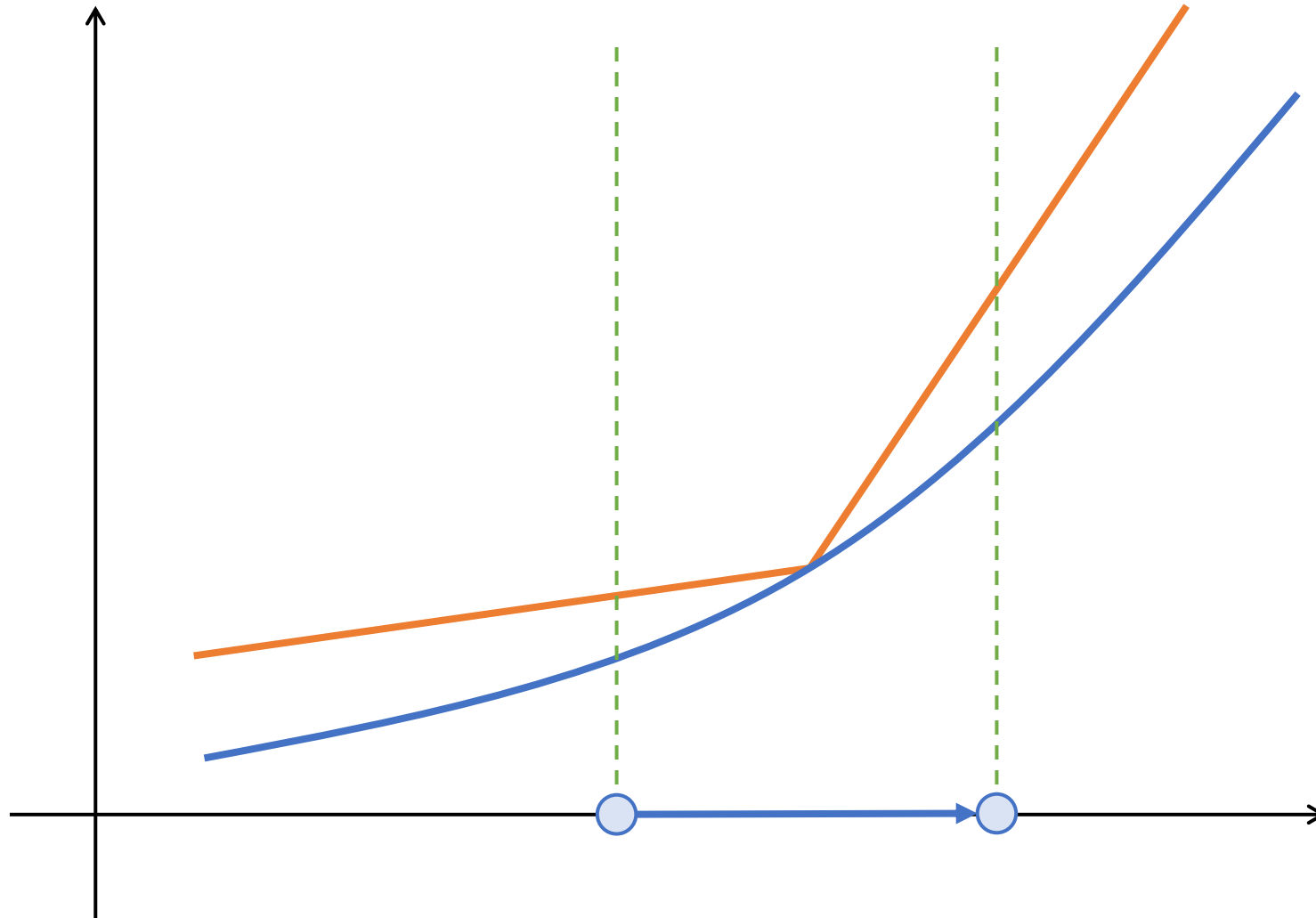
<Smoothness>



## Method

-Smoothness-Inducing Adversarial Regularization

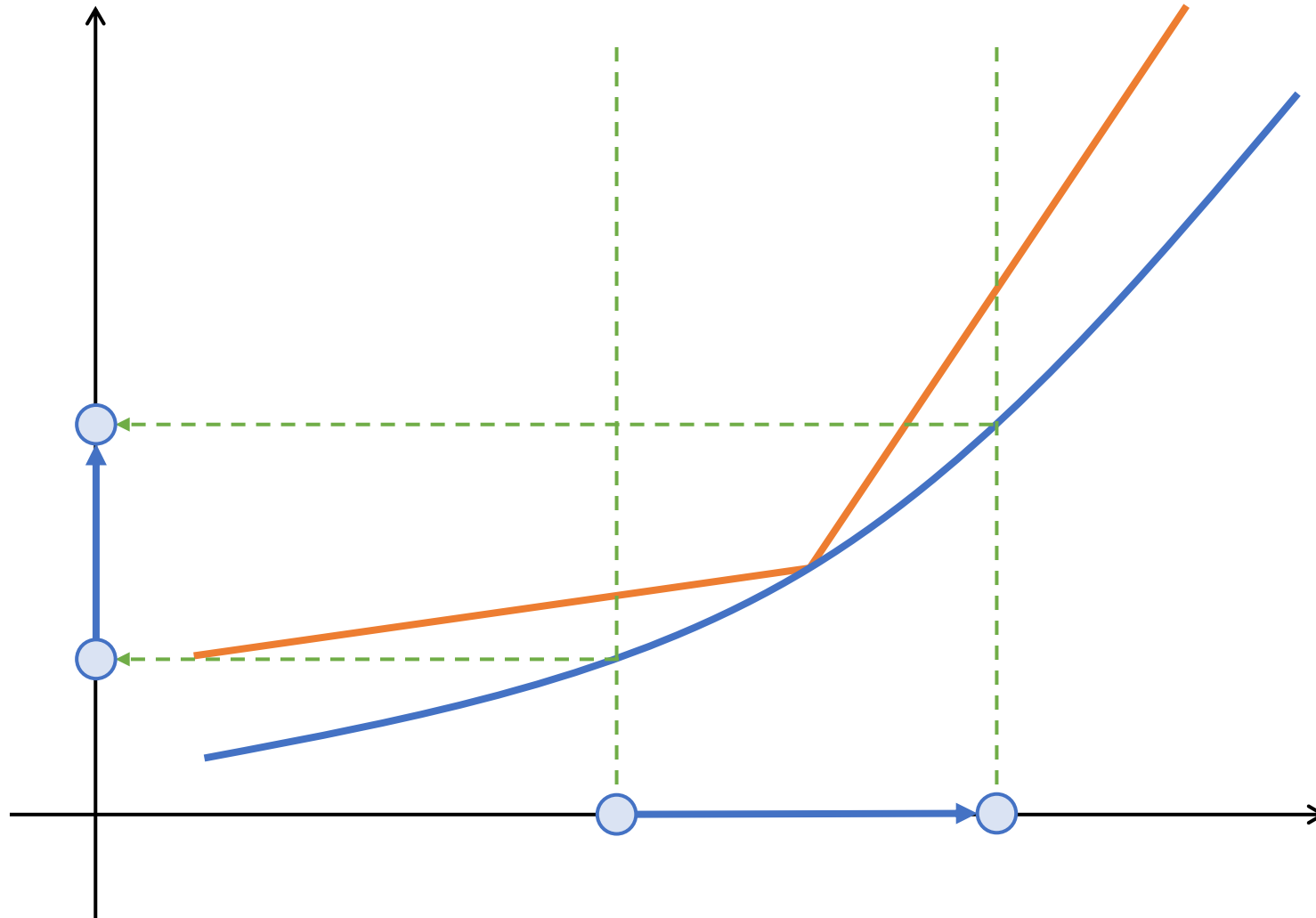
<Smoothness>



## Method

-Smoothness-Inducing Adversarial Regularization

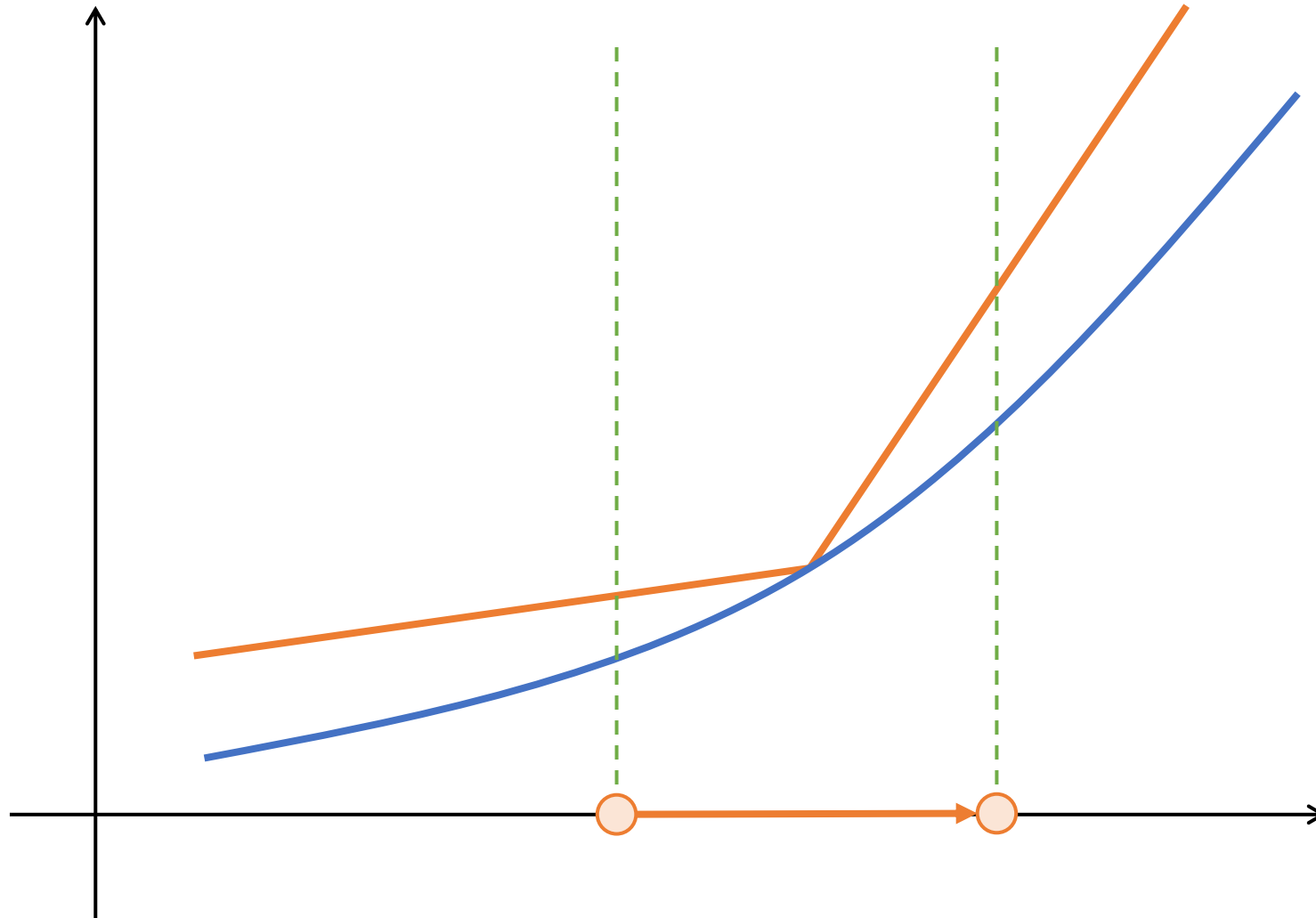
<Smoothness>



## Method

-Smoothness-Inducing Adversarial Regularization

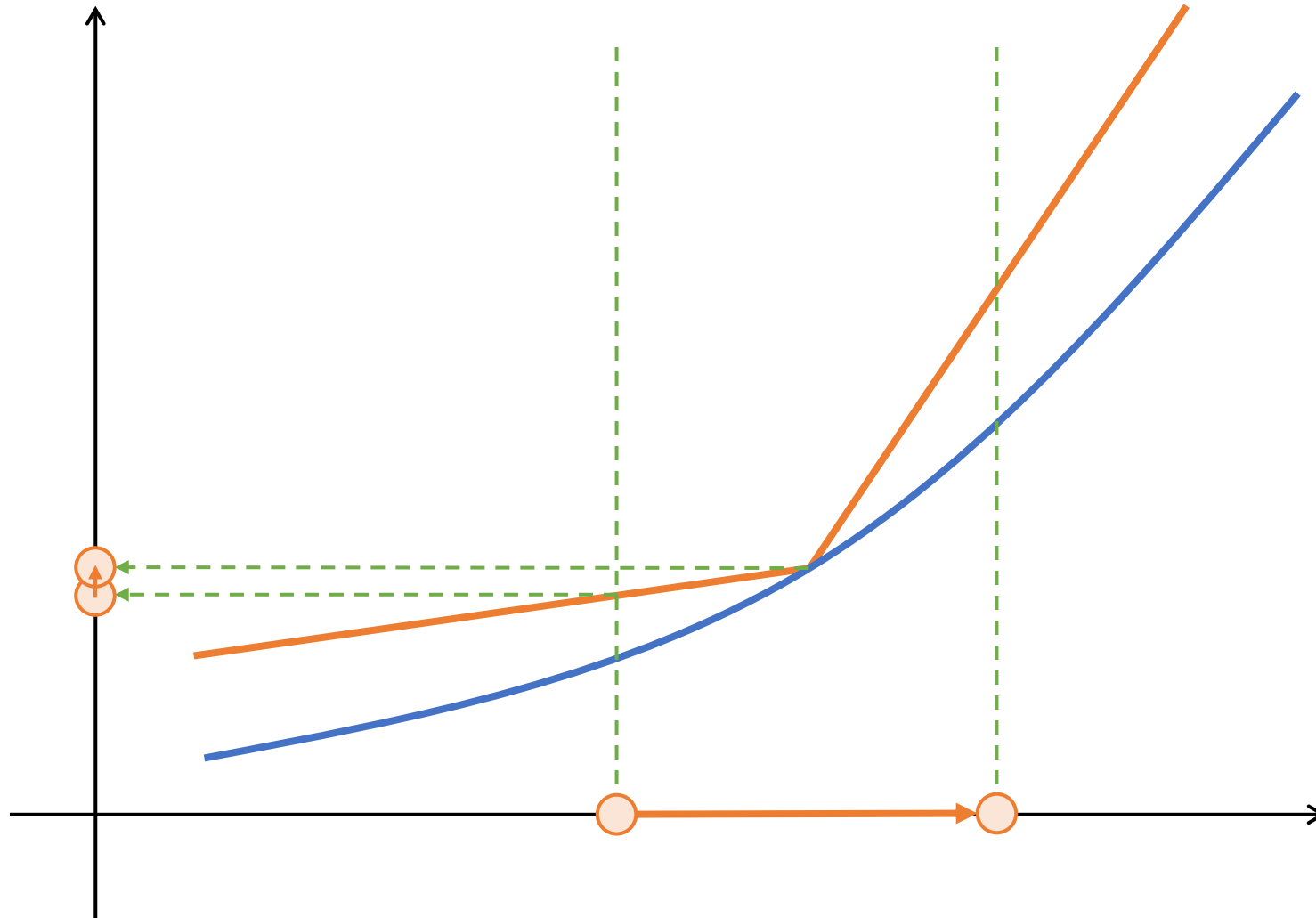
<Smoothness>



# Method

-Smoothness-Inducing Adversarial Regularization

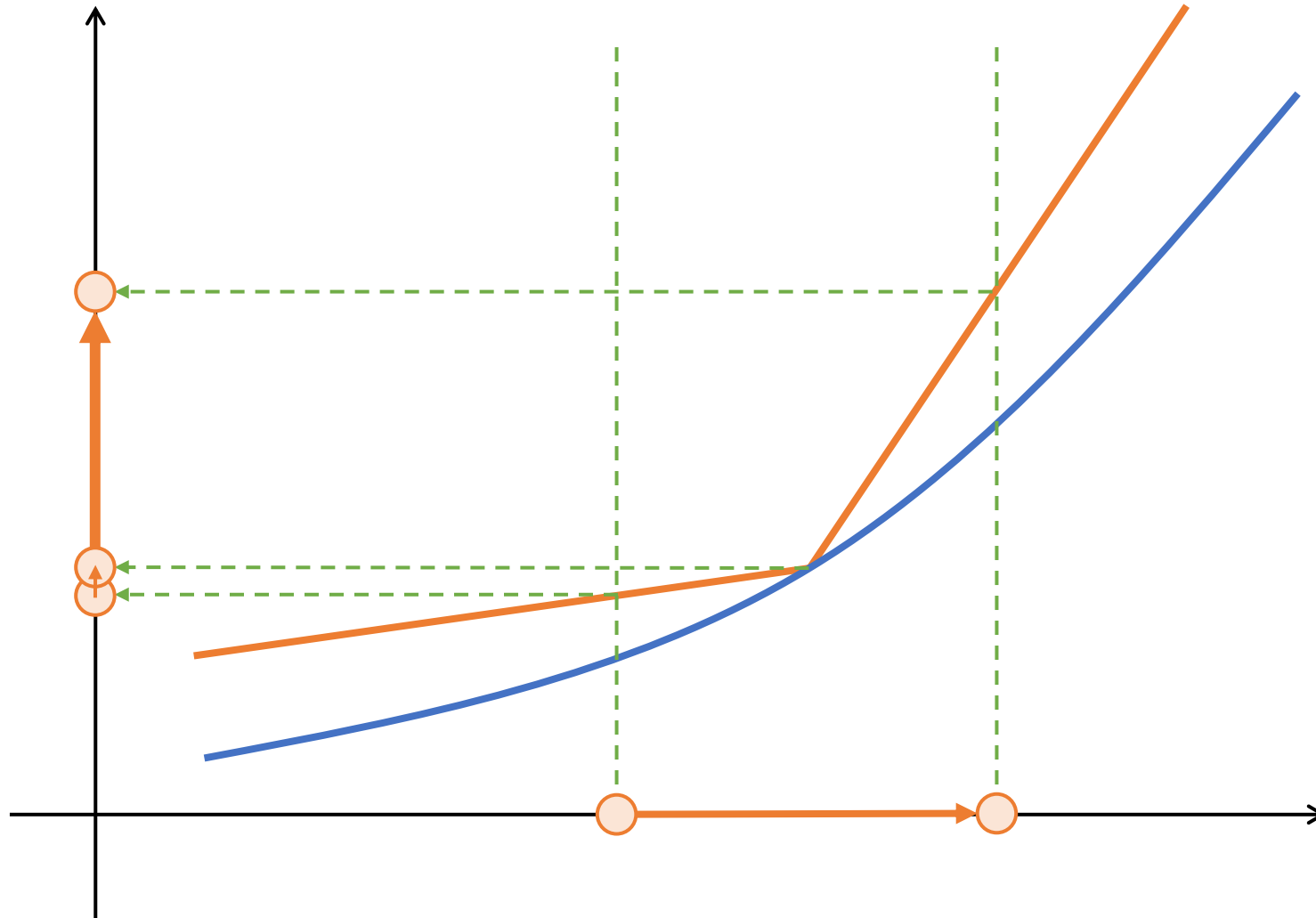
<Smoothness>



# Method

-Smoothness-Inducing Adversarial Regularization

<Smoothness>

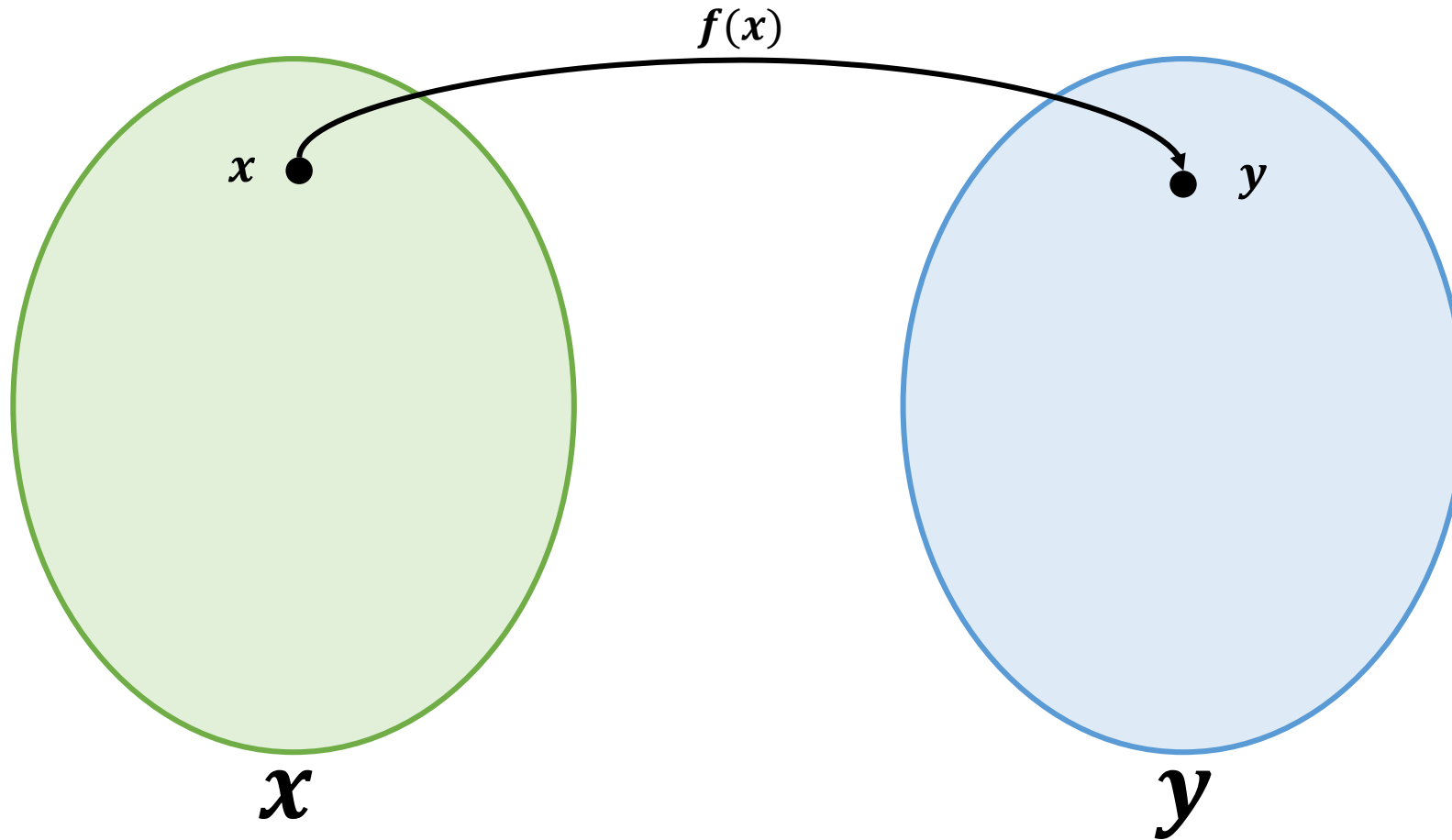




## Method

-Smoothness-Inducing Adversarial Regularization

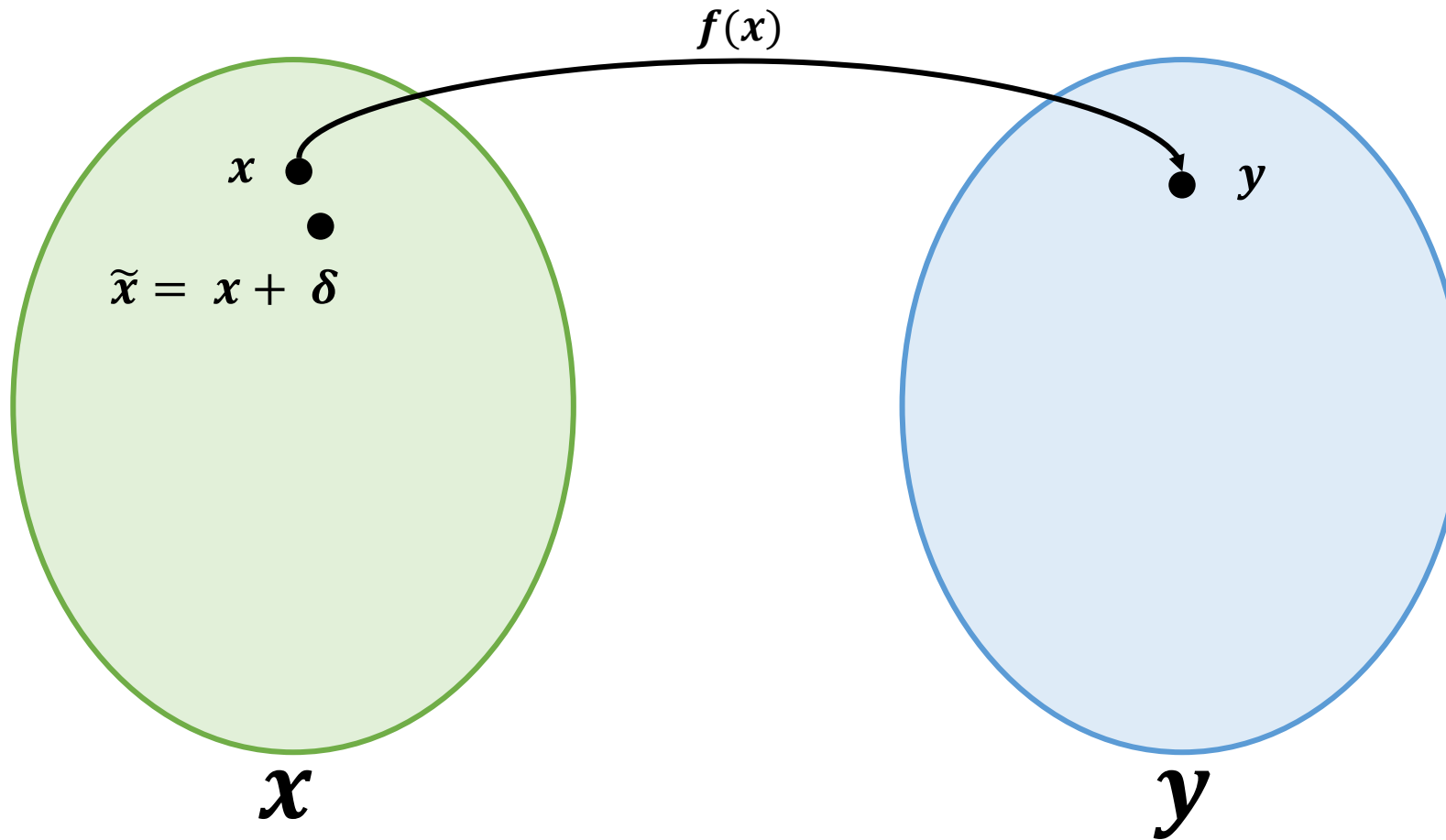
### <Adversarial Regularization>



## Method

-Smoothness-Inducing Adversarial Regularization

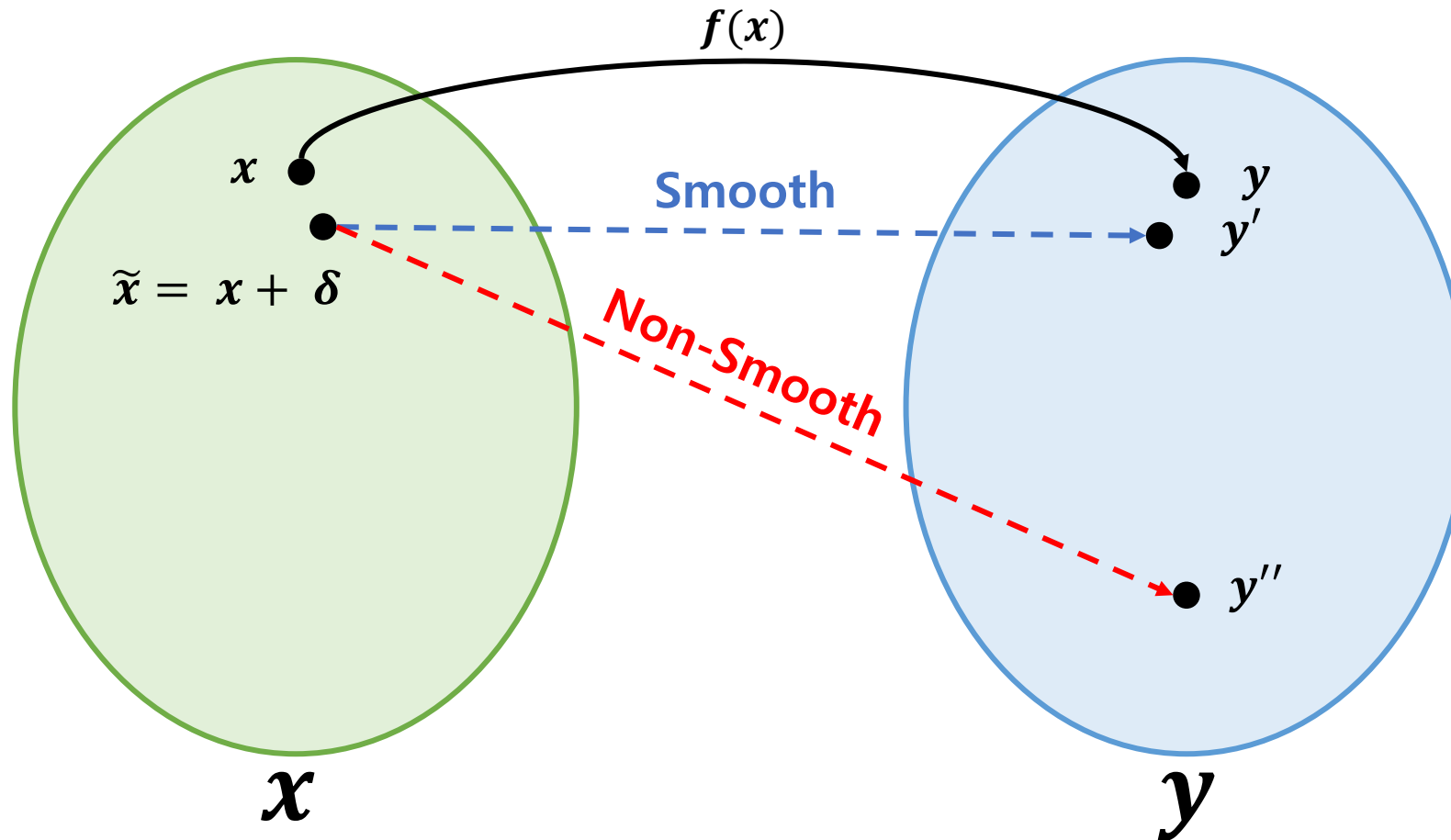
### <Adversarial Regularization>



## Method

-Smoothness-Inducing Adversarial Regularization

### <Adversarial Regularization>



# Method

-Smoothness-Inducing Adversarial Regularization

## <Notations>

$x_i$  : Embedding

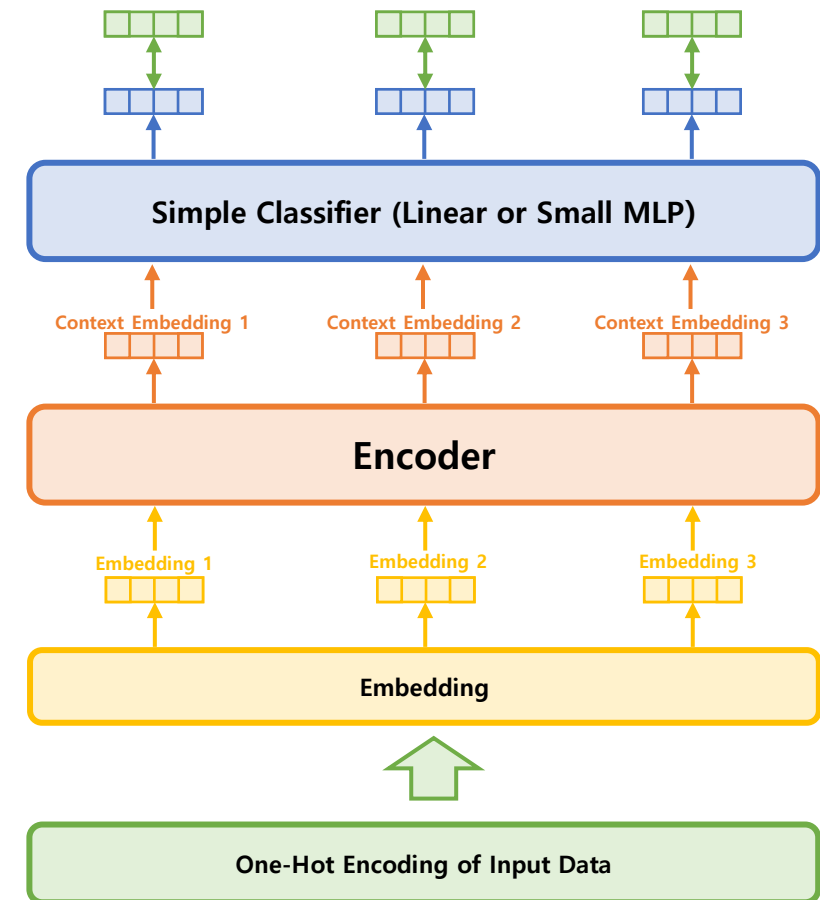
$f(x_i; \theta)$ : Language Model (Encoder) as Function

$\theta$ : All Learnable Parameter in Language Model

$y_i$ : Label

$\delta$ : Perturbation

$\tilde{x}_i = x_i + \delta$ : Adversarial Example



## Method

-Smoothness-Inducing Adversarial Regularization

### <Adversarial Regularization>

$$\min_{\theta} \mathcal{F}(\theta) = \mathcal{L}(\theta) + \lambda_s \mathcal{R}_s(\theta)$$

$$\mathcal{L}(\theta) = \frac{1}{n} \sum_{i=1}^n \ell(f(x_i; \theta), y_i)$$

$$\mathcal{R}_s(\theta) = \frac{1}{n} \sum_{i=1}^n \max_{\|\tilde{x}_i - x_i\|_p \leq \epsilon} \ell_s(f(\tilde{x}_i; \theta), f(x_i; \theta))$$

$$\ell_s(P, Q) = \mathcal{D}_{KL}(P||Q) + \mathcal{D}_{KL}(Q||P)$$

## Method

### -Smoothness-Inducing Adversarial Regularization

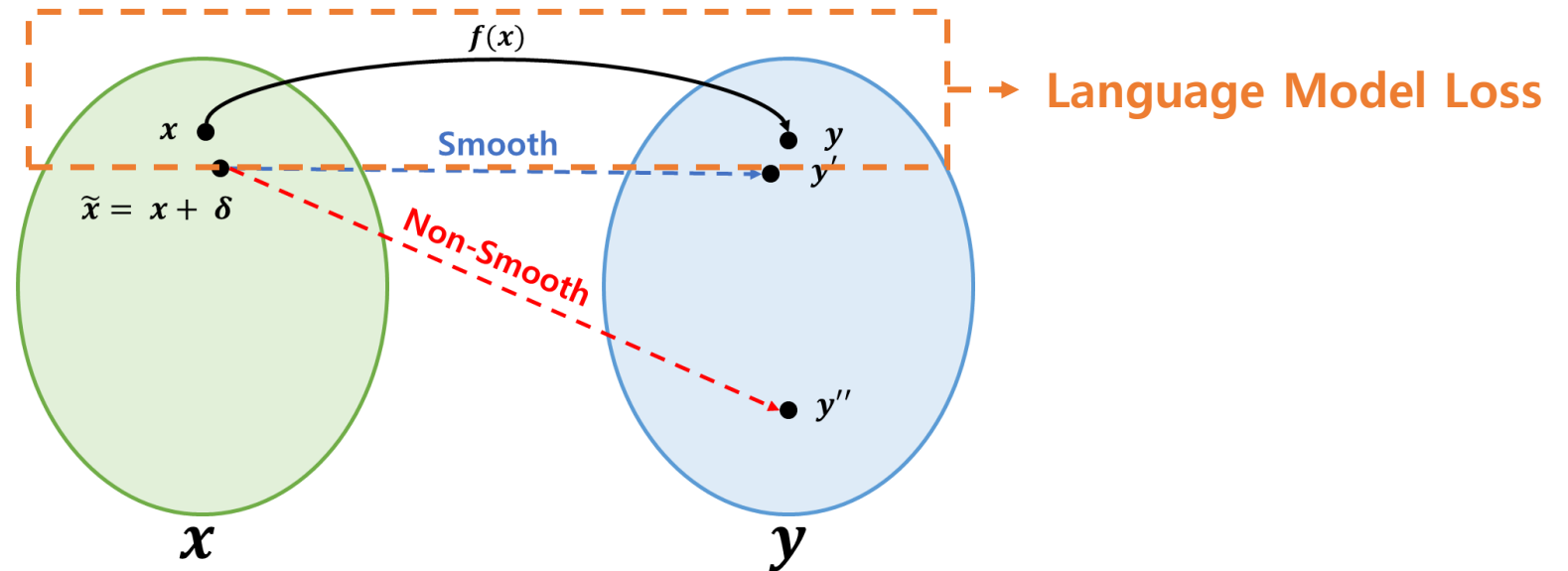
## <Adversarial Regularization>

$$\min_{\theta} \mathcal{F}(\theta) = \mathcal{L}(\theta) + \lambda_s \mathcal{R}_s(\theta)$$

$$\mathcal{L}(\theta) = \frac{1}{n} \sum_{i=1}^n \ell(f(x_i; \theta), y_i)$$

$$\mathcal{R}_s(\theta) = \frac{1}{n} \sum_{i=1}^n \max_{\|\tilde{x}_i - x_i\|_p \leq \epsilon} \ell_s(f(\tilde{x}_i; \theta), f(x_i; \theta))$$

$$\ell_s(P, Q) = \mathcal{D}_{KL}(P||Q) + \mathcal{D}_{KL}(Q||P)$$



## Method

### -Smoothness-Inducing Adversarial Regularization

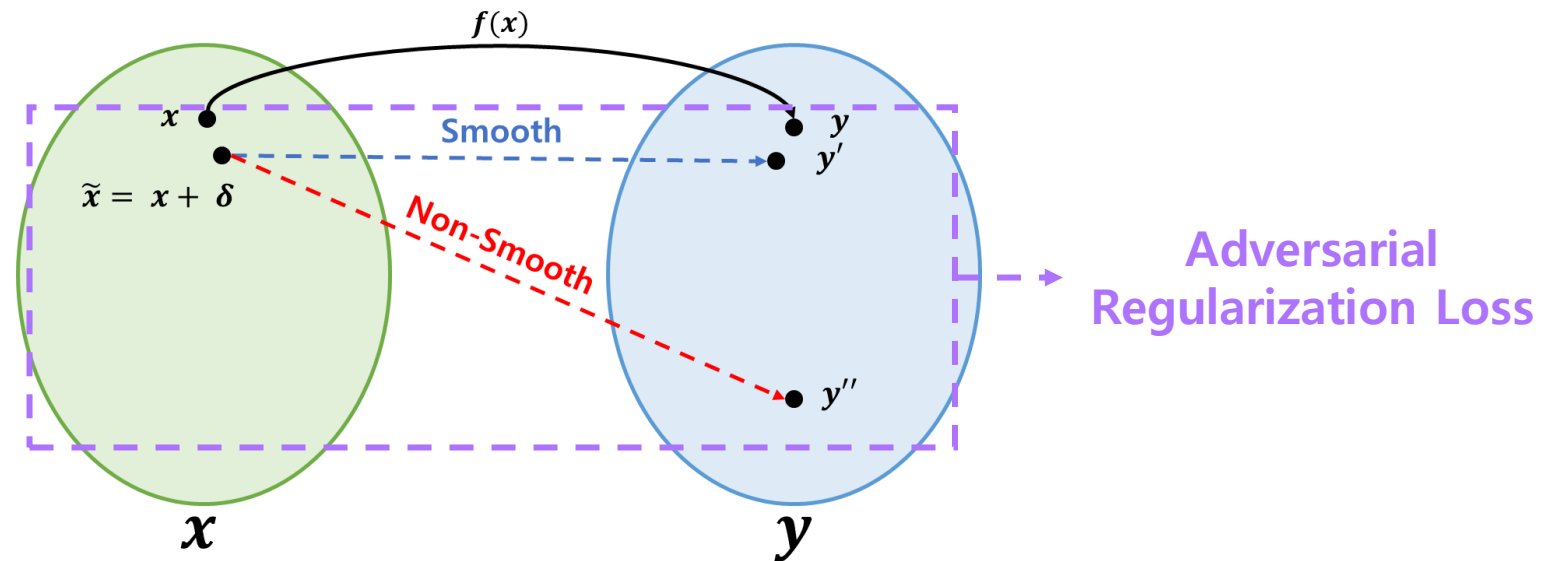
## <Adversarial Regularization>

$$\min_{\theta} \mathcal{F}(\theta) = \mathcal{L}(\theta) + \lambda_s \mathcal{R}_s(\theta)$$

$$\mathcal{L}(\theta) = \frac{1}{n} \sum_{i=1}^n \ell(f(x_i; \theta), y_i)$$

$$\mathcal{R}_s(\theta) = \frac{1}{n} \sum_{i=1}^n \max_{\|\tilde{x}_i - x_i\|_p \leq \epsilon} \ell_s(f(\tilde{x}_i; \theta), f(x_i; \theta))$$

$$\ell_s(P, Q) = \mathcal{D}_{KL}(P||Q) + \mathcal{D}_{KL}(Q||P)$$



# Method

-Smoothness-Inducing Adversarial Regularization

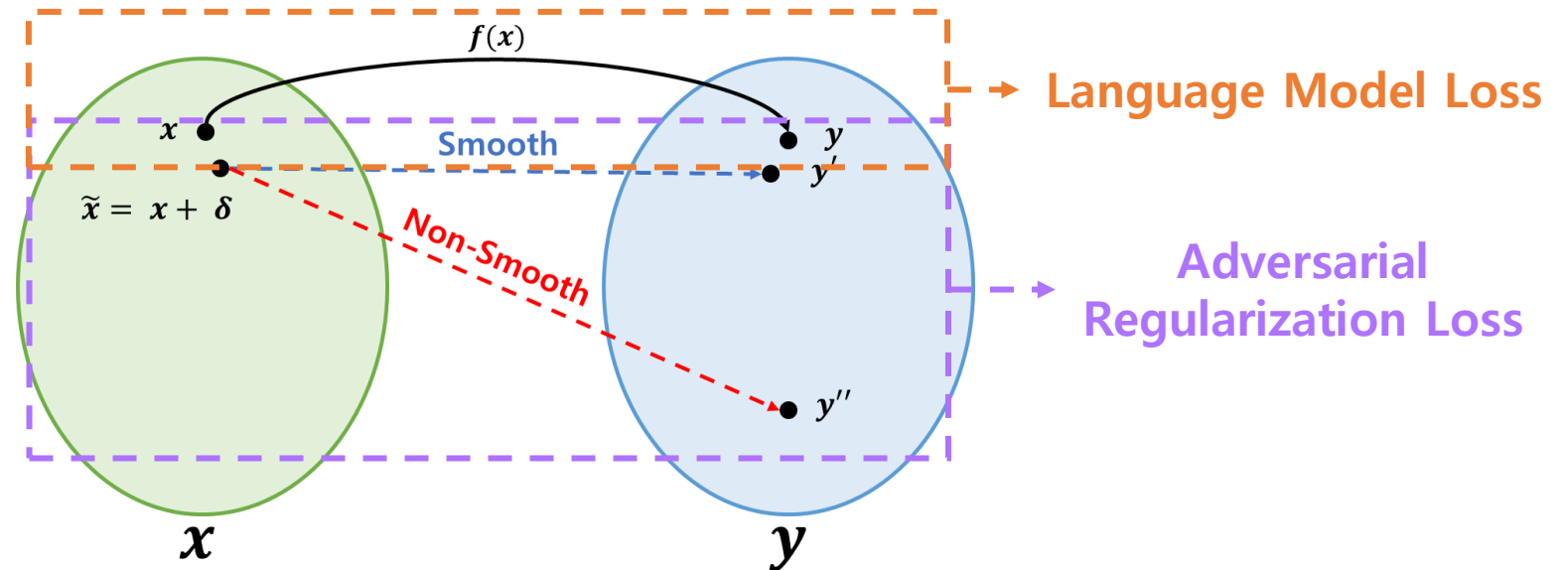
## <Adversarial Regularization>

$$\min_{\theta} \mathcal{F}(\theta) = \mathcal{L}(\theta) + \lambda_s \mathcal{R}_s(\theta)$$

$$\mathcal{L}(\theta) = \frac{1}{n} \sum_{i=1}^n \ell(f(x_i; \theta), y_i)$$

$$\mathcal{R}_s(\theta) = \frac{1}{n} \sum_{i=1}^n \max_{\|\tilde{x}_i - x_i\|_p \leq \epsilon} \ell_s(f(\tilde{x}_i; \theta), f(x_i; \theta))$$

$$\ell_s(P, Q) = \mathcal{D}_{KL}(P||Q) + \mathcal{D}_{KL}(Q||P)$$





# Method

## -Smoothness-Inducing Adversarial Regularization

### <SMART VS FreeLB>

$$\begin{aligned}\min_{\theta} \mathcal{F}(\theta) &= \mathcal{L}(\theta) + \lambda_s \mathcal{R}_s(\theta) \\ \mathcal{L}(\theta) &= \frac{1}{n} \sum_{i=1}^n \ell(f(x_i; \theta), y_i) \\ \mathcal{R}_s(\theta) &= \frac{1}{n} \sum_{i=1}^n \max_{\|\tilde{x}_i - x_i\|_p \leq \epsilon} \ell_s(f(\tilde{x}_i; \theta), f(x_i; \theta)) \\ \ell_s(P, Q) &= \mathcal{D}_{KL}(P||Q) + \mathcal{D}_{KL}(Q||P)\end{aligned}$$

#### <SMART>

"Adversarial Training to **Probability**"

Clean	0.1	0.7	0.1	0.1
-------	-----	-----	-----	-----

Adversarial	0.2	0.6	0.1	0.1
-------------	-----	-----	-----	-----

$$\begin{aligned}\min_{\theta} \mathbb{E}_{(Z, y) \sim \mathcal{D}} \left[ \max_{\|\delta\| \leq \epsilon} L(f_{\theta}(X + \delta), y) \right] \\ \delta_{t+1} = \Pi_{\|\delta\|_F \leq \epsilon} (\delta_t + \alpha g(\delta_t) / \|g(\delta_t)\|_F)\end{aligned}$$

#### <FreeLB>

"Adversarial Training to **Label**"

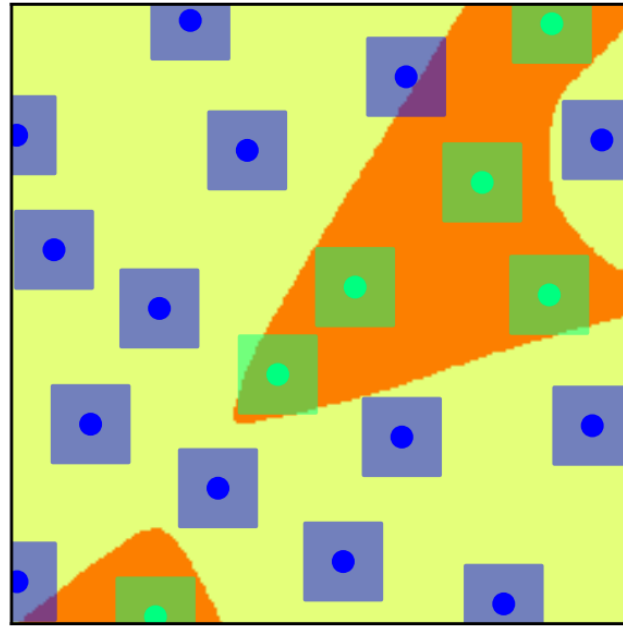
Label	0	1	0	0
-------	---	---	---	---

Adversarial	0.2	0.6	0.1	0.1
-------------	-----	-----	-----	-----

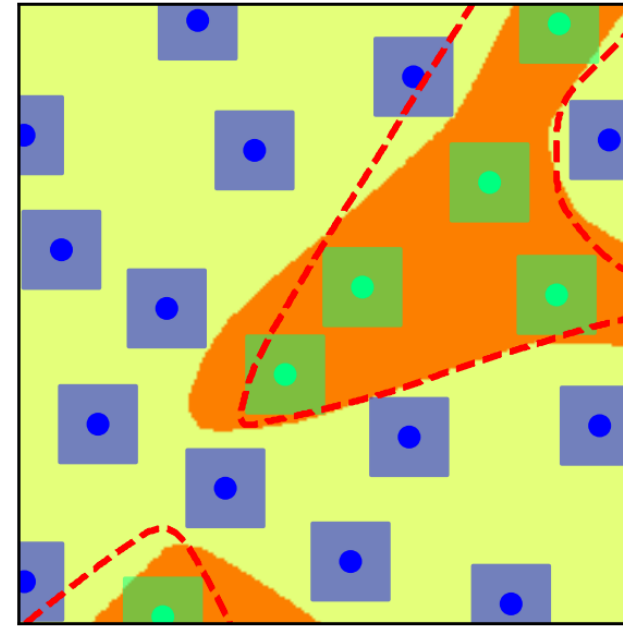
## Method

-Smoothness-Inducing Adversarial Regularization

### <Adversarial Regularization>



(a)



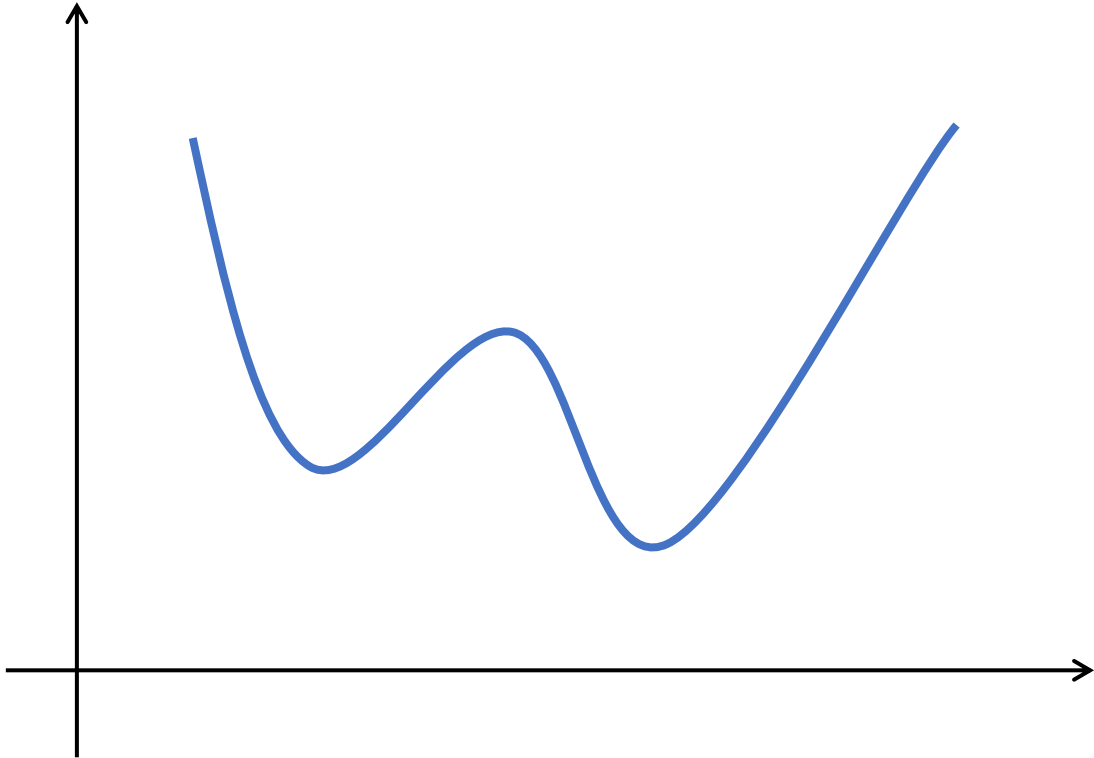
(b)

Decision Boundaries Learned without (a) and with (b) Smoothness-Inducing Adversarial Regularization

## Method

-Bregman Proximal Point Optimization

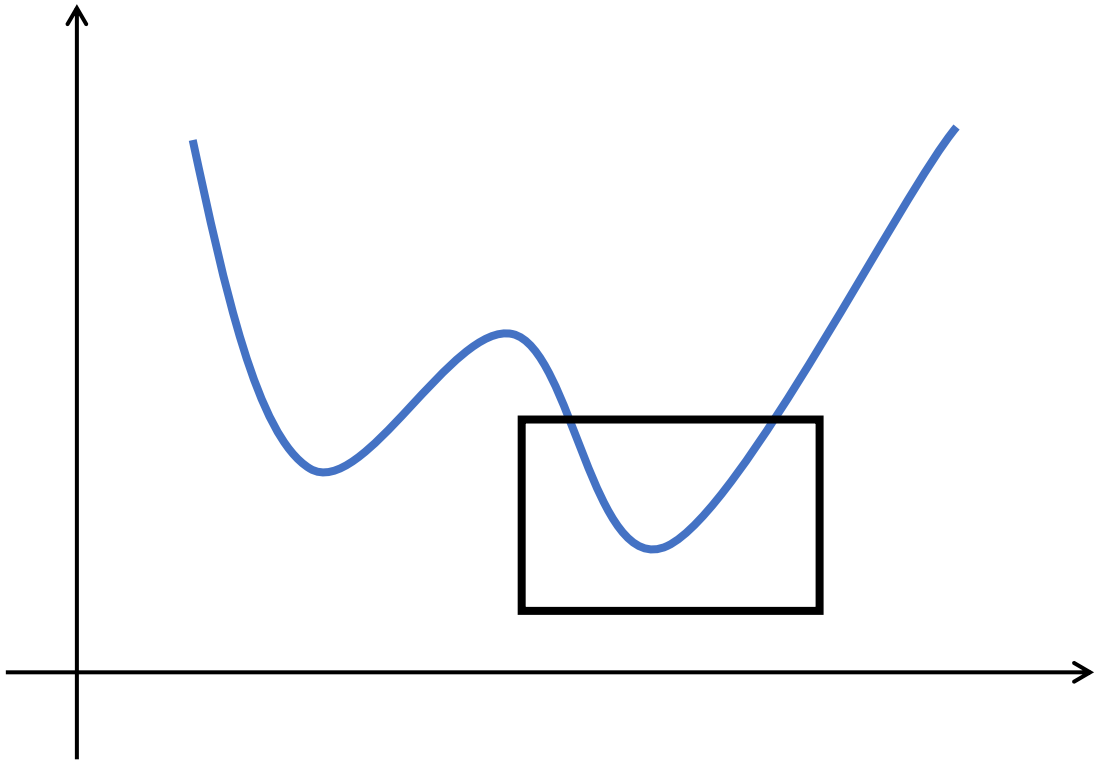
<Gradient Descent>



## Method

-Bregman Proximal Point Optimization

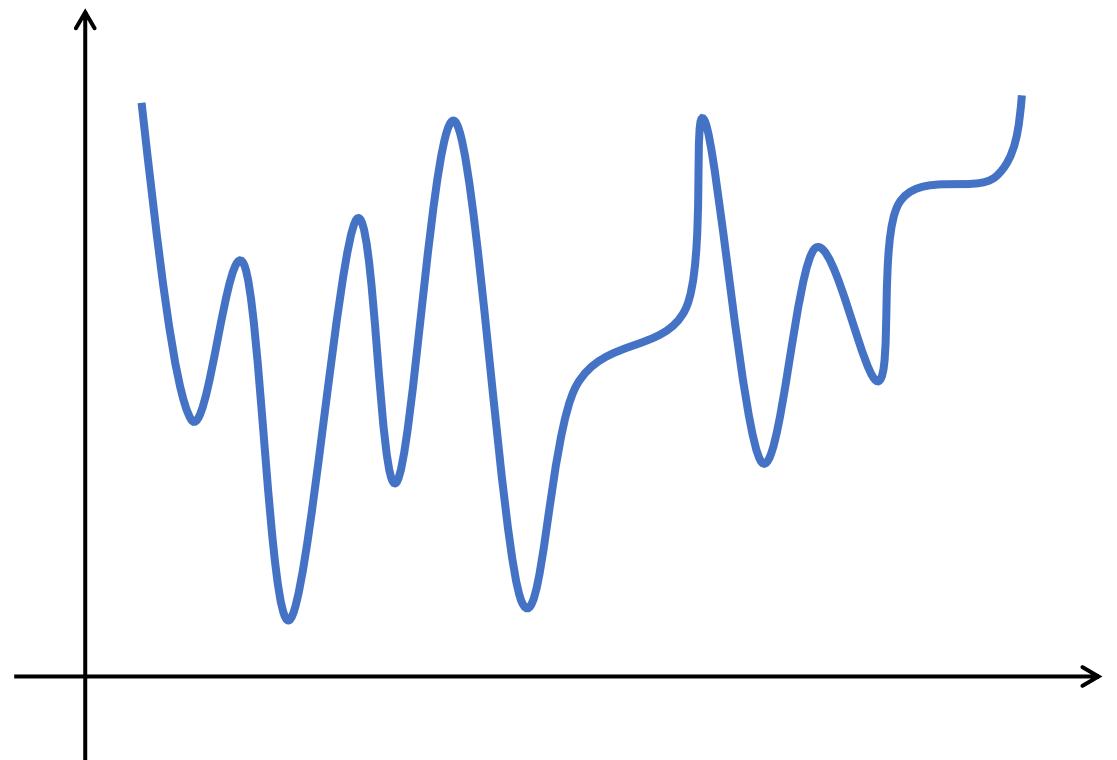
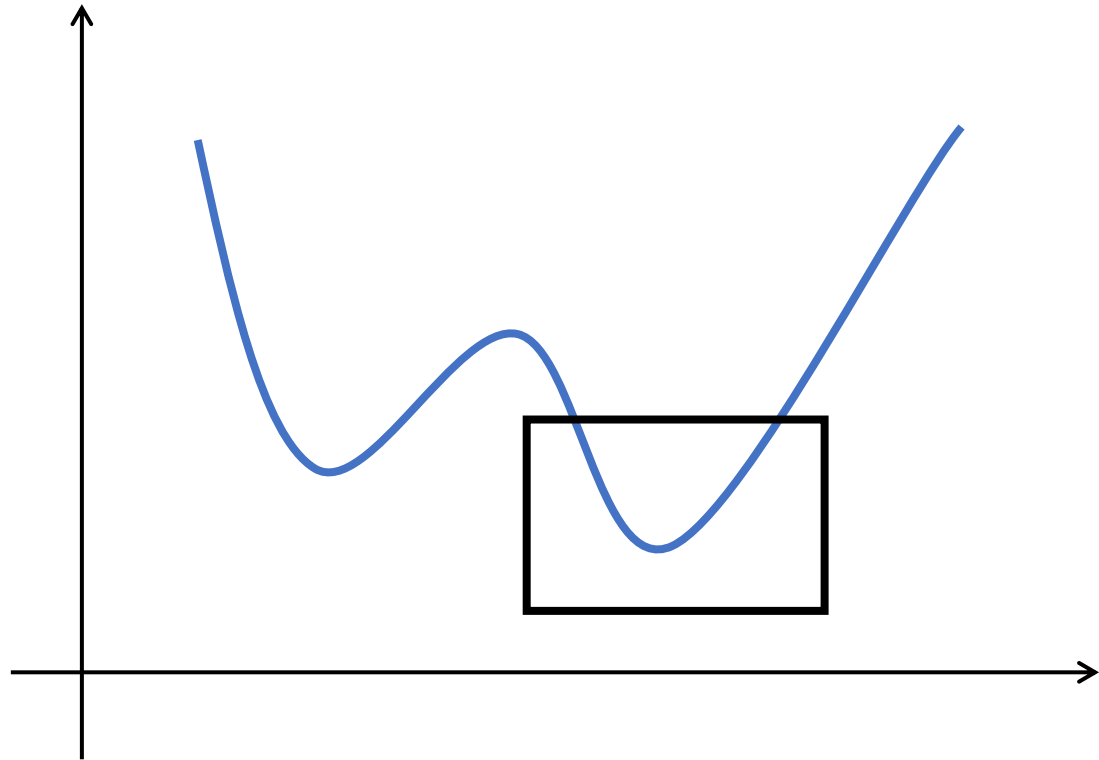
### <Gradient Descent>



## Method

-Bregman Proximal Point Optimization

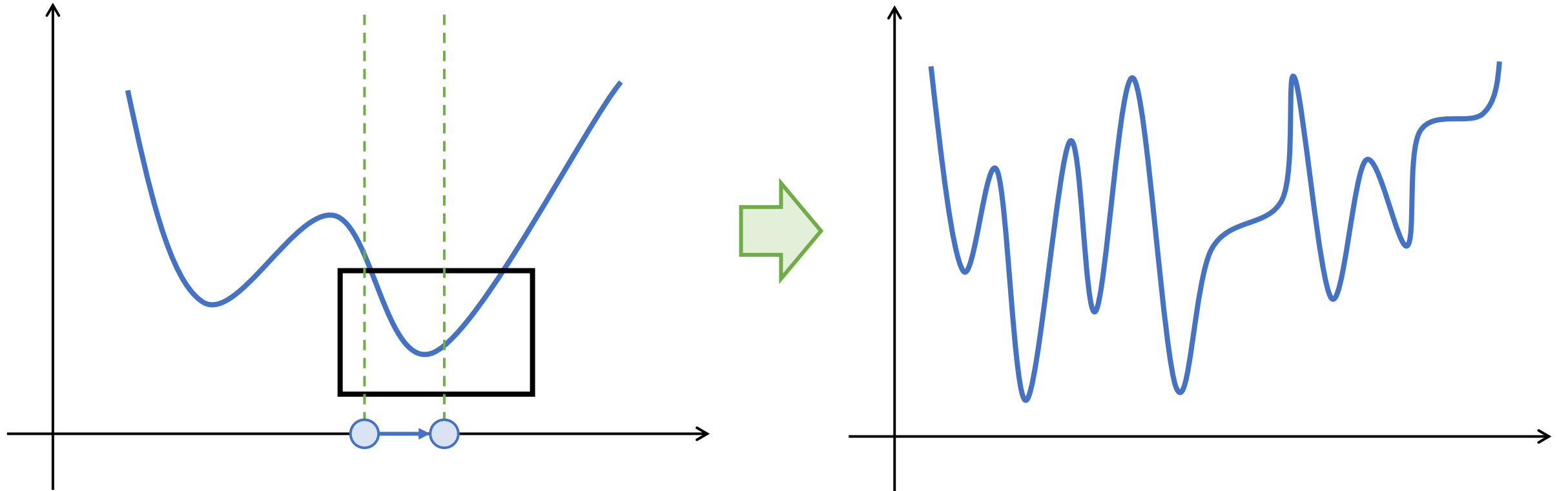
### <Gradient Descent>



## Method

-Bregman Proximal Point Optimization

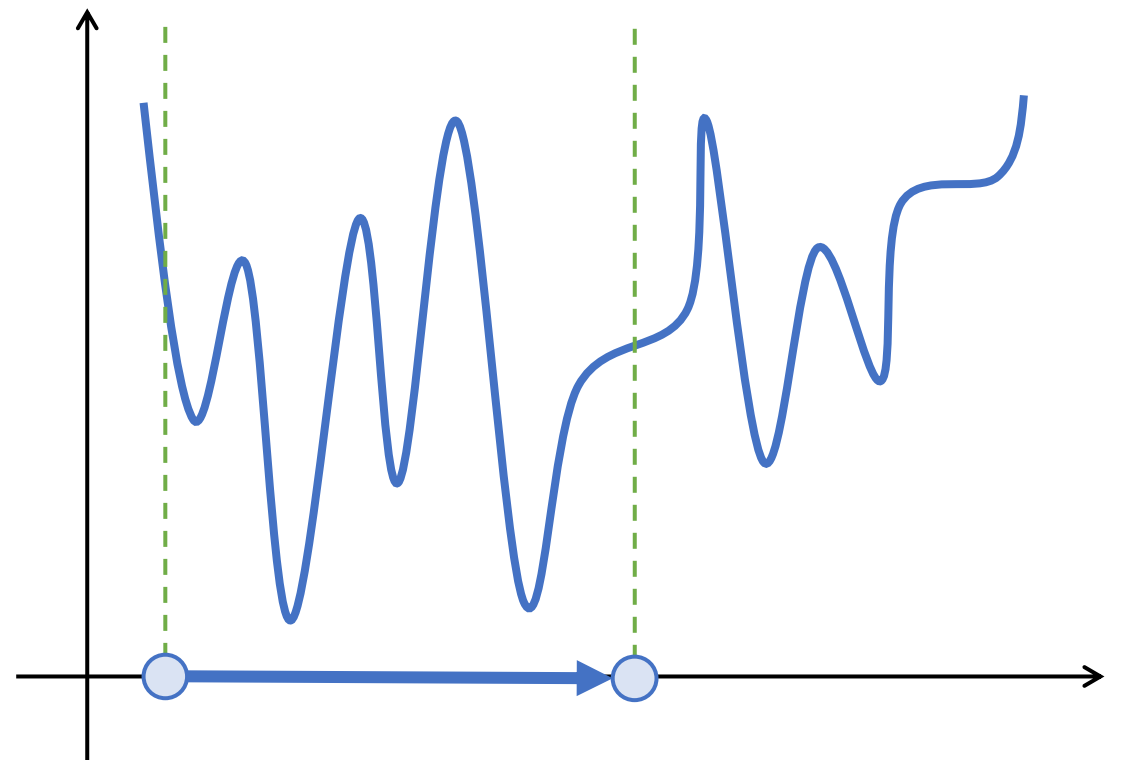
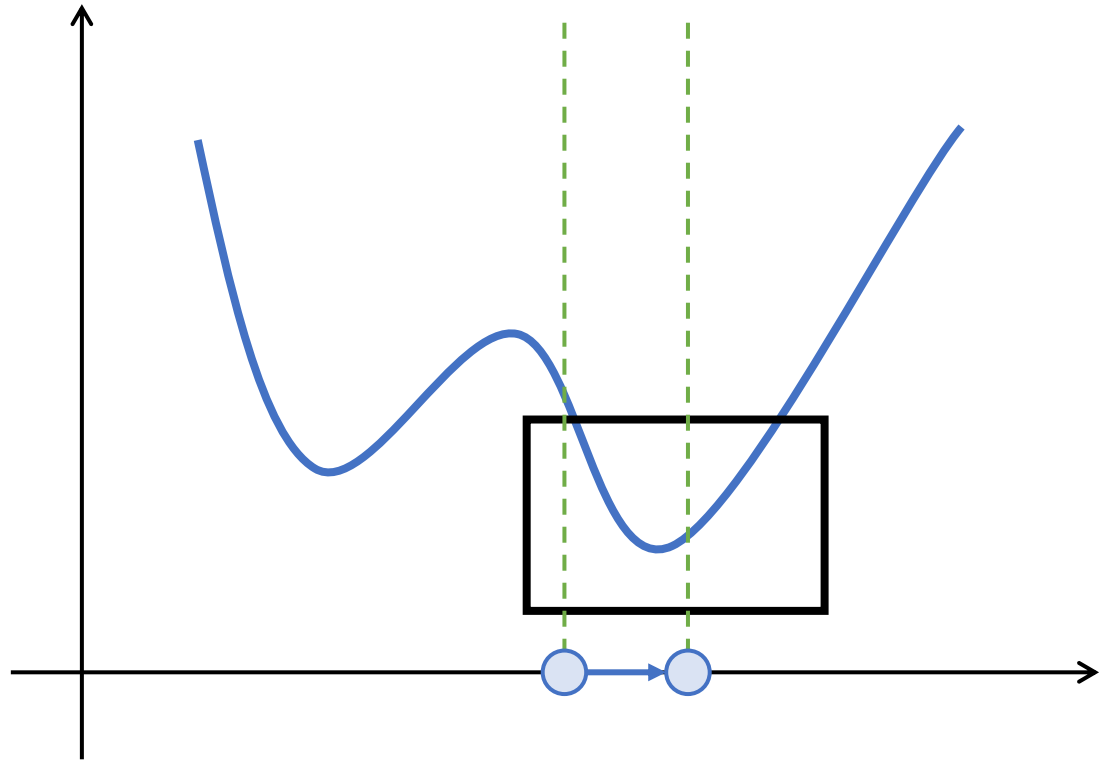
### <Gradient Descent>



## Method

-Bregman Proximal Point Optimization

### <Gradient Descent>



## Method

-Bregman Proximal Point Optimization

### <Bregman Proximal Point Optimization>

$f(\cdot; \theta_0)$ : Pre-Trained Model, Initialization

$$\theta_{t+1} = \arg \min_{\theta} \mathcal{F}(\theta) + \mu \mathcal{D}_{\text{Breg}}(\theta, \theta_t)$$

$$\mathcal{D}_{\text{Breg}}(\theta, \theta_t) = \frac{1}{n} \sum_{i=1}^n \ell_s(f(x_i; \theta), f(x_i; \theta_t))$$



## Method

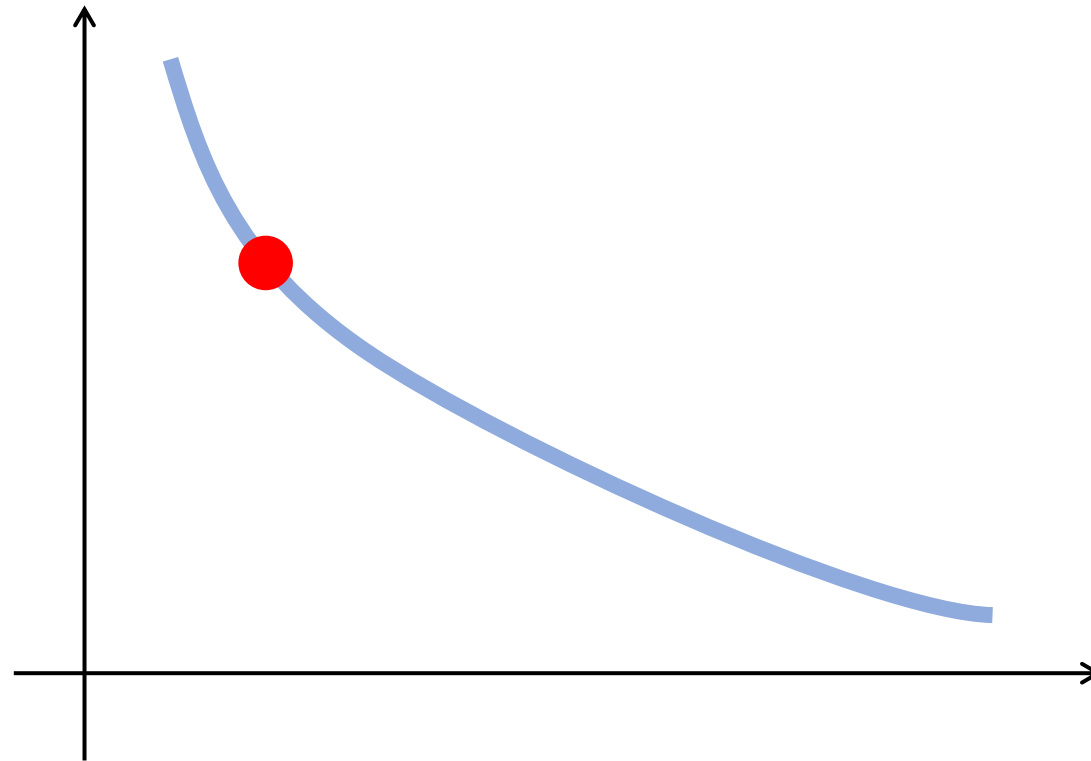
-Bregman Proximal Point Optimization

### <Bregman Proximal Point Optimization>

$f(\cdot; \theta_0)$ : Pre-Trained Model, Initialization

$$\theta_{t+1} = \arg \min_{\theta} \mathcal{F}(\theta) + \mu \mathcal{D}_{\text{Breg}}(\theta, \theta_t)$$

$$\mathcal{D}_{\text{Breg}}(\theta, \theta_t) = \frac{1}{n} \sum_{i=1}^n \ell_s(\mathbf{f}(\mathbf{x}_i; \boldsymbol{\theta}), f(x_i; \theta_t))$$



## Method

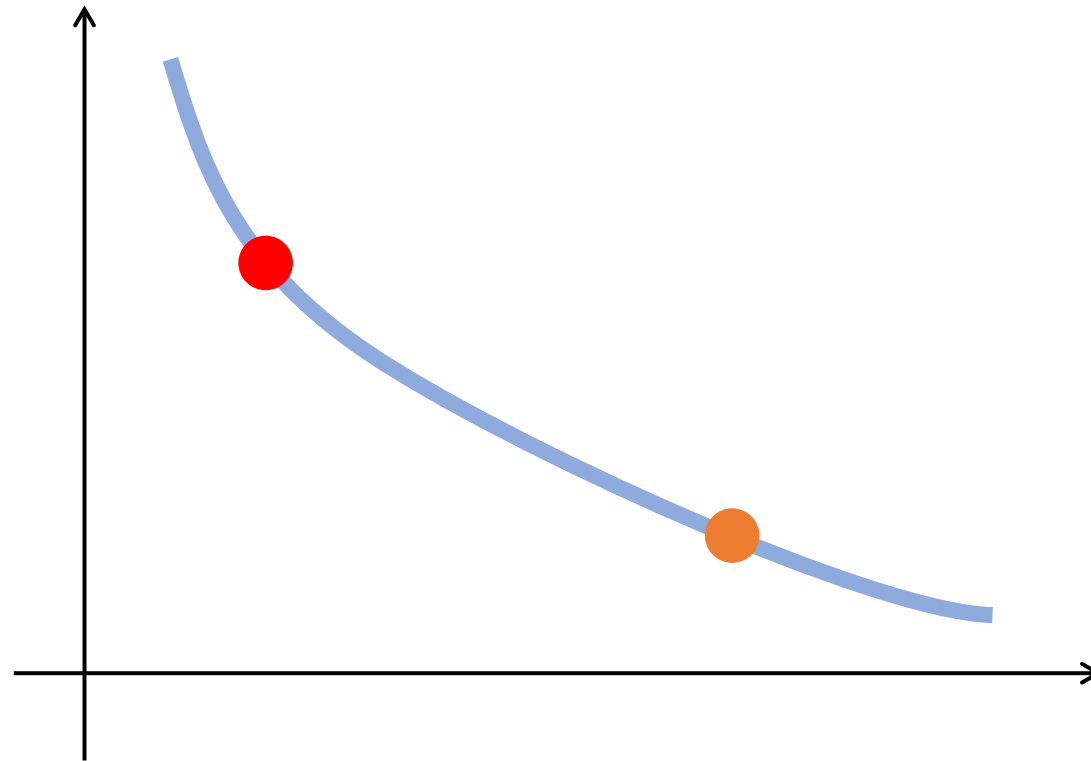
-Bregman Proximal Point Optimization

### <Bregman Proximal Point Optimization>

$f(\cdot; \theta_0)$ : Pre-Trained Model, Initialization

$$\theta_{t+1} = \arg \min_{\theta} \mathcal{F}(\theta) + \mu \mathcal{D}_{\text{Breg}}(\theta, \theta_t)$$

$$\mathcal{D}_{\text{Breg}}(\theta, \theta_t) = \frac{1}{n} \sum_{i=1}^n \ell_s(\mathbf{f}(\mathbf{x}_i; \boldsymbol{\theta}), \mathbf{f}(\mathbf{x}_i; \boldsymbol{\theta}_t))$$



## Method

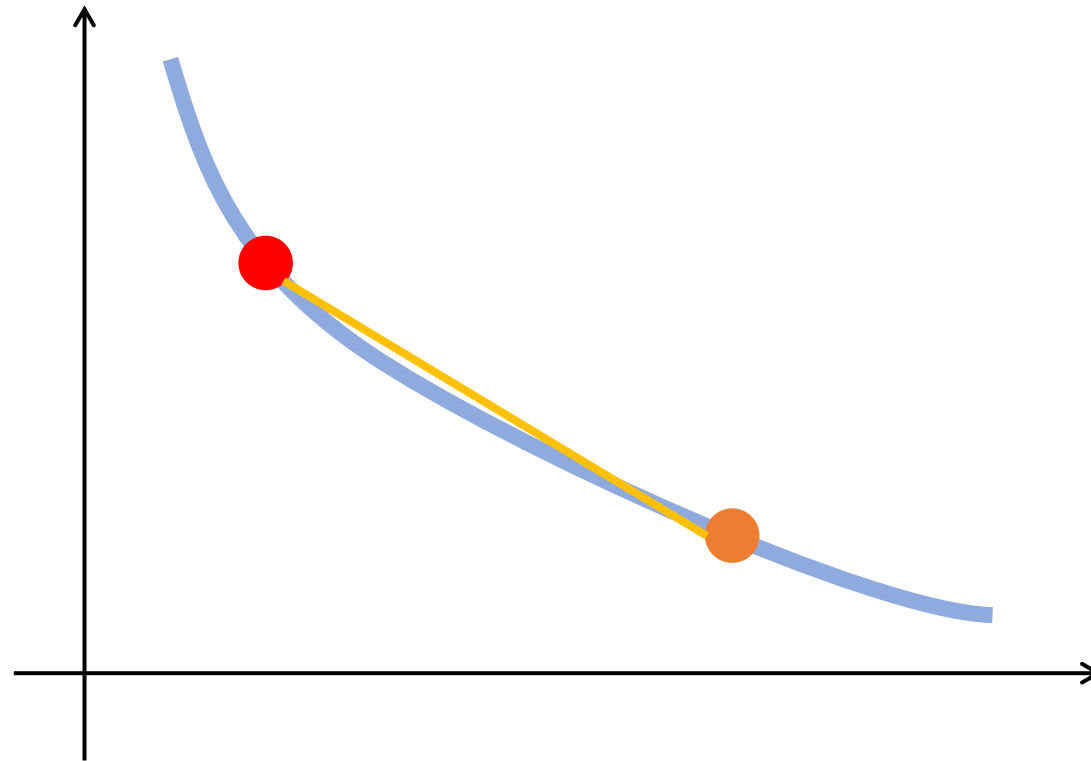
-Bregman Proximal Point Optimization

### <Bregman Proximal Point Optimization>

$f(\cdot; \theta_0)$ : Pre-Trained Model, Initialization

$$\theta_{t+1} = \arg \min_{\theta} \mathcal{F}(\theta) + \mu \mathcal{D}_{\text{Breg}}(\theta, \theta_t)$$

$$\mathcal{D}_{\text{Breg}}(\theta, \theta_t) = \frac{1}{n} \sum_{i=1}^n \ell_s(\mathbf{f}(\mathbf{x}_i; \theta), \mathbf{f}(\mathbf{x}_i; \theta_t))$$



## Method

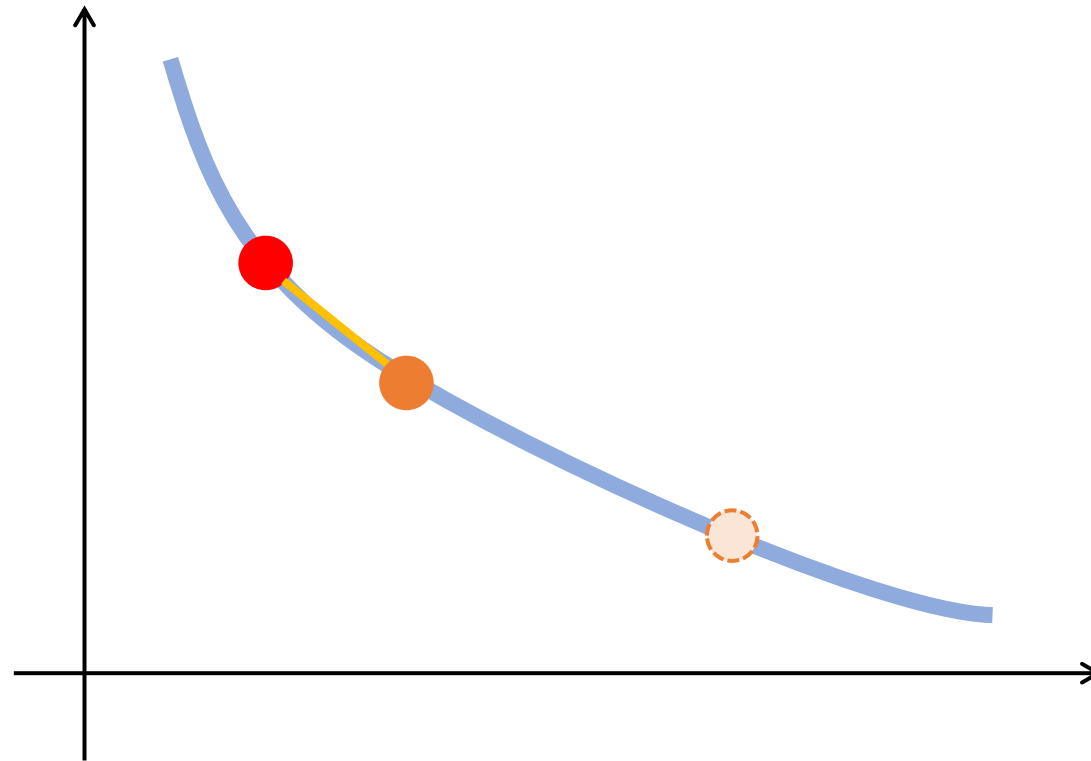
-Bregman Proximal Point Optimization

### <Bregman Proximal Point Optimization>

$f(\cdot; \theta_0)$ : Pre-Trained Model, Initialization

$$\theta_{t+1} = \underset{\theta}{\operatorname{arg\,min}} \mathcal{F}(\theta) + \mu \mathcal{D}_{\text{Breg}}(\theta, \theta_t)$$

$$\mathcal{D}_{\text{Breg}}(\theta, \theta_t) = \frac{1}{n} \sum_{i=1}^n \ell_s(\mathbf{f}(\mathbf{x}_i; \theta), \mathbf{f}(\mathbf{x}_i; \theta_t))$$



## Method

-Bregman Proximal Point Optimization

### <Momentum Bregman Proximal Point Optimization>

$f(\cdot; \theta_0)$ : Pre-Trained Model, Initialization

$$\theta_{t+1} = \arg \min_{\theta} \mathcal{F}(\theta) + \mu \mathcal{D}_{\text{Breg}}(\theta, \tilde{\theta}_t)$$

$$\mathcal{D}_{\text{Breg}}(\theta, \theta_t) = \frac{1}{n} \sum_{i=1}^n \ell_s(f(x_i; \theta), f(x_i; \theta_t))$$

$$\tilde{\theta}_t = (1 - \beta)\theta_t + \beta\tilde{\theta}_{t-1}$$

# Experiments

- **GLUE Benchmark**
- **Ablation Study**

# Adversarial Training for NLU

- GLUE Benchmark

## <GLUE Benchmark>

Model	MNLI-m/mm Acc	QQP ACC/F1	RTE Acc	QNLI Acc	MRPC Acc/F1	CoLA Mcc	SST Mcc	STS-B P/S Corr
BERT <sub>BASE</sub>								
BERT(Devlin et al., 2019)	84.4/-	-	-	88.4	-/86.7	-	92.7	-
BERT <sub>Relmp</sub>	84.5/84.4	90.9/88.3	63.5	91.1	84.1/89.0	54.7	92.9	89.2/88.8
SMART <sub>BERT</sub>	85.6/86.0	91.5/88.5	71.2	91.7	87.7/91.3	59.1	93	90.0/89.4
RoBERTa <sub>LARGE</sub>								
RoBERTa(Liu et al., 2019)	90.2/-	92.2/-	86.6	94.7	-/90.9	68	96.4	92.4/-
PGD(Zhu et al., 2020)	90.5/-	92.5/-	87.4	94.9	-/90.9	69.7	96.4	92.4/-
FreeAT(Zhu et al., 2020)	90.0/-	92.5/-	86.7	94.7	-/90.7	68.8	96.1	92.4/-
FreeLB(Zhu et al., 2020)	90.6/-	92.6/-	88.1	95	-/91.4	71.1	96.7	92.7/-
SMART <sub>RoBERTa</sub>	91.1/91.3	92.4/89.8	92	95.6	89.2/92.1	70.6	96.9	92.8/92.6

## <Main Result on GLUE Development Set>

# Adversarial Training for NLU

- GLUE Benchmark

## <GLUE Benchmark>

Model	MNLI-m/mm Acc	QQP ACC/F1	RTE Acc	QNLI Acc	MRPC Acc/F1	CoLA Mcc	SST Mcc	STS-B P/S Corr
BERT <sub>BASE</sub>								
BERT(Devlin et al., 2019)	84.4/-	-	-	88.4	-/86.7	-	92.7	-
BERT <sub>Relmp</sub>	84.5/84.4	90.9/88.3	63.5	91.1	84.1/89.0	54.7	92.9	89.2/88.8
SMART <sub>BERT</sub>	85.6/86.0	91.5/88.5	71.2	91.7	87.7/91.3	59.1	93	90.0/89.4
RoBERTa <sub>LARGE</sub>								
RoBERTa(Liu et al., 2019)	90.2/-	92.2/-	86.6	94.7	-/90.9	68	96.4	92.4/-
PGD(Zhu et al., 2020)	90.5/-	92.5/-	87.4	94.9	-/90.9	69.7	96.4	92.4/-
FreeAT(Zhu et al., 2020)	90.0/-	92.5/-	86.7	94.7	-/90.7	68.8	96.1	92.4/-
FreeLB(Zhu et al., 2020)	90.6/-	92.6/-	88.1	95	-/91.4	71.1	96.7	92.7/-
SMART <sub>RoBERTa</sub>	91.1/91.3	92.4/89.8	92	95.6	89.2/92.1	70.6	96.9	92.8/92.6

## <Main Result on GLUE Development Set>



# Adversarial Training for NLU

- GLUE Benchmark

## <GLUE Benchmark>

Model	MNLI-m/mm Acc	QQP ACC/F1	RTE Acc	QNLI Acc	MRPC Acc/F1	CoLA Mcc	SST Mcc	STS-B P/S Corr
BERT <sub>BASE</sub>								
BERT(Devlin et al., 2019)	84.4/-	-	-	88.4	-/86.7	-	92.7	-
BERT <sub>Relmp</sub>	84.5/84.4	90.9/88.3	63.5	91.1	84.1/89.0	54.7	92.9	89.2/88.8
SMART <sub>BERT</sub>	85.6/86.0	91.5/88.5	71.2	91.7	87.7/91.3	59.1	93	90.0/89.4
RoBERTa <sub>LARGE</sub>								
RoBERTa(Liu et al., 2019)	90.2/-	92.2/-	86.6	94.7	-/90.9	68	96.4	92.4/-
PGD(Zhu et al., 2020)	90.5/-	92.5/-	87.4	94.9	-/90.9	69.7	96.4	92.4/-
FreeAT(Zhu et al., 2020)	90.0/-	92.5/-	86.7	94.7	-/90.7	68.8	96.1	92.4/-
FreeLB(Zhu et al., 2020)	90.6/-	92.6/-	88.1	95	-/91.4	71.1	96.7	92.7/-
SMART <sub>RoBERTa</sub>	91.1/91.3	92.4/89.8	92	95.6	89.2/92.1	70.6	96.9	92.8/92.6

## <Main Result on GLUE Development Set>

# Adversarial Training for NLU

- GLUE Benchmark

## <GLUE Benchmark>

Model	MNLI-m/mm Acc	QQP ACC/F1	RTE Acc	QNLI Acc	MRPC Acc/F1	CoLA Mcc	SST Mcc	STS-B P/S Corr
BERT <sub>BASE</sub>								
BERT(Devlin et al., 2019)	84.4/-	-	-	88.4	-/86.7	-	92.7	-
BERT <sub>Relmp</sub>	84.5/84.4	90.9/88.3	63.5	91.1	84.1/89.0	54.7	92.9	89.2/88.8
SMART <sub>BERT</sub>	85.6/86.0	91.5/88.5	71.2	91.7	87.7/91.3	59.1	93	90.0/89.4
RoBERTa <sub>LARGE</sub>								
RoBERTa(Liu et al., 2019)	90.2/-	92.2/-	86.6	94.7	-/90.9	68	96.4	92.4/-
PGD(Zhu et al., 2020)	90.5/-	92.5/-	87.4	94.9	-/90.9	69.7	96.4	92.4/-
FreeAT(Zhu et al., 2020)	90.0/-	92.5/-	86.7	94.7	-/90.7	68.8	96.1	92.4/-
FreeLB(Zhu et al., 2020)	90.6/-	92.6/-	88.1	95	-/91.4	71.1	96.7	92.7/-
SMART <sub>RoBERTa</sub>	91.1/91.3	92.4/89.8	92	95.6	89.2/92.1	70.6	96.9	92.8/92.6

## <Main Result on GLUE Development Set>

Adversarial Training for NLU

- GLUE Benchmark

<GLUE Benchmark>

Model /#Train	CoLA	SST	MRPC	STS-B	QQP	MNLI-m/mm	QNLI	RTE	WNLI	AX	Score	#params
	8.5k	67k	3.7k	7k	634k	393k	108k	2.5k	634			
Human Performance	66.4	97.8	86.3/80.8	92.7/92.6	59.5/80.4	92.0/92.8	91.2	93.6	95.9	-	87.1	
Ensemble Models												
RoBERTa	67.8	96.7	92.3/89.8	92.2/91.9	74.3/90.2	90.8/90.2	98.9	88.2	89	48.7	88.5	356M
FreeLB	68	96.8	93.1/90.8	92.4/92.2	74.8/90.3	91.1/90.7	98.8	88.7	89	50.1	88.8	356M
ALICE	69.2	97.1	93.6/91.5	92.7/92.3	74.4/90.7	90.7/90.2	99.2	87.3	89.7	47.8	89	340M
ALBERT	69.1	97.1	93.4/91.2	92.5/92.0	74.2/90.5	91.3/91.0	99.2	89.2	91.8	50.2	89.4	235M
MT-DNN-SMART	69.5	97.5	93.7/91.6	92.9/92.5	73.9/90.2	91.0/90.8	99.2	89.7	94.5	50.2	89.9	356M
Single Model												
BERT <sub>LARGE</sub>	60.5	94.9	89.3/85.4	87.6/86.5	72.1/89.3	86.7/85.9	92.7	70.1	65.1	39.6	80.5	335M
MT-DNN	62.5	95.6	90.0/86.7	88.3/87.7	72.4/89.6	86.7/86.0	93.1	75.5	65.1	40.3	82.7	335M
T5	70.8	97.1	91.9/89.2	92.5/92.1	74.6/90.4	92.0/91.7	96.7	92.5	93.2	53.1	89.7	11,000M
SMART <sub>RoBERTa</sub>	65.1	97.5	93.7/91.6	92.9/92.5	74.0/90.1	91.0/90.8	95.4	87.9	87.9	50.2	88.4	356M

<GLUE Test Set Results Scored Using the GLUE Evaluation Server>

-December 5, 2019-

Adversarial Training for NLU

- GLUE Benchmark

<GLUE Benchmark>

Model /#Train	CoLA	SST	MRPC	STS-B	QQP	MNLI-m/mm	QNLI	RTE	WNLI	AX	Score	#params
	8.5k	67k	3.7k	7k	634k	393k	108k	2.5k	634			
Human Performance	66.4	97.8	86.3/80.8	92.7/92.6	59.5/80.4	92.0/92.8	91.2	93.6	95.9	-	87.1	
Ensemble Models												
RoBERTa	67.8	96.7	92.3/89.8	92.2/91.9	74.3/90.2	90.8/90.2	98.9	88.2	89	48.7	88.5	356M
FreeLB	68	96.8	93.1/90.8	92.4/92.2	74.8/90.3	91.1/90.7	98.8	88.7	89	50.1	88.8	356M
ALICE	69.2	97.1	93.6/91.5	92.7/92.3	74.4/90.7	90.7/90.2	99.2	87.3	89.7	47.8	89	340M
ALBERT	69.1	97.1	93.4/91.2	92.5/92.0	74.2/90.5	91.3/91.0	99.2	89.2	91.8	50.2	89.4	235M
MT-DNN-SMART	69.5	97.5	93.7/91.6	92.9/92.5	73.9/90.2	91.0/90.8	99.2	89.7	94.5	50.2	89.9	356M
Single Model												
BERT <sub>LARGE</sub>	60.5	94.9	89.3/85.4	87.6/86.5	72.1/89.3	86.7/85.9	92.7	70.1	65.1	39.6	80.5	335M
MT-DNN	62.5	95.6	90.0/86.7	88.3/87.7	72.4/89.6	86.7/86.0	93.1	75.5	65.1	40.3	82.7	335M
T5	70.8	97.1	91.9/89.2	92.5/92.1	74.6/90.4	92.0/91.7	96.7	92.5	93.2	53.1	89.7	11,000M
SMART <sub>RoBERTa</sub>	65.1	97.5	93.7/91.6	92.9/92.5	74.0/90.1	91.0/90.8	95.4	87.9	87.9	50.2	88.4	356M

<GLUE Test Set Results Scored Using the GLUE Evaluation Server>

-December 5, 2019-

Adversarial Training for NLU

- GLUE Benchmark

<GLUE Benchmark>

Model /#Train	CoLA	SST	MRPC	STS-B	QQP	MNLI-m/mm	QNLI	RTE	WNLI	AX	Score	#params
	8.5k	67k	3.7k	7k	634k	393k	108k	2.5k	634			
Human Performance	66.4	97.8	86.3/80.8	92.7/92.6	59.5/80.4	92.0/92.8	91.2	93.6	95.9	-	87.1	
Ensemble Models												
RoBERTa	67.8	96.7	92.3/89.8	92.2/91.9	74.3/90.2	90.8/90.2	98.9	88.2	89	48.7	88.5	356M
FreeLB	68	96.8	93.1/90.8	92.4/92.2	74.8/90.3	91.1/90.7	98.8	88.7	89	50.1	88.8	356M
ALICE	69.2	97.1	93.6/91.5	92.7/92.3	74.4/90.7	90.7/90.2	99.2	87.3	89.7	47.8	89	340M
ALBERT	69.1	97.1	93.4/91.2	92.5/92.0	74.2/90.5	91.3/91.0	99.2	89.2	91.8	50.2	89.4	235M
MT-DNN-SMART	69.5	97.5	93.7/91.6	92.9/92.5	73.9/90.2	91.0/90.8	99.2	89.7	94.5	50.2	89.9	356M
Single Model												
BERT <sub>LARGE</sub>	60.5	94.9	89.3/85.4	87.6/86.5	72.1/89.3	86.7/85.9	92.7	70.1	65.1	39.6	80.5	335M
MT-DNN	62.5	95.6	90.0/86.7	88.3/87.7	72.4/89.6	86.7/86.0	93.1	75.5	65.1	40.3	82.7	335M
T5	70.8	97.1	91.9/89.2	92.5/92.1	74.6/90.4	92.0/91.7	96.7	92.5	93.2	53.1	89.7	11,000M
SMART <sub>RoBERTa</sub>	65.1	97.5	93.7/91.6	92.9/92.5	74.0/90.1	91.0/90.8	95.4	87.9	87.9	50.2	88.4	356M

<GLUE Test Set Results Scored Using the GLUE Evaluation Server>

-December 5, 2019-

Adversarial Training for NLU

- GLUE Benchmark

<GLUE Benchmark>

Model /#Train	CoLA	SST	MRPC	STS-B	QQP	MNLI-m/mm	QNLI	RTE	WNLI	AX	Score	#params
	8.5k	67k	3.7k	7k	634k	393k	108k	2.5k	634			
Human Performance	66.4	97.8	86.3/80.8	92.7/92.6	59.5/80.4	92.0/92.8	91.2	93.6	95.9	-	87.1	
Ensemble Models												
RoBERTa	67.8	96.7	92.3/89.8	92.2/91.9	74.3/90.2	90.8/90.2	98.9	88.2	89	48.7	88.5	356M
FreeLB	68	96.8	93.1/90.8	92.4/92.2	74.8/90.3	91.1/90.7	98.8	88.7	89	50.1	88.8	356M
ALICE	69.2	97.1	93.6/91.5	92.7/92.3	74.4/90.7	90.7/90.2	99.2	87.3	89.7	47.8	89	340M
ALBERT	69.1	97.1	93.4/91.2	92.5/92.0	74.2/90.5	91.3/91.0	99.2	89.2	91.8	50.2	89.4	235M
MT-DNN-SMART	69.5	97.5	93.7/91.6	92.9/92.5	73.9/90.2	91.0/90.8	99.2	89.7	94.5	50.2	89.9	356M
Single Model												
BERT <sub>LARGE</sub>	60.5	94.9	89.3/85.4	87.6/86.5	72.1/89.3	86.7/85.9	92.7	70.1	65.1	39.6	80.5	335M
MT-DNN	62.5	95.6	90.0/86.7	88.3/87.7	72.4/89.6	86.7/86.0	93.1	75.5	65.1	40.3	82.7	335M
T5	70.8	97.1	91.9/89.2	92.5/92.1	74.6/90.4	92.0/91.7	96.7	92.5	93.2	53.1	89.7	11,000M
SMART <sub>RoBERTa</sub>	65.1	97.5	93.7/91.6	92.9/92.5	74.0/90.1	91.0/90.8	95.4	87.9	87.9	50.2	88.4	356M

<GLUE Test Set Results Scored Using the GLUE Evaluation Server>

-December 5, 2019-

# Adversarial Training for NLU

- GLUE Benchmark

## <GLUE Benchmark>

Model /#Train	CoLA	SST	MRPC	STS-B	QQP	MNLI-m/mm	QNLI	RTE	WNLI	AX	Score	#params
	8.5k	67k	3.7k	7k	634k	393k	108k	2.5k	634			
Human Performance	66.4	97.8	86.3/80.8	92.7/92.6	59.5/80.4	92.0/92.8	91.2	93.6	95.9	-	87.1	
Ensemble Models												
RoBERTa	67.8	96.7	92.3/89.8	92.2/91.9	74.3/90.2	90.8/90.2	98.9	88.2	89	48.7	88.5	356M
FreeLB	68	96.8	93.1/90.8	92.4/92.2	<b>74.8</b> /90.3	91.1/90.7	98.8	88.7	89	50.1	88.8	356M
ALICE	69.2	97.1	93.6/91.5	92.7/92.3	74.4/ <b>90.7</b>	90.7/90.2	<b>99.2</b>	87.3	89.7	47.8	89	340M
ALBERT	69.1	97.1	93.4/91.2	92.5/92.0	74.2/90.5	91.3/91.0	<b>99.2</b>	89.2	91.8	50.2	89.4	235M
MT-DNN-SMART	69.5	<b>97.5</b>	<b>93.7/91.6</b>	<b>92.9/92.5</b>	73.9/90.2	91.0/90.8	<b>99.2</b>	89.7	94.5	50.2	<b>89.9</b>	356M
Single Model												
BERT <sub>LARGE</sub>	60.5	94.9	89.3/85.4	87.6/86.5	72.1/89.3	86.7/85.9	92.7	70.1	65.1	39.6	80.5	335M
MT-DNN	62.5	95.6	90.0/86.7	88.3/87.7	72.4/89.6	86.7/86.0	93.1	75.5	65.1	40.3	82.7	335M
T5	<b>70.8</b>	97.1	91.9/89.2	92.5/92.1	74.6/90.4	<b>92.0/91.7</b>	96.7	<b>92.5</b>	<b>93.2</b>	<b>53.1</b>	89.7	11,000M
SMART <sub>RoBERTa</sub>	65.1	<b>97.5</b>	<b>93.7/91.6</b>	<b>92.9/92.5</b>	74.0/90.1	91.0/90.8	95.4	87.9	87.9	50.2	88.4	356M

<GLUE Test Set Results Scored Using the GLUE Evaluation Server>  
-December 5, 2019-

# Adversarial Training for NLU

- Ablation Study

## <Ablation Study>

Model	MNLI	RTE	QNLI	SST	MRPC
	Acc	Acc	Acc	Acc	Acc
BERT	84.5	63.5	91.1	92.9	89
SMART	<b>95.6</b>	<b>71.2</b>	<b>91.7</b>	<b>93</b>	<b>91.3</b>
$-\mathcal{R}_s$	84.8	70.8	91.3	92.8	90.8
$-\mathcal{D}_{\text{Breg}}$	85.4	<b>71.2</b>	91.6	92.9	91.2

<Ablation Study of SMART on 5 GLUE Task>  
Backbone: BERT



# Adversarial Training for NLU

- Ablation Study

## <Ablation Study>

Model	MNLI Acc	RTE Acc	QNLI Acc	SST Acc	MRPC Acc
BERT	84.5	63.5	91.1	92.9	89
SMART	<b>95.6</b>	<b>71.2</b>	<b>91.7</b>	<b>93</b>	<b>91.3</b>
$-\mathcal{R}_s$	84.8	70.8	91.3	92.8	90.8
$-\mathcal{D}_{\text{Breg}}$	85.4	<b>71.2</b>	91.6	92.9	91.2

<Ablation Study of SMART on 5 GLUE Task>  
Backbone: BERT

# Adversarial Training for NLU

- Ablation Study

## <Ablation Study>

Model	MNLI Acc	RTE Acc	QNLI Acc	SST Acc	MRPC Acc
BERT	84.5	63.5	91.1	92.9	89
SMART	95.6	71.2	91.7	93	91.3
$-\mathcal{R}_s$	84.8	70.8	91.3	92.8	90.8
$-\mathcal{D}_{\text{Breg}}$	85.4	71.2	91.6	92.9	91.2

<Ablation Study of SMART on 5 GLUE Task>  
Backbone: BERT

# Conclusion

### <Conclusion>

- Proposed a Smoothness-Inducing Adversarial Regularization Technique to Effectively Control the **Extremely High Complexity** of the Model
- Proposed a Class of Bregman Proximal Point Optimization Method to Prevent **Aggressive Updating**
- Achieved State-of-the-art Results on Several Popular NLP Benchmarks (e.g. GLUE, ...)

**Any Questions?**

**Thank You**