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# Alternative Architectures

Philipp Koehn

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# Alternative Architectures



- We introduced one translation model
  - attentional seq2seq model
  - core organizing feature: recurrent neural networks
- Other core neural architectures
  - convolutional neural networks
  - attention
- But first: look at various components of neural architectures

# components

# Components of Neural Networks



- Neural networks originally inspired by the brain
  - a neuron receives signals from other neurons
  - if sufficiently activated, it sends signals
  - feed-forward layers are roughly based on this
- Computation graph
  - any function possible, as long as it is partially differentiable
  - not limited by appeals to biological validity
- *Deep learning* maybe a better name

# Feed-Forward Layer



- Classic neural network component
- Given an input vector  $x$ , matrix multiplication  $M$  with adding a bias vector  $b$

$$Mx + b$$

- Adding a non-linear activation function

$$y = \text{activation}(Mx + b)$$

- Notation

$$y = FF_{\text{activation}}(x) = a(Mx + b)$$

# Feed-Forward Layer



- Historic neural network designs: several feed-forward layers
  - input layer
  - hidden layers
  - output layer
- Powerful tools for a wide range of machine learning problems
- Matrix multiplication also called **affine transforms**
  - appeals to its geometrical properties
  - straight lines in input still straight lines in output

# Factored Decomposition



- One challenge: very large input and output vectors
- Number of parameters in matrix  $M = |x| \times |y|$

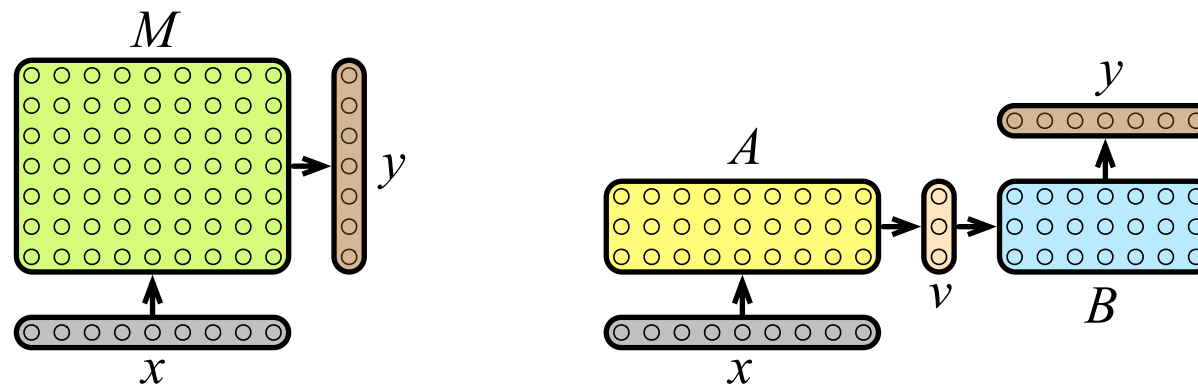
⇒ Need to reduce size of matrix

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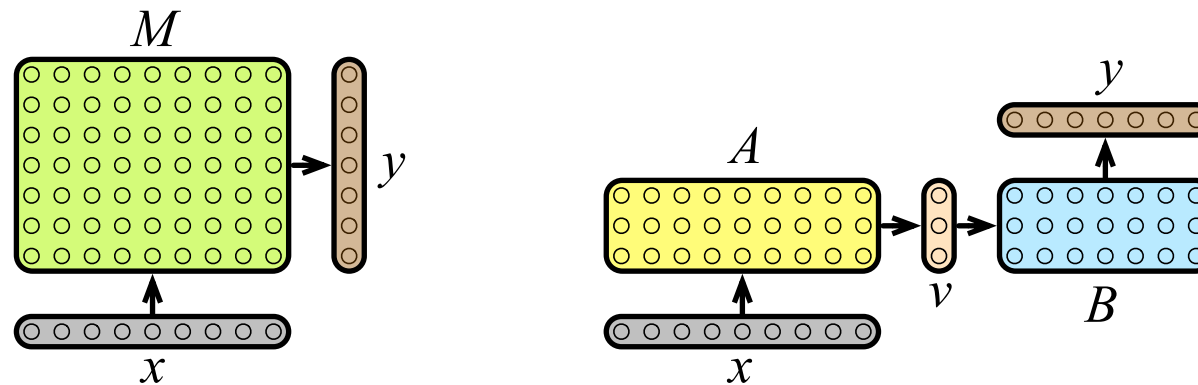
⇒ Need to reduce size of matrix

- Solution: first reduce to smaller representation





# Factored Decomposition: Math



- Intuition

- given highly dimension vector  $x$
- first map to into lower dimensional vector  $v$  (matrix  $A$ )
- then map to output vector  $y$  (matrix  $B$ )

$$v = Ax$$

$$y = Bv = BAx$$

- Example

- $|x| = 20,000, |y| = 50,000 \rightarrow M = 1,000,000,000$
- $|v| = 100 \rightarrow A = 20,000 \times 100 = 2,000,000, B = 100 \times 50,000 = 5,000,000$
- reduction from 1,000,000,000 to 7,000,000

# Factored Decomposition: Interpretation



- Vector  $v$  is a bottleneck feature
- Forced to capture salient features
- One example: word embeddings

# basic mathematical operations

# Concatenation

- Often multiple input vectors to processing step
- For instance recurrent neural network
  - input word
  - previous state
- Combined in feed-forward layer

$$y = \text{activation}(M_1x_1 + M_2x_2 + b)$$

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- Another view

$$x = \text{concat}(x_1, x_2)$$

$$y = \text{activation}(Mx + b)$$

- Splitting hairs here, but concatenation useful generally

# Addition

- Adding vectors: very simplistic, but often done
- Example: compute sentence embeddings  $s$  from word embeddings  $w_1, \dots, w_n$

$$s = \sum_i^n w_i$$

- Reduces varying length sentence representation into fixed sized vector

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- Reduces varying length sentence representation into fixed sized vector
- Maybe weight the words, e.g., by attention

# Multiplication

- Another elementary mathematical operation
- Three ways to multiply vectors



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- Three ways to multiply vectors
  - element-wise multiplication

$$v \odot u = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} \odot \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \begin{pmatrix} v_1 \times u_1 \\ v_2 \times u_2 \end{pmatrix}$$

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- dot product

$$v \cdot u = v^T u = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}^T \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = v_1 \times u_1 + v_2 \times u_2$$

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- third possibility:  $vu^T$ , not commonly done

# Maximum

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  - any region of image may have positive match
  - represent different regions with element in a vector
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- Max pooling
  - given:  $n$  dimensional vector
  - goal: reduce to  $\frac{n}{k}$  dimensional vector
  - method: break up vector into blocks of  $k$  elements, map each into single value

# Max Out

- Max out
  - first branch out into multiple feed-forward layers

$$W_1x + b_1$$

$$W_2x + b_2$$

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- ReLu activation is a maxout layer: maximum of feed-forward layer and 0

$$\text{ReLU}(x) = \max(Wx + b, 0)$$



# processing sequences

# Recurrent Neural Networks

- Already described recurrent neural networks at length
  - propagate state  $s$
  - over time steps  $t$
  - receiving an input  $x_t$  at each turn

$$s_t = f(s_{t-1}, x_t)$$

(state may computed may as a feed-forward layer)

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  - gated recurrent units (GRU)
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- More successful
  - gated recurrent units (GRU)
  - long short-term memory cells (LSTM)
- Good fit for sequences, like words in a sentence
  - humans also receive word by word
  - most recent words most relevant
  - closer to current state
- But computational problematic: very long computation chains

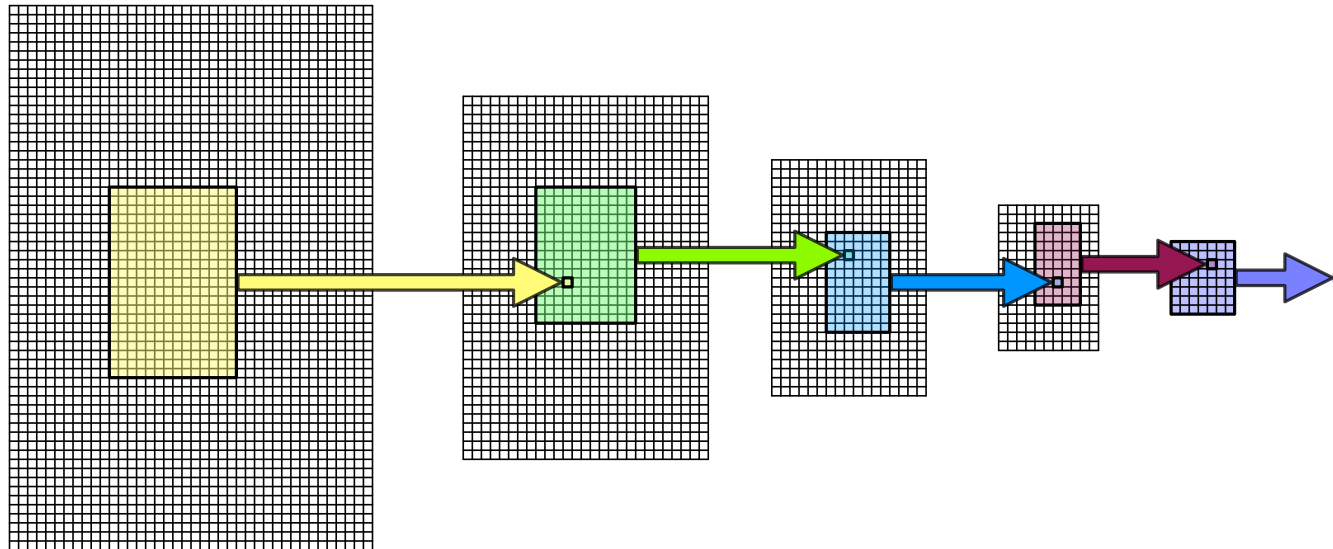
# Alternative Sequence Processing



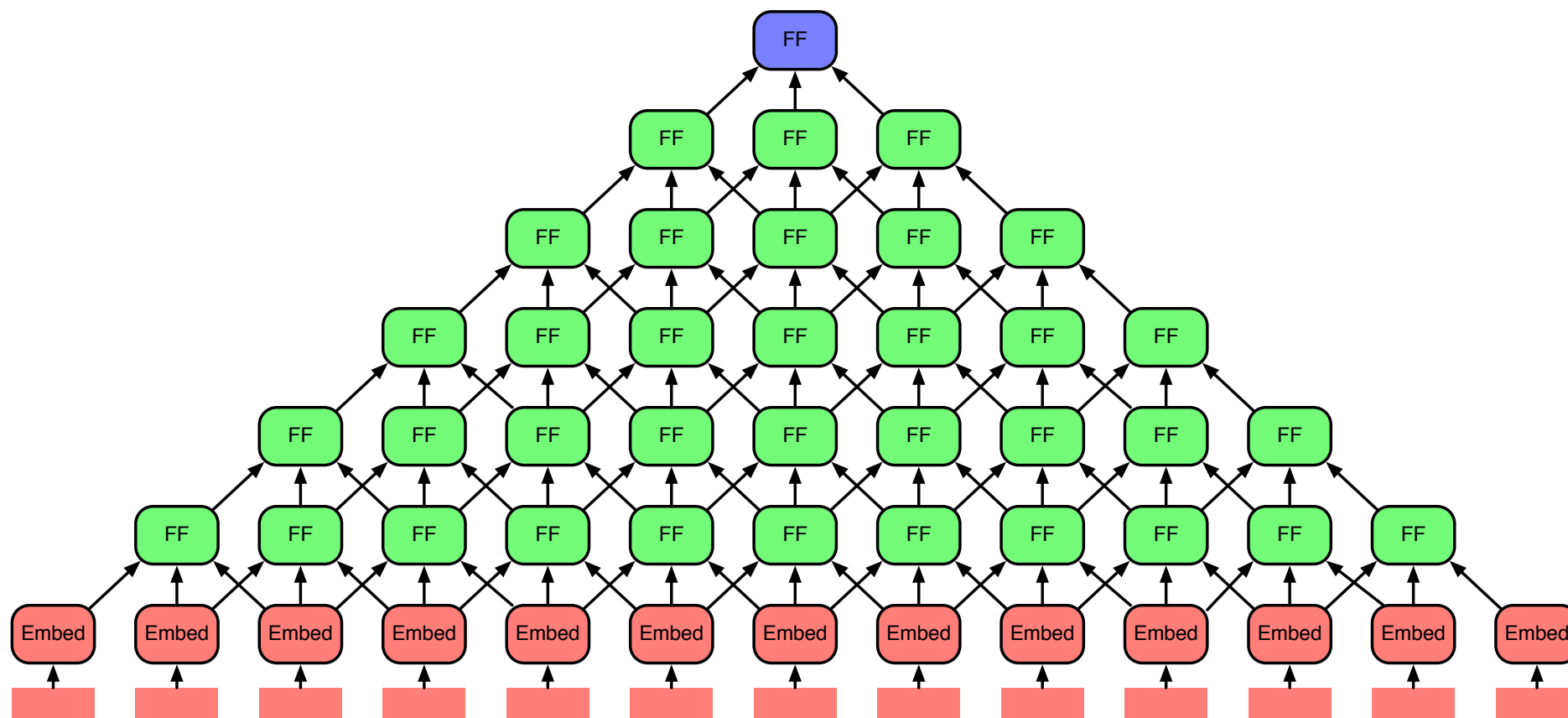
- Convolutional neural networks
- Attention

# convolutional neural networks

# Convolutional Neural Networks (CNN)



- Popular in image processing
- Regions of an image are reduced into increasingly smaller representation
  - matrix spanning part of image reduced to single value
  - overlapping regions



- Map words into fixed-sized sentence representation



# Hierarchical Structure and Language

- Syntactic and semantic theories of language
  - language is recursive
  - central: verb
  - dependents: subject, objects, adjuncts
  - their dependents: adjectives, determiners
  - also nested: relative clauses
- How to compute sentence embeddings active research topic

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- Examples
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  - combine 3 or more neighboring words into a single vector
- Machine translation
  - encode input sentence into single vector
  - decode this vector into a sentence in the output language

# attention

- Machine translation is a structured prediction task
  - output is not a single label
  - output structure needs to be built, word by word
- Relevant information for each word prediction varies
- Human translators pay attention to different parts of the input sentence when translating

⇒ Attention mechanism

- Attention mechanism in neural translation model (Bahdanau et al., 2015)
  - previous hidden state  $s_{i-1}$
  - input word embedding  $h_j$
  - trainable parameters  $b, W_a, U_a, v_a$

$$a(s_{i-1}, h_j) = v_a^T \tanh(W_a s_{i-1} + U_a h_j + b)$$

- Other ways to compute attention
  - Dot product:  $a(s_{i-1}, h_j) = s_{i-1}^T h_j$
  - Scaled dot product:  $a(s_{i-1}, h_j) = \frac{1}{\sqrt{|h_j|}} s_{i-1}^T h_j$
  - General:  $a(s_{i-1}, h_j) = s_{i-1}^T W_a h_j$
  - Local:  $a(s_{i-1}) = W_a s_{i-1}$

# Attention of Luong et al. (2015)

- Luong et al. (2015) demonstrate good results with the dot product

$$a(s_{i-1}, h_j) = s_{i-1}^T h_j$$

- No trainable parameters
- Additional changes
- Currently more popular



- Three element

**Query** : decoder state

**Key** : encoder state

**Value** : encoder state

- Intuition

- given a query (the decoder state)
- we check how well it matches keys in the database (the encoder states)
- and then use the matching score to scale the retrieved value (also the encoder state)

- Computation

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

# Scaled Dot-Product Attention

- Refinement of query, key, and value
- Scale it down to lower-dimensional vectors (e.g., 512 from 4096)
- Using a weight matrix for each:  $QW^Q$ ,  $KW^K$ ,  $VW^V$

# Multi-Head Attention

- Add redundancy
  - say, 16 attention weights
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- Formally:

$$\begin{aligned}\text{head}_i &= \text{Attention}(QW_i^Q, KW_i^K, VW_i^V) \\ \text{MultiHead}(Q, K, V) &= \text{Concat}(\text{head}_1, \dots, \text{head}_h)W^O\end{aligned}$$

- Multi-head attention is a form of ensembling

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- Now: refine representation of input words in the encoder
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  - previously: recurrent neural networks (considers left or right context)
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  - now: attention mechanism
- Self attention:  
Which of the surrounding words is most relevant to refine representation?

- Formal definition (based on sequence of vectors  $h_j$ , packed into matrix  $H$ )

$$\text{self-attention}(H) = \text{softmax}\left(\frac{H H^T}{\sqrt{|h|}}\right) H$$

- Association between every word representation  $h_j$  any other context word  $h_k$ 
  - computed by dot product
  - results in a vector of raw association values

$$H H^T$$

- Scaled by the size of the word representation vectors  $|h|$ , and softmax

$$\text{softmax}\left(\frac{H H^T}{\sqrt{|h|}}\right)$$

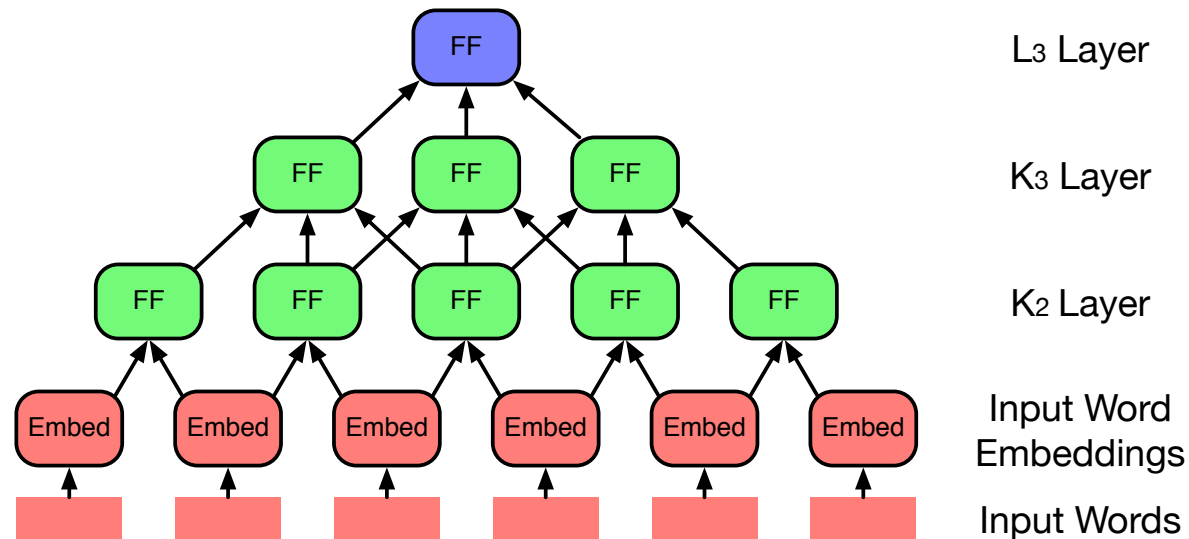
- Resulting vector of normalized association values used to weigh context words



# convolutional machine translation

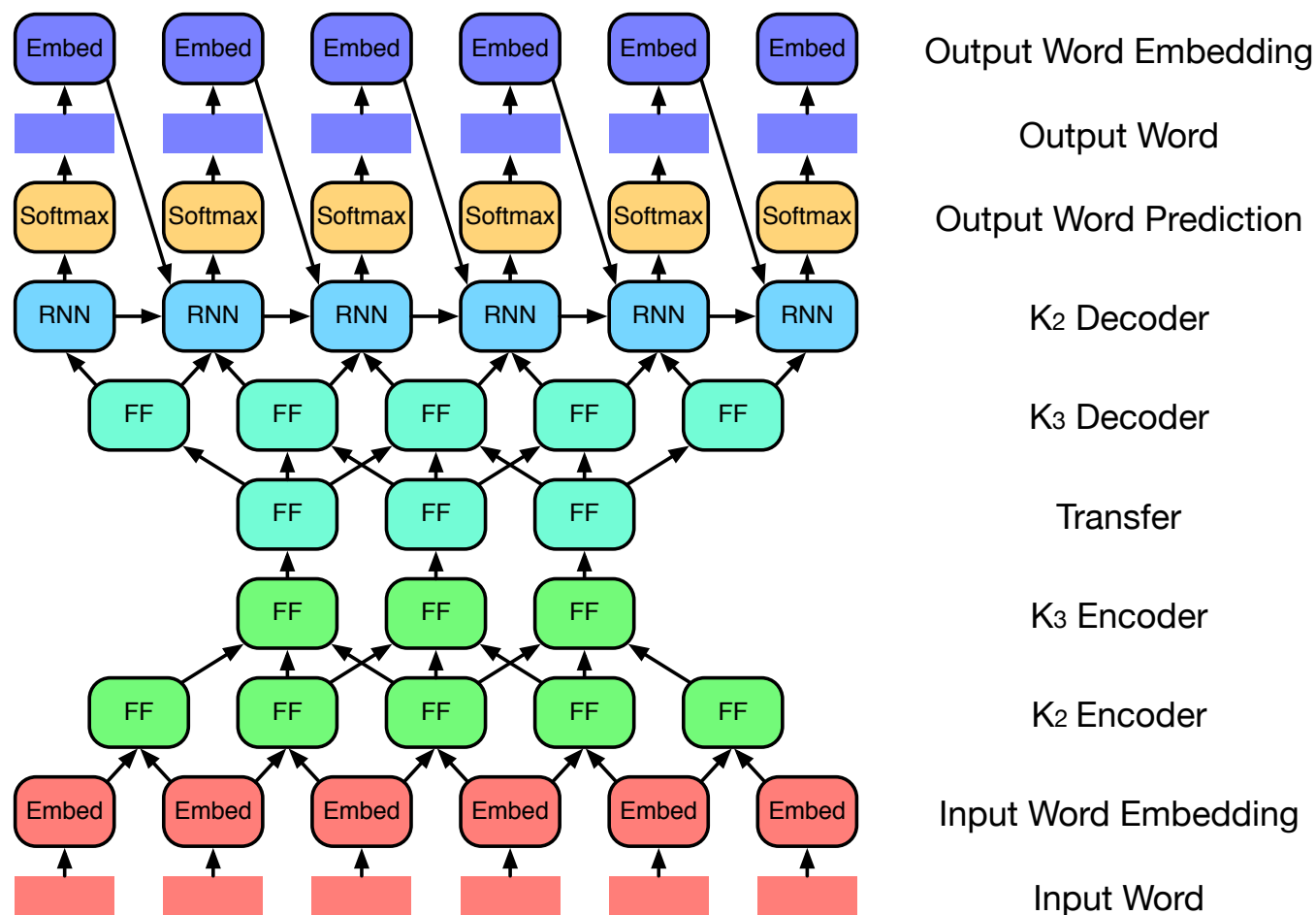
# Convolutional Machine Translation

- First end-to-end neural machine translation model of the modern era  
[Kalchbrenner and Blunsom, 2013]
- Encoder



- always two convolutional layers, with different size
- here:  $K_2$  and  $K_3$
- Decoder similar

# Refinement



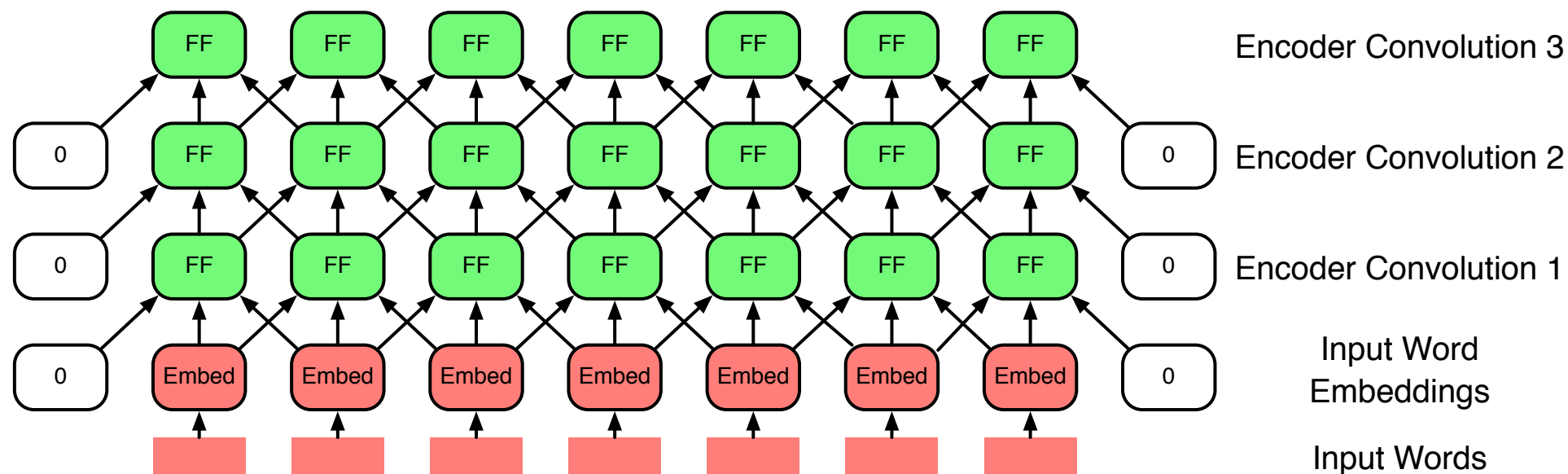
- Convolutions do not result in a single sentence embedding but a sequence
- Decoder is also informed by a recurrent neural network

# CNNs With Attention

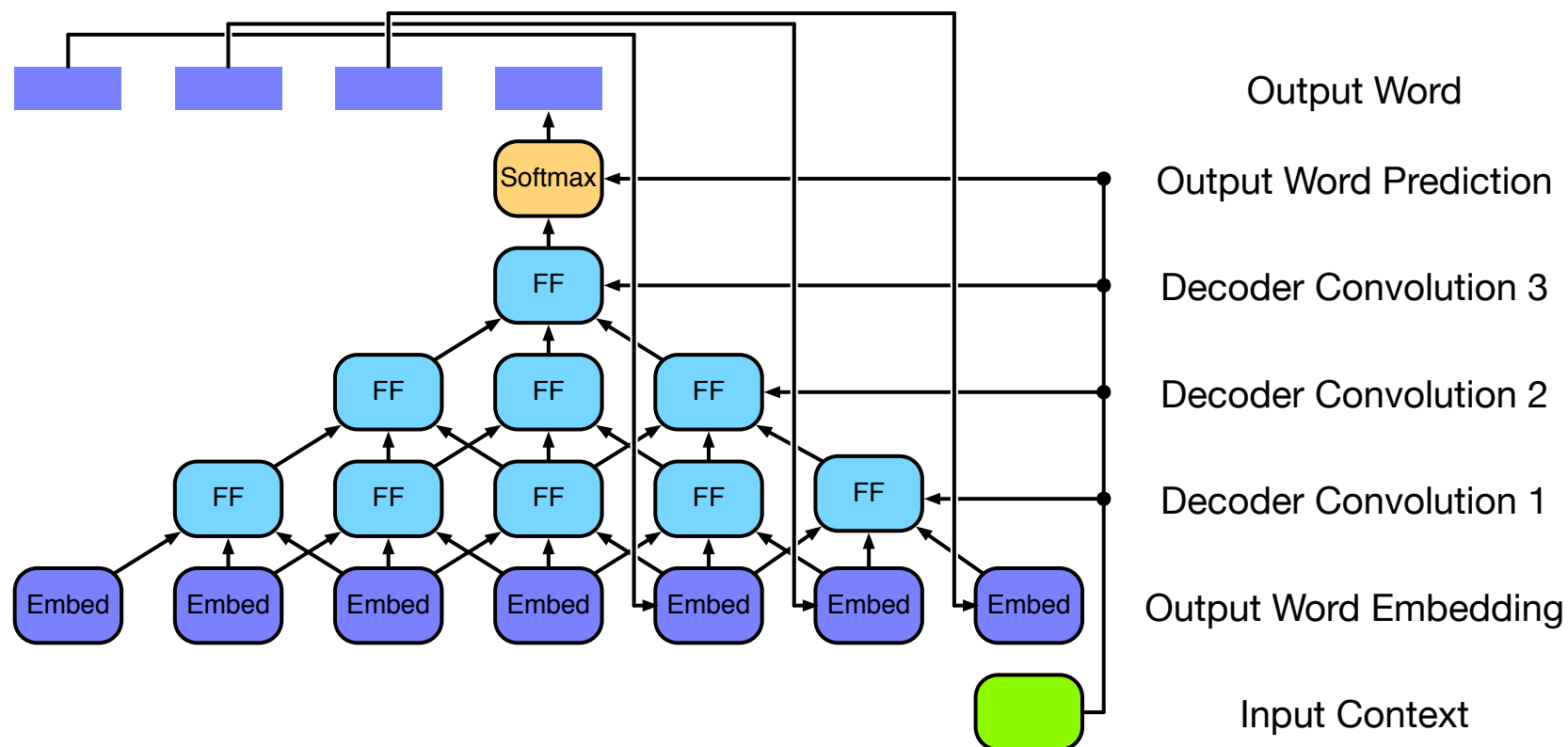
[Gehring et al. 2017]

- Combination of
  - convolutional neural networks
  - attention
- Sequence-to-sequence attention, mainly as before
- Recurrent neural networks replaced by convolutional layers

# Encoder



- Stacked encoder convolutions
- Not shortening representations
- But: faster processing due to more parallelism



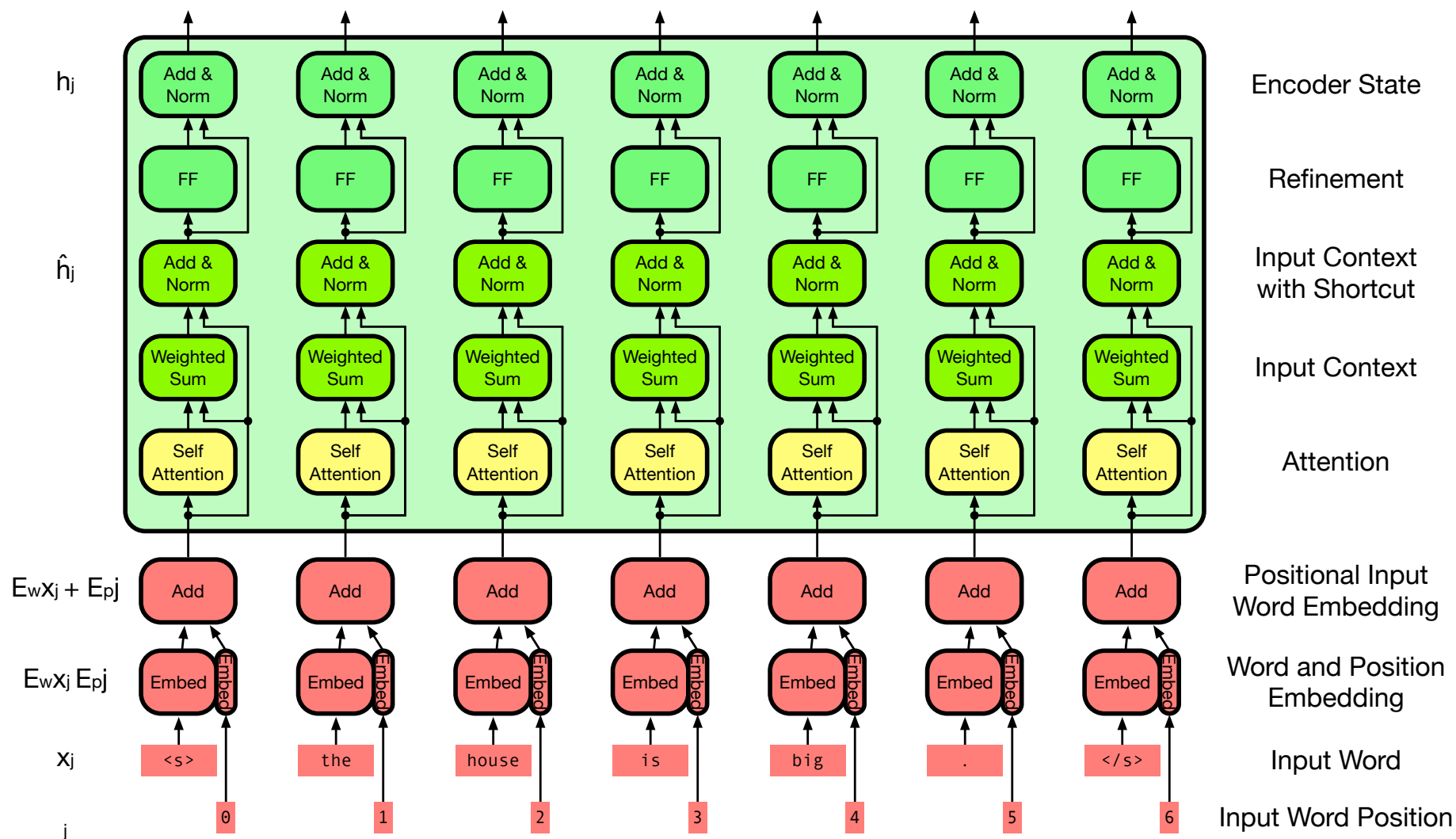
- Decoder state computed by convolutional layers over previous output words
- Each convolutional state also informed by the input context (using attention)

# transformer

- Self-attention in encoder
  - refine word representation based on relevant context words
  - relevance determined by self attention
- Self-attention in decoder
  - refine output word predictions based on relevant previous output words
  - relevance determined by self attention
- Also regular attention to encoder states in decoder
- Currently most successful model  
(maybe only with self attention in decoder, but regular recurrent decoder)



# Encoder



Sequence of self-attention layers

# Self Attention Layer

41



- Given: input word representations  $h_j$ , packed into a matrix  $H = (h_1, \dots, h_j)$

- Self attention

$$\text{self-attention}(H) = \text{softmax}\left(\frac{H H^T}{\sqrt{|h|}}\right) H$$

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$$\text{relu}(W\hat{h}_j + b)$$

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- Again, shortcut connection and layer normalization

$$\text{layer-normalization}(\text{relu}(W\hat{h}_j + b) + \hat{h}_j)$$

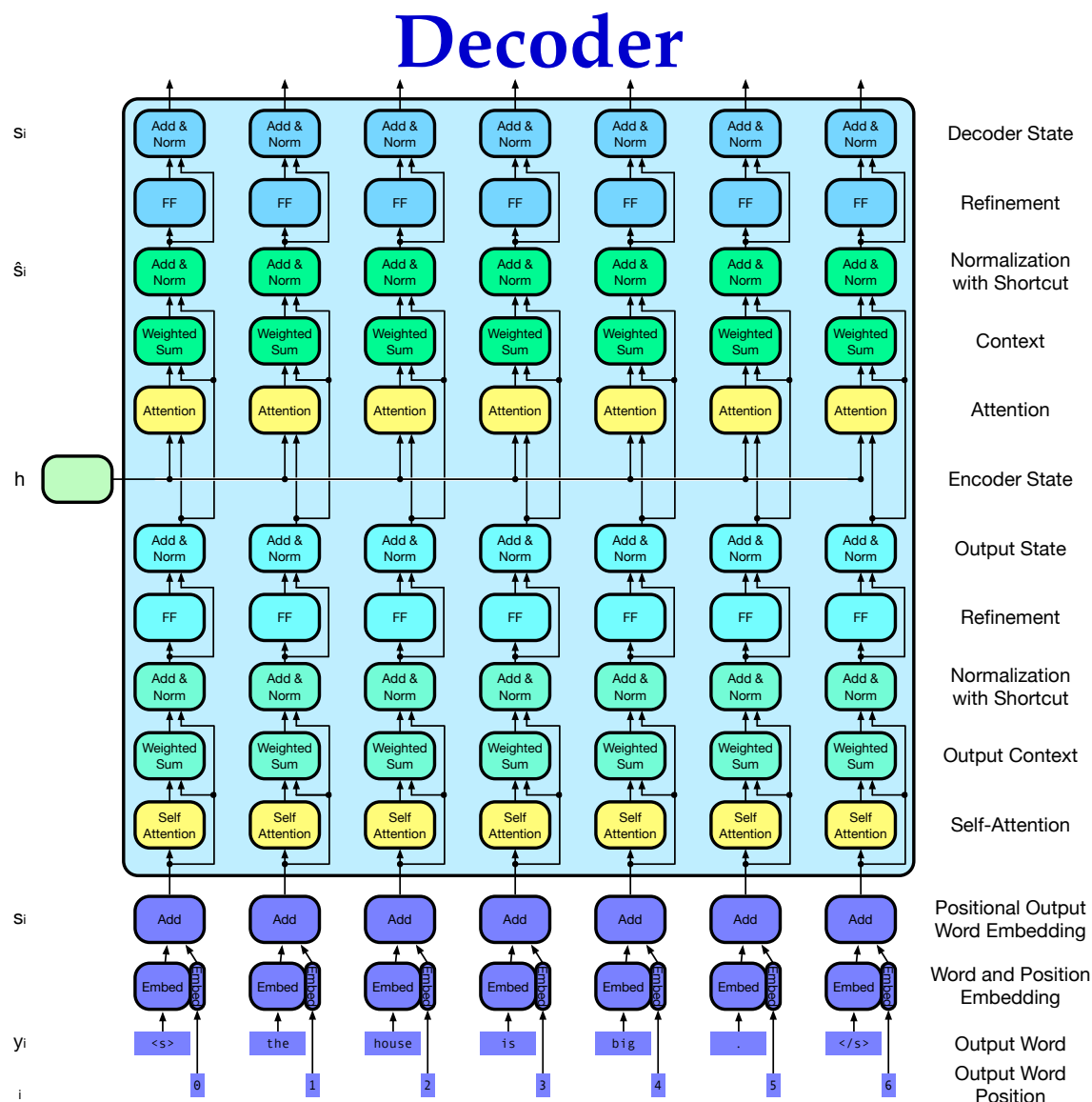
# Stacked Self Attention Layers

- Stack several such layers (say,  $D = 6$ )
- Start with input word embedding

$$h_{0,j} = Ex_j$$

- Stacked layers

$$h_{d,j} = \text{self-attention-layer}(h_{d-1,j})$$



Decoder computes attention-based representations of the output in several layers, initialized with the embeddings of the previous output words



# Self-Attention in the Decoder

- Same idea as in the encoder
- Output words are initially encoded by word embeddings  $s_i = Ey_i$ .
- Self attention is computed over previous output words
  - association of a word  $s_i$  is limited to words  $s_k$  ( $k \leq i$ )
  - resulting representation  $\tilde{s}_i$

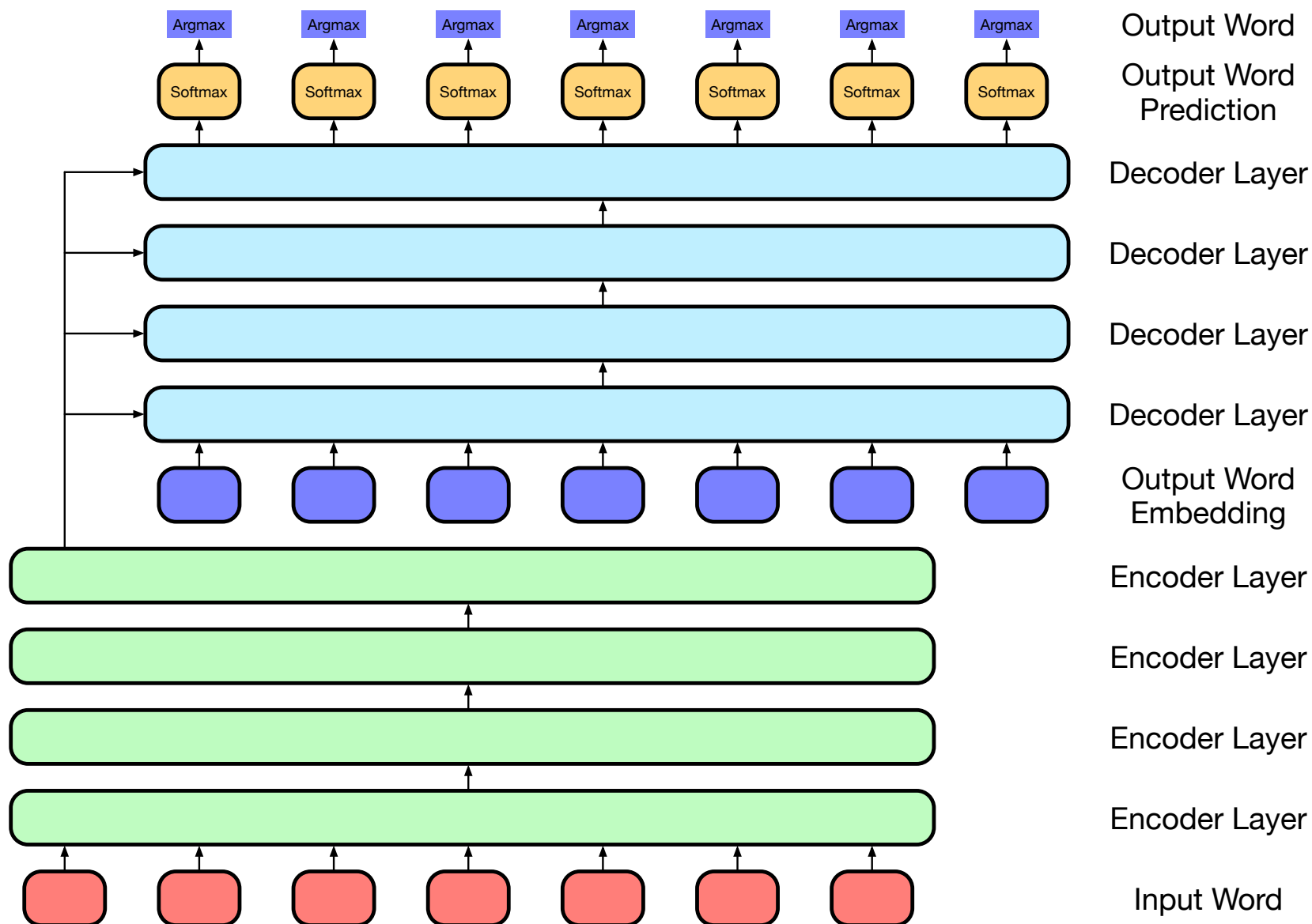
$$\text{self-attention}(\tilde{S}) = \text{softmax}\left(\frac{SS^T}{\sqrt{|h|}}\right)S$$

- Original intuition of attention mechanism: focus on relevant input words
- Computed with dot product  $\tilde{S}H^T$
- Compute attention between the decoder states  $\tilde{S}$  and the final encoder states  $H$

$$\text{attention}(\tilde{S}, H) = \text{softmax}\left(\frac{\tilde{S}H^T}{\sqrt{|h|}}\right)H$$

- Note: attention mechanism formally mirrors self-attention

# Full Decoder



# Full Decoder

- Self-attention

$$\text{self-attention}(\tilde{S}) = \text{softmax}\left(\frac{S S^T}{\sqrt{|h|}}\right) S$$

- shortcut connections
- layer normalization
- feed-forward layer

- Attention

$$\text{attention}(\tilde{S}, H) = \text{softmax}\left(\frac{\tilde{S} H^T}{\sqrt{|h|}}\right) H$$

- shortcut connections
- layer normalization
- feed-forward layer

- Multiple stacked layers

- Encoder may be multiple layers of either
  - recurrent neural networks
  - self-attention layers
- Decoder may be multiple layers of either
  - recurrent neural networks
  - self-attention layers
- Also possible: self-attention encoder, recurrent neural network decoder
- Even better: both self-attention and recurrent neural network, merged at the end