### **Alternative Architectures**

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### **Alternative Architectures**



- We introduced one translation model
  - attentional seq2seq model
  - core organizing feature: recurrent neural networks
- Other core neural architectures
  - convolutional neural networks
  - attention
- But first: look at various components of neural architectures



# components

# **Components of Neural Networks**



- Neural networks originally inspired by the brain
  - a neuron receives signals from other neurons
  - if sufficiently activated, it sends signals
  - feed-forward layers are roughly based on this
- Computation graph
  - any function possible, as long as it is partially differentiable
  - not limited by appeals to biological validity
- Deep learning maybe a better name

# Feed-Forward Layer



- Classic neural network component
- Given an input vector x, matrix multiplication M with adding a bias vector b

$$Mx + b$$

• Adding a non-linear activation function

$$y = activation(Mx + b)$$

Notation

$$y = FF_{\text{activation}}(x) = a(Mx + b)$$

# Feed-Forward Layer



- Historic neural network designs: several feed-forward layers
  - input layer
  - hidden layers
  - output layer
- Powerful tools for a wide range of machine learning problems
- Matrix multiplication also called **affine transforms** 
  - appeals to its geometrical properties
  - straight lines in input still straight lines in output

# **Factored Decomposition**



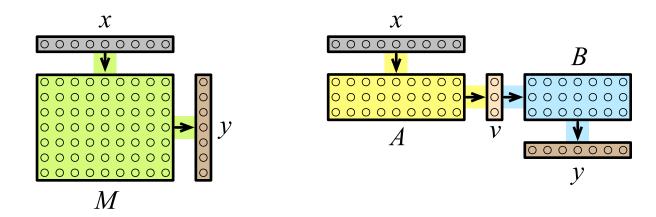
- One challenge: very large input and output vectors
- Number of parameters in matrix  $M = |x| \times |y|$
- ⇒ Need to reduce size of matrix

# **Factored Decomposition**



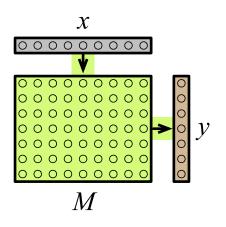
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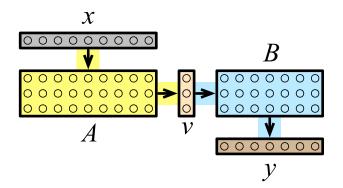
• Solution: first reduce to smaller representation



# **Factored Decomposition: Math**







#### • Intuition

- given highly dimension vector x
- first map to into lower dimensional vector v (matrix A)
- then map to output vector y (matrix B)

$$v = Ax$$
$$y = Bv = BAx$$

#### Example

- $-|x| = 20,000, |y| = 50,000 \rightarrow M = 1,000,000,000$
- $-|v| = 100 \rightarrow A = 20,000 \times 100 = 2,000,000, B = 100 \times 50,000 = 5,000,000$
- reduction from 1,000,000,000 to 7,000,000

# **Factored Decomposition: Interpretation**



- $\bullet$  Vector v is a bottleneck feature
- Forced to captures salient features
- One example: word embeddings



# basic mathematical operations

## Concatenation



- Often multiple input vectors to processing step
- For instance recurrent neural network
  - input word
  - previous state
- Combined in feed-forward layer

$$y = \operatorname{activation}(M_1x_1 + M_2x_2 + b)$$

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Another view

$$x = \operatorname{concat}(x_1, x_2)$$
  
 $y = \operatorname{activation}(Mx + b)$ 

• Splitting hairs here, but concatenation useful generally

### **Addition**



- Adding vectors: very simplistic, but often done
- Example: compute sentence embeddings s from word embeddings  $w_1, ..., w_n$

$$s = \sum_{i}^{n} w_{i}$$

• Reduces varying length sentence representation into fixed sized vector

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- Reduces varying length sentence representation into fixed sized vector
- Maybe weight the words, e.g., by attention



- Another elementary mathematical operation
- Three ways to multiply vectors



- Another elementary mathematical operation
- Three ways to multiply vectors
  - element-wise multiplication

$$v \odot u = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} \odot \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \begin{pmatrix} v_1 \times u_1 \\ v_2 \times u_2 \end{pmatrix}$$



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dot product

$$v \cdot u = v^T u = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}^T \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = v_1 \times u_1 + v_2 \times u_2$$

used for simple version of attention mechanism



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used for simple version of attention mechanism

— third possibility:  $vu^T$ , not commonly done

## **Maximum**



- Goal: reduce the dimensionality of representation
- Example: detect if a face is in image
  - any region of image may have positive match
  - represent different regions with element in a vector
  - maximum value: any region has a face

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  - maximum value: any region has a face
- Max pooling
  - given: n dimensional vector
  - goal: reduce to  $\frac{n}{k}$  dimensional vector
  - method: break up vector into blocks of k elements, map each into single value

## **Max Out**



- Max out
  - first branch out into multiple feed-forward layers

$$W_1x + b_1$$

$$W_2x + b_2$$

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$$\max(x) = \max(W_1x + b_1, W_2x + b_2)$$

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$$\max(x) = \max(W_1x + b_1, W_2x + b_2)$$

• ReLu activation is a maxout layer: maximum of feed-forward layer and 0

$$ReLu(x) = \max(Wx + b, 0)$$



# processing sequences

### **Recurrent Neural Networks**



- Already described recurrent neural networks at length
  - propagate state s
  - over time steps t
  - receiving an input  $x_t$  at each turn

$$s_t = f(s_{t-1}, x_t)$$

(state may computed may as a feed-forward layer)

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  - gated recurrent units (GRU)
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- More successful
  - gated recurrent units (GRU)
  - long short-term memory cells (LSTM)
- Good fit for sequences, like words in a sentence
  - humans also receive word by word
  - most recent words most relevant
  - $\rightarrow$  closer to current state
- But computational problematic: very long computation chains

# **Alternative Sequence Processing**

• Convolutional neural networks

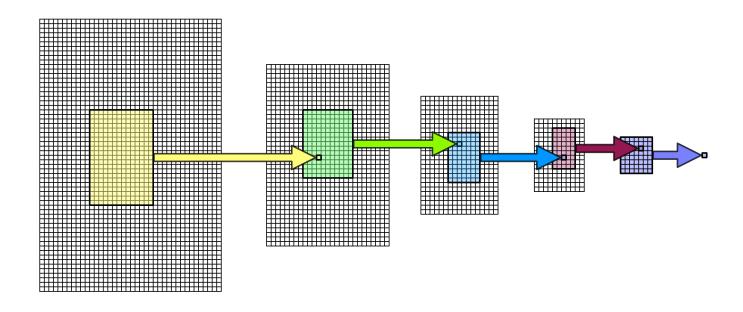
• Attention



# convolutional neural networks

# **Convolutional Neural Networks (CNN)**

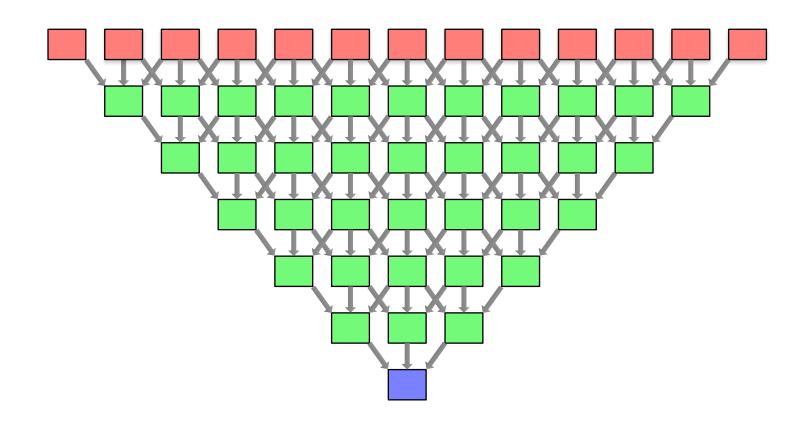




- Popular in image processing
- Regions of an image are reduced into increasingly smaller representation
  - matrix spanning part of image reduced to single value
  - overlapping regions

# **CNNs for Language**





• Map words into fixed-sized sentence representation

# Hierarchical Structure and Language



- Syntactic and semantic theories of language
  - language is recursive
  - central: verb
  - dependents: subject, objects, adjuncts
  - their dependents: adjectives, determiners
  - also nested: relative clauses
- How to compute sentence embeddings active research topic

## **Convolutional Neural Networks**



- Key step
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#### **Convolutional Neural Networks**



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- Examples
  - map  $50 \times 50$  pixel area into scalar value
  - combine 3 or more neighboring words into a single vector
- Machine translation
  - encode input sentence into single vector
  - decode this vector into a sentence in the output language



# attention

#### **Attention**



- Machine translation is a structured prediction task
  - output is not a single label
  - output structure needs to be built, word by word
- Relevant information for each word prediction varies
- Human translators pay attention to different parts of the input sentence when translating
- ⇒ Attention mechanism

# **Computing Attention**



- Attention mechanism in neural translation model (Bahdanau et al., 2015)
  - previous hidden state  $s_{i-1}$
  - input word embedding  $h_j$
  - trainable parameters b,  $W_a$ ,  $U_a$ ,  $v_a$

$$a(s_{i-1}, h_j) = v_a^T \tanh(W_a s_{i-1} + U_a h_j + b)$$

- Other ways to compute attention
  - Dot product:  $a(s_{i-1}, h_j) = s_{i-1}^T h_j$
  - Scaled dot product:  $a(s_{i-1}, h_j) = \frac{1}{\sqrt{|h_j|}} s_{i-1}^T h_j$
  - General:  $a(s_{i-1}, h_j) = s_{i-1}^T W_a h_j$
  - Local:  $a(s_{i-1}) = W_a s_{i-1}$

# Attention of Luong et al. (2015)



• Luong et al. (2015) demonstrate good results with the dot product

$$a(s_{i-1}, h_j) = s_{i-1}^T h_j$$

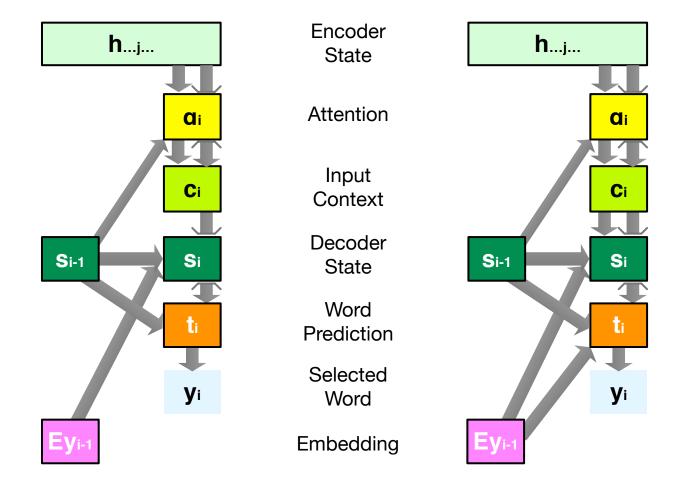
- No trainable parameters
- Additional changes
- Currently more popular

# Attention of Luong et al. (2015)



Luong et al. (2015)

Bahdanau et al. (2015)



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Attention

$$\alpha_{ij} = \text{softmax FF}(s_{i-1}, h_j)$$

Input context  $c_i = \sum_j \alpha_{ij} h_j$ 

Output word

$$p(y_t|y_{< t}, x) =$$
softmax(W FF<sub>tanh</sub>(s<sub>i-1</sub>, c<sub>i</sub>))

Decoder state

$$s_i = FF_{tanh}(s_{i-1}, Ey_{i-1})$$

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Average the attention weights

$$\alpha_{ij} = \frac{1}{k} \sum_{k} \alpha_{ij}^{k}$$

Multi-head attention is a form of ensembling



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• Input context is now computed by a element-wise multiplication

$$c_i = \sum_j \alpha_{ij} \times h_j$$



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- Self attention:

Which of the surrounding words is most relevant to refine representation?



• Formal definition (based on sequence of vectors  $h_j$ , packed into matrix H

$$self-attention(H) = softmax \left(\frac{HH^T}{\sqrt{|h|}}\right)H$$

- Association between every word representation  $h_j$  any other context word  $h_k$ 
  - computed by dot product
  - results in a vector of raw association values

$$HH^T$$

• Scaled by the size of the word representation vectors |h|, and softmax

$$\operatorname{softmax}\left(\frac{HH^T}{\sqrt{|h|}}\right)$$

• Resulting vector of normalized association values used to weigh context words



- ullet More familiar math, using word representation vectors  $h_j$
- Raw association  $\frac{HH^T}{\sqrt{|h|}}$   $a_{jk} = \frac{1}{|h|} h_j h_k^T$
- Normalized association (softmax)

$$\alpha_{jk} = \frac{\exp(a_{jk})}{\sum_{\kappa} \exp(a_{j\kappa})}$$

• Weighted sum

$$self-attention(h_j) = \sum_k \alpha_{j\kappa} h_k$$

More on this later (Transformer)



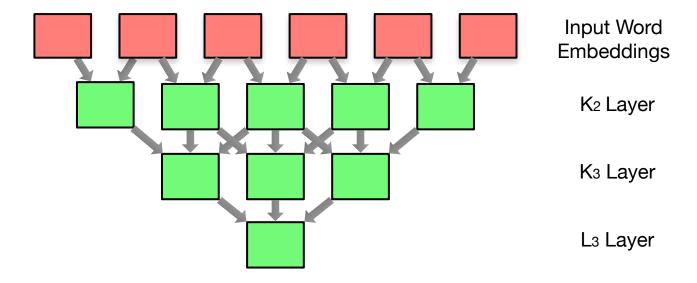
# convolutional machine translation

## **Convolutional Machine Translation**



• First end-to-end neural machine translation model of the modern era [Kalchbrenner and Blunsom, 2013]

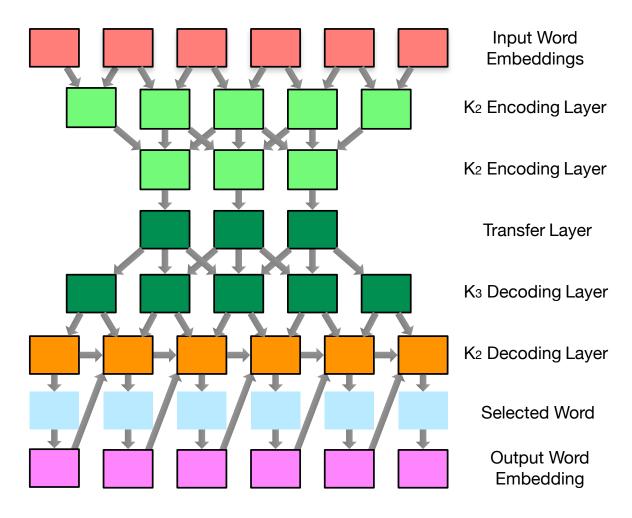
Encoder



- always two convolutional layers, with different size
- here:  $K_2$  and  $K_3$
- Decoder similar

#### Refinement





- Convolutions do not result in a single sentence embedding but a sequence
- Decoder is also informed by a recurrent neural network

#### **CNNs With Attention**

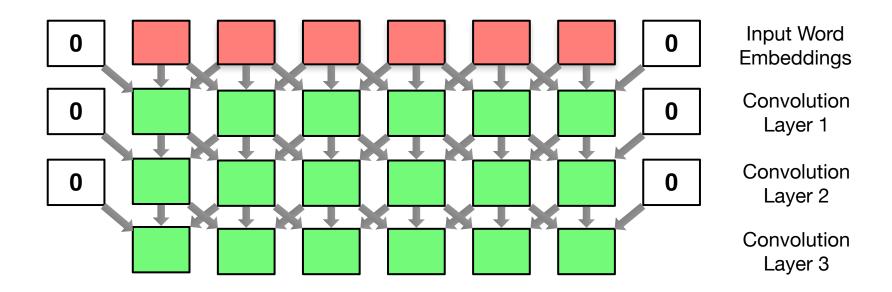


[Gehring et al. 2017]

- Combination of
  - convolutional neural networks
  - attention
- Sequence-to-sequence attention, mainly as before
- Recurrent neural networks replaced by convolutional layers

## **Encoder**





- Stacked encoder convolutions
- Not shortening representations
- But: faster processing due to more parallelism

## **Encoder: Math**



• Start with input word embeddings  $Ex_j$ 

$$h_{0,j} = E x_j$$

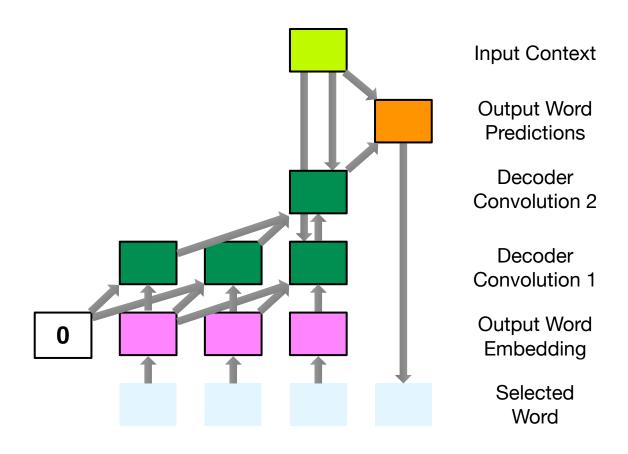
- Progress through
  - sequence of layer encodings  $h_{d,j}$
  - at different depth d
  - until maximum depth D

$$h_{d,j} = f(h_{d-1,j-k}, ..., h_{d-1,j+k})$$

- Details
  - function f is feed-forward layer with shortcut connection
  - final representation  $h_{D,j}$  may only be informed by partial sentence context
  - all words at one depth can be processed in parallel  $\rightarrow$  fast

#### Decoder





- Decoder state computed by convolutional layers over previous output words
- Each convolutional state also informed by the input context (using attention)

## **Decoder: Math**



• Recall: decoder recurrent neural network decoder

$$s_i = f(s_{i-1}, Ey_{i-1}, c_i)$$

- encoder state  $s_i$
- embedding of previous output word  $Ey_{i-1}$
- input context  $c_i$
- Now
  - state computation not depending on previous state  $s_{i-1}$  (not recurrent)
  - conditioned on the sequence of the  $\kappa$  most recent previous words

$$s_i = f(Ey_{i-\kappa}, ..., Ey_{i-1}, c_i)$$

Stacked convolutions

$$s_{1,i} = f(Ey_{i-\kappa}, ..., Ey_{i-1}, c_i)$$
  
$$s_{d,i} = f(s_{d-1,i-\kappa-1}, ..., s_{d-1,i}, c_i) \text{ for } d > 0, d \le \hat{D}$$

## **Attention**



- Attention mechanism fundamentally unchanged
- Input context  $c_i$  computed based on association  $a(s_{i-1}, h_j)$  between
  - encoder state  $h_j$
  - decoder state  $s_{i-1}$
- Now
  - encoder state  $h_{D,i}$
  - decoder state  $s_{\hat{D},i-1}$
- Refinement when computing the context vector  $c_i$ : shortcut connection between encoder state  $h_{D,j}$  and input word embedding  $x_j$



# transformer

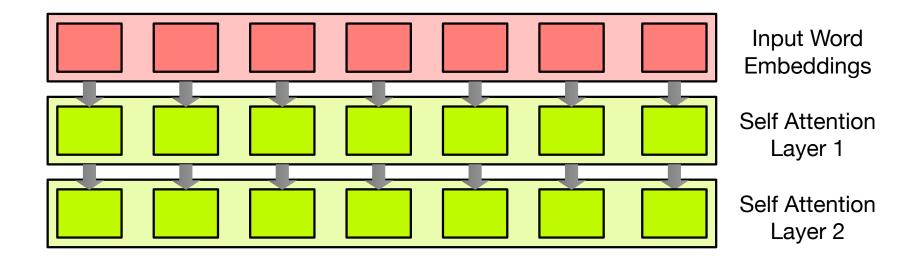
## **Self Attention: Transformer**



- Self-attention in encoder
  - refine word representation based on relevant context words
  - relevance determined by self attention
- Self-attention in decoder
  - refine output word predictions based on relevant previous output words
  - relevance determined by self attention
- Also regular attention to encoder states in decoder
- Currently most successful model
   (maybe only with self attention in decoder, but regular recurrent decoder)

#### Encoder





Sequence of self-attention layers



- Given: input word representations  $h_j$ , packed into a matrix  $H = (h_1, ..., h_j)$
- Self attention

$$self-attention(H) = softmax(\frac{HH^T}{\sqrt{|h|}})H$$



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- Shortcut connection

$$self$$
-attention $(h_j) + h_j$ 



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self-attention
$$(h_j) + h_j$$

• Layer normalization

$$\hat{h}_j = \text{layer-normalization}(\text{self-attention}(h_j) + h_j)$$



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• Feed-forward step with ReLU activation function

$$\mathrm{relu}(W\hat{h}_j + b)$$



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• Again, shortcut connection and layer normalization

layer-normalization(relu(
$$W\hat{h}_j + b$$
) +  $\hat{h}_j$ )

# **Stacked Self Attention Layers**



- Stack several such layers (say, D = 6)
- Start with input word embedding

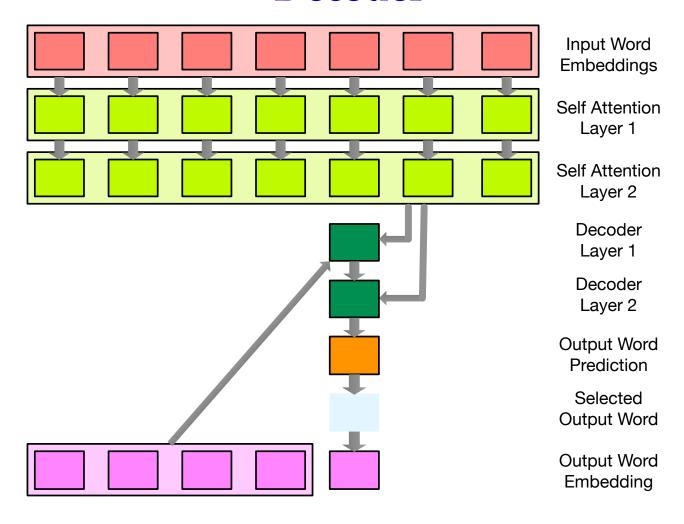
$$h_{0,j} = Ex_j$$

• Stacked layers

$$h_{d,j} = \text{self-attention-layer}(h_{d-1,j})$$

#### Decoder





Decoder computes attention-based representations of the output in several layers, initialized with the embeddings of the previous output words

## Self-Attention in the Decoder



- Same idea as in the encoder
- Output words are initially encoded by word embeddings  $s_i = Ey_i$ .
- Self attention is computed over previous output words
  - association of a word  $s_i$  is limited to words  $s_k$  ( $k \le i$ )
  - resulting representation  $\tilde{s_i}$

$$self-attention(\tilde{S}) = softmax \left(\frac{SS^T}{\sqrt{|h|}}\right)S$$

#### Attention in the Decoder



- Original intuition of attention mechanism: focus on relevant input words
- ullet Computed with dot product  $\tilde{S}H^T$
- Compute attention between the decoder states  $\tilde{S}$  and the final encoder states H

$$\operatorname{attention}(\tilde{S}, H) = \operatorname{softmax}\Big(\frac{SH^T}{\sqrt{|h|}}\Big)H$$

• Note: attention mechanism formally mirrors self-attention

#### Full Decoder



• Self-attention

$$self-attention(\tilde{S}) = softmax \left(\frac{SS^T}{\sqrt{|h|}}\right)S$$

- shortcut connections
- layer normalization
- feed-forward layer
- Attention

$$\operatorname{attention}(\tilde{S}, H) = \operatorname{softmax}\Big(\frac{SH^T}{\sqrt{|h|}}\Big)H$$

- shortcut connections
- layer normalization
- feed-forward layer
- Multiple stacked layers

## Mix and Match



- Encoder may be multiple layers of either
  - recurrent neural networks
  - self-attention layers
- Decoder may be multiple layers of either
  - recurrent neural networks
  - self-attention layers
- Also possible: self-attention encoder, recurrent neural network deocder
- Even better: both self-attention and recurrent neural network, merged at the end