#### **Alternative Architectures**

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10 October 2024



#### **Alternative Architectures**



- We introduced one translation model
  - attentional seq2seq model
  - core organizing feature: recurrent neural networks
- Other core neural architectures
  - convolutional neural networks
  - attention
- But first: look at various components of neural architectures



## components

### **Components of Neural Networks**



- Neural networks originally inspired by the brain
  - a neuron receives signals from other neurons
  - if sufficiently activated, it sends signals
  - feed-forward layers are roughly based on this
- Computation graph
  - any function possible, as long as it is partially differentiable
  - not limited by appeals to biological validity
- Deep learning maybe a better name

### Feed-Forward Layer



- Classic neural network component
- Given an input vector x, matrix multiplication M with adding a bias vector b

$$Mx + b$$

• Adding a non-linear activation function

$$y = activation(Mx + b)$$

Notation

$$y = FF_{\text{activation}}(x) = a(Mx + b)$$

### Feed-Forward Layer



- Historic neural network designs: several feed-forward layers
  - input layer
  - hidden layers
  - output layer
- Powerful tools for a wide range of machine learning problems
- Matrix multiplication also called **affine transforms** 
  - appeals to its geometrical properties
  - straight lines in input still straight lines in output

### **Factored Decomposition**



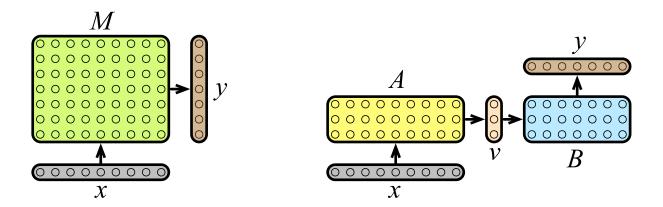
- One challenge: very large input and output vectors
- Number of parameters in matrix  $M = |x| \times |y|$
- ⇒ Need to reduce size of matrix

### **Factored Decomposition**



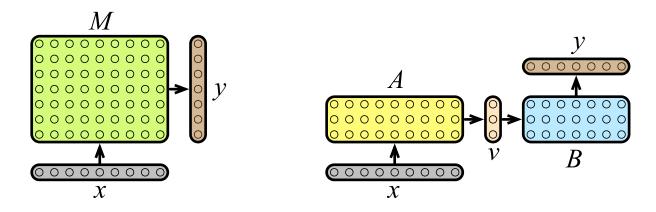
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• Solution: first reduce to smaller representation



### **Factored Decomposition: Math**





#### Intuition

- given highly dimension vector x
- first map to into lower dimensional vector v (matrix A)
- then map to output vector y (matrix B)

$$v = Ax$$
$$y = Bv = BAx$$

#### Example

- $|x| = 20,000, |y| = 50,000 \rightarrow M = 1,000,000,000$
- $-|v| = 100 \rightarrow A = 20,000 \times 100 = 2,000,000, B = 100 \times 50,000 = 5,000,000$
- reduction from 1,000,000,000 to 7,000,000

### **Factored Decomposition: Interpretation**



- Vector *v* is a bottleneck feature
- Forced to captures salient features
- One example: word embeddings



## basic mathematical operations

#### Concatenation



- Often multiple input vectors to processing step
- For instance recurrent neural network
  - input word
  - previous state
- Combined in feed-forward layer

$$y = \operatorname{activation}(M_1x_1 + M_2x_2 + b)$$

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• Another view

$$x = \operatorname{concat}(x_1, x_2)$$
  
 $y = \operatorname{activation}(Mx + b)$ 

• Splitting hairs here, but concatenation useful generally

### **Addition**



- Adding vectors: very simplistic, but often done
- Example: compute sentence embeddings s from word embeddings  $w_1, ..., w_n$

$$s = \sum_{i}^{n} w_{i}$$

• Reduces varying length sentence representation into fixed sized vector

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- Reduces varying length sentence representation into fixed sized vector
- Maybe weight the words, e.g., by attention



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- Three ways to multiply vectors



- Another elementary mathematical operation
- Three ways to multiply vectors
  - element-wise multiplication

$$v \odot u = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} \odot \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \begin{pmatrix} v_1 \times u_1 \\ v_2 \times u_2 \end{pmatrix}$$



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dot product

$$v \cdot u = v^T u = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}^T \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = v_1 \times u_1 + v_2 \times u_2$$

used for simple version of attention mechanism



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— third possibility:  $vu^T$ , not commonly done

### **Maximum**



- Goal: reduce the dimensionality of representation
- Example: detect if a face is in image
  - any region of image may have positive match
  - represent different regions with element in a vector
  - maximum value: any region has a face

### **Maximum**



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  - any region of image may have positive match
  - represent different regions with element in a vector
  - maximum value: any region has a face
- Max pooling
  - given: n dimensional vector
  - goal: reduce to  $\frac{n}{k}$  dimensional vector
  - method: break up vector into blocks of k elements, map each into single value

### **Max Out**



- Max out
  - first branch out into multiple feed-forward layers

$$W_1x + b_1$$

$$W_2x + b_2$$

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• ReLu activation is a maxout layer: maximum of feed-forward layer and 0

$$ReLu(x) = \max(Wx + b, 0)$$



# processing sequences

#### **Recurrent Neural Networks**



- Already described recurrent neural networks at length
  - propagate state s
  - over time steps t
  - receiving an input  $x_t$  at each turn

$$s_t = f(s_{t-1}, x_t)$$

(state may computed may as a feed-forward layer)

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- More successful
  - gated recurrent units (GRU)
  - long short-term memory cells (LSTM)
- Good fit for sequences, like words in a sentence
  - humans also receive word by word
  - most recent words most relevant
  - $\rightarrow$  closer to current state
- But computational problematic: very long computation chains

### **Alternative Sequence Processing**

• Convolutional neural networks

Attention



## convolutional neural networks

#### **Convolutional Neural Networks**



- Key step
  - take a high dimensional input representation
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  - combine 3 or more neighboring words into a single vector

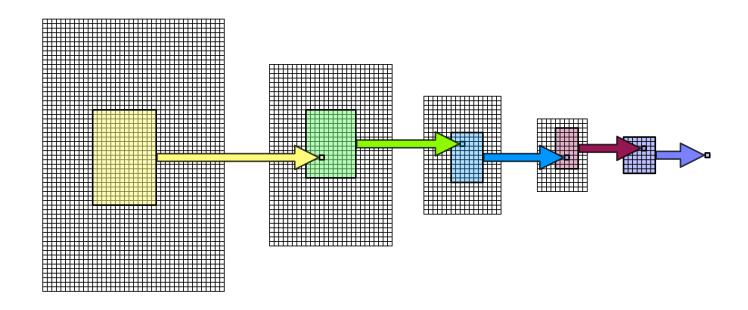
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- Machine translation
  - encode input sentence into single vector
  - decode this vector into a sentence in the output language

#### **CNNs for Vision**

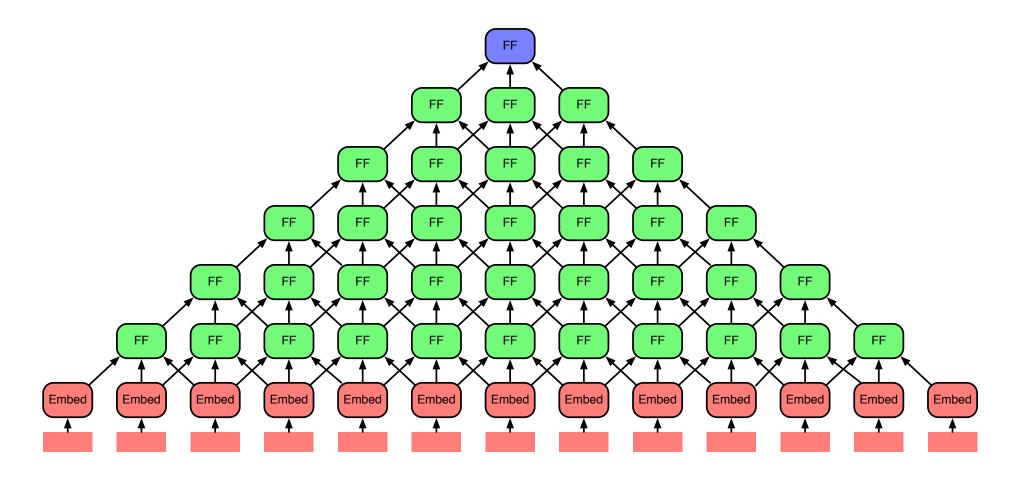




- Popular in image processing
- Regions of an image are reduced into increasingly smaller representation
  - matrix spanning part of image reduced to single value
  - overlapping regions

### **CNNs for Language**





• Map words into fixed-sized sentence representation

### Hierarchical Structure and Language

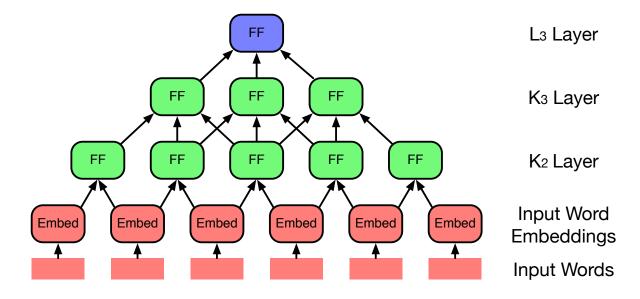


- Syntactic and semantic theories of language
  - language is recursive
  - central: verb
  - dependents: subject, objects, adjuncts
  - their dependents: adjectives, determiners
  - also nested: relative clauses
- How to compute sentence embeddings active research topic

#### **Convolutional Machine Translation**



- First end-to-end neural machine translation model of the modern era [Kalchbrenner and Blunsom, 2013]
- Encoder



- always two convolutional layers, with different size
- here:  $K_2$  and  $K_3$
- Decoder similar



# attention



- Machine translation is a structured prediction task
  - output is not a single label
  - output structure needs to be built, word by word

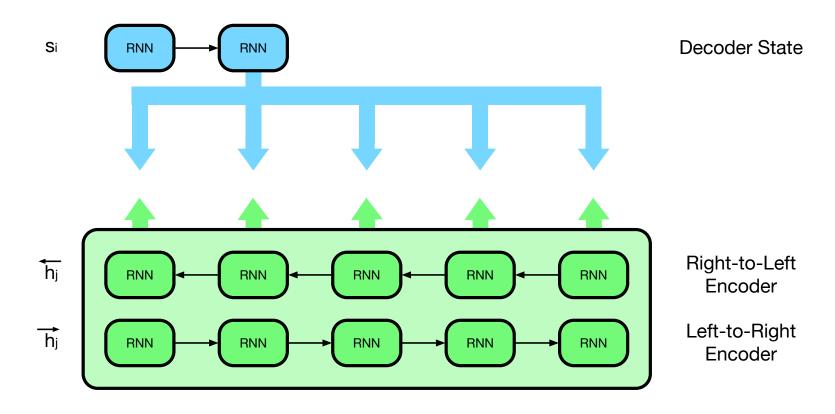


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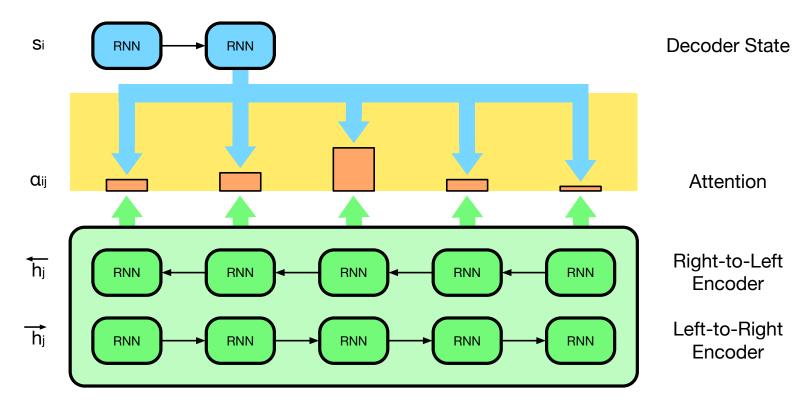
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- Human translators pay attention to different parts of the input sentence when translating
- ⇒ Attention mechanism





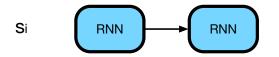
- Given what we have generated so far (decoder hidden state)
- ... which words in the input should we pay attention to (encoder states)?





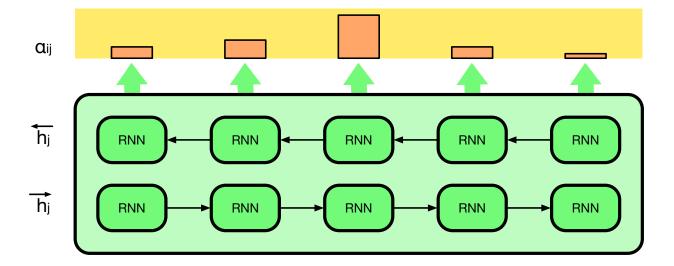
- Given: the previous hidden state of the decoder  $s_{i-1}$  the representation of input words  $h_j=(\overleftarrow{h_j}, \overleftarrow{h_j})$
- Predict an alignment probability  $a(s_{i-1}, h_j)$  to each input word j (modeled with with a feed-forward neural network layer)





**Decoder State** 

Input Context



Attention

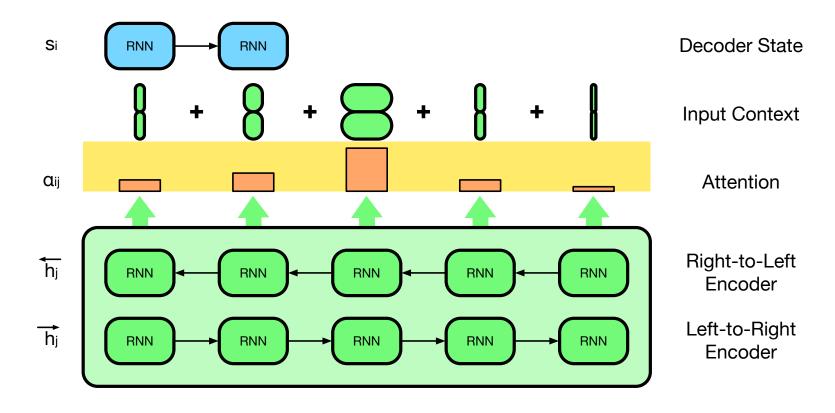
Right-to-Left Encoder

Left-to-Right Encoder

• Normalize attention (softmax)

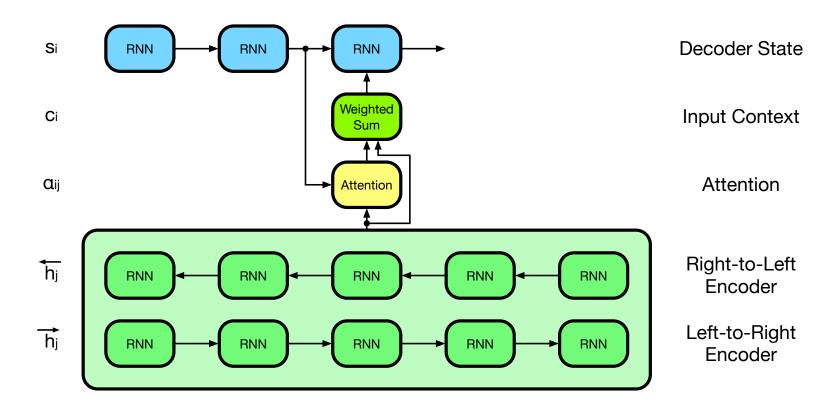
$$\alpha_{ij} = \frac{\exp(a(s_{i-1}, h_j))}{\sum_k \exp(a(s_{i-1}, h_k))}$$





• Relevant input context: weigh input words according to attention:  $c_i = \sum_j \alpha_{ij} h_j$ 





• Use context to predict next hidden state and output word

### **Computing Attention**



- Attention mechanism in neural translation model (Bahdanau et al., 2015)
  - previous hidden state  $s_{i-1}$
  - input word embedding  $h_j$
  - trainable parameters b,  $W_a$ ,  $U_a$ ,  $v_a$

$$a(s_{i-1}, h_j) = v_a^T \tanh(W_a s_{i-1} + U_a h_j + b)$$

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- Other ways to compute attention (Luong et al., 2015)
  - Dot product:  $a(s_{i-1}, h_j) = s_{i-1}^T h_j$
  - Scaled dot product:  $a(s_{i-1}, h_j) = \frac{1}{\sqrt{|h_j|}} s_{i-1}^T h_j$
  - General:  $a(s_{i-1}, h_j) = s_{i-1}^T W_a h_j$
  - Local:  $a(s_{i-1}) = W_a s_{i-1}$

### **General View of Dot-Product Attention**



Three elements

**Query**: decoder state

**Key**: encoder state

**Value**: encoder state

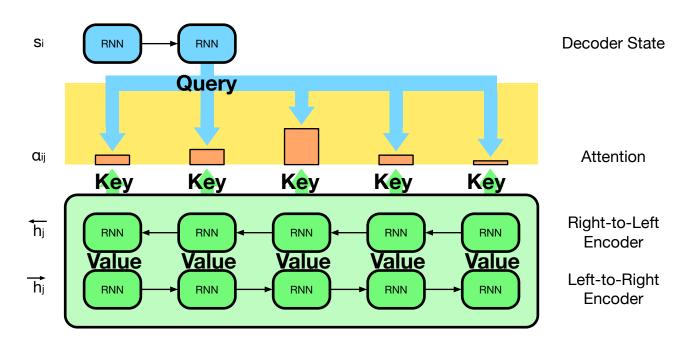
- Intuition
  - given a query (the decoder state)
  - we check how well it matches keys in the database (the encoder states)
  - and then use the matching score to scale the retrieved value (also the encoder state)
- Computation

$$\operatorname{Attention}(Q,K,V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

#### **General View of Dot-Product Attention**



Attention(Q, K, V)



• Query: encoder state, Key and Value: decoder state

Attention(S, H, H)

# **Dimensionality Reduction**

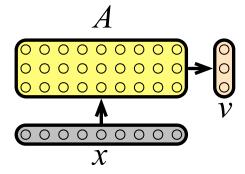


- Instead of simple dot product of query and key vectors  $QK^T$ ...
- ullet Multiply with weight matrices  $W^Q$  and  $W^K$

# **Dimensionality Reduction**



- Instead of simple dot product of query and key vectors  $QK^T$ ...
- ullet Multiply with weight matrices  $W^Q$  and  $W^K$
- Also reduce the size of the vectors



• New computation: Attention( $QW^Q, KW^K, V$ )

#### **Multi-Head Attention**



- Add redundancy
  - say, 16 attention weights
  - each based on its own parameters  $\boldsymbol{W}_{i}^{Q}$  and  $\boldsymbol{W}_{i}^{K}$

#### **Multi-Head Attention**



- Add redundancy
  - say, 16 attention weights
  - each based on its own parameters  $W_i^Q$  and  $W_i^K$
- Formally:

$$\begin{aligned} &\text{head}_i &= \text{Attention}(QW_i^Q, KW_i^K, V) \\ &\text{MultiHead}(Q, K, V) &= \text{Concat}(\text{head}_1, ..., \text{head}_h)W^O \end{aligned}$$

• Multi-head attention is a form of ensembling



- Finally, a very different take at attention
- Motivation so far: need for alignment between input words and output words



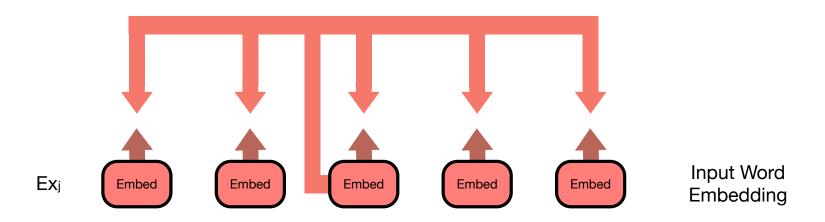
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- Now: refine representation of input words in the encoder
  - representation of an input word mostly depends on itself
  - but also informed by the surrounding context
  - previously: recurrent neural networks (considers left or right context)
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- Self attention:

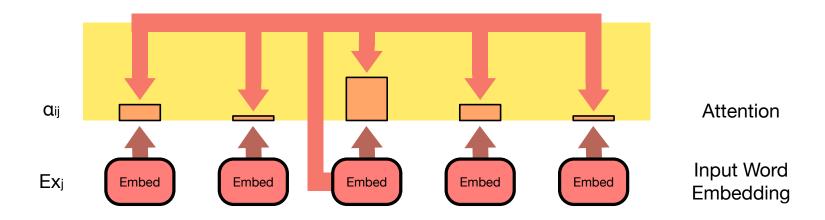
Which of the surrounding words is most relevant to refine representation?





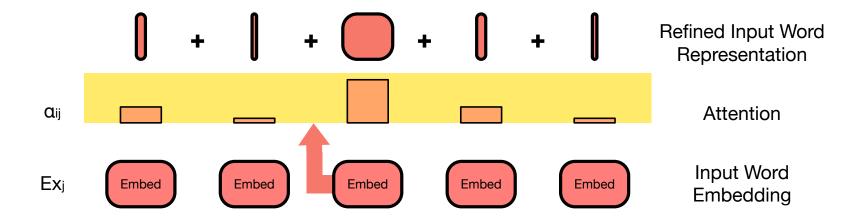
- Given: input word embeddings
- Task: consider how each should be refined in view of others
- Needed: how much attention to pay to others





- Computation of attention weights as before
  - Key: word embedding (or generally: encoder state for word H)
  - Query: word embedding (or generally: encoder state for word H)
- Again, multiple with weight matrices:  $Q=HW^Q$  and  $K=HW^K$
- Attention weights:  $QK^T$





• Full self attention

$$self-attention(H) = Attention(HW^Q, HW^K, H)$$

• Resulting vector uses weighted context words



# transformer



- Self-attention in encoder
  - refine word representation based on relevant context words
  - relevance determined by self attention



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- Also regular attention to encoder states in decoder
- Currently most successful model
   (maybe only with self attention in decoder, but regular recurrent decoder)



- Given: input word representations  $h_j$ , packed into a matrix  $H=(h_1,...,h_j)$
- Self attention self-attention(H) = MultiHead(H, H, H)



- Given: input word representations  $h_j$ , packed into a matrix  $H = (h_1, ..., h_j)$
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- Shortcut connection

self-attention
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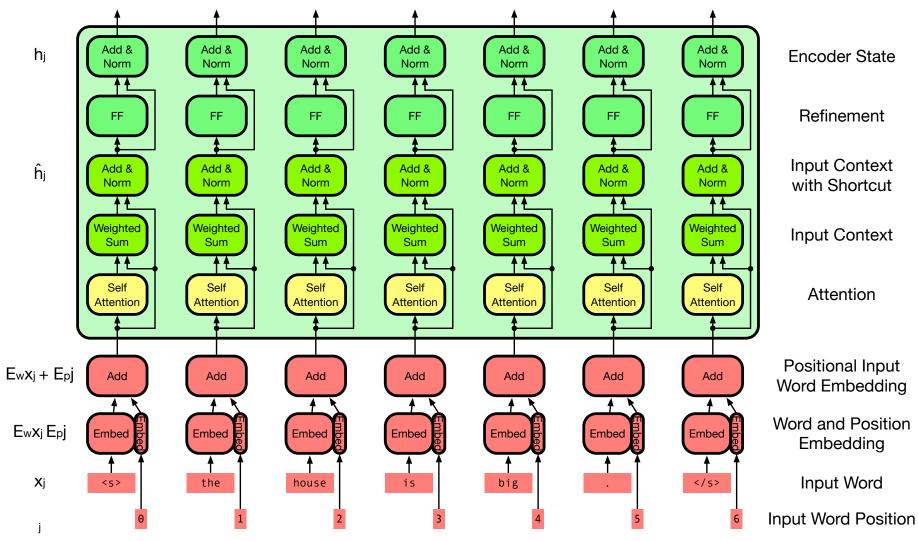
$$relu(W\hat{h}_j + b)$$

• Again, shortcut connection and layer normalization

layer-normalization(relu(
$$W\hat{h}_j + b$$
) +  $\hat{h}_j$ )

#### Encoder





Sequence of self-attention layers

#### Self-Attention in the Decoder



- Same idea as in the encoder
- Output words are initially encoded by word embeddings  $s_i = Ey_i$ .
- Self attention is computed over previous output words
  - association of a word  $s_i$  is limited to words  $s_k$  ( $k \le i$ )
  - resulting representation  $\tilde{s_i}$

 $\operatorname{self-attention}(\tilde{S}) = \operatorname{MultiHead}(\tilde{S}, \tilde{S}, \tilde{S})$ 

#### Attention in the Decoder



- Original intuition of attention mechanism: focus on relevant input words
- Computed with dot product  $\tilde{S}H^T$
- Compute attention between the decoder states  $\tilde{S}$  and the final encoder states H attention $(\tilde{S},H)=$  MultiHead $(\tilde{S},H,H)$
- Note: attention mechanism formally mirrors self-attention

#### Full Decoder



• Self-attention

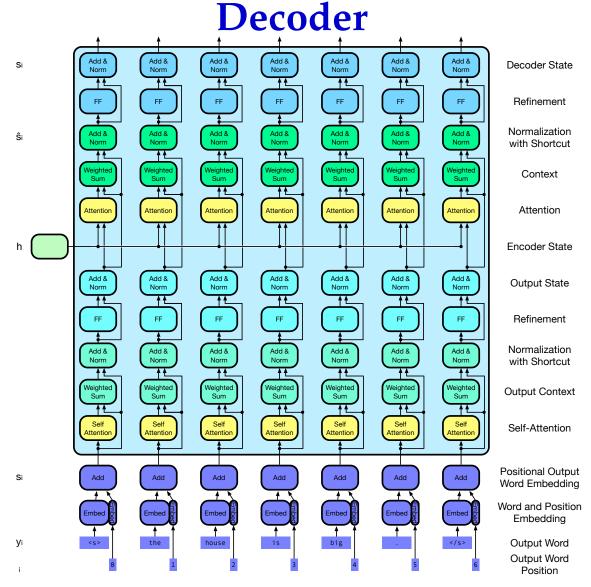
$$\operatorname{self-attention}(\tilde{S}) = \operatorname{MultiHead}(\tilde{S}, \tilde{S}, \tilde{S})$$

- shortcut connections
- layer normalization
- feed-forward layer
- Attention

$$\operatorname{attention}(\tilde{S}, H) = \operatorname{softmaxMultiHead}(\tilde{S}, H, H)$$

- shortcut connections
- layer normalization
- feed-forward layer
- Multiple stacked layers





Decoder computes attention-based representations of the output in several layers, initialized with the embeddings of the previous output words

# **Multiple Layers**



- Stack several transformer layers (say, D=6)
- Encoder
  - Start with input word embedding

$$h_{0,j} = Ex_j$$

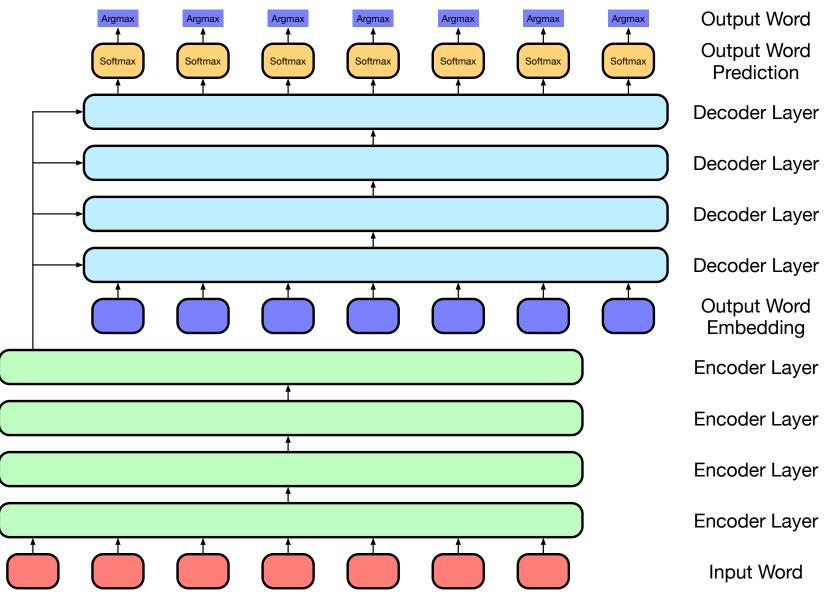
Stacked layers

$$h_{d,j} = \text{self-attention-layer}(h_{d-1,j})$$

• Same for decoder

### Multiple Layers in Encoder and Decoder







# questions?