

**Problem 1. Zygmund p58 exercise 01 不計分**

(a) There is an analogue for bases different from 10 of usual decimal expansion of number. If  $b$  is an integer larger than 1 and  $0 < x < 1$ , show that there exist integral coefficient  $c_k$ ,  $0 \leq c_k < b$ , such that  $x = \sum_{k=1}^{\infty} c_k b^{-k}$ . Furthermore, show that expansion is unique unless  $x = cb^{-k}$ , in which case there are two expansions.

(b) When  $b = 3$ , the expansion is called the triadic or ternary expansion of  $x$ . Show that Cantor set consist of point in  $[0, 1]$  which has triadic representation such that  $c_k$  is either 0 or 2, namely,

$$\mathcal{C} = \{x \in [0, 1] : x = \sum_{k=1}^{\infty} c_k 3^{-k}, c_k \in \{0, 2\}\}.$$

*Solution.*

(a)

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**Input:**  $x, b$

**Output:**  $\{c_k\}_{k=1}^{\infty}$

1 initialization;

2 **for**  $n = 1, 2, \dots, \infty$  **do**

3      $\lfloor$  Let  $c_n$  to be the largest integral coefficient, s.t.  $\sum_{k=1}^n c_k b^{-k} \leq x$ ;

4 **return**  $\{c_k\}_{k=1}^{\infty}$ ;

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⋮

## Cantor Set

<sup>a</sup>Consider the closed interval  $[0, 1]$ . The first stage of the construction is to subdivide  $[0, 1]$  into thirds and remove the interior of the middle third; that is, remove the open interval  $(\frac{1}{3}, \frac{2}{3})$ . Each successive step of the construction is essentially the same. Thus, at the second stage, we subdivide each of the remaining two intervals  $[0, \frac{1}{3}]$  and  $[\frac{2}{3}, 1]$  into thirds and remove the interiors,  $(\frac{1}{9}, \frac{2}{9})$  and  $(\frac{7}{9}, \frac{8}{9})$ , of their middle thirds. We continue the construction for each of the remaining intervals. The subset of  $[0, 1]$  that remains after infinitely many such operations is called the Cantor set  $C$ : thus, if  $C_k$  denotes the union of the intervals left at the  $k$ -th stage, then

$$C = \bigcap_{k=1}^{\infty} C_k.$$

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<sup>a</sup>Richard L. Wheeden and Antoni Zygmund. *Measure and integral: An introduction to real analysis*. CRC, 2015, pp. 42–43.

## Limit Point

A point  $x$  is a limit point of the set  $E$  if every neighborhood of  $x$  contains a point  $x \neq y$  such that  $y \in E$ .<sup>a</sup>

In other words,  $x$  is a limit point of  $E$  if  $\exists$  a sequence  $\{x_n\} \in E$ , s.t.  $x_n \rightarrow x$  and  $x_n \neq x$ .<sup>b</sup>

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<sup>a</sup>W. Rudin. *Principles of Mathematical Analysis*. McGraw-Hill, 1976, p. 32.

<sup>b</sup>Wheeden and Zygmund, see n. a, pp. 3–4.

## Perfect Set

A closed set  $E$  is said to be a perfect set if every point of  $E$  is a limit point of  $E$ .<sup>a</sup>

In other words, A closed set  $E$  is said to be a perfect set if  $\forall x \in E, \forall \epsilon > 0, (B(x, \epsilon) \setminus \{x\}) \cap E \neq \emptyset$ .

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<sup>a</sup>Ibid., p. 7.

## Theorem 1.7

- (i) The intersection of any number of closed sets is closed.
- (ii) The union of any number of open sets is open.

## Cantor Set is perfect

To prove that Cantor Set  $C$  is a perfect set, we need to show that it is closed and every point in the set is a limit point of the set.

Since each  $C_k$  is closed, it follows from Theorem 1.7 that  $C$  is closed.

Then show that every point in  $C$  is a limit point of the set:

Case 1. Let  $x \in C$  be an endpoint of the interval  $I_k \subseteq C_k$ . Consider the intervals  $I_k^i \subseteq C_{k+i}$  with

endpoint  $x$ , let  $x_1$  be the other endpoint of  $I_k^1 \subseteq C_{k+1}$ ,  $x_2$  be the other endpoint of  $I_k^2 \subseteq C_{k+2}, \dots$ ,  $x_n$  be the other endpoint of  $I_k^n \subseteq C_{k+n}$ . Thus,  $|x_n - x| = (\frac{1}{3})^{k+n}$ .

We have

$$x_n \rightarrow x,$$

$$x_n \neq x,$$

$$x_n \in C.$$

Therefore,  $x$  is a limit point of  $C$ .

Case 2. Suppose  $x \in C$  is not an endpoint of any interval consisting  $C$ .  $\forall n \in \mathbb{N}$ , we have  $x \in (a_n, b_n)$ , where  $a_n \in C$  and  $|a_n - x| < (\frac{1}{3})^n$ . Let  $x_n = a_n$ ,  $\{x_n\}$  is the squence s.t.

$$x_n \rightarrow x,$$

$$x_n \neq x,$$

$$x_n \in C.$$

Thus,  $x$  is a limit point of  $C$ .

We can conclude that every point in  $C$  is a limit point of the set. Therefore,  $C$  is perfect.

### Problem 2. Zygmund p58 exercise 03

Construct a two-dimensional Cantor set in the unit square  $\{(x, y) : 0 \leq x, y \leq 1\}$  as follows. Subdivide the square into nine equal parts and keep only the four closed corner squares, removing the remaining region (which forms a cross). Then repeat this process in a suitably scaled version for the remaining squares, ad infinitum. Show that the resulting set is perfect, has plane measure zero, and equals  $C \times C$ .

*Solution.*

Let  $D_0$  be the unit square  $\{(x, y) : 0 \leq (x, y) \leq 1\}$ . Let  $D_k$  be the set remaining after  $k$  steps. Let  $D = \bigcap_{k=1}^{\infty} D_k$  be the resulting set.

(a) Show that  $D$  has plane measure zero:

Since  $D$  is covered by the intervals in any  $D_k$ , we have

$$|D|_e \leq |D_k|_e = \left(\frac{4}{9}\right)^k.$$

Let  $k \rightarrow \infty$ , we have  $|D|_e = 0$ .

(b)

$$\begin{aligned} D &:= \bigcap_{k=1}^{\infty} D_k && \text{(By definition)} \\ &= \bigcap_{k=1}^{\infty} C_k \times C_k && \text{(By definition)} \\ &= \left(\bigcap_{k=1}^{\infty} C_k\right) \times \left(\bigcap_{k=1}^{\infty} C_k\right) && \text{(To be proved)} \\ &= C \times C. && \text{(By definition)} \end{aligned}$$

To prove that  $\bigcap_{k=1}^{\infty} C_k \times C_k = (\bigcap_{k=1}^{\infty} C_k) \times (\bigcap_{k=1}^{\infty} C_k)$ , first show that  $\bigcap_{k=1}^{\infty} C_k \times C_k \subseteq (\bigcap_{k=1}^{\infty} C_k) \times (\bigcap_{k=1}^{\infty} C_k)$ :

For all

$$(x, y) \in \bigcap_{k=1}^{\infty} C_k \times C_k$$

we have

$$(x, y) \in C_k \times C_k, \forall k \in \mathbb{N}.$$

By the definition of Cartesian product, we have

$$x \in C_k, \forall k \in \mathbb{N},$$

$$y \in C_k, \forall k \in \mathbb{N}.$$

Thus,

$$x \in \bigcap_{k=1}^{\infty} C_k,$$

$$y \in \bigcap_{k=1}^{\infty} C_k.$$

Therefore,

$$(x, y) \in \left( \bigcap_{k=1}^{\infty} C_k \right) \times \left( \bigcap_{k=1}^{\infty} C_k \right), \forall (x, y) \in D,$$

which means

$$\bigcap_{k=1}^{\infty} C_k \times C_k \subseteq \left( \bigcap_{k=1}^{\infty} C_k \right) \times \left( \bigcap_{k=1}^{\infty} C_k \right).$$

Next, prove that  $(\bigcap_{k=1}^{\infty} C_k) \times (\bigcap_{k=1}^{\infty} C_k) \subseteq \bigcap_{k=1}^{\infty} C_k \times C_k$ . For all

$$(x, y) \in \left( \bigcap_{k=1}^{\infty} C_k \right) \times \left( \bigcap_{k=1}^{\infty} C_k \right)$$

we have

$$x \in C_k, \forall k \in \mathbb{N},$$

$$y \in C_k, \forall k \in \mathbb{N}.$$

By the definition of Cartesian product, this implies that

$$(x, y) \in C_k \times C_k, \forall k \in \mathbb{N}.$$

Since it satisfies the definition of intersection, we have  $(x, y) \in \bigcap_{k=1}^{\infty} C_k \times C_k$ , implying that

$$\left( \bigcap_{k=1}^{\infty} C_k \right) \times \left( \bigcap_{k=1}^{\infty} C_k \right) \subseteq \bigcap_{k=1}^{\infty} C_k \times C_k.$$

Therefore, we can conclude that the two sets are equal:

$$\bigcap_{k=1}^{\infty} C_k \times C_k = \left( \bigcap_{k=1}^{\infty} C_k \right) \times \left( \bigcap_{k=1}^{\infty} C_k \right).$$

(c) Prove that it is a perfect set:

Since  $C$  is perfect,  $D = C \times C$  is perfect, by the property of perfect set.

## Cartesian product of two perfect sets

To prove that the Cartesian product of two perfect sets in  $\mathbb{R}$  is a perfect set in  $\mathbb{R}^2$ :

Let  $E_1$  and  $E_2$  be perfect sets in  $\mathbb{R}$ , consider  $E = E_1 \times E_2$ , which is the product of  $E_1$  and  $E_2$ .

Since both  $E_1$  and  $E_2$  are perfect sets, they are closed in  $\mathbb{R}$ . The product of two closed sets is also closed. Thus,  $E = E_1 \times E_2$  is closed in  $\mathbb{R}^2$ .

Suppose  $(x, y) \in E = E_1 \times E_2$ , by definition,  $x \in E_1$ , and  $y \in E_2$ . Since both  $E_1$  and  $E_2$  are perfect sets, every point in the set is the limit point of the set respectively. In other words,  $\forall x \in E_1$ , we have a sequence  $\{x_n\}$  s.t.

$$x_n \rightarrow x, x_n \neq x, x_n \in E_1.$$

Similarly,  $\forall y \in E_2$ , we have a sequence  $\{y_n\}$  s.t.

$$y_n \rightarrow y, y_n \neq y, y_n \in E_2.$$

Thus,  $\forall (x, y) \in E = E_1 \times E_2$ , we have a sequence  $\{(x_n, y_n)\}$  s.t.

$$(x_n, y_n) \rightarrow (x, y),$$

$$(x_n, y_n) \neq (x, y),$$

$$(x_n, y_n) \in E.$$

This means that every point in  $E$  is a limit point of  $E$ . Hence, we can conclude that  $E$  is perfect.

It is proved that the product of two perfect sets in  $\mathbb{R}$  is a perfect set.

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**Problem 3. Zygmund p59 exercise 04**

Construct a subset of  $[0, 1]$  in the same manner as the Cantor set by removing from each remaining interval a subinterval of relative length  $\theta, 0 < \theta < 1$ . Show that the resulting set is perfect and has measure zero.

*Solution.*

If  $C'_k$  denotes the union of the intervals left at the  $k$ -th stage, then the resulting set is

$$C' = \bigcap_{k=1}^{\infty} C'_k.$$

(a) To prove that the set  $C'$  is a perfect set, we need to show that it is closed and every point in the set is a limit point of the set.

Since each  $C'_k$  is closed, it follows from Theorem 1.7 that  $C'$  is closed.

Then show that every point in  $C'$  is a limit point of the set:

Case 1. Let  $x \in C'$  be an endpoint of  $I_k \subseteq C'_k$ . Consider the intervals  $I_k^i \subseteq C'_{k+i}$  with endpoint  $x$ , let  $x_1$  be the other endpoint of  $I_k^1 \subseteq C'_{k+1}$ ,  $x_2$  be the other endpoint of  $I_k^2 \subseteq C'_{k+2}$ , ...,  $x_n$  be the other endpoint of  $I_k^n \subseteq C'_{k+n}$ . Thus,  $|x_n - x| = (\frac{1}{3})^{k+n}$ .

We have the sequence  $\{x_n\}$  s.t.

$$\begin{aligned} x_n &\rightarrow x, \\ x_n &\neq x, \\ x_n &\in C'. \end{aligned}$$

Therefore,  $x$  is a limit point of  $C'$ .

Case 2. Suppose  $x \in C'$  is not an endpoint of any interval consisting  $C'$ .  $\forall n \in \mathbb{N}$ , we have  $x \in (a_n, b_n)$ , where  $a_n \in C'$  and  $|a_n - x| < (\frac{1-\theta}{2})^n$ . Let  $x_n = a_n$ , then  $\{x_n\}$  is the sequence s.t.

$$\begin{aligned} x_n &\rightarrow x, \\ x_n &\neq x, \\ x_n &\in C'. \end{aligned}$$

Thus,  $x$  is a limit point of  $C'$ .

We can conclude that every point in  $C'$  is a limit point of the set. Therefore,  $C'$  is perfect.

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(b) Show that  $C'$  has plane measure zero:

Since  $C'$  is covered by the intervals in any  $C'_k$ , we have

$$|C'|_e \leq |C'_k|_e = (1 - \theta)^k.$$

Let  $k \rightarrow \infty$ , we have  $|C'|_e = 0$ .

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