



eurobase

sienna

Regulatory Compliance and MiFID II Reporting

100%

Referenceable
client base

30

Years of market
experience

100%

Successful
implementations

Trade. Report. Comply. Relax.

siena Regulatory Reporter ensures full comprehensive data capture, trading controls and best execution adherence. It enables our customers to meet all client segmentation and engagements with full audit trails and trade reconstruction in a single, compact application. siena provides MiFID II compliancy including regulatory reporting to the ARM and APA of your choice.



Protection

- Full client oversight with all interactions logged for both deals and quotes
- Real-time alerts such as best execution breaches and trading exceptions
- All deals and quotes are UTC timestamped to the nearest millisecond and associated with a user
- Pre and post-trade transparency and reporting obligations



Control

- Real-time pre-quote and pre-deal risk exposure checking
- Controls applicable by counterparty, trading group, amount bands, channel, asset class, currency, tenor or time zone
- Details of all costs and charges incurred on deals are recorded and reportable in base and regulatory reporting currency
- In-built monitoring of SI status



Proof

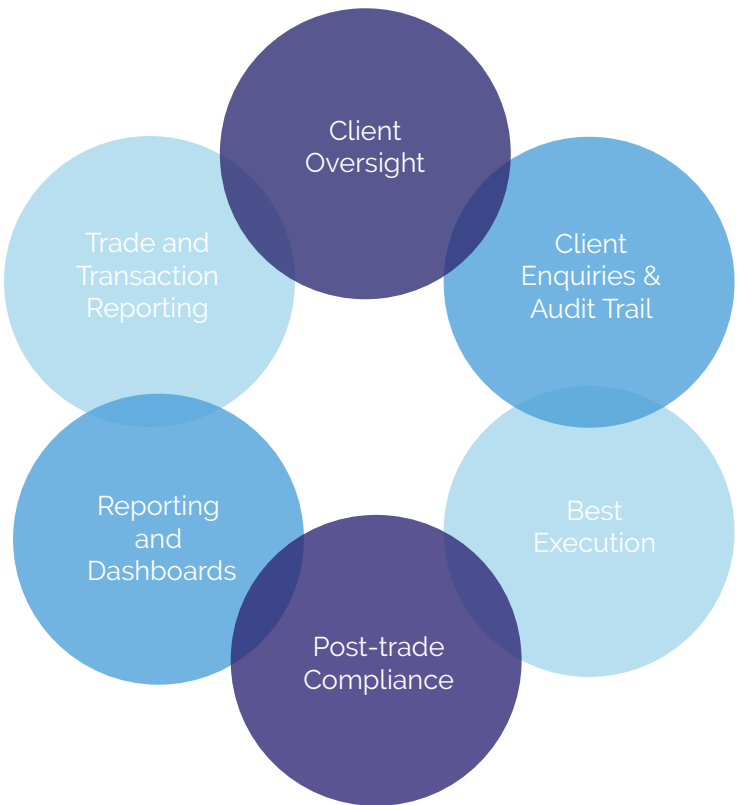
- Record all factors behind pricing decisions
- Evidence all quotes, deals and events
- Link voice and phone conversations to order tickets
- Pre and post-trade benchmarks are recorded following the trade execution and/or the placing of the order
- Connections to third party reporting or direct to trade repositories



Affordability

- Modular, holistic solution
- Complementary to existing systems
- Plugs gaps in existing infrastructure
- Cost-effective future-proofing for regulatory changes
- Inclusive customisable reporting and adaptors to third party solutions such as core banking systems

Solution Scope



- Reporting through active blotters and reports
- Control of user access and managed workflow functions
- 'On behalf of' and 'in the name of' functionality supporting voice and agency trading
- Repository for all quotes and deals, however executed (or not)
- Easy to use contact manager
- Simple data reporting from a harmonised data mart
- Telephone conversations linked to relevant deals and quotes
- Support for best execution policy
- Breach monitoring in real-time
- Pre-trade customer segmentations
- Reconciliation with the trade repositories

A Cross-Asset Solution Range

- **Treasury Management**
A specialist front office Treasury Management Solution providing organisations with full, real-time control of your trading operations.
- **FX Payments**
Customer-centric, cross-border and local payments solution with real-time FX pricing.
- **Electronic Trading**
A cross-asset single bank platform providing live trading to your customers.
- **Sales Desk Solution**
A fully featured customer sales desk solution with "In-The-Name-Of" and "On-Behalf-Of" trading.

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British Arab Commercial Bank have found Eurobase to consistently maintain professional standards with a high level of service.

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Roger Screech, Head of MIS,
British Arab Commercial Bank Ltd.
BACB

Why partner with eurobase

- Committed to long term customer partnerships
- Continuous product innovation
- Fully referenceable customer base
- Consultative approach to delivery
- Proven delivery methodology
- Global customer footprint in over 25 countries

