

This chapter ...

- This chapter emphasizes three main scenarios:
- Data-to-Text, in which text is generated to explain or describe a structured record or unstructured perceptual input;
- Text-to-Text, which typically involves fusing information from multiple linguistic sources into a single coherent summary;
- Dialogue, in which text is generated as part of an interactive conversation with one or more human participants.



Data-to-text generation

- Input: Structured records + Unstructured data
- Structured records example: Description of a weather forecast
- Unstructured perceptual data example: Raw image or video



Data-to-text generation steps

- All data-to-text systems share some of the same challenges.
 - Determining what parts of the data to describe;
 - Planning a presentation of this information;
 - Lexicalizing the data into words and phrases;
 - Organizing words and phrases into well-formed sentences and paragraphs.
- The earlier stages of this process are sometimes called content selection and text planning; the later stages are often called surface realization.

Data-to-text generation

- More recent systems are unified models that are trained endto-end using backpropagation.
- Problem of alignment: labeled examples provide the data and the text, but they do not specify which parts of the text correspond to which parts of the data.
- Solution: both latent variables and neural attention have been proposed as solutions



Latent data-to-text

• Consider given a dataset of texts and associated records

$$\{(m{w}^{(i)},m{y}^{(i)})\}_{i=1}^N$$

- ullet The goal is to learn a model Ψ , so that
- ullet \mathcal{V}^* is the set of strings over a discrete vocabulary

$$\hat{m{w}} = \operatorname*{argmax}_{m{w} \in \mathcal{V}^*} \Psi(m{w}, m{y}; m{ heta})$$

- $oldsymbol{ heta}$ is a vector of parameters
- ullet Relationship between $oldsymbol{w}$ and $oldsymbol{y}$: the data $oldsymbol{y}$ may contain dozens of records, and $oldsymbol{w}$ may extend to several sentences



Latent data-to-text alignment

$$\{(m{w}^{(i)},m{y}^{(i)},m{z}^{(i)})\}_{i=1}^N$$

- ullet Let's decompose the **scoring function** Ψ into subcomponents.
- Consider if given an **alignment**, specifies which element of y is expressed in each part of w, specifically, let z_m indicates the record aligned to word m

$$\Psi(\boldsymbol{w}, \boldsymbol{y}; \boldsymbol{\theta}) = \sum_{m=1}^{M} \psi_{w,y}(\boldsymbol{w}_m, \boldsymbol{y}_{z_m}) + \psi_w(w_m, w_{m-1}) + \psi_z(z_m, z_{m-1}).$$

 Given an observed set of alignments, the score for a generation can be written as sum of local scores



Latent data-to-text alignment

$$\Psi(\boldsymbol{w}, \boldsymbol{y}; \boldsymbol{\theta}) = \sum_{m=1}^{M} \psi_{w,y}(\boldsymbol{w}_{m}, \boldsymbol{y}_{z_{m}}) + \psi_{w}(w_{m}, w_{m-1}) + \psi_{z}(z_{m}, z_{m-1}),$$

- Given an observed set of alignments, the score for a generation can be written as sum of local scores
- ullet ψ_w can represent a bigram language model
- ψ_z can be tuned to **reward coherence**, such as the use of related records in nearby words.
- The parameters of this model could be learned from labeled data:



$$\{(m{w}^{(i)},m{y}^{(i)},m{z}^{(i)})\}_{i=1}^N$$

Neural data-to-text generation

- The encoder-decoder model and neural attention can be applied to data-to-text generation, with the data acting as the source language.
- In data-to-text generation, the attention mechanism can link each part of the generated text back to a record in the data.



Data encoders: discrete sets

 In some types of structured records, all values are drawn from discrete sets.

Example : The birthplace of a person is from a **discrete** set of locations

 In such cases, vector embeddings can be estimated for each field and possible value

Example: One **vector embedding** for the field *BIRTHPLACE*, and another embedding for the **value** *BERKELEY CALIFORNIA*

- The table of such embeddings serves as the encoding of structured record
- It is also possible to compress the entire table into a single vector representation, by pooling across the embeddings of each field and value



Data encoders : Sequences

- Some types of structured records have a natural ordering.
- We can resemble this natural ordering as series of events in a game
- Each event is a single record, and can be encoded by a concatenation of vector representations for the event type, the event field, and the event values
- This encoding can then act as the input layer for a recurrent neural network, yielding a sequence of vector representations
- This sequence-based approach can work even in cases where there is no natural ordering over the records



Data encoders : Images

- The data-to-text goal for images is the generation of text captions for images
- Images are represented as tensors: A color image of 320 x 240 pixels would be stored as a tensor with 320 x 240 x 3 intensity values.
- Dominant approach to image classification is to encode images as vectors using a combination of convolution and pooling
- Alternative approach is we can apply a set of convolutional networks, yielding vector representations for different parts of the image, which can then be combined using neural attention

Data encoders : Dominant Approach

In dominant, we encode images as vectors using a combination of convolution and pooling

- The convolution is applied across the vertical, horizontal, and color dimensions for images.
- By pooling the results of successive convolutions, the image is converted to a vector representation
- This vector representation, can then be fed into the decoder as the initial state similar to sequence-to-sequence translation mode



Data encoders : Alternative Approach

• Given a set of CNN embeddings of the data $\{z_r\}_{r=1}^R$, a decoder state h_m , an attention vector over the data can be computed. When generating word m of the output, attention is computed over the records

$$egin{aligned} \psi_{lpha}(m,r) = & oldsymbol{eta}_{lpha} \cdot f(\Theta_{lpha}[oldsymbol{h}_m; oldsymbol{z}_r]) \ oldsymbol{lpha}_m = & g\left([\psi_{lpha}(m,1), \psi_{lpha}(m,2), \ldots, \psi_{lpha}(m,R)]
ight) \ oldsymbol{c}_m = & \sum_{r=1}^R lpha_{m
ightarrow r} oldsymbol{z}_r, \end{aligned}$$

- ullet is an elementwise nonlinearity such as anh or ReLU,
- ullet g is a either **SoftMax** or **elementwise sigmoid**.
- ullet The weighted sum $oldsymbol{c}_m$ gets included in the recurrent update to the decoder state, or in the emission probabilities



A **CNN** gets applied to a set of image locations, and the output at each location ℓ is represented with a vector \mathbf{z}_{ℓ} Attention then can then be computed over the image locations.

Decoder

- Given the encoding, the decoder can function similar to in neural machine translation
- The decoder works using the attention-weighted encoder
 representation in the decoder recurrence and/or output computation

- Problem: Sometimes we need to generate words that do not appear in the training vocabulary;
- Solution: Such tokens can be generated in the text by copying them over from the input



Generation and Copy mechanism

• Let's introduce a variable $s_m \in \{\text{gen}, \text{copy}\}$, indicating whether token $w_m^{(t)}$ should be generated or copied. The decoder probability is then:

$$\mathbf{p}(w^{(t)} \mid \boldsymbol{w}_{1:m-1}^{(t)}, \mathbf{Z}, s_m) = \begin{cases} \operatorname{SoftMax}(\boldsymbol{\beta}_{w^{(t)}} \cdot \boldsymbol{h}_{m-1}^{(t)}), & s_m = \operatorname{gen} \\ \sum_{r=1}^R \delta\left(w_r^{(s)} = w^{(t)}\right) \times \alpha_{m \to r}, & s_m = \operatorname{copy}, \end{cases}$$

- $\delta(w_r^{(s)}=w^{(t)})$ is indicator function, taking the value 1 if the text of record $w_r^{(s)}$ is identical to the target word $w^{(t)}$
- Probability of copying record r from source is $\delta\left(s_{m}=\operatorname{copy}\right) \times \alpha_{m \to r}$,which is the product
- of copy probability by local attention.
- The attention weights $m{lpha}_m$ are computed from the previous decoder state $m{h}_{m-1}$
- The computation graph therefore remains a feedforward network, with recurrent paths such as

$$m{h}_{m-1}^{(t)}
ightarrow m{lpha}_m
ightarrow w_m^{(t)}
ightarrow m{h}_m^{(t)}$$

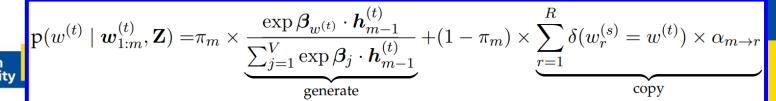
Gen-Copy mechanism Alteration

- For end-to-end training, we switch the variable $|s_m|$ with a gate $|\pi_m|$
- This gate gets computed from a two-layer feedforward network, whose input consists of the concatenation of the decoder state $|m{h}_{m-1}^{(t)}|$
- The attention-weighted representation of the data $|c_m = \sum_{r=1}^R \alpha_{m \to r} z_r|$

$$\boldsymbol{c}_m = \sum_{r=1}^R \alpha_{m \to r} \boldsymbol{z}_r$$

$$\pi_m = \sigma(\Theta^{(2)} f(\Theta^{(1)}[\boldsymbol{h}_{m-1}^{(t)}; \boldsymbol{c}_m]))$$

The full generative probability at token |m| is then



Text-to-text generation

- Text-to-text generation includes problems of summarization and simplification.
- These problems can be approached in two ways:
 - 1. Through encoder-decoder architecture
 - 2. By operating directly on the input text.



Text-to-text generation : summarization

- Sentence summarization is the task of shortening a sentence while preserving its meaning
- Sentence summarization is closely related to sentence compression, in which the summary is produced by deleting words or phrases from the original
- Also, a sentence summary can also introduce new words.



Neural abstractive summarization

- Sentence summarization can be treated as a machine translation problem, using the attentional encoder-decoder translation model
- The longer sentence is encoded into a sequence of vectors, one for each token.
- The decoder then computes attention over these vectors when updating its own recurrent state.
- As with data-to-text generation, it can be useful to boost the encoderdecoder model with the ability to copy words directly from the source



Long documents, fear of repetition

- Problem: When summarizing longer documents, an additional concern is that the summary not be repetitive, therefore, each part of the summary should cover new ground.
- Solution: This can be addressed by maintaining a vector of the sum total
 of all attention values thus far:

$$oldsymbol{t}_m = \sum_{n=1}^m oldsymbol{lpha}_n$$

 This total can be used as an additional input to the computation of the attention weights, which enables the model to learn to prefer parts of the source which have not been attended to yet



$$lpha_{m o n} \propto \exp\left(oldsymbol{v}_{lpha} \cdot anh(\Theta_{lpha}[oldsymbol{h}_m^{(t)}; oldsymbol{h}_n^{(s)}; oldsymbol{t}_m])
ight)$$

Long documents, fear of repetition

 To further encourage diversity in the generated summary, introduce a coverage loss to the objective function:

$$\ell_m = \sum_{n=1}^{M^{(s)}} \min(\alpha_{m \to n}, t_{m \to n})$$

- This loss will be low if $lpha_m$ assigns little attention to words that already have large values in $oldsymbol{t}_m$
- Coverage loss is similar to the concept of marginal relevance, in which the reward for adding new content is proportional to the extent to which it increases the overall amount of information conveyed by the summary



Dialogue

- Dialogue systems are capable of conversing with a human interlocutor, often to perform some task, or just to chat
- Commercial systems such as Alexa and Siri have recently brought this technology into widespread use

 An example of Dialogue can be the following conversation to order pizza. A: I want to order a pizza.

B: What toppings?

A: Anchovies.

B: Ok, what address?

A: The College of Computing building.

B: Please confirm: one pizza with artichokes, to be delivered to the College of Computing building.

A: No.

B: What toppings?



Finite-state & agenda-based dialogue systems

- Finite-state automata is a formal model of computation, in which string inputs and outputs are linked to transitions between a finite number of discrete states.
- This model fits simple task-oriented dialogues
- Dialogue can be represented with a finite-state transducer



- Example of dialogue and the associated finite-state model
- The TOPPING and ADDRESS are the two slots associated with the activity of ordering a pizza, which is called a frame.

A: I want to order a pizza.

B: What toppings?

A: Anchovies.

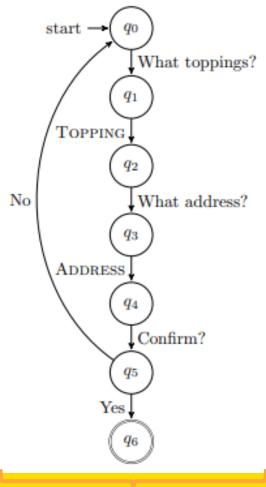
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Finite-state & agenda-based dialogue systems

- Problem: In case the user wants to communicate more naturally using phrases like I'd, .. How should we approach?
- Solution: BIO-style sequence labeling. The tagger can be driven by a bi-directional RNN, similar to recurrent approaches to semantic role labeling

I'd like anchovies

O O B-TOPPING

A: I want to order a pizza.

B: What toppings?

A: Anchovies.

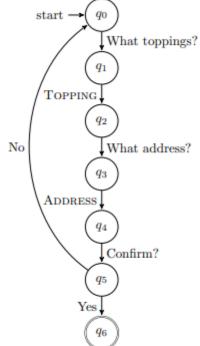
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Dialogue: Neural chatbots

- Chatbots are systems that parry the user's input with a response that keeps the conversation going
- Chatbots can be built from the Encoder-Decoder architecture
- Encoder converts the user's input into a vector
- Decoder produces a sequence of words as a response



Dialogue: Neural chatbots

- Problem: Encoder-decoder models struggle to maintain coherence over longer conversations.
- Solution: Modeling the dialogue context recurrently.
- By creating hierarchical recurrent network, including both word-level and turn-level recurrences.
- The turn-level hidden state is then used as additional context in the decoder



Dialogue: Neural chatbots

- The **encoder-decoder architecture** can be integrated into taskoriented dialogue systems.
- Neural chatbots can be trained end-to-end
- The user's turn is analyzed by the encoder
- The system output is generated by the decoder.
- This architecture can be trained by log-likelihood using backpropagation or by more elaborate objectives, using reinforcement learning



Task-oriented dialogue: MDP and RNN

- The Task-oriented dialogue systems, typically involve set of modules: one for recognizing the user input, another for deciding what action to take, and a third for arranging the text of the system output
- RNN decoders can be integrated into Markov Decision
 Process dialogue systems, by conditioning the decoder on a representation of the information that is to be expressed in each turn



Task-oriented dialogue: Element Embedding

- Another promising direction is to create embeddings for the elements in the domain:
 - o for example, the **slots** in a record and the **entities** that can fill them.
- The encoder then encodes not only the words of the user's input, but the embeddings of the elements that the user mentions.
- The decoder is able with the ability to refer to specific elements in the knowledge base.



Thank you very much for listening

