## FILTRATIONS IN MODULE CATEGORIES, DERIVED CATEGORIES AND PRIME SPECTRA

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ABSTRACT. Let R be a commutative noetherian ring. The notion of n-wide subcategories of  $\operatorname{\mathsf{Mod}} R$  is introduced and studied in Matsui–Nam–Takahashi–Tri–Yen in relation to the cohomological dimension of a specialization-closed subset of  $\operatorname{\mathsf{Spec}} R$ . In this paper, we introduce the notions of n-coherent subsets of  $\operatorname{\mathsf{Spec}} R$  and n-uniform subcategories of  $\operatorname{\mathsf{D}}(\operatorname{\mathsf{Mod}} R)$ , and explore their interactions with n-wide subcategories of  $\operatorname{\mathsf{Mod}} R$ . We obtain a commutative diagram which yields filtrations of subcategories of  $\operatorname{\mathsf{Mod}} R$ ,  $\operatorname{\mathsf{D}}(\operatorname{\mathsf{Mod}} R)$  and subsets of  $\operatorname{\mathsf{Spec}} R$  and complements classification theorems of subcategories due to Gabriel, Krause, Neeman, Takahashi and Angeleri Hügel–Marks–Štovíček–Takahashi–Vitória.

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### 1. Introduction

A localizing subcategory of an abelian category is defined to be a full subcategory closed under coproducts, extensions, subobjects and quotient objects. This notion was introduced by Gabriel [20] to study the reconstruction problem of a noetherian scheme from the category of quasi-coherent sheaves. Since then, localizing subcategories of an abelian category have been explored by a lot of authors to classify them and study abelian categories geometrically; see [22, 23, 24, 27, 30, 48]. A wide subcategory of an abelian category is by definition a full subcategory closed under extensions, kernels and cokernels. In recent years, wide subcategories have actively been studied in representation theory of algebras; see [3, 12, 32, 35, 47, 49].

A localizing subcategory of a triangulated category is defined as a full triangulated subcategory closed under coproducts. As with localizing subcategories of an abelian category, one of the main topics in the study of localizing subcategories of a triangulated category is to classify them. The first classification theorem has been obtained by Neeman [42] for the unbounded derived category of a commutative noetherian ring. Nowadays, localizing subcategories of a triangulated category are widely and deeply investigated in many areas of mathematics; see [4, 6, 14, 15, 28] for instance.

Let R be a commutative noetherian ring. Gabriel [20] gives a complete classification of the localizing subcategories of the module category  $\mathsf{Mod}\,R$  by specialization-closed subsets of  $\mathsf{Spec}\,R$ . Krause [32] introduces the notion of a coherent subset of  $\mathsf{Spec}\,R$  to classify the wide subcategories of  $\mathsf{Mod}\,R$  closed under direct sums, which extends  $\mathsf{Gabriel}$ 's classification theorem. Takahashi [48] classifies the  $\mathsf{E}$ -stable subcategories of  $\mathsf{Mod}\,R$  closed under direct sums and summands, extending Krause's classification theorem.

In [36] the notion of an n-wide subcategory of  $\operatorname{\mathsf{Mod}} R$  is introduced for each  $n \in \mathbb{N}$ . In this paper we extend this to  $n = \infty$ . Also, we introduce the notions of an n-uniform subcategory of the derived category  $\operatorname{\mathsf{D}}(\operatorname{\mathsf{Mod}} R)$  of  $\operatorname{\mathsf{Mod}} R$ , and an n-coherent subset of  $\operatorname{\mathsf{Spec}} R$  for each  $n \in \mathbb{N} \cup \{\infty\}$ . The (small) support in the sense of [18], denoted by supp, is our fundamental tool. We prove the following theorem; the notation and terminology are explained in Convention 2.1 and Definitions 2.2, 2.4, 3.1, 3.5, 5.1, 5.3, 6.4.

<sup>2010</sup> Mathematics Subject Classification. 13C60, 13D09, 13D45.

Key words and phrases. bilocalizing subcategory, bireflective Giraud subcategory, bismashing subcategory, derived category,  $\mathbb{E}$ -closed subcategory, s $\mathbb{E}$ -closed subcategory, generalization-closed subset, local cohomology, localizing subcategory, module category, n-coherent subset, n-consistent subcategory, n-uniform subcategory, n-wide subcategory, specialization-closed subset, (small) support.

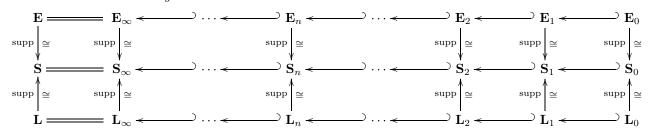
Matsui was partly supported by JSPS Grant-in-Aid for JSPS Fellows 19J00158. Takahashi was partly supported by JSPS Grants-in-Aid for Scientific Research 16K05098 and JSPS Fund for the Promotion of Joint International Research 16KK0099.

<sup>&</sup>lt;sup>1</sup>This means <sup>s</sup>E-closed in our sense.

**Theorem 1.1** (Theorems 4.10, 5.5, 6.3 and Corollaries 4.11, 4.13, 5.10, 6.6). Let R be a commutative noetherian ring, and let  $n \in \mathbb{N} \cup \{\infty\}$ . Define the sets

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 \begin{array}{ll} \mathbf{E} = \{({}^{\mathtt{s}}\mathbb{E}, \oplus, \ominus)\text{-}closed \ subcategories \ of \ \mathsf{Mod}\ R\}, \\ \mathbf{S} = \{subsets \ of \ \mathsf{Spec}\ R\}, \\ \mathbf{L} = \{localizing \ subcategories \ of \ \mathsf{D}(\mathsf{Mod}\ R)\}, \\ \mathbf{E}' = \{({}^{\mathtt{s}}\mathbb{E}, \oplus, \ominus, \Pi)\text{-}closed \ subcategories \ of \ \mathsf{Mod}\ R\}, \\ \mathbf{S}' = \{generalization\text{-}closed \ subcategories \ of \ \mathsf{D}(\mathsf{Mod}\ R)\}, \\ \mathbf{L}' = \{bilocalizing \ subcategories \ of \ \mathsf{D}(\mathsf{Mod}\ R)\}, \\ \mathbf{L}'_n = \{n\text{-}uniform \ bilocalizing \ subcategories \ of \ \mathsf{D}(\mathsf{Mod}\ R)\}, \\ \mathbf{L}'_n = \{n\text{-}uniform \ bilocalizing \ subcategories \ of \ \mathsf{D}(\mathsf{Mod}\ R)\}. \\ \mathbf{L}'_n = \{n\text{-}uniform \ bilocalizing \ subcategories \ of \ \mathsf{D}(\mathsf{Mod}\ R)\}. \\ \mathbf{L}'_n = \{n\text{-}uniform \ bilocalizing \ subcategories \ of \ \mathsf{D}(\mathsf{Mod}\ R)\}. \\ \end{array}
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Then there is a commutative diagram



of sets, where the horizontal arrows are inclusion maps and the vertical arrows given by  $\mathcal{X} \mapsto \sup \mathcal{X} = \bigcup_{X \in \mathcal{X}} \sup X$  are bijections. This diagram restricts to the one where all the  $\mathbf{E}_*, \mathbf{S}_*, \mathbf{L}_*$  are replaced with  $\mathbf{E}_*', \mathbf{S}_*', \mathbf{L}_*'$  respectively. One has

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 \begin{array}{lll} \mathbf{E}_1 = \{ \oplus \text{-}closed \ wide \ subcategories \ of \ Mod \ R \}, & \mathbf{E}_0 = \{ localizing \ subcategories \ of \ Mod \ R \}, \\ \mathbf{S}_1 = \{ coherent \ subsets \ of \ Spec \ R \}, & \mathbf{S}_0 = \{ specialization\text{-}closed \ subsets \ of \ Spec \ R \}, \\ \mathbf{L}_1 = \{ cohomology\text{-}closed \ localizing \ subcategories \ of \ Mod \ R \}, & \mathbf{E}_0' = \{ smashing \ subcategories \ of \ D(\text{Mod } R) \}, \\ \mathbf{E}_1' = \{ generalization\text{-}closed \ coherent \ subsets \ of \ Spec \ R \}, \\ \mathbf{L}_1' = \{ cohomology\text{-}closed \ bilocalizing \ subcategories \ of \ D(\text{Mod } R) \}, \\ \mathbf{L}_1' = \{ cohomology\text{-}closed \ bilocalizing \ subcategories \ of \ D(\text{Mod } R) \}, \\ \mathbf{L}_0' = \{ bismashing \ subcategories \ of \ D(\text{Mod } R) \}. \\ \end{array}
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The bijections  $\mathbf{E}_0 \to \mathbf{S}_0$ ,  $\mathbf{E} \to \mathbf{S}$ ,  $\mathbf{S}_0 \leftarrow \mathbf{L}_0$ ,  $\mathbf{S} \leftarrow \mathbf{L}$ ,  $\mathbf{E}_1 \to \mathbf{S}_1 \leftarrow \mathbf{L}_1$ , and  $\mathbf{E}_1' \to \mathbf{S}_1' \leftarrow \mathbf{L}_1'$  in Theorem 1.1 are respectively the same as the ones given by Gabriel [20], Takahashi [48], Neeman [42], Neeman [42], Krause [32], and Angeleri Hügel, Marks, Štovíček, Takahashi and Vitória [2]. By restricting the diagram in the theorem in other ways, we also give classifications of certain thick subcategories of  $\mathsf{D}^\mathsf{b}(\mathsf{Mod}\,R)$ ,  $\mathsf{D}^+(\mathsf{Mod}\,R)$  and  $\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}$ , the last one of which denotes the full subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$  consisting of complexes of finite injective dimension.

The organization of this paper is as follows. Section 2 is devoted to recalling basic facts on minimal injective resolutions and supports of modules/complexes. In Section 3, we introduce the notions of n-wide subcategories of  $\mathsf{Mod}\,R$ , n-coherent subsets of Spec R for  $n \in \mathbb{N} \cup \{\infty\}$  and investigate fundamental properties of them. In Section 4, we give a classification of n-wide subcategories of  $\mathsf{Mod}\,R$  via n-coherent subsets of Spec R, which contains results in [20, 32, 48]. In Section 5, we introduce the notions of n-uniform and n-consistent subcategories of  $\mathsf{D}(\mathsf{Mod}\,R)$  and classify some of them, which contains results in [32, 42]. In Section 6, we consider two kinds of restrictions of classifications obtained in the previous two sections. The first one is the restriction to classifications of  $\Pi$ -closed subcategories and hence the diagram in Theorem 1.1 is completed here. The second one concerns classifications of n-uniform subcategories of  $\mathsf{D}(\mathsf{Mod}\,R)$  and  $\mathsf{D}^+(\mathsf{Mod}\,R)$ . As an application we obtain a higher-dimensional analogue of Brüning's classification theorem [10]. In Section 7, we apply the classification of n-wide subcategories to a problem presented by Hartshorne [26]. We consider a weakened version of the notion of cofinite modules and study wideness of the subcategory of those modules.

## 2. Preliminaries

In this section, we recall several definitions which play important roles throughout this paper.

Convention 2.1. Throughout this paper, we use the following convention. We assume that all rings are commutative and noetherian and all subcategories are full. We set  $\mathbb{N} := \mathbb{Z}_{\geq 0} = \{0, 1, 2, ...\}$ . Let R be a ring. We denote by  $\operatorname{\mathsf{Mod}} R$  the category of (all) R-modules and by  $\operatorname{\mathsf{D}}(\operatorname{\mathsf{Mod}} R)$  the (unbounded) derived category of the abelian category  $\operatorname{\mathsf{Mod}} R$ . We denote by  $\operatorname{\mathsf{E}}_R(M)$  the injective hull of an R-module M and set  $\kappa(\mathfrak{p}) = R_{\mathfrak{p}}/\mathfrak{p}R_{\mathfrak{p}}$  for each  $\mathfrak{p} \in \operatorname{Spec} R$ . We set  $n + \infty = \infty$  and  $n - \infty = -\infty$  for all  $n \in \mathbb{Z}$ . We may simply say that a subcategory is  $\oplus$ -closed (resp.  $\ominus$ -closed,  $\Pi$ -closed) to mean that it is closed under (existing) direct sums (resp. direct summands, (existing) direct products)<sup>2</sup>. We may omit subscripts and superscripts as long as there is no danger of confusion. We may tacitly use statements given in Remarks.

<sup>&</sup>lt;sup>2</sup>In this paper, we say that a subcategory  $\mathcal{X}$  of an additive category  $\mathcal{C}$  is closed under direct sums (resp. direct products) provided that if  $\{X_{\lambda}\}_{{\lambda}\in\Lambda}$  is a family of objects in  $\mathcal{X}$  such that the direct sum  $Y=\bigoplus_{{\lambda}\in\Lambda}X_{\lambda}$  (resp. the direct product  $Y=\Pi_{{\lambda}\in\Lambda}X_{\lambda}$ ) exists in  $\mathcal{C}$ , then Y belongs to  $\mathcal{X}$ .

- **Definition 2.2.** (1) Let  $\mathcal{X}$  be a subcategory of Mod R. We say that  $\mathcal{X}$  is *Serre* if it is closed under extensions, submodules and quotient modules. We say that  $\mathcal{X}$  is *localizing* if it is Serre and closed under direct sums, and that  $\mathcal{X}$  is *bilocalizing* if it is localizing and closed under direct products. We say that  $\mathcal{X}$  is *wide* if it is closed under extensions, kernels and cokernels.
- (2) Let  $\mathcal{X}$  be a subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$ . We say that  $\mathcal{X}$  is *thick* if it is closed under extensions, shifts and direct summands. We say that  $\mathcal{X}$  is *localizing* if it is thick and closed under direct sums, and that  $\mathcal{X}$  is *bilocalizing* if it is localizing and closed under direct products.

Remark 2.3. We define a strictly localizing subcategory of an abelian category  $\mathcal{A}$  (resp. a triangulated category  $\mathcal{T}$ ) as a Serre (resp. thick) subcategory  $\mathcal{X}$  such that the quotient functor  $\mathcal{A} \to \mathcal{A}/\mathcal{X}$  (resp.  $\mathcal{T} \to \mathcal{T}/\mathcal{X}$ ) has a right adjoint, see [31]. In the situation where  $\mathcal{A} = \operatorname{\mathsf{Mod}} R$  (resp.  $\mathcal{T} = \operatorname{\mathsf{D}}(\operatorname{\mathsf{Mod}} R)$ ) with R being a commutative noetherian ring, the localizing subcategories and the strictly localizing subcategories are the same. Indeed, since  $\mathcal{A} = \operatorname{\mathsf{Mod}} R$  is a Grothendieck category, this follows by [20, Corollaire 1, 375 page]. For the category  $\mathcal{T} = \operatorname{\mathsf{D}}(\operatorname{\mathsf{Mod}} R)$ , it is shown in [42, Theorem 2.8] that every localizing subcategory is generated by a set of objects and hence every localizing subcategory is strictly localizing by [5, Lemma 2.1].

**Definition 2.4.** (1) For  $X \in D(Mod R)$  we define the *(small) support* of X by

$$\operatorname{supp}_R X = \{ \mathfrak{p} \in \operatorname{Spec} R \mid X \otimes^{\mathbf{L}}_R \kappa(\mathfrak{p}) \ncong 0 \}.$$

(2) Let X be a complex of R-modules with  $H^iX = 0$  for  $i \ll 0$ . Then one can take a minimal injective resolution

$$\mathbb{E}_R(X) = (0 \to \cdots \xrightarrow{\partial^{i-1}} \mathbb{E}_R^i(X) \xrightarrow{\partial^i} \mathbb{E}_R^{i+1}(X) \xrightarrow{\partial^{i+1}} \cdots)$$

- of X, that is, a bounded below complex of injective R-modules quasi-isomorphic to X such that  $\mathbb{E}_R^i(X)$  is the injective hull of the kernel of the map  $\partial^i$  for all i.
- (3) Let M be an R-module. For an integer i > 0, we denote by  $\mathfrak{T}^i M$  the ith cosyzygy of M, that is, the image of the (i-1)st differential map in the minimal injective resolution of M. We set  $\mathfrak{T}^0 M = M$  and  $\mathfrak{T}^1 M = \mathfrak{T}^1 M$ .
- (4) We say that a subcategory  $\mathcal{X}$  of  $\mathsf{Mod}\,R$  is  $\mathbb{E}\text{-}closed$  if  $\mathbb{E}^i(M) \in \mathcal{X}$  for all  $M \in \mathcal{X}$  and  $i \geqslant 0$ . This is equivalent to saying that each object  $M \in \mathcal{X}$  admits an injective resolution I with  $I^i \in \mathcal{X}$  for all  $i \geqslant 0$ . If in addition  $\mathcal{X}$  satisfies  $M \in \mathcal{X}$  whenever  $\mathbb{E}^i(M) \in \mathcal{X}$  for all  $i \geqslant 0$ , we say that  $\mathcal{X}$  is  ${}^{\mathsf{s}}\mathbb{E}\text{-}closed$ . In a similar fashion,  ${}^{\mathsf{s}}\mathbb{E}\text{-}closed$  and  $\mathbb{E}\text{-}closed$  subcategories of  $\mathsf{D}^+(\mathsf{Mod}\,R)$  are defined by using minimal injective resolutions of complexes.

Notation 2.5. Let  $\mathcal{X}$  be a subcategory of Mod R and  $\Phi$  a subset of Spec R. For  $\Delta \in \{\text{supp, Ass}\}$  we denote by  $\Delta_{\mathcal{X}}^{-1}(\Phi)$  the subcategory of  $\mathcal{X}$  consisting of objects X with  $\Delta(X) \subseteq \Phi$ .

Remark 2.6. [36, Proposition 2.3 and Corollary 2.5][37, Theorem 18.7]

- (1) Let  $X \in \mathsf{D}(\mathsf{Mod}\,R)$ . Let I be a complex of injective R-modules quasi-isomorphic to X. Then  $\mathrm{supp}\,X \subseteq \bigcup_{i \in \mathbb{Z}} \mathrm{Ass}\,I^i$ . The equality holds if  $\mathrm{H}^{\ll 0}(X) = 0$  and  $I = \mathbb{E}(X)$ . In particular, for each R-module M there is an inclusion  $\mathrm{Ass}\,M \subseteq \mathrm{supp}\,M$ , whose equality holds if M is injective.
- (2) Let  $\Phi$  be a subset of Spec R. Then  $\operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  is closed under direct sums, direct summands and extensions. One also has  $\operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi) \subseteq \operatorname{Ass}_{\mathsf{Mod}\,R}^{-1}(\Phi)$ .
- (3) Let  $M \in \operatorname{\mathsf{Mod}} R$ ,  $\mathfrak{p} \in \operatorname{Spec} R$  and  $i \in \mathbb{Z}$ . The number  $\mu_i(\mathfrak{p}, M) = \dim_{\kappa(\mathfrak{p})} \operatorname{Ext}^i_{R_{\mathfrak{p}}}(\kappa(\mathfrak{p}), M_{\mathfrak{p}})$  is equal to the cardinality of the number of direct summands  $\operatorname{E}_R(R/\mathfrak{p})$  of  $\operatorname{\mathbb{E}}^i(M)$ . Thus, there is a direct sum decomposition into indecomposable injective modules  $\operatorname{\mathbb{E}}^i(M) \cong \bigoplus_{\mathfrak{p} \in \operatorname{Spec} R} \operatorname{E}_R(R/\mathfrak{p})^{\oplus \mu_i(\mathfrak{p}, M)}$ .
  - 3. Basic properties of n-wide subcategories and n-coherent subsets

In this section, we introduce the notion of n-coherent subsets of Spec R with  $n \in \mathbb{N} \cup \{\infty\}$ , which form a filtration of classes of subsets of Spec R. The class of specialization-closed subsets and that of coherent subsets introduced in [32] appear among this filtration. As a categorical counterpart of this filtration, we introduce the notion of n-wide subcategories of Mod R with  $n \in \mathbb{N} \cup \{\infty\}$ , which has been introduced in [36] for  $n \in \mathbb{N}$ . Likewise, two important classes of subcategories, that is, those of Serre subcategories and wide subcategories, appear in this filtration.

First of all, we recall here the definition of an n-wide subcategory and extend it to  $n = \infty$ .

**Definition 3.1.** Let n be a nonnegative integer (resp.  $n = \infty$ ). A subcategory  $\mathcal{X}$  of  $\mathsf{Mod}\,R$  is said to be closed under  $n\text{-}kernels^3$  if for every exact sequence  $0 \to M \to X^0 \to X^1 \to X^2 \to \cdots$  in  $\mathsf{Mod}\,R$  with  $X^i \in \mathcal{X}$  for all  $0 \leqslant i \leqslant n$  (resp. all  $i \geqslant 0$ ) the module M is in  $\mathcal{X}$ . Dually,  $\mathcal{X}$  is said to be closed under n-cokernels if for every exact sequence  $\cdots \to X_2 \to X_1 \to X_0 \to M \to 0$  in  $\mathsf{Mod}\,R$  with  $X_i \in \mathcal{X}$  for all  $0 \leqslant i \leqslant n$  (resp. all  $i \geqslant 0$ ) the module M is in  $\mathcal{X}$ . We say that a subcategory  $\mathcal{X}$  of  $\mathsf{Mod}\,R$  is n-wide if it is closed under extensions, n-kernels and n-cokernels.

 $<sup>^{3}</sup>$ Our definitions of *n*-kernels and *n*-cokernels are different from those in [29, Definition 2.2].

## **Remark 3.2.** Let $\mathcal{X}$ be a subcategory of Mod R.

- (1) Let  $n \in \mathbb{N} \cup \{\infty\}$ . If  $\mathcal{X}$  is closed under n-kernels (resp. n-cokernels), then  $\mathcal{X}$  is closed under (n+1)-kernels (resp. (n+1)-cokernels) and  $\infty$ -kernels (resp.  $\infty$ -cokernels). In particular, any n-wide subcategory of  $\mathsf{Mod}\,R$  is both (n+1)-wide and  $\infty$ -wide. In general, there is an (n+1)-wide subcategory which is not n-wide, see the example below.
- (2)  $\mathcal{X}$  is closed under 0-kernels (resp. 0-cokernels) if and only if  $\mathcal{X}$  is closed under submodules (resp. quotient modules). In particular,  $\mathcal{X}$  is 0-wide and  $\oplus$ -closed if and only if it is localizing.
- (3)  $\mathcal{X}$  is closed under 1-kernels (resp. 1-cokernels) if and only if  $\mathcal{X}$  is closed under kernels (resp. cokernels). In particular,  $\mathcal{X}$  is 1-wide if and only if it is wide.
- (4) Let  $n \in \mathbb{N} \cup \{\infty\}$ . If  $\mathcal{X}$  is closed under n-kernels (resp. n-cokernels) e.g., if  $\mathcal{X}$  is n-wide, then  $\mathcal{X}$  is closed under direct summands and kernels of epimorphisms (resp. cokernels of monomorphisms). Indeed, for any R-modules M, N the sequence  $\cdots \xrightarrow{\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}} M \oplus N \xrightarrow{\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}} M \oplus N \xrightarrow{\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}} \cdots$  is exact, whose cocycles are M, N. This shows that  $\mathcal{X}$  is closed under direct summands. For a short exact sequence  $0 \to L \to M \to N \to 0$ , one has the long exact sequence  $0 \to L \to M \to N \to 0 \to \cdots \to 0 \to 0$ . Hence  $\mathcal{X}$  is closed under kernels of epimorphisms provided  $\mathcal{X}$  is closed under n-kernels and similarly for  $\mathcal{X}$  being closed under n-cokernels.
- (5) If  $\mathcal{X}$  is closed under  $\infty$ -kernels and  $\mathbb{E}$ -closed, then  $\mathcal{X}$  is  ${}^{\mathfrak{s}}\mathbb{E}$ -closed. In particular, every n-wide  $\mathbb{E}$ -closed subcategory of Mod R with  $n \in \mathbb{N} \cup \{\infty\}$  is  ${}^{\mathfrak{s}}\mathbb{E}$ -closed.
- (6) In [44], they use the notation  $\operatorname{Pres}^n(\mathcal{X})$  for the subcategory of R-modules M admitting a presentation of length n by objects of  $\mathcal{X}$  i.e., an exact sequence  $X_n \to X_{n-1} \to \ldots \to X_0 \to M \to 0$  with  $X_i \in \mathcal{X}$  for all  $i = 0, 1, \ldots, n$ . Using this notation, we have that  $\mathcal{X}$  is closed under n-cokernels if and only if  $\operatorname{Pres}^n(\mathcal{X}) \subseteq \mathcal{X}$ . Dually, using the subcategory  $\operatorname{Copres}^n(\mathcal{X})$  consisting of R-modules admitting copresentation of length n by objects of  $\mathcal{X}$ , we have that  $\mathcal{X}$  is closed under n-kernels if and only if  $\operatorname{Copres}^n(\mathcal{X}) \subseteq \mathcal{X}$ .
- **Example 3.3.** (1) Fix an R-module C. Then we define the C-grade of an R-module M by C-grade  $R(M) := \inf\{i \ge 0 \mid \operatorname{Ext}_R^i(C,M) \ne 0\}$ . One can easily check that the subcategory of  $\operatorname{\mathsf{Mod}} R$  consisting of R-modules with C-grade  $R(M) \le n$  is closed under n-kernels (cf. [11, Proposition 1.2.9]). Dually, the  $R(M) = \inf\{i \ge 0 \mid \operatorname{Tor}_i^R(C,M) \ne 0\}$ , see [45, Corollary 3.11]. Then the subcategory of  $\operatorname{\mathsf{Mod}} R$  consisting of R-modules with  $R(M) \le n$  is closed under  $R(M) \le n$  is
- (2) ([36, Theorem 4.3]) Let  $\mathfrak{a}$  be an ideal of R with cohomological dimension at most n, i.e.,  $H_{\mathfrak{a}}^{>n}(M) = 0$  for all M. Then  $\sup_{\mathsf{Mod}\,R}^{-1}(\mathsf{D}(\mathfrak{a}))$  is n-wide. For example, if  $\mathfrak{a}$  is generated by n-elements, then  $\sup_{\mathsf{Mod}\,R}^{-1}(\mathsf{D}(\mathfrak{a}))$  is n-wide. Moreover, this subcategory is not (n-1)-wide provided  $\mathfrak{a}$  is generated by a regular sequence of length n.

For a subset  $\Phi$  of Spec R and a prime ideal  $\mathfrak{p}$  of R, we set

$$\Phi_{\mathfrak{p}} := \{ P \in \operatorname{Spec} R_{\mathfrak{p}} \mid P \cap R \in \Phi \}.$$

Here,  $P \cap R$  means the pullback of P along the canonical ring homomorphism  $R \to R_{\mathfrak{p}}$ . Then  $\Phi_{\mathfrak{p}}$  is homeomorphic to the generalization-closure  $\{\mathfrak{q} \in \Phi \mid \mathfrak{q} \subseteq \mathfrak{p}\}$  of  $\{\mathfrak{p}\}$  in  $\Phi$  by the assignment  $P \mapsto P \cap R$ . The following fact is frequently used in this paper.

**Proposition 3.4.** Let n be either a nonnegative integer or  $\infty$ . Let  $\Phi$  be a subset of  $\operatorname{Spec} R$ . Then  $\operatorname{supp}_{\operatorname{\mathsf{Mod}} R}^{-1}(\Phi)$  is n-wide if and only if  $\operatorname{supp}_{\operatorname{\mathsf{Mod}} R_{\mathfrak{p}}}^{-1}(\Phi_{\mathfrak{p}})$  is n-wide for each  $\mathfrak{p} \in \operatorname{Spec} R$ .

Proof. Note that for a multiplicatively closed subset S of R and an R-module M one has  $\operatorname{supp}_{R_S} M_S = \{P \in \operatorname{Spec} R_S \mid P \cap R \in \operatorname{supp}_R M\}$ . Hence  $M \in \operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  if and only if  $M_{\mathfrak{p}} \in \operatorname{supp}_{\mathsf{Mod}\,R_{\mathfrak{p}}}^{-1}(\Phi_{\mathfrak{p}})$  for all  $\mathfrak{p} \in \operatorname{Spec} R$ . The "if" part of the proposition is deduced from this. We show the "only if" part from now on. Let  $n \in \mathbb{N}$  (resp.  $n = \infty$ ), fix a prime ideal  $\mathfrak{p}$  of R, and take an exact sequence  $0 \to M \to X^0 \to X^1 \to \cdots$  in  $\operatorname{Mod} R_{\mathfrak{p}}$  with  $X^i \in \operatorname{supp}_{\mathsf{Mod}\,R_{\mathfrak{p}}}^{-1}(\Phi_{\mathfrak{p}})$  for all  $0 \leqslant i \leqslant n$  (resp. for all  $i \geqslant 0$ ). Then we see that  $X^i \in \operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  for all  $0 \leqslant i \leqslant n$  (resp. for all  $i \geqslant 0$ ). The n-wideness of  $\operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  yields  $M \in \operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$ , that is,  $\operatorname{supp}_R M \subseteq \Phi$ . We then observe that  $\operatorname{supp}_{R_{\mathfrak{p}}} M \subseteq \Phi_{\mathfrak{p}}$ , namely,  $M \in \operatorname{supp}_{\mathsf{Mod}\,R_{\mathfrak{p}}}^{-1}(\Phi_{\mathfrak{p}})$ . This shows that  $\operatorname{supp}_{\mathsf{Mod}\,R_{\mathfrak{p}}}^{-1}(\Phi_{\mathfrak{p}})$  is closed under n-kernels. Closure under n-cokernels is shown similarly.

Next, we introduce the notion of n-coherent subsets of Spec R. Denote by  $\operatorname{Inj} R$  the subcategory of  $\operatorname{\mathsf{Mod}} R$  consisting of injective R-modules.

**Definition 3.5.** Let n be a nonnegative integer (resp.  $n = \infty$ ). A subset  $\Phi$  of Spec R is called n-coherent if for an exact sequence  $\cdots \to I_2 \to I_1 \to I_0 \to C \to 0$  in  $\mathsf{Mod}\,R$  with  $I_i \in \mathsf{Inj}\,R$  and  $\mathsf{Ass}\,I_i \subseteq \Phi$  for all  $0 \leqslant i \leqslant n$  (resp. all  $i \geqslant 0$ ) the module C is embedded in some  $J \in \mathsf{Inj}\,R$  with  $\mathsf{Ass}\,J \subseteq \Phi$ .

**Remark 3.6.** (1) Let  $n \in \mathbb{N}$  (resp.  $n = \infty$ ). A subset  $\Phi$  of Spec R is n-coherent if and only if for an exact sequence  $\cdots \to I_1 \to I_0 \to C \to 0$  in  $\mathsf{Mod}\,R$  with  $I_i \in \mathsf{Ass}^{-1}_{\mathsf{lni}\,R}(\Phi)$  for all  $0 \leqslant i \leqslant n$  (resp. all  $i \geqslant 0$ ) one has  $\mathsf{Ass}_R\,C \subseteq \Phi$ .

- (2) Let  $n \in \mathbb{N} \cup \{\infty\}$ . Then n-coherence implies (n+1)-coherence and  $\infty$ -coherence. In general, there is an (n+1)-coherent subset which is not n-coherent.
- (3) The 1-coherent subsets are the same as the coherent subsets in the sense of [32].
- (4) Let  $n \in \mathbb{N} \cup \{\infty\}$ . If  $\sup_{\mathsf{Mod}} \mathbb{R}(\Phi)$  is closed under n-cokernels, then  $\Phi$  is n-coherent.

**Example 3.7.** ([36, Example 4.11]) Let  $\underline{x} := x_1, \dots, x_n$  be a sequence of elements of R. Then  $D(\underline{x}) := \operatorname{Spec} R \setminus V(\underline{x})$  is n-coherent which is not (n-1)-coherent if  $\underline{x}$  is an R-regular sequence.

The latter assertion of the following proposition includes [32, Proposition 4.1(2)].

**Proposition 3.8.** The 0-coherent subsets are the same as the specialization-closed subsets. In particular, specialization-closed subsets are coherent.

Proof. The second assertion follows from the first one and Remark 3.6(2)(3). To show the first assertion, let  $\Phi$  be a subset of Spec R. Suppose that  $\Phi$  is specialization-closed. Then by [36, Theorem 1.1] the subcategory  $\operatorname{Ass}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  is closed under quotient modules. Let  $I \twoheadrightarrow C$  be a surjective homomorphism with  $I \in \operatorname{Ass}_{\mathsf{lnj}\,R}^{-1}(\Phi)$ . Then I is in  $\operatorname{Ass}_{\mathsf{Mod}\,R}^{-1}(\Phi)$ , and so is C. Hence  $\mathrm{E}(C) \in \operatorname{Ass}_{\mathsf{lnj}\,R}^{-1}(\Phi)$ , which shows that  $\Phi$  is 0-coherent. Conversely, assume that  $\Phi$  is 0-coherent. Let  $M \in \operatorname{Ass}_{\mathsf{Mod}\,R}^{-1}(\Phi)$ . Then  $\mathbb{E}^0(M) = \mathrm{E}(M) \in \operatorname{Ass}_{\mathsf{lnj}\,R}^{-1}(\Phi)$  and the surjection  $\mathbb{E}^0(M) \twoheadrightarrow \mho M$  shows that  $\mho M$  is embedded in a module in  $\operatorname{Ass}_{\mathsf{lnj}\,R}^{-1}(\Phi)$ , which implies  $\mathbb{E}^1(M) = \mathrm{E}_R(\mho M) \in \operatorname{Ass}_{\mathsf{lnj}\,R}^{-1}(\Phi)$ . Iterating this procedure, we see that  $\mathbb{E}^i(M) \in \operatorname{Ass}_{\mathsf{lnj}\,R}^{-1}(\Phi)$  for all  $i \geqslant 0$ , and  $M \in \operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$ . Thus  $\operatorname{Ass}_{\mathsf{Mod}\,R}^{-1}(\Phi) = \operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$ , and  $\Phi$  is specialization-closed by [36, Theorem 1.1].

The following extends [32, Proposition 4.1(1)(4)] on coherent subsets to n-coherent ones.

**Proposition 3.9.** Let n be either a nonnegative integer or  $\infty$ .

- (1) Let  $\{\Phi_{\lambda}\}_{{\lambda}\in\Lambda}$  be a family of n-coherent subsets of Spec R. Then  $\bigcap_{{\lambda}\in\Lambda}\Phi_{\lambda}$  is n-coherent.
- (2) A subset  $\Phi$  of Spec R is n-coherent if and only if  $\Phi_{\mathfrak{p}}$  is n-coherent for each  $\mathfrak{p} \in \operatorname{Spec} R$ .

*Proof.* Let n be a nonnegative integer (resp.  $n = \infty$ ).

- (1) Let  $\cdots \to I_2 \to I_1 \to I_0 \to C \to 0$  be an exact sequence with  $I_i \in \mathrm{Ass}^{-1}_{\mathsf{lnj}\,R}(\bigcap_{\lambda \in \Lambda} \Phi_\lambda)$  for all  $0 \le i \le n$  (resp. all  $i \ge 0$ ). Then by assumption, C is embedded in some  $I_\lambda \in \mathrm{Ass}^{-1}_{\mathsf{lnj}\,R}(\Phi_\lambda)$  for each  $\lambda \in \Lambda$ . Hence  $\mathrm{E}_R(C) \in \mathrm{Ass}^{-1}_{\mathsf{lnj}\,R}(\Phi_\lambda)$  for all  $\lambda \in \Lambda$ , that is,  $\mathrm{E}_R(C) \in \mathrm{Ass}^{-1}_{\mathsf{lni}\,R}(\bigcap_{\lambda \in \Lambda} \Phi_\lambda)$ .
- (2) First of all, recall the fundamental fact that for a multiplicatively closed subset S of R one has  $(\operatorname{Inj} R)_S \subseteq \operatorname{Inj}(R_S) \subseteq \operatorname{Inj} R$  and  $\operatorname{Ass}_{R_S}(M_S) = \{P \in \operatorname{Spec} R_S \mid P \cap R \in \operatorname{Ass}_R M\}$  for  $M \in \operatorname{\mathsf{Mod}} R$  (see [37, Theorem 6.2]).

The "only if" part: Fix  $\mathfrak{p} \in \operatorname{Spec} R$ . Let  $\cdots \to I_2 \to I_1 \to I_0 \to C \to 0$  be an exact sequence of  $R_{\mathfrak{p}}$ -modules with  $I_i \in \operatorname{Ass}_{\mathsf{lnj}R_{\mathfrak{p}}}^{-1}(\Phi_{\mathfrak{p}})$  for all  $0 \leqslant i \leqslant n$  (resp. all  $i \geqslant 0$ ). Then  $I_i \in \operatorname{Ass}_{\mathsf{lnj}R}^{-1}(\Phi)$  for all  $0 \leqslant i \leqslant n$  (resp. all  $i \geqslant 0$ ), and the n-coherence of  $\Phi$  implies that C is embedded in some  $J \in \operatorname{Ass}_{\mathsf{lnj}R}^{-1}(\Phi)$ . Hence  $C = C_{\mathfrak{p}}$  is embedded in  $J_{\mathfrak{p}} \in \operatorname{Ass}_{\mathsf{lnj}R_{\mathfrak{p}}}^{-1}(\Phi_{\mathfrak{p}})$ .

The "if" part: Let  $\cdots \to I_2 \to I_1 \to I_0 \to C \to 0$  be an exact sequence of R-modules with  $I_i \in \operatorname{Ass}_{\ln j}^{-1}(\Phi)$  for all  $0 \le i \le n$  (resp. all  $i \ge 0$ ). For each  $\mathfrak{p} \in \operatorname{Spec} R$  the exact sequence  $\cdots \to (I_2)_{\mathfrak{p}} \to (I_1)_{\mathfrak{p}} \to (I_0)_{\mathfrak{p}} \to C_{\mathfrak{p}} \to 0$  of  $R_{\mathfrak{p}}$ -modules is induced, and  $(I_i)_{\mathfrak{p}} \in \operatorname{Ass}_{\ln j}^{-1}(\Phi_{\mathfrak{p}})$  for all  $0 \le i \le n$  (resp. all  $i \ge 0$ ). Since  $\Phi_{\mathfrak{p}}$  is n-coherent,  $C_{\mathfrak{p}}$  is embedded in some  $J(\mathfrak{p}) \in \operatorname{Ass}_{\ln j R_{\mathfrak{p}}}^{-1}(\Phi_{\mathfrak{p}})$ . Hence  $\operatorname{E}_{R_{\mathfrak{p}}}(C_{\mathfrak{p}}) \in \operatorname{Ass}_{\ln j R_{\mathfrak{p}}}^{-1}(\Phi_{\mathfrak{p}})$  for all  $\mathfrak{p} \in \operatorname{Spec} R$ . It is easy to see that  $\operatorname{E}_{R}(C) \in \operatorname{Ass}_{\ln j R}^{-1}(\Phi)$ .

Let  $\Phi$  be a specialization-closed subset of Spec R, i an integer and M an R-module. We denote by  $\mathcal{H}^i_{\Phi}(M)$  the ith local cohomology module of M with respect to  $\Phi$ . The details of local cohomology with respect to a specialization-closed subset are found in [36, §3] for instance. Here we relate n-coherence to local cohomology.

**Proposition 3.10.** Let  $\Phi$  be a specialization-closed subset of Spec R, and let  $n \ge 0$  be an integer. Then  $\Phi^{\complement}$  is n-coherent if and only if  $H^{>n}_{\Phi}(M) = 0$  for all R-modules M with  $H^{<n}_{\Phi}(M) = 0$ .

Proof. To show the "if" part, take an exact sequence  $0 \to K \xrightarrow{f^0} I^0 \xrightarrow{f^1} \cdots \xrightarrow{f^n} I^n \xrightarrow{f^{n+1}} C \to 0$  with  $I^i \in \operatorname{Ass}^{-1}_{\ln jR}(\Phi^\complement)$  for all  $0 \leqslant i \leqslant n$ . It follows from [36, Proposition 4.5] that  $\operatorname{H}^i_\Phi(K) = 0$  for  $0 \leqslant i \leqslant n$ . By assumption  $\operatorname{H}^{n+1}_\Phi(K) = 0$ . Let  $K^i$  be the image of  $f^i$  for each  $0 \leqslant i \leqslant n+1$ . There is an exact sequence  $0 \to K^i \to I^i \to K^{i+1} \to 0$  for each  $0 \leqslant i \leqslant n$ . We get  $\operatorname{H}^1_\Phi(K^n) \cong \operatorname{H}^2_\Phi(K^{n-1}) \cong \cdots \cong \operatorname{H}^{n+1}_\Phi(K^0) = 0$ . Combining this with the equality  $\Gamma_\Phi(I^n) = 0$  from [36, Proposition 3.2(1)], we see that  $\Gamma_\Phi(K^{n+1}) = 0$ . The module  $C = K^{n+1}$  is embedded in  $\operatorname{E}_R(K^{n+1})$ , which belongs to  $\operatorname{Ass}^{-1}_{\ln jR}(\Phi^\complement)$ .

We show the "only if" part. By Remark 3.6(2), it suffices to verify  $H^{n+1}_{\Phi}(M) = 0$  for an R-module M with  $H^{\leqslant n}_{\Phi}(M) = 0$ . By [36, Proposition 4.5] we get an exact sequence  $0 \to M \to I^0 \to \cdots \to I^n$  with  $I^i \in \mathrm{Ass}^{-1}_{\mathsf{lnj}\,R}(\Phi^\complement)$  for all  $i \leqslant n$ . As  $\Phi$  is n-coherent, this is extended to an exact sequence  $0 \to M \to I^0 \to \cdots \to I^n \to I^{n+1}$  with  $I^{n+1} \in \mathrm{Ass}^{-1}_{\mathsf{lnj}\,R}(\Phi^\complement)$ . Using [36, Proposition 4.5] again, we obtain  $H^{n+1}_{\Phi}(M) = 0$ .

**Remark 3.11.** For the case n=1, this proposition recovers [2, Theorem 4.9 (2)  $\Leftrightarrow$  (4)]. Indeed, for an R-module M, there is an R-module  $D_{\Phi}(M)$  such that  $H^i_{\Phi}(D_{\Phi}(M)) = 0$  for i = 0, 1 and  $H^i_{\Phi}(M) \cong H^i_{\Phi}(D_{\Phi}(M))$  for i > 1, see [36, Proposition 3.9] for details. Therefore, by the above proposition,  $\Phi^{\complement}$  is coherent if and only if  $H_{\Phi}^{>1}(M) = 0$  for all R-modules M.

4. Classification of n-wide  $(\mathbb{E}, \oplus)$ -closed subcategories of Mod R

In the previous section, we recalled/introduced the notions of an n-wide subcategory of Mod R and an n-coherent subset of Spec R and studied their basic properties. The aim of this section is to explore the relationship between them.

We introduce a new series of subcategories of modules, and investigate some properties of them.

**Notation 4.1.** Let  $\mathcal{X}$  be a subcategory of  $\mathsf{Mod}\,R$ . For  $n\in\mathbb{N}$  we denote by  $\mathcal{C}^n_{\mathcal{X}}$  the subcategory of  $\mathsf{Mod}\,R$  consisting of modules M admitting an exact sequence  $0 \to M \to I^0 \to I^1 \to \cdots \to I^n$  with  $I^i \in \operatorname{Inj} R \cap \mathcal{X}$  for all i. Set  $\mathcal{C}_{\mathcal{X}}^{-1} = \operatorname{\mathsf{Mod}} R$ . When we consider  $\mathcal{X} := \operatorname{Ass}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  for a subset  $\Phi$  of  $\operatorname{Spec} R$ , we set  $\mathcal{C}_{\Phi}^n := \mathcal{C}_{\mathcal{X}}^n$  for each n.

Here, we list basic properties of the above series of subcategories, which easily follow from the definition.

**Remark 4.2.** Let  $n \ge 0$  be an integer,  $\mathcal{X}$  a subcategory of  $\mathsf{Mod}\,R$  and  $\Phi$  a subset of  $\mathsf{Spec}\,R$ .

- (1) The subset  $\Phi$  is *n*-coherent if and only if  $\mathcal{C}_{\Phi}^{n} = \mathcal{C}_{\Phi}^{i}$  for all  $i \geq n$ .
- (2) There is a filtration  $\operatorname{\mathsf{Mod}} R = \mathcal{C}_{\mathcal{X}}^{-1} \supseteq \mathcal{C}_{\mathcal{X}}^{0} \supseteq \mathcal{C}_{\mathcal{X}}^{1} \supseteq \cdots \supseteq \bigcap_{i \geqslant 0} \mathcal{C}_{\mathcal{X}}^{i}$  of subcategories, and the equality  $\bigcap_{i \geqslant 0} \mathcal{C}_{\Phi}^{i} = \sup_{\operatorname{\mathsf{Mod}} R} (\Phi)$  holds. In particular,  $\Phi$  is n-coherent if and only if  $\sup_{\operatorname{\mathsf{Mod}} R} (\Phi) = \mathcal{C}_{\Phi}^{n}$ .
- (3) If  $\mathcal{X}$  is  $\mathbb{E}$ -closed and contains  $\bigcap_{i\geq 0} \mathcal{C}^i_{\mathcal{X}}$ , then  $\mathcal{X}$  is  ${}^{\mathbf{s}}\mathbb{E}$ -closed.
- (4) Suppose that  $\mathcal{X}$  is closed under direct summands. Then the following hold.
  - (a) An R-module M is in  $\mathcal{C}^n_{\mathcal{X}}$  if and only if  $\mathbb{E}^i(M) \in \mathcal{X}$  for all  $0 \leq i \leq n$ .
  - (b) The subcategory  $\mathcal{X}$  is  $\mathbb{E}$ -closed if and only if  $\mathcal{X} \subseteq \bigcap_{i \geq 0} \mathcal{C}^i_{\mathcal{X}}$ . Hence,  $\mathcal{X}$  is  ${}^{\mathsf{s}}\mathbb{E}$ -closed if and only if  $\mathcal{X} = \bigcap_{i \geq 0} \mathcal{C}^i_{\mathcal{X}}$ .

**Lemma 4.3.** Let  $\mathcal{X}$  be a subcategory of Mod R closed under finite direct sums. Let  $0 \to L \xrightarrow{f} M \xrightarrow{g} N \to 0$  be an exact sequence of R-modules. Let  $n \ge 0$  be an integer. Then the first two of the following implications hold true, and so is the third if X is closed under direct summands.

- $\begin{array}{ll} \text{(i)} & L \in \mathcal{C}^n_{\mathcal{X}}, \ N \in \mathcal{C}^n_{\mathcal{X}} \Rightarrow M \in \mathcal{C}^n_{\mathcal{X}}, \\ \text{(ii)} & M \in \mathcal{C}^n_{\mathcal{X}}, \ N \in \mathcal{C}^{n-1}_{\mathcal{X}} \Rightarrow L \in \mathcal{C}^n_{\mathcal{X}}, \\ \text{(iii)} & L \in \mathcal{C}^n_{\mathcal{X}}, \ M \in \mathcal{C}^{n-1}_{\mathcal{X}} \Rightarrow N \in \mathcal{C}^{n-1}_{\mathcal{X}}. \end{array}$

*Proof.* The first implication directly follows from the horseshoe lemma. To show the second, take a lift  $I \to J$  of g to injective resolutions of M and N with  $I^i, J^i \in \mathcal{X}$  for all  $0 \le i \le n$  and  $0 \le j \le n-1$ . Taking the mapping cone, we get an injective resolution  $(0 \to I^0 \to J^0 \oplus I^1 \to \cdots \to J^{n-1} \oplus I^n \to \cdots)$  of the module L, whose first n+1 terms are in  $\mathcal{X}$ . This shows  $L \in \mathcal{C}^n_{\mathcal{X}}$ . The third implication is similarly shown: taking a lift  $I \to J$  of f to injective resolutions of L and M and the mapping cone, we get an injective resolution  $(0 \to (J^0 \oplus I^1)/I^0 \to J^1 \oplus I^2 \to \cdots \to J^{n-1} \oplus I^n \to \cdots)$  of Nwhose first n terms are in  $\mathcal{X}$ , if  $\mathcal{X}$  is closed under direct summands. Here, the injectivity of  $(J^0 \oplus I^1)/I^0$  follows since  $I^0$ is injective and  $I^0 \to J^0 \oplus I^1$  is a monomorphism.

**Remark 4.4.** This lemma generalizes the depth lemma. Indeed, if we consider  $\Phi := D(\mathfrak{a})$  with  $\mathfrak{a}$  an ideal of R, then  $\mathcal{C}^n_{\Phi}$ is nothing but the class of R-modules M with grade( $\mathfrak{a}, M$ ) > n in the sense of [11, Definition 9.1.1] by [19, Theorem 2.1] and [36, Proposition 4.5].

The following result gives a way to construct an n-wide subcategory from a given n-coherent subset.

**Proposition 4.5.** Let  $n \ge 0$  be an integer, and let  $\Phi$  be a subset of Spec R.

- (1) The subcategory  $\mathcal{C}_{\Phi}^n$  of Mod R is closed under direct sums, extensions and n-kernels.
- (2) If  $\Phi$  is n-coherent, then  $\operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi) = \mathcal{C}_{\Phi}^n$  is an n-wide  $({}^{\mathsf{s}}\mathbb{E}, \oplus)$ -closed subcategory of  $\mathsf{Mod}\,R$ .

*Proof.* (1) Let  $0 \to M \xrightarrow{f_0} X_0 \xrightarrow{f_1} \cdots \xrightarrow{f_n} X_n$  be an exact sequence with  $X_i \in \mathcal{C}_{\Phi}^n$  for all i. Let  $U_i$  be the image of  $f_i$ . The inclusions  $U_n \hookrightarrow X_n \hookrightarrow E_R(X_n)$  show  $U_n \in \mathcal{C}^0_{\Phi}$ . Using the second implication in Lemma 4.3 inductively, we are done.

(2) The equality follows from Remark 4.2(2). By (1) it suffices to show that  $\sup_{\mathsf{Mod}} {}^{-1}_{R}(\Phi)$  is closed under n-cokernels. Consider an exact sequence  $0 \to M \to X_0 \to X_1 \to \cdots \to X_n \to N \to 0$  with  $X_i \in \operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  for all i. Applying the third implication in Lemma 4.3 repeatedly and using Remark 4.2(2), we observe that N belongs to  $\operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$ .

For an R-module M we denote by  $\dim_R M$  the (Krull) dimension of M, i.e.,  $\dim_R M = \sup \{\dim R/\mathfrak{p} \mid \mathfrak{p} \in \operatorname{Supp}_R M\}$ . The next proposition gives a sufficient condition for a subset of a given n-coherent subset to be again n-coherent.

**Proposition 4.6.** Let  $n \ge 0$  be an integer. Let  $\Phi, \Psi$  be subsets of Spec R, and assume that  $\Psi$  is n-coherent. Suppose either that (i) ht  $\mathfrak{p} \leq n$  for all  $\mathfrak{p} \in \Phi$  or that (ii) dim  $R/\mathfrak{p} \leq n$  for all  $\mathfrak{p} \in \Psi$ . Then  $\Psi \setminus \Phi$  is n-coherent.

Proof. Let  $0 \to M \to I^0 \to \cdots \to I^n \to N \to 0$  be an exact sequence with  $I^i \in \operatorname{Ass}_{\ln j R}^{-1}(\Psi \setminus \Phi)$  for all  $0 \le i \le n$ . We want to prove that Ass  $N \subseteq \Psi \setminus \Phi$ . Since  $\Psi$  is *n*-coherent, we have Ass  $N \subseteq \Psi$ , and it is enough to show that Ass  $N \subseteq \Phi^{\complement}$ . Take any  $\mathfrak{p} \in \Phi$ . Then  $\mathfrak{p} \notin \operatorname{Ass} I^i$  for all  $0 \le i \le n$ . Hence  $\Gamma_{\mathfrak{p}R_{\mathfrak{p}}}(I^i_{\mathfrak{p}}) = 0$ , and therefore  $\operatorname{H}^i_{\mathfrak{p}R_{\mathfrak{p}}}(M_{\mathfrak{p}}) = 0$  for all  $0 \le i \le n$ .

We claim that  $\dim_{R_{\mathfrak{p}}} M_{\mathfrak{p}} \leqslant n$ . Indeed, in case (i), the statement holds since  $\dim R_{\mathfrak{p}} = \operatorname{ht} \mathfrak{p} \leqslant n$ . In case (ii), we have  $\operatorname{Supp}_R M \subseteq \operatorname{Supp}_R I^0 \subseteq \operatorname{cl}(\Psi)$ , where  $\operatorname{cl}(\Psi)$  stands for the set of prime ideals  $\mathfrak{p}$  such that  $\mathfrak{p} \supseteq \mathfrak{q}$  for some  $\mathfrak{q} \in \Psi$ . It is easy to see that  $\dim_R M \leqslant n$ , and hence  $\dim_{R_{\mathfrak{p}}} M_{\mathfrak{p}} \leqslant n$ .

It follows from Grothendieck's vanishing theorem [9, 6.1.2] that  $H_{\mathfrak{p}R_{\mathfrak{p}}}^{>n}(M_{\mathfrak{p}}) = 0$ . Therefore, we get  $H_{\mathfrak{p}R_{\mathfrak{p}}}^{i}(M_{\mathfrak{p}}) = 0$  for all integers i, and  $M_{\mathfrak{p}} \in \operatorname{supp}_{\mathsf{Mod}\,R_{\mathfrak{p}}}^{-1}(\{\mathfrak{p}R_{\mathfrak{p}}\}^{\complement})$  by [36, Remark 3.8(1)]. We have  $\mathfrak{p}R_{\mathfrak{p}} \notin \operatorname{supp}_{R_{\mathfrak{p}}} M_{\mathfrak{p}}$ , which implies  $\mathfrak{p} \notin \operatorname{supp}_{R} M$ . Now we conclude that  $\operatorname{supp} M \subseteq \Phi^{\complement}$ . Note that  $N \cong \mho^{n+1}M \oplus J$  for some direct summand J of  $I^{n}$ , which implies  $\operatorname{Ass} N = \operatorname{Ass} \mho^{n+1}M \cup \operatorname{Ass} J$ . We have  $\operatorname{Ass} \mho^{n+1}M = \operatorname{Ass} \mathbb{E}^{n+1}(M) \subseteq \operatorname{supp} M \subseteq \Phi^{\complement}$ , while  $\operatorname{Ass} J \subseteq \operatorname{Ass} I^{n} \subseteq \Phi^{\complement}$ . Hence  $\operatorname{Ass} N \subseteq \Phi^{\complement}$ , which is what we have wanted to deduce.

The following result refines [32, Corollary A.5] to assert that in the case dim  $R \ge 2$  there exists a coherent subset which is generalization-closed. Also, for n = 1 this theorem contains [32, Corollary 4.3].

**Corollary 4.7.** The following are equivalent for an integer  $n \ge 0$ .

- (1) Every subset of Spec R is n-coherent.
- (2) Every generalization-closed subset of Spec R is n-coherent.
- (3) The set  $(\operatorname{Max} R)^{\complement}$  of nonmaximal prime ideals of R is n-coherent.
- (4) One has  $H_{\Phi}^{>n}(-) = 0$  for every specialization-closed subset  $\Phi$  of Spec R.
- (5) The inequality dim  $R \leq n$  holds.

*Proof.* The equivalences  $(3) \Leftrightarrow (4) \Leftrightarrow (5)$  follow from [36, Theorem 4.13], Proposition 4.5(2) and Remark 3.6(4), while the implications  $(1) \Rightarrow (2) \Rightarrow (3)$  are obvious. Let  $\Xi$  be a subset of Spec R. Applying Proposition 4.6(i) to  $\Phi := \Xi^{\complement}$  and  $\Psi := \operatorname{Spec} R$ , we see that (5) implies (1).

**Remark 4.8.** Using the above result, we see that Proposition 4.6 fails without (i) or (ii). In fact, let  $\Phi = \operatorname{Max} R$  and  $\Psi = \operatorname{Spec} R$ . Then for any integer  $n \ge 0$  the subset  $\Psi$  is n-coherent, but  $\Psi \setminus \Phi$  is not if dim R > n by Corollary 4.7.

We here record a remarkable statement.

Corollary 4.9. Every subset  $\Phi$  of Spec R is  $\infty$ -coherent.

*Proof.* Thanks to Proposition 3.9(2), we may reduce to the case where R is a local ring. Then every subset of Spec R is  $(\dim R)$ -coherent by Corollary 4.7, and hence is  $\infty$ -coherent by Remark 3.6(2).

Now we prove the following theorem. In view of Remarks 3.2, 3.6, Proposition 3.8 and [32, Lemma 3.5], one observes that this theorem for n = 0 (resp. n = 1) yields a one-to-one correspondence between the localizing (resp. wide and  $\oplus$ -closed) subcategories of Mod R and the specialization-closed (resp. coherent) subsets of Spec R, which is nothing but the classification theorem of Gabriel [20, Proposition VI.4] (resp. Krause [32, Theorem 3.1]).

**Theorem 4.10.** Let n be a nonnegative integer or  $\infty$ . The assignments  $\mathcal{X} \mapsto \operatorname{supp} \mathcal{X}$  and  $\operatorname{supp}_{\operatorname{\mathsf{Mod}} R}^{-1}(\Phi) \leftrightarrow \Phi$  give a bijective correspondence between the n-wide  $(\mathbb{E}, \oplus)$ -closed subcategories of  $\operatorname{\mathsf{Mod}} R$ , and the n-coherent subsets of  $\operatorname{\mathsf{Spec}} R$ .

Proof. Let  $n \in \mathbb{N}$  (resp.  $n = \infty$ ). We prove that the map  $\mathcal{X} \mapsto \operatorname{supp} \mathcal{X}$  is well-defined. Let  $\mathcal{X}$  be an n-wide  $(\mathbb{E}, \oplus)$ -closed subcategory of  $\operatorname{\mathsf{Mod}} R$ . Let  $\cdots \to I_1 \to I_0 \to C \to 0$  be an exact sequence with  $I_i \in \operatorname{Ass}^{-1}_{\operatorname{\mathsf{lnj}} R}(\operatorname{supp} \mathcal{X})$  for all  $0 \leqslant i \leqslant n$  (resp. all  $i \geqslant 0$ ). Then we have  $\operatorname{Ass} I_i \subseteq \operatorname{supp} \mathcal{X}$  and find  $X_i \in \mathcal{X}$  with  $\operatorname{Ass} I_i \subseteq \operatorname{supp} X_i$  since  $\mathcal{X}$  is closed under direct sums. We observe that  $I_i$  is a direct summand of a direct sum of copies of  $\bigoplus_{j\geqslant 0} \mathbb{E}^j(X_i)$ . As  $\mathcal{X}$  is  $(\mathbb{E}, \oplus)$ -closed and it is also closed under direct summands by Remark 3.2(4), the module  $I_i$  belongs to  $\mathcal{X}$  for all  $0 \leqslant i \leqslant n$  (resp. all  $i \geqslant 0$ ). The n-wideness of  $\mathcal{X}$  shows  $C \in \mathcal{X}$ , which implies  $\operatorname{E}_R(C) \in \operatorname{Ass}^{-1}_{\operatorname{\mathsf{lni}} R}(\operatorname{supp} \mathcal{X})$ . We conclude that  $\operatorname{supp} \mathcal{X}$  is n-coherent.

In view of [48, Theorem 2.3] and Remark 3.2(5), it is enough to prove that the map  $\sup_{\mathsf{Mod}\,R}^{-1}(\Phi) \leftarrow \Phi$  is well-defined, which follows from Proposition 4.5 in the case  $n \in \mathbb{N}$ . Let  $\Phi$  be an  $\infty$ -coherent subset of Spec R. For any prime ideal  $\mathfrak{p}$  of R, we see from Corollary 4.7 and Proposition 4.5(2) that  $\sup_{\mathsf{Mod}\,R_{\mathfrak{p}}}^{-1}(\Phi_{\mathfrak{p}})$  is  $(\mathsf{ht}\,\mathfrak{p})$ -wide, and it is  $\infty$ -wide by Remark 3.2(1). Proposition 3.4 deduces that  $\sup_{\mathsf{Mod}\,R}^{-1}(\Phi)$  is  $\infty$ -wide.

Corollary 4.11. The assignments  $\mathcal{X} \mapsto \operatorname{supp} \mathcal{X}$  and  $\operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi) \leftrightarrow \Phi$  give a bijective correspondence between the localizing (resp.  $\oplus$ -closed wide) subcategories of  $\mathsf{Mod}\,R$  and the specialization-closed (resp. coherent) subsets of  $\mathsf{Spec}\,R$ .

*Proof.* It follows from Remark 3.2(2)(3) that the 0-wide (resp. 1-wide) subcategories are the Serre (resp. wide) subcategories of  $\operatorname{\mathsf{Mod}} R$  and it follows from Proposition 3.8 and Remark 3.6(3) that the 0-coherent (resp. 1-coherent) subsets are the specialization-closed (resp. coherent) subsets of  $\operatorname{\mathsf{Spec}} R$ . Therefore, for n=0 (resp. n=1), Theorem 4.10 is

directly translated as the bijective correspondence between  $\mathbb{E}$ -closed localizing (resp.  $(\mathbb{E}, \oplus)$ -closed wide) subcategories of Mod R and specialization-closed (resp. coherent) subsets of Spec R. Since [32, Lemma 3.5] shows every  $\oplus$ -closed wide subcategory is  $\mathbb{E}$ -closed, this bijection is nothing but the one that we want.

Question 4.12. As we have seen above,  $\oplus$ -closed n-wide subcategories of  $\operatorname{\mathsf{Mod}} R$  are automatically  $\mathbb E$ -closed for n=0 and 1 by [32, Lemma 3.5]. However, its proof breaks down for n>1 and we do not have any examples of  $\oplus$ -closed n-wide subcategories of  $\operatorname{\mathsf{Mod}} R$  which are not  $\mathbb E$ -closed. Can we show that all  $\oplus$ -closed n-wide subcategories of  $\operatorname{\mathsf{Mod}} R$  are  $\mathbb E$ -closed?

Takahashi [48, Theorem 2.3] gives a classification of the  $({}^{s}\mathbb{E}, \oplus, \ominus)$ -closed subcategories of Mod R. As an application of Theorem 4.10, we recover this classification by making a connection with  $\infty$ -wide subcategories.

**Corollary 4.13.** Let  $\mathcal{X}$  be an  $(\mathbb{E}, \oplus)$ -closed subcategory of Mod R. Then  $\mathcal{X}$  is  $({}^{s}\mathbb{E}, \ominus)$ -closed if and only if  $\mathcal{X}$  is  $\infty$ -wide. In particular, there are one-to-one correspondences among the  $({}^{s}\mathbb{E}, \oplus, \ominus)$ -closed subcategories of Mod R, the  $(\mathbb{E}, \oplus)$ -closed  $\infty$ -wide subcategory of Mod R, and the subsets of Spec R.

Proof. Suppose that  $\mathcal{X}$  is  $({}^{s}\mathbb{E}, \ominus)$ -closed. Then the equality  $\mathcal{X} = \bigcap_{i \geqslant 0} \mathcal{C}_{\mathcal{X}}^{i}$  holds by Remark 4.2(4), and this means that  $\mathcal{X} = \sup_{\mathsf{Mod}\, R} (\mathsf{Ass}(\mathsf{Inj}\, R \cap \mathcal{X}))$  by [32, Lemma 3.3]. It follows from Corollary 4.9 and Theorem 4.10 that  $\mathcal{X}$  is  $\infty$ -wide. Conversely, suppose that  $\mathcal{X}$  is  $\infty$ -wide. Then  $\mathcal{X}$  is  $\ominus$ -closed by Remark 3.2(4). For an R-module M with  $\mathbb{E}^{i}(M) \in \mathcal{X}$  for all  $i \geqslant 0$ , one has  $M \in \mathcal{X}$  since  $\mathcal{X}$  is closed under  $\infty$ -kernels. This shows that  $\mathcal{X}$  is  ${}^{s}\mathbb{E}$ -closed. Thus the proof of the first assertion is completed. The second assertion follows from the first one and Theorem 4.10.

We state another corollary of Theorem 4.10. In general, it is difficult to check whether a given subset is n-coherent or not. The first assertion of the corollary gives a necessary condition of n-coherence. We mentioned in Remark 3.6(2) that n-coherence implies (n+1)-coherence for each  $n \ge 0$ . The second assertion of the corollary shows that this implication can be strict for an arbitrary n.

**Corollary 4.14.** (1) Let  $\mathfrak{a}$  be an ideal of R and  $n \ge 0$  an integer. Let M be a finitely generated R-module with  $\mathfrak{a}M \ne M$ . If  $D(\mathfrak{a})$  is an n-coherent subset of Spec R, then one has  $\operatorname{grade}(\mathfrak{a},M) \le n$ .

- (2) Let  $\mathbf{x} = x_1, \dots, x_n$  be a sequence of elements of R. Then  $D(\mathbf{x})$  is an n-coherent subset of Spec R. If the sequence  $\mathbf{x}$  is R-regular, then  $D(\mathbf{x})$  is not (n-1)-coherent.
- (3) Let  $\Phi$  be a specialization-closed subset of Spec R. Then the following equivalences hold.

 $H^{>0}_{\Phi}(M) = 0 \ \textit{for all} \ M \in \mathsf{Mod} \ R \ \Leftrightarrow \ \mathrm{supp}_{\mathsf{Mod} \ R}^{-1}(\Phi^{\complement}) \ \textit{is localizing} \ \Leftrightarrow \ \Phi^{\complement} \ \textit{is specialization-closed} \ \Leftrightarrow \ \Phi \ \textit{is clopen}.$ 

*Proof.* Assertions (1) and (2) immediately follow from Theorem 4.10 and [36, Proposition 4.10 and Example 4.11]. As for assertion (3), the first and second equivalences are direct consequences of [36, Theorem 4.9(1)] and Theorem 4.10.

Assume  $\Phi$  is clopen and write  $\Phi = V(\mathfrak{a})$  and  $\Phi^{\complement} = V(\mathfrak{b})$  with radical ideals  $\mathfrak{a}, \mathfrak{b}$ . Then  $\mathfrak{a} + \mathfrak{b} = R$  and  $\mathfrak{a} \cap \mathfrak{b} = \sqrt{0}$ . Hence  $H^{>0}_{\mathfrak{a} + \mathfrak{b}}(M) = H^{>0}_{\mathfrak{a} \cap \mathfrak{b}}(M) = 0$  for any  $M \in \mathsf{Mod}\,R$ . The Mayer-Vietoris sequence [9, 3.2.3] implies  $H^{>0}_{\mathfrak{a}}(M) = 0$ .

If  $\Phi^{\complement}$  is specialization-closed, then  $\Phi$  is both specialization-closed and generalization-closed and so is  $\Phi^{\complement}$ . By symmetry, it is enough to show that  $\Phi$  is closed. As  $\Phi$  is specialization-closed, we can write  $\Phi = \bigcup_{\mathfrak{p} \in \min \Phi} V(\mathfrak{p})$ , where  $\min \Phi$  stands for the set of minimal elements of  $\Phi$  with respect to the inclusion relation. As  $\Phi$  is generalization-closed,  $\min \Phi$  consists only of minimal prime ideals and hence is a finite set. We see that  $\Phi$  is closed.

## 5. Classification of n-uniform localizing subcategories of $\mathsf{D}(\mathsf{Mod}\,R)$

In the last section, we gave a correspondence between the n-coherent subsets of Spec R and the  $(\mathbb{E}, \oplus)$ -closed n-wide subcategories of Mod R. In this section, we consider the derived category analogue of this correspondence. To this end, we introduce two kinds of subcategories of D(Mod R).

**Definition 5.1.** Let  $n \in \mathbb{N} \cup \{\infty\}$ .

- (1) We say that a subcategory  $\mathcal{X}$  of  $\mathsf{D}(\mathsf{Mod}\,R)$  is n-uniform provided that if  $X \in \mathcal{X}$  and  $i \in \mathbb{Z}$  satisfy  $\mathsf{H}^j X = 0$  for all  $i \neq j \in (i-n,i+n)$  and  $X^j \in \mathcal{X}$  for all integers  $j \in [i-n,i+n]$ , then  $\mathsf{Z}^i X, \, X^i/\mathsf{B}^i X \in \mathcal{X}$ .
- (2) We say that a subcategory  $\mathcal{X}$  of  $\mathsf{D}(\mathsf{Mod}\,R)$  is n-consistent provided that if  $X \in \mathcal{X}$  and  $i \in \mathbb{Z}$  satisfy  $\mathsf{H}^j X = 0$  for all  $i \neq j \in (i-n,i+n)$ , then  $\mathsf{H}^i X \in \mathcal{X}$ .

**Remark 5.2.** (1) A subcategory  $\mathcal{X}$  of  $\mathsf{D}(\mathsf{Mod}\,R)$  is 0-uniform if and only if for each  $X \in \mathcal{X}$  and  $i \in \mathbb{Z}$  with  $X^i \in \mathcal{X}$  one has  $Z^iX$ ,  $X^i/B^iX \in \mathcal{X}$ .

- (2) A subcategory of D(Mod R) is 0-consistent, if and only if it is 1-consistent, if and only if it is closed under cohomologies.
- (3) Let n be a nonnegative integer. Then any n-uniform (resp. n-consistent) subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$  is both (n+1)-uniform and  $\infty$ -uniform (resp. (n+1)-consistent and  $\infty$ -consistent).

(4) Every thick subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$  is  $\infty$ -consistent. In fact, let  $X \in \mathcal{X}, i \in \mathbb{Z}$  and  $\mathsf{H}^j X = 0$  for all  $i \neq j \in (-\infty, \infty)$ . Then the complex X is isomorphic to the stalk complex  $\mathsf{H}^i X[-i]$  in  $\mathsf{D}(\mathsf{Mod}\,R)$ . Since  $X \in \mathcal{X}$ , we have  $\mathsf{H}^i X \in \mathcal{X}$ .

The condition in the definition of n-consistency is closed under quasi-isomorphisms, but the condition in the definition of n-uniformity is not. Also, n-consistency looks simpler than n-uniformity. We shall show in Theorem 5.9 that, whenever  $n \neq 0$ , these two notions are equivalent for localizing subcategories<sup>4</sup>. We first investigate 0-uniform localizing subcategories in relation to smashing subcategories.

**Definition 5.3.** A thick subcategory  $\mathcal{X}$  of  $\mathsf{D}(\mathsf{Mod}\,R)$  is called *smashing* if the inclusion functor  $\mathcal{X} \hookrightarrow \mathsf{D}(\mathsf{Mod}\,R)$  admits a right adjoint which preserves direct sums. In particular, it is localizing; see Remark 2.3.

**Proposition 5.4.** The 0-uniform localizing subcategories of D(Mod R) are the smashing subcategories of D(Mod R).

Proof. Let  $\mathcal{X}$  be a localizing subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$ . Then  $\mathcal{X} = \mathsf{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$  for some subset  $\Phi$  of  $\mathsf{Spec}\,R$  by [42, Theorem 2.8]. If  $\mathcal{X}$  is smashing, then  $\Phi$  is specialization-closed by [42, Theorem 3.3], and  $\mathcal{X} \cap \mathsf{Mod}\,R = \mathsf{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  is localizing by [20, page 425] (see also [32, Corollary 3.6]). Conversely, if  $\mathcal{X} \cap \mathsf{Mod}\,R$  is localizing, then by [20, page 425] again  $\mathsf{supp}\,\mathcal{X} = \mathsf{supp}(\mathcal{X} \cap \mathsf{Mod}\,R) = \Phi$  is specialization-closed, and  $\mathcal{X}$  is smashing by [42, Theorem 3.3] again. Thus it suffices to show that  $\mathcal{X}$  is 0-uniform if and only if  $\mathcal{X} \cap \mathsf{Mod}\,R$  is localizing.

Suppose that the subcategory  $\mathcal{X}$  is 0-uniform. The localizing property of  $\mathcal{X}$  implies that  $\mathcal{X} \cap \mathsf{Mod}\, R$  is closed under direct sums. Let  $0 \to L \to M \to N \to 0$  be an exact sequence of R-modules. Then an exact triangle  $L \to M \to N \to L[1]$  in  $\mathsf{D}(\mathsf{Mod}\, R)$  is induced. If  $L, N \in \mathcal{X}$ , then  $M \in \mathcal{X}$  since  $\mathcal{X}$  is localizing. Now, assume  $M \in \mathcal{X}$ . Then consider the complex  $X = (0 \to L \to M \to N \to 0)$  with M being in degree 0. This complex is exact, so that  $X \cong 0$  in  $\mathsf{D}(\mathsf{Mod}\, R)$ . As  $\mathcal{X}$  contains 0, it also contains X. Since  $X^0 = M$  belongs to  $\mathcal{X}$ , the modules  $L = \mathsf{Z}^0 X$  and  $N = X^0/\mathsf{B}^0 X$  belong to  $\mathcal{X}$  as well. We conclude that  $\mathcal{X} \cap \mathsf{Mod}\, R$  is localizing.

Conversely, suppose that  $\mathcal{X} \cap \mathsf{Mod}\, R$  is a localizing subcategory of  $\mathsf{Mod}\, R$ . Let  $X \in \mathcal{X}, i \in \mathbb{Z}$  and  $X^i \in \mathcal{X}$ . Then  $X^i$  belongs to  $\mathcal{X} \cap \mathsf{Mod}\, R$ . The natural injection  $Z^i X \hookrightarrow X^i$  and surjection  $X^i \twoheadrightarrow X^i/B^i X$  show that  $Z^i X$  and  $X^i/B^i X$  are in  $\mathcal{X} \cap \mathsf{Mod}\, R$ . It follows that  $\mathcal{X}$  is 0-uniform.

The following theorem is one of the main results in this section, which gives a derived category analogue of the correspondence 4.10 using n-uniform subcategories.

**Theorem 5.5.** Let  $n \in \mathbb{N} \cup \{\infty\}$ . The assignments  $\mathcal{X} \mapsto \operatorname{supp} \mathcal{X}$  and  $\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi) \leftarrow \Phi$  give a bijective correspondence between the n-uniform localizing subcategories of  $\mathsf{D}(\mathsf{Mod}\,R)$  and the n-coherent subsets of  $\operatorname{Spec} R$ .

*Proof.* In view of [42, Theorem 2.8], it suffices to show that (a) supp  $\mathcal{X}$  is n-coherent for an n-uniform localizing subcategory  $\mathcal{X}$  of  $\mathsf{D}(\mathsf{Mod}\,R)$  and that (b)  $\mathsf{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$  is n-uniform for an n-coherent subset  $\Phi$  of  $\mathsf{Spec}\,R$ .

- (a) The case n=0 is settled since the 0-uniform subcategories are the localizing subcategories of  $\mathsf{D}(\mathsf{Mod}\,R)$  whose supports are specialization-closed by Remark 5.4 and [42, Theorem 3.3], while Corollary 4.9 shows the case  $n=\infty$ . So, let  $0< n<\infty$ . Put  $\Phi=\mathrm{supp}\,\mathcal{X}$ . We have  $\mathcal{X}=\mathrm{supp}^{-1}_{\mathsf{D}(\mathsf{Mod}\,R)}(\Phi)$  by [42, Theorem 2.8], and  $\mathcal{X}\cap\mathsf{Mod}\,R=\mathrm{supp}^{-1}_{\mathsf{Mod}\,R}(\Phi)$ . By [48, Theorem 2.3] we get  $\mathrm{supp}(\mathcal{X}\cap\mathsf{Mod}\,R)=\Phi$ . In view of Theorem 4.10, it suffices to show that  $\mathcal{X}\cap\mathsf{Mod}\,R$  is n-wide and  $(\mathbb{E},\oplus)$ -closed. It follows from [48, Theorem 2.3] again that  $\mathcal{X}\cap\mathsf{Mod}\,R$  is  $(\mathbb{E},\oplus)$ -closed. As  $\mathcal{X}$  is localizing, we easily see that  $\mathcal{X}\cap\mathsf{Mod}\,R$  is closed under extensions. It remains to verify that  $\mathcal{X}\cap\mathsf{Mod}\,R$  is closed under n-kernels and n-cokernels. Let  $0\to K\to X^0\to\cdots\to X^n\to C\to 0$  be an exact sequence of R-modules with  $X^i\in\mathcal{X}$  for all  $0\leqslant i\leqslant n$ . Then consider the complex  $X=(\cdots\to 0\to X^0\to\cdots\to X^n\to 0\to\cdots)$ . For each  $1\leqslant i\leqslant n$  there is an exact triangle  $X^i[-i]\to C_i\to C_{i-1}\to X^i[-i+1]$  with  $C_n=X$  and  $C_0=X^0$ . We inductively see that X belongs to X. Since  $H^jX=0$  for all  $0\ne j\in (-n,n)$  and  $n\ne j\in (0,2n)$ , the modules  $K=\mathbb{Z}^0X$  and  $C=X^n/\mathbb{B}^nX$  belong to X. Therefore,  $X\cap\mathsf{Mod}\,R$  is closed under n-kernels and n-cokernels.
- (b) Put  $\mathcal{X} = \operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$ . Let  $X \in \mathcal{X}$ ,  $i \in \mathbb{Z}$ ,  $H^jX = 0$  for all integers j with  $i \neq j \in (i-n,i+n)$ , and  $X^j \in \mathcal{X}$  for all integers  $j \in [i-n,i+n]$ . Let  $n \in \mathbb{N}$  (resp.  $n = \infty$ ). There are two exact sequences  $0 \to Z^iX \to X^i \to \cdots \to X^{i+n}$  and  $X^{i-n} \to \cdots \to X^i \to X^i/B^iX \to 0$  (resp.  $0 \to Z^iX \to X^i \to X^{i+1} \to \cdots$  and  $0 \to X^{i-1} \to X^i \to X^i/B^iX \to 0$ ). Theorem 4.10 says that  $\mathcal{X} \cap \mathsf{Mod}\,R = \operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  is n-wide. In particular, it is closed under n-kernels and n-cokernels. The exact sequences show that  $Z^iX$  and  $X^i/B^iX$  are in  $\mathcal{X} \cap \mathsf{Mod}\,R$ , and hence they belong to  $\mathcal{X}$ . Thus,  $\mathcal{X}$  is n-uniform.

Corollary 4.9, Theorem 5.5 and [42, Theorem 2.8] imply the following.

**Corollary 5.6.** Every localizing subcategory of D(Mod R) is  $\infty$ -uniform.

<sup>&</sup>lt;sup>4</sup>Thus the reader may wonder why we introduce the notion of *n*-uniform subcategories. A benefit from introducing this notion is that Theorem 5.5 can be shown by combination of classical results. More precisely, it can be done without Lemma 5.7 which comes from the Nakamura–Yoshino theory, and so even the reader who does not know this theory can understand it.

Next, we consider classifying n-consistent subcategories of  $\mathsf{D}(\mathsf{Mod}\,R)$ . We shall do it by showing that n-uniformity and n-consistency are equivalent for localizing subcategories. To show that n-uniformity implies n-consistency, we use the lemma below. This follows from the dual version of [41, Corollary 7.14], whose establishment is guaranteed by [41, the three lines at the end of Section 7].

**Lemma 5.7** (Nakamura–Yoshino). Suppose dim  $R < \infty$ . Let  $\Phi$  be a subset of Spec R, and set  $\mathcal{X} = \operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$ . Then for every complex  $X \in \mathcal{X}$  there exists a complex  $Y \in \mathsf{D}(\mathsf{Mod}\,R)$  such that  $Y \cong X$  and  $Y^i \in \mathcal{X}$  for all  $i \in \mathbb{Z}$ .

Sketch of Proof. One can verify this lemma inductively via [41, Definition 7.6] by combining [40, Theorem 3.12], [41, Lemma 9.1(2)], [34, Lemma 3.5.1] and [41, Theorem 3.22] (or [40, Theorem 3.13]), see also [40, Corollary 3.4].

Concretely, we can construct such a complex Y as follows. Let  $\mathbb{W} = \{W_i\}_{i=1}^n$  be a system of slices of  $\Phi$  in the sense of [41, Definition 7.6] (for example, we can take  $W_i := \{\mathfrak{p} \in \Phi \mid \dim R/\mathfrak{p} = i\}$ ). We then replace the functor  $\overline{\lambda}^{(i_m,\dots,i_0)}$  and the complex of functors  $L^{\mathbb{W}}$  in [41] with their categorical duals

$$\underline{\gamma}_{(i_m,\dots,i_0)} := \underline{\gamma}_{W_{i_m}} \cdots \underline{\gamma}_{W_{i_0}}, \qquad \underline{\gamma}_{W_i} := \bigoplus_{\mathfrak{p} \in W_i} \Gamma_{\mathrm{V}(\mathfrak{p})} \mathrm{Hom}_R(R_{\mathfrak{p}}, -)$$

and

$$R_{\mathbb{W}} := \left(0 \to \underline{\gamma}_{(d,\dots,0)} \to \bigoplus_{i_0 < \dots < i_{d-1}} \underline{\gamma}_{(i_{d-1},\dots,i_0)} \to \dots \to \bigoplus_{i_0 < i_1} \underline{\gamma}_{(i_1,i_0)} \to \dots \to \bigoplus_i \underline{\gamma}_{(i)} \to 0\right)$$

respectively. Here the differentials of  $R_{\mathbb{W}}$  are induced from the canonical natural transformations  $\underline{\gamma}_{W_i} \to \mathrm{id}_{\mathsf{Mod}\,R}$ . This complex can be constructed inductively as follows. Let  $\mathbb{W}_r := \{W_i\}_{i=0}^r$  and denote by  $\widetilde{R}_{\mathbb{W}_r}$  the augmentation of  $R_{\mathbb{W}_r}$ . Then, we see that  $\widetilde{R}_{\mathbb{W}_{r+1}}$  is the mapping cone of  $\underline{\gamma}_{W_{r+1}}\widetilde{R}_{\mathbb{W}_r} \to \widetilde{R}_{\mathbb{W}_r}$  and hence its de-augmentation is  $R_{\mathbb{W}_{r+1}}$ . Therefore, by induction, one can show that there is a natural isomorphism  $\gamma_{\Phi}(M) \cong R_{\mathbb{W}}(M)$  in  $\mathsf{D}(\mathsf{Mod}\,R)$  for any injective R-module M. Here, the induction basis follows from [40, Theorem 3.12]. For a complex  $X \in \mathsf{D}(\mathsf{Mod}\,R)$  with a semi-injective resolution I, we get isomorphisms  $X \cong \gamma_{\Phi}(I) \cong \mathsf{Tot}(R_{\mathbb{W}}(I)) =: Y$  in  $\mathsf{D}(\mathsf{Mod}\,R)$  such that each component of Y belongs to  $\mathsf{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$ , see [34, Lemma 3.5.1] and [41, Theorem 3.22].

# **Proposition 5.8.** Let $n \in \mathbb{N} \cup \{\infty\}$ .

- (1) Assume  $n \neq 0$ . For an n-consistent localizing subcategory  $\mathcal{X}$  of  $\mathsf{D}(\mathsf{Mod}\,R)$ , the subset  $\mathrm{supp}\,\mathcal{X}$  of  $\mathrm{Spec}\,R$  is n-coherent.
- (2) For an n-coherent subset  $\Phi$  of Spec R, the subcategory  $\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$  of  $\mathsf{D}(\mathsf{Mod}\,R)$  is n-consistent.

*Proof.* (1) The assertion is shown by the same arguments as in (a) in the proof of Theorem 5.5 for  $n \neq 0$ , where using *n*-uniformity at the end is just replaced with using *n*-consistency.

- (2) Set  $\mathcal{X} = \operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$ . Let  $X \in \mathcal{X}$  and  $i \in \mathbb{Z}$  be such that  $H^jX = 0$  for all  $i \neq j \in (i-n, i+n)$ . We prove  $H^iX \in \mathcal{X}$  in two steps: (i) the case where dim  $R < \infty$  and (ii) the general case.
- (i) By Lemma 5.7, we may assume  $X^j \in \mathcal{X}$  for all  $j \in \mathbb{Z}$ . Since  $\mathcal{X}$  is n-uniform by Theorem 5.5, we have  $X^i/\mathrm{B}^iX \in \mathcal{X}$ . Note by Theorem 4.10 that  $\mathcal{X} \cap \mathsf{Mod}\, R = \mathrm{supp}_{\mathsf{Mod}\, R}^{-1}(\Phi)$  is n-wide, and in particular, it is closed under n-kernels. From the exact sequence  $0 \to \mathrm{H}^iX \to X^i/\mathrm{B}^iX \to X^{i+1} \to \cdots \to X^{i+n}$ , we obtain  $\mathrm{H}^iX \in \mathcal{X} \cap \mathsf{Mod}\, R \subseteq \mathcal{X}$ .
- (ii) Fix a prime ideal  $\mathfrak p$  of R. Since  $R_{\mathfrak p}$  has finite Krull dimension, it follows from (i) and Proposition 3.9(2) that  $\mathcal Y := \sup_{\mathsf D(\mathsf{Mod}\,R_{\mathfrak p})}^{-1}(\Phi_{\mathfrak p})$  is n-consistent. As  $\kappa(\mathfrak q R_{\mathfrak p}) \otimes_{R_{\mathfrak p}}^{\mathbf L} X_{\mathfrak p} \cong \kappa(\mathfrak q) \otimes_{R}^{\mathbf L} X$  for  $\mathfrak q \in \operatorname{Spec} R$  with  $\mathfrak q \subseteq \mathfrak p$ , we observe that  $X_{\mathfrak p}$  belongs to  $\mathcal Y$ . Also,  $H^j(X_{\mathfrak p}) = (H^jX)_{\mathfrak p} = 0$  for all integers j with  $i \neq j \in (i-n,i+n)$ . Hence  $H^i(X_{\mathfrak p}) \in \mathcal Y$ , and therefore  $\sup_{R_{\mathfrak p}} (H^iX)_{\mathfrak p} \subseteq \Phi_{\mathfrak p}$  for all  $\mathfrak p \in \operatorname{Spec} R$ . It is seen that  $H^iX \in \mathcal X$ .

As we have promised, we give the following classification theorem of n-consistent localizing subcategories.

**Theorem 5.9.** Let  $0 < n \in \mathbb{N} \cup \{\infty\}$ . Then a localizing subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$  is n-uniform if and only if it is n-consistent. In particular, the assignments  $\mathcal{X} \mapsto \mathrm{supp}\,\mathcal{X}$  and  $\mathrm{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi) \leftarrow \Phi$  give a bijective correspondence between the n-consistent localizing subcategories of  $\mathsf{D}(\mathsf{Mod}\,R)$  and the n-coherent subsets of  $\mathrm{Spec}\,R$ .

*Proof.* We begin with showing the first assertion. According to Theorem 5.5 and Proposition 5.8(2), it is enough to prove that every n-consistent subcategory  $\mathcal{X}$  of  $\mathsf{D}(\mathsf{Mod}\,R)$  is n-uniform. Proposition 5.8(1) implies that supp  $\mathcal{X}$  is n-coherent, and hence  $\mathsf{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\mathsf{supp}\,\mathcal{X})$  is n-uniform by Theorem 5.5. We have  $\mathcal{X} = \mathsf{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\mathsf{supp}\,\mathcal{X})$  by [42, Theorem 2.8]. It follows that  $\mathcal{X}$  is n-uniform, as desired. The second assertion follows from the first and Theorem 5.5.

Letting n = 0 in Theorem 5.5 and  $n = 1, \infty$  in Theorem 5.9 respectively and using Proposition 5.4 and Remark 5.2(2), we have the following corollary. These bijections are given in [42, Theorem 3.3] and [32, Theorem 5.2] (see also [48, Main Theorem]).

Corollary 5.10. The assignments  $\mathcal{X} \mapsto \operatorname{supp} \mathcal{X}$  and  $\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi) \longleftrightarrow \Phi$  give one-to-one correspondences:

- $\{localizing \ subcategories \ of \ \mathsf{D}(\mathsf{Mod}\ R)\} \leftrightarrow \{subsets \ of \ \mathsf{Spec}\ R\},$
- $\{cohomology\text{-}closed\ localizing\ subcategories\ of\ D(Mod\ R)\} \leftrightarrow \{coherent\ subsets\ of\ Spec\ R\}.$
- $\{smashing \ subcategories \ of \ \mathsf{D}(\mathsf{Mod}\ R)\} \leftrightarrow \{specialization\text{-}closed \ subsets \ of \ \mathsf{Spec}\ R\},$

**Remark 5.11.** It is clarified by [13, Remark 2.3] that [32, Proposition 5.1] does not necessarily hold true, namely, for an unbounded complex X with minimal semi-injective resolution I, the equality

$$\operatorname{supp} X = \bigcup_{i \in \mathbb{Z}} \operatorname{Ass} I^i$$

may fail<sup>5</sup>. However, Corollary 5.10 guarantees that the assertion of [32, Theorem 5.2] is correct. Indeed, as a direct consequence of Corollary 5.10, for a subset  $\Phi$  of Spec R,  $\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$  is closed under taking cohomologies if and only if  $\Phi$  is coherent. Here we give a proof of [48, Proposition 2.3(2)], i.e., the equality

$$\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\operatorname{supp}\mathcal{M}) = \overline{\mathcal{M}} := \{X \in \mathsf{D}(\mathsf{Mod}\,R) \mid \mathsf{H}^i(X) \in \mathcal{M} \ (\forall i \in \mathbb{Z})\}$$

for a  $\oplus$ -closed wide subcategory  $\mathcal{M}$  of  $\mathsf{Mod}\,R$  without using [32, Proposition 5.1].

Fix such a subcategory  $\mathcal{M}$  of  $\mathsf{Mod}\,R$ . We note that the proof of the inclusion  $\overline{\mathcal{M}} \subseteq \mathsf{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\mathsf{supp}\,\mathcal{M})$  in [48, Proposition 2.3(2)] just uses [32, Theorem 5.2]. By contrast, the converse inclusion uses [32, Proposition 5.1]. Thus, we need to check the inclusion  $\overline{\mathcal{M}} \supseteq \mathsf{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\mathsf{supp}\,\mathcal{M})$ . Let  $\widetilde{\mathcal{M}}$  be the smallest localizing subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$  containing  $\mathcal{M}$ . Then we have

$$\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\operatorname{supp}\mathcal{M})\subseteq\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\operatorname{supp}\widetilde{\mathcal{M}})=\widetilde{\mathcal{M}}\subseteq\overline{\mathcal{M}}.$$

The first inclusion is trivial since  $\widetilde{\mathcal{M}}$  contains  $\mathcal{M}$  and the equality follows from [42, Theorem 2.8]. It is easy to see that  $\overline{\mathcal{M}}$  is localizing, and contains  $\mathcal{M}$ . The last inclusion follows from this. Therefore, we obtain the inclusion  $\overline{\mathcal{M}} \supseteq \sup_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\sup \mathcal{M})$ . Thus the proof of [48, Proposition 2.3(2)] is completed.

# 6. Several classifications of subcategories by restriction

This section concerns some restrictions of classifications obtained in the previous two sections. First of all, we consider restriction to  $\Pi$ -closed subcategories of Mod R and D(Mod R).

**Proposition 6.1.** The following are equivalent for a subset  $\Phi$  of Spec R.

- (1) The subset  $\Phi$  is generalization-closed.
- (2) The subcategory  $\operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  is closed under direct products.
- (3) The subcategory  $\sup_{\mathsf{Mod}\ R}^{-1}(\Phi)$  is closed under countable direct products.
- (4) The subcategory  $\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$  is closed under direct products.
- (5) The subcategory  $\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$  is closed under countable direct products.

Proof. We prove that (1) implies (2). Let  $\{M_{\lambda}\}_{{\lambda}\in\Lambda}$  be a family of modules in  $\sup_{\mathsf{Mod}\,R}^{-1}(\Phi)$ . The product  $\Pi_{{\lambda}\in\Lambda}\mathbb{E}(M_{\lambda})$  of complexes is an injective resolution of the module  $\Pi_{{\lambda}\in\Lambda}M_{\lambda}$ . We may assume that each  $M_{\lambda}$  is injective to prove  $\operatorname{Ass}(\Pi_{{\lambda}\in\Lambda}M_{\lambda})\subseteq\Phi$ . Take  $\mathfrak{p}\in\operatorname{Ass}(\Pi_{{\lambda}\in\Lambda}M_{\lambda})$ . Then  $\mathfrak{p}=\operatorname{ann}(m_{\lambda})_{{\lambda}\in\Lambda}$  for some  $0\neq (m_{\lambda})_{{\lambda}\in\Lambda}\in\Pi_{{\lambda}\in\Lambda}M_{\lambda}$ . There is  ${\lambda}\in\Lambda$  with  $m_{\lambda}\neq 0$ , and there exists  $\mathfrak{q}\in\operatorname{Ass}M_{\lambda}$  such that  $\operatorname{ann}m_{\lambda}\subseteq\mathfrak{q}$  (see [37, Theorem 6.1]). Note that  $\mathfrak{p}\subseteq\operatorname{ann}m_{\lambda}$  and  $\operatorname{Ass}M_{\lambda}\subseteq\Phi$ . As  $\Phi$  is generalization-closed, it follows that  $\mathfrak{p}\in\Phi$ .

We prove that (3) implies (1). Take an inclusion  $\mathfrak{p} \subseteq \mathfrak{q}$  of prime ideals with  $\mathfrak{q} \in \Phi$ . There is a sequence

$$(6.1.1) R/\mathfrak{p} \hookrightarrow R_{\mathfrak{q}}/\mathfrak{p}R_{\mathfrak{q}} \hookrightarrow \Pi_{r \geqslant 1}R_{\mathfrak{q}}/(\mathfrak{q}^r + \mathfrak{p})R_{\mathfrak{q}} \hookrightarrow \Pi_{r \geqslant 1}E_R(R/(\mathfrak{q}^r + \mathfrak{p}))_{\mathfrak{q}}$$

of injective maps, where the second injection follows from Krull's intersection theorem. Since the ideal  $(\mathfrak{q}^r + \mathfrak{p})R_{\mathfrak{q}}$  is  $\mathfrak{q}R_{\mathfrak{q}}$ -primary, we have  $\operatorname{Ass}_{R_{\mathfrak{q}}}(\operatorname{E}_{R}(R_{\mathfrak{q}}/(\mathfrak{q}^r + \mathfrak{p}))_{\mathfrak{q}}) = \{\mathfrak{q}R_{\mathfrak{q}}\}$ , and hence  $\operatorname{Ass}_{R}(\operatorname{E}_{R}(R/(\mathfrak{q}^r + \mathfrak{p}))_{\mathfrak{q}}) = \{\mathfrak{q}\}$  by [37, Theorem 6.2]. Note that  $\operatorname{E}_{R}(R/(\mathfrak{q}^r + \mathfrak{p}))_{\mathfrak{q}}$  is injective as an R-module. The module  $\operatorname{E}_{R}(R/(\mathfrak{q}^r + \mathfrak{p}))_{\mathfrak{q}}$  is in  $\operatorname{supp}_{\operatorname{Mod}}^{-1}{}_{R}(\Phi)$ . By assumption, the product  $\Pi_{r\geqslant 1}\operatorname{E}_{R}(R/(\mathfrak{q}^r + \mathfrak{p}))_{\mathfrak{q}}$  is also in  $\operatorname{supp}_{\operatorname{Mod}}^{-1}{}_{R}(\Phi)$ , and hence  $\operatorname{Ass}_{R}(\Pi_{r\geqslant 1}\operatorname{E}_{R}(R/(\mathfrak{q}^r + \mathfrak{p}))_{\mathfrak{q}}) \subseteq \Phi$ . It follows from (6.1.1) that  $\mathfrak{p} \in \Phi$ , and thus  $\Phi$  is generalization-closed.

It is obvious that the implications  $(3) \Leftarrow (2) \Leftarrow (4) \Rightarrow (5) \Rightarrow (3)$  hold. The proof of the proposition is done once we verify that (1) implies (4), which is a consequence of [43, Lemma 4.5].

<sup>&</sup>lt;sup>5</sup>This does not affect [32, Lemma 3.3], [32, Lemma 3.5] or [48, Theorem 2.3]

Remark 6.2. The above proposition gives an alternative proof of the smashing conjecture [42, Theorem 3.3] for  $\mathsf{D}(\mathsf{Mod}\,R)$ , that is, if the inclusion  $\mathcal{X} \hookrightarrow \mathsf{D}(\mathsf{Mod}\,R)$  from a localizing subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$  admits a coproduct preserving right adjoint, then  $\mathcal{X}$  is generated by compact objects. Indeed, let  $\mathcal{X}$  be such a subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$ . Let us show that supp  $\mathcal{X}$  is specialization-closed. Let  $\mathcal{Y}$  be the kernel of F. Then  $\mathcal{Y}$  is closed under direct products as F is a right adjoint functor, while  $\mathcal{Y}$  is closed under direct sums as F preserves direct sums. It is easy to check that the equality  $^{\perp}\mathcal{Y} = \mathcal{X}$  holds. By [42, Theorem 2.8] and Proposition 6.1, we have  $\mathcal{X} = \sup_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$  and  $\mathcal{Y} = \sup_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Psi)$  for some subset  $\Phi$  and some generalization-closed subset  $\Psi$  of Spec R. Here, recall that  $\mathsf{Hom}_R(\mathsf{E}_R(R/\mathfrak{p}), \mathsf{E}_R(E/\mathfrak{q})) = 0$  if and only if  $\mathfrak{p} \not\subseteq \mathfrak{q}$  for  $\mathfrak{p}, \mathfrak{q} \in \mathsf{Spec}\,R$ ; see [17, Theorem 3.3.8(5)]. This fact and the equality  $^{\perp}\mathcal{Y} = \mathcal{X}$  show  $\Phi = \Psi^{\complement}$ , which is specialization-closed.

Now, we give classifications of  $\Pi$ -closed subcategories of  $\operatorname{\mathsf{Mod}} R$  and  $\operatorname{\mathsf{D}}(\operatorname{\mathsf{Mod}} R)$ . The second classification can be considered as a dual of [42, Theorem 3.3].

# Theorem 6.3. Let $n \in \mathbb{N}$ .

(1) The assignments  $\mathcal{X} \mapsto \operatorname{supp} \mathcal{X}$  and  $\Phi \mapsto \operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  give a one-to-one correspondence  $\{({}^{\mathtt{s}}\mathbb{E}, \oplus, \ominus, \Pi)\text{-}closed \ subcategories \ of \ \mathsf{Mod}\ R\} \leftrightarrow \{generalization\text{-}closed \ subsets \ of \ \mathsf{Spec}\ R\},$ 

which restricts to a one-to-one correspondence

 $\{n\text{-}wide\ (\mathbb{E},\oplus,\Pi)\text{-}closed\ subcategories\ of\ \mathsf{Mod}\ R\}\leftrightarrow \{n\text{-}coherent\ generalization\text{-}closed\ subsets\ of\ \mathsf{Spec}\ R\}.$ 

(2) The assignments  $\mathcal{X} \mapsto \operatorname{supp} \mathcal{X}$  and  $\Phi \mapsto \operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$  give a one-to-one correspondence

 $\{bilocalizing \ subcategories \ of \ \mathsf{D}(\mathsf{Mod}\ R)\} \leftrightarrow \{generalization\text{-}closed \ subsets \ of \ \mathsf{Spec}\ R\},$ 

which restricts to a one-to-one correspondence

 $\{n\text{-}uniform\ bilocalizing\ subcategories\ of\ \mathsf{D}(\mathsf{Mod}\ R)\} \leftrightarrow \{n\text{-}coherent\ generalization\text{-}closed\ subsets\ of\ \mathsf{Spec}\ R\}.$ 

*Proof.* The combination of Theorems 4.10, 5.5 and Proposition 6.1 shows the two statements of the theorem.

We study more about the above classification in the cases n=0,1. Here, let us recall two notions from category theory.

- **Definition 6.4.** (1) Define a *bismashing* subcategory  $\mathcal{X}$  of  $\mathsf{D}(\mathsf{Mod}\,R)$  as a bilocalizing subcategory such that the inclusion functor  $\mathcal{X} \hookrightarrow \mathsf{D}(\mathsf{Mod}\,R)$  has a right adjoint preserving direct sums and a left adjoint preserving direct products.
- (2) Recall that a subcategory  $\mathcal{X}$  of  $\mathsf{Mod}\,R$  is called *bireflective* (resp. *Giraud*) if the inclusion functor  $\mathcal{X} \hookrightarrow \mathsf{Mod}\,R$  admits left and right adjoints (resp. admits an exact left adjoint).

Remark 6.5. A wide  $(\oplus, \Pi)$ -closed subcategory of Mod R is nothing but a bireflective Giraud subcategory of Mod R. In fact, it follows from [25, Proposition 3.8], [21, Theorem 1.2] and [46, Theorem 4.8] (see also [1, Definition 5.2 and Theorem 5.3]) that the wide  $(\oplus, \Pi)$ -closed subcategories  $\mathcal{X}$  of Mod R are the bireflective extension-closed subcategories  $\mathcal{X}$  of Mod R, which bijectively correspond to the epiclasses of ring epimorphisms  $R \to S$  with  $\operatorname{Tor}_1^R(S, S) = 0$  such that  $\mathcal{X}$  is the image of the induced fully faithful functor Mod  $S \hookrightarrow \operatorname{Mod} R$ , which are the epiclasses of flat ring epimorphisms  $R \to S$  by [2, Proposition 5.4]. Let  $\phi: R \to S$  be a ring epimorphism and  $\theta: \operatorname{Mod} S \hookrightarrow \operatorname{Mod} R$  the induced functor. If  $\phi$  is flat, then the functor  $-\otimes_R S: \operatorname{Mod} R \to \operatorname{Mod} S$  is an exact left adjoint to  $\theta$ . Conversely, if  $\theta$  has an exact left adjoint, then the uniqueness of (left) adjoints implies  $\theta \cong -\otimes_R S$ , and hence  $\phi$  is flat.

Now we can state and prove the following corollary. The first and second assertions come from the cases n = 0 and n = 1 in Theorem 6.3, respectively. The second assertion is (essentially) shown in [2, Corollary 4.10].

Corollary 6.6. There are one-to-one correspondences

$$(1) \ \, \left\{ \begin{array}{c} bilocalizing \\ subcategories \ of \ \mathsf{Mod} \ R \end{array} \right\} \xrightarrow[\sup_{\mathsf{supp}_{\mathsf{Mod} \ R}}]{} \left\{ \begin{array}{c} clopen \ subsets \\ of \ \mathsf{Spec} \ R \end{array} \right\} \xrightarrow[\sup_{\mathsf{pp}_{\mathsf{D}(\mathsf{Mod} \ R)}}]{} \left\{ \begin{array}{c} bismashing \ subcategories \\ of \ \mathsf{D}(\mathsf{Mod} \ R) \end{array} \right\}.$$

$$(2) \quad \left\{ \begin{array}{c} \textit{bireflective Giraud} \\ \textit{subcategories of Mod } R \end{array} \right\} \xrightarrow{\sup} \left\{ \begin{array}{c} \textit{generalization-closed} \\ \textit{coherent subsets of Spec } R \end{array} \right\} \xrightarrow{\sup} \left\{ \begin{array}{c} \textit{cohomology-closed bilocalizing} \\ \textit{subcategories of D(Mod } R) \end{array} \right\}.$$

Proof. (1) Letting n=0, we have that the bijection in Theorem 6.3(1) is nothing but the first one-to-one correspondence. Indeed, Remark 3.2(2), Propositions 3.8, and [32, Lemma 3.5] show that 0-wide  $(\mathbb{E}, \oplus, \Pi)$ -closed subcategories of Mod R are the same things as bilocalizing subcategories of Mod R. By Proposition 3.8, the 0-coherent generalization closed subsets of Spec R are the clopen subsets of Spec R. Using Proposition 5.4, we see that the bijection in Theorem 6.3(2) gives a one-to-one correspondence between the set of clopen subsets of Spec R and the set of smashing bilocalizing subcategories of D(Mod R). Thus, it suffices to check that every smashing bilocalizing subcategory  $\mathcal{X}$  of D(Mod R) is bismashing, i.e., the inclusion functor  $\mathcal{X} \hookrightarrow D(\mathsf{Mod}\,R)$  has a left adjoint which preserves direct products. There is a clopen subset  $\Phi$ 

of Spec R with  $\mathcal{X} = \operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi)$ . Set  $\mathcal{Y} = \operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi^{\complement})$ . By [33, Proposition 4.9.1] and [43, Lemma 4.5], the inclusion functor  $\mathcal{X} = \mathcal{Y}^{\perp} \hookrightarrow \mathsf{D}(\mathsf{Mod}\,R)$  has a left adjoint  $\mathsf{D}(\mathsf{Mod}\,R) \to \mathsf{D}(\mathsf{Mod}\,R)/\mathcal{Y} \cong \mathcal{X}$ . Using Proposition 6.1, we see that  $\mathcal{Y} = \operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)}^{-1}(\Phi^{\complement})$  is closed under direct products, and hence this left adjoint preserves direct products.

(2) The assertion follows by Remarks 3.2(3), 3.6(3), 5.2(2), 6.5, [32, Lemma 3.5] and letting n = 1 in Theorem 6.3. Indeed, Remark 3.2(3) and [32, Lemma 3.5] show that the 1-wide  $(\mathbb{E}, \oplus, \Pi)$ -closed subcategories are the wide  $(\oplus, \Pi)$ -closed subcategories which are by Remark 6.5 the bireflective Giraud subcategories of Mod R. By Remark 5.2(2), the 1-uniform bilocalizing subcategories are the cohomology-closed bilocalizing subcategories of D(Mod R) and by Remark 3.6(3) the 1-coherent generalization-closed subsets are the generalization-closed coherent subsets of Spec R.

Next, we consider classifying certain thick subcategories of  $\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}$ ,  $\mathsf{D^b}(\mathsf{Mod}\,R)$  and  $\mathsf{D^+}(\mathsf{Mod}\,R)$ . Here,  $\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}$  stands for the subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$  consisting of complexes of finite injective dimension. Recall that  $\oplus$ -closedness (resp.  $\Pi$ -closedness) means closed under *existing* coproducts (resp. products) for a subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}$ ,  $\mathsf{D^b}(\mathsf{Mod}\,R)$  or  $\mathsf{D^+}(\mathsf{Mod}\,R)$ .

# **Theorem 6.7.** Let $n \in \mathbb{N} \cup \{\infty\}$ .

- (1) The assignments  $\mathcal{X} \mapsto \sup \mathcal{X}$  and  $\sup_{\mathsf{D}^+(\mathsf{Mod}\,R)}^{-1}(\Phi) \leftrightarrow \Phi$  give a bijective correspondence between the n-uniform  $(\mathbb{E}, \oplus, \Pi)$ -closed thick subcategories of  $\mathsf{D}^+(\mathsf{Mod}\,R)$  and the n-coherent generalization-closed subsets of Spec R.
- (2) The assignments  $\mathcal{X} \mapsto \operatorname{supp} \mathcal{X}$  and  $\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}}^{-1}(\Phi) \leftarrow \Phi$  give a bijective correspondence between the n-uniform  $(\mathbb{E}, \oplus)$ -closed thick subcategories of  $\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}$  and the n-coherent subsets of  $\operatorname{Spec} R$ .

*Proof.* We begin with establishing two claims.

Claim 1. It suffices to show the assertion for  $n = \infty$ .

*Proof of Claim.* Assume that this claim is shown to hold. We prove that (1) and (2) in the theorem hold for  $n \in \mathbb{N}$ .

- (1) Let  $\mathcal{X}$  be an n-uniform  $(\mathbb{E}, \oplus, \Pi)$ -closed thick subcategory of  $\mathsf{D}^+(\mathsf{Mod}\,R)$  and  $\Phi$  an n-coherent generalization-closed subset of Spec R. Then  $\mathcal{X}$  is  $\infty$ -uniform and  $\Phi$  is  $\infty$ -coherent. By assumption, supp  $\mathcal{X}$  is generalization-closed, and  $\sup_{\mathsf{D}^+(\mathsf{Mod}\,R)}^{-1}(\Phi)$  is thick and  $(\mathbb{E}, \oplus, \Pi)$ -closed. The proof of Theorem 5.5 shows that  $\sup_{\mathsf{D}^+(\mathsf{Mod}\,R)}^{-1}(\Phi)$  is n-uniform. Thus the assignments are well-defined and bijective.
  - (2) This is shown in a similar way to the proof of (1).

Claim 2. Let  $\mathcal{X}$  be an  $(\mathbb{E},\Pi)$ -closed thick subcategory of  $\mathsf{D}^+(\mathsf{Mod}\,R)$  or an  $\mathbb{E}$ -closed thick subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}$ . Then  $\mathcal{X}$  is  ${}^{\mathsf{s}}\mathbb{E}$ -closed.

Proof of Claim. The second case is obvious as every complex  $X \in \mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}$  is isomorphic to the bounded complex  $\mathbb{E}(X)$ . Let  $\mathcal{X}$  be an  $(\mathbb{E},\Pi)$ -closed thick subcategory of  $\mathsf{D}^+(\mathsf{Mod}\,R)$ , and take a complex  $X \in \mathsf{D}^+(\mathsf{Mod}\,R)$  with  $\mathbb{E}^i(X) \in \mathcal{X}$  for all  $i \in \mathbb{Z}$ . Let  $\mathbb{E}(X)^{\leqslant i} := (\cdots \to \mathbb{E}^{i-1}(X) \to \mathbb{E}^i(X) \to 0)$  be a (hard) truncation of  $\mathbb{E}(X)$  for each i. As  $\mathbb{E}(X)^{\leqslant i}$  is a bounded complex of objects in  $\mathcal{X}$ , it is in  $\mathcal{X}$ . The canonical morphisms  $X \cong \mathbb{E}(X) \to \mathbb{E}(X)^{\leqslant i}$  for  $i \in \mathbb{Z}$  induce an isomorphism  $X \cong \mathrm{holim}_{i \in \mathbb{Z}} \mathbb{E}(X)^{\leqslant i}$  in  $\mathsf{D}^+(\mathsf{Mod}\,R)$ ; see [8, Remark 2.3]. Thus there is an exact triangle  $X \to \Pi_{i \in \mathbb{Z}} \mathbb{E}(X)^{\leqslant i} \to X[1]$  in  $\mathsf{D}^+(\mathsf{Mod}\,R)$ . As  $\mathbb{E}(X)^{\leqslant i} \in \mathcal{X}$  and  $\mathcal{X}$  is a  $\Pi$ -closed thick subcategory, it is seen that  $X \in \mathcal{X}$ .

Using Remark 2.6(1), we get  $\operatorname{supp}(\operatorname{supp}_{\mathsf{D}^+(\mathsf{Mod}\,R)}^{-1}(\Phi)) = \Phi$  and  $\operatorname{supp}(\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}}^{-1}(\Phi)) = \Phi$  for any subset  $\Phi$  of Spec R. Let  $\mathcal{X}$  be an  $(\mathbb{E}, \oplus, \Pi)$ -closed thick subcategory of  $\mathsf{D}^+(\mathsf{Mod}\,R)$  (resp. an  $(\mathbb{E}, \oplus)$ -closed thick subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}$ ). Let X be a complex in  $\operatorname{supp}_{\mathsf{D}^+(\mathsf{Mod}\,R)}^{-1}(\operatorname{supp}\mathcal{X})$  (resp.  $\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}}^{-1}(\operatorname{supp}\mathcal{X})$ ). Then  $\mathbb{E}^i(X) \in \mathcal{X}$  for all  $i \in \mathbb{Z}$  by Remark 2.6 and the proof of Theorem 4.10. Claim 2 shows  $X \in \mathcal{X}$ . Hence  $\operatorname{supp}_{\mathsf{D}^+(\mathsf{Mod}\,R)}^{-1}(\operatorname{supp}\mathcal{X}) = \mathcal{X}$  (resp.  $\operatorname{supp}_{\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}}}^{-1}(\operatorname{supp}\mathcal{X}) = \mathcal{X}$ ), and the proof of the theorem for  $n = \infty$  is completed. Combining this with Claim 1, we are done.

**Remark 6.8.** By tracing the argument in Section 5, the above classifications show that if  $0 \neq n \in \mathbb{N} \cup \{\infty\}$ , then n-uniformity and n-consistency are equivalent for  $(\mathbb{E}, \oplus, \Pi)$ -closed thick subcategories of  $\mathsf{D}^+(\mathsf{Mod}\,R)$  and for  $(\mathbb{E}, \oplus)$ -closed thick subcategories of  $\mathsf{D}(\mathsf{Mod}\,R)$  see the proofs of Proposition 5.8 and Theorem 5.9.

As an application of Theorem 6.7 together with Corollary 4.7, we obtain a higher-dimensional analogue of Brüning's classification theorem [10, Theorem 5.1] for the module category.

Corollary 6.9. Let  $n \in \mathbb{N}$ . Assume that  $\operatorname{gldim} R \leqslant n$ . Then any  $(\mathbb{E}, \oplus)$ -closed thick subcategory of  $\operatorname{\mathsf{D^b}}(\operatorname{\mathsf{Mod}} R)$  is nuniform. Hence, there are one-to-one correspondences among the  $(\mathbb{E}, \oplus)$ -closed thick subcategories of  $\operatorname{\mathsf{D^b}}(\operatorname{\mathsf{Mod}} R)$ , the  $(\mathbb{E}, \oplus)$ -closed n-wide subcategories of  $\operatorname{\mathsf{Mod}} R$ , and the subsets of  $\operatorname{\mathsf{Spec}} R$ .

*Proof.* Since gldim  $R \leq n$ , the ring R has Krull dimension at most n and  $\mathsf{D}(\mathsf{Mod}\,R)_{\mathrm{fid}} \cong \mathsf{D^b}(\mathsf{Mod}\,R)$ . Thus, the first statement follows from Corollary 4.7 and Theorem 6.7(2). The second statement is a consequence of the combination of the first statement and Theorems 6.7(2), 4.10.

Taking n=1 in the above corollary, we obtain the following.

**Corollary 6.10.** Assume that R is hereditary, i.e.,  $\operatorname{gldim} R \leq 1$ . Then, there are one-to-one correspondences among the  $\oplus$ -closed thick subcategories of  $\mathsf{D}^\mathsf{b}(\mathsf{Mod}\,R)$ , the  $\oplus$ -closed wide subcategories of  $\mathsf{Mod}\,R$ , and the subsets of  $\operatorname{Spec} R$ .

Proof. In view of Corollaries 6.9 and 4.11, it is enough to show that every  $\oplus$ -closed (1-uniform) thick subcategory  $\mathcal{X}$  of  $\mathsf{D}^{\mathsf{b}}(\mathsf{Mod}\,R)$  is  $\mathbb{E}$ -closed. The proof is almost the same as [32, Lemma 3.5]. We need to prove that for all  $X \in \mathcal{X}$  and  $\mathfrak{p} \in \mathrm{supp}\,X$  it holds that  $\mathrm{E}(R/\mathfrak{p}) \in \mathcal{X}$ . By the definition of a small support,  $\mathrm{Tor}_t^R(X,\kappa(\mathfrak{p})) \neq 0$  for some  $t \in \mathbb{Z}$ . Since R is hereditary,  $\kappa(\mathfrak{p})$  has a projective R-resolution of length at most one. As  $\mathcal{X}$  is thick,  $X \otimes_R^{\mathsf{L}} \kappa(\mathfrak{p})$  belongs to  $\mathcal{X}$ . Since X is 1-uniform, it is closed under cohomologies. Hence  $\mathrm{Tor}_t^R(X,\kappa(\mathfrak{p}))$  is in  $\mathcal{X}$ , and so is its direct summand  $\kappa(\mathfrak{p})$ . Note that  $\mathrm{E}(R/\mathfrak{p})$  is a directed union of direct sums of copies of  $\kappa(\mathfrak{p})$ . It follows that  $\mathrm{E}(R/\mathfrak{p}) \in \mathcal{X}$ .

Let X be an R-complex. For each integer n, we define (soft) truncations

$$\tau^{\leqslant n}X = (\cdots \to X^{n-2} \to X^{n-1} \to Z^nX \to 0), \qquad \tau^{\geqslant n}X = (0 \to B^nX \to X^n \to X^{n+1} \to \cdots)$$

of X, where  $Z^nX$ ,  $B^nX$  are placed in degree n, n-1 respectively. Note that there is a natural exact sequence  $0 \to \tau^{\leqslant n}X \to X \to \tau^{\geqslant n+1}X \to 0$  of complexes. The following result is worth comparing with Corollary 6.9.

**Proposition 6.11.** Let  $n \in \mathbb{N}$ . When  $\operatorname{gldim} R \leqslant n$ , every thick subcategory of  $\mathsf{D}(\operatorname{\mathsf{Mod}} R)$  is n-consistent.

Proof. First of all, we claim that for an integer k and a complex P of projective R-modules with  $\mathrm{H}^j P = 0$  for all  $k+1 \leqslant j \leqslant k+n-1$  there is a direct sum decomposition  $P \cong \tau^{\leqslant k} P \oplus \tau^{\geqslant k+1} P$  of R-complexes. In fact, since gldim  $R \leqslant n$ , the exact sequence  $0 \to \mathrm{B}^{k+1} P \to P^{k+1} \to \cdots \to P^{k+n}$  shows that the R-module  $\mathrm{B}^{k+1} P$  is projective. Hence the exact sequence  $0 \to \mathrm{Z}^k P \to P^k \to \mathrm{B}^{k+1} P \to 0$  splits, and so does the exact sequence  $0 \to \tau^{\leqslant k} P \to P \to \tau^{\geqslant k+1} P \to 0$ .

Let  $\mathcal{X}$  be a thick subcategory of  $\mathsf{D}(\mathsf{Mod}\,R)$ . Let  $X\in\mathcal{X}$  be such that  $\mathsf{H}^jX=0$  for all j with  $i\neq j\in (i-n,i+n)$ . Replacing X with a semiprojective resolution, we may assume that  $X^j$  is projective for each  $j\in\mathbb{Z}$ . As  $\mathsf{H}^jX$  (resp.  $\mathsf{H}^j(\tau^{\leqslant i}X)$ ) vanishes for all  $i+1\leqslant j\leqslant i+n-1$  (resp.  $(i-n)+1\leqslant j\leqslant (i-n)+n-1$ ), the claim shows that there are direct sum decompositions  $X\cong \tau^{\leqslant i}X\oplus \tau^{\geqslant i+1}X$  and  $\tau^{\leqslant i}X\cong \tau^{\leqslant i-n}\tau^{\leqslant i}X\oplus \tau^{\geqslant i-n+1}\tau^{\leqslant i}X\cong \tau^{\leqslant i-n}X\oplus \mathsf{H}^iX[-i]$ . Here, to apply the claim we replace  $\tau^{\leqslant i}X$  with a projective resolution  $(\cdots\to Q^{i-2}\to Q^{i-1}\to Q^i\to 0)$ , so that each component is a projective R-module. Thus we get  $X\cong \tau^{\leqslant i-n}X\oplus \mathsf{H}^iX[-i]\oplus \tau^{\geqslant i+1}X$ . As  $\mathcal X$  is closed under direct summands and shifts, we obtain  $\mathsf{H}^iX\in\mathcal X$ .

# 7. A REMARK ON COFINITE MODULES

For an ideal J over a commutative noetherian ring R, Hartshorne [26] introduced the notion of a J-cofinite module and posed a question of whether the category of J-cofinite modules forms an abelian category. A counterexample to this question is given by himself. However, the question is still considered by several authors in the direction of finding conditions that lead the question to be affirmative, e.g., [7, 16, 38, 39]. In this section, we consider a weakened version of cofinite modules and n-coherence of the category of such modules. Although our result does not recover known results, it may provide another approach to the question.

By [16, Corollary 3.5], cofiniteness of modules are related to artinianness of local cohomology modules. Our strategy is to relax artinianness as follows.

**Definition 7.1.** We say that an R-module M is ind-artinian if all finitely generated submodules of M have finite length. Note that any artinian R-module is ind-artinian and an R-module M is ind-artinian if and only if it is an inductive limit of artinian modules.

The following proposition shows that the family of ind-artinian modules forms a localizing subcategory. More strongly, it is the smallest localizing subcategory of  $\mathsf{Mod}\,R$  containing all artinian modules.

**Proposition 7.2.** The subcategory of Mod R consisting of ind-artinian modules coincides with  $\operatorname{Ass}_{\mathsf{Mod}\,R}^{-1}(\operatorname{Max} R)$ . This also coincides with  $\sup_{\mathsf{Mod}\,R}(\operatorname{Max} R)$ , and is localizing.

*Proof.* Let M be an R-module. If  $\mathfrak{p} \in \operatorname{Ass} M$  is a nonmaximal prime ideal, then M has a submodule isomorphic to  $R/\mathfrak{p}$ , which does not have finite length. This shows that every ind-artinian R-module belongs to  $\operatorname{Ass}_{\operatorname{\mathsf{Mod}} R}^{-1}(\operatorname{\mathsf{Max}} R)$ . Conversely, assume  $\operatorname{Ass} M \subseteq \operatorname{\mathsf{Max}} R$ . If N is a finitely generated submodule of M, then  $\operatorname{Ass} N \subseteq \operatorname{\mathsf{Ass}} M \subseteq \operatorname{\mathsf{Max}} R$ . Since the minimal primes of M are associated primes, we see that  $\operatorname{\mathsf{Supp}} N \subseteq \operatorname{\mathsf{Max}} R$ . As N is finitely generated, it has finite length. Thus the proof of the first assertion of the proposition is completed. The second assertion follows from [36, Theorem 2.6].

The following lemma generalizes [36, Proposition 4.5] in a relative setting.

**Lemma 7.3.** Let  $\Phi$  be a specialization-closed subset of Spec R. Let M be an R-module.

- (1) There is an equality  $\operatorname{Ass}_R \Gamma_{\Phi}(M) = \operatorname{Ass}_R M \cap \Phi$ .
- (2) Let  $n \ge 0$  be an integer.
  - (a) Let  $\Psi$  be a subset of Spec R. Then  $H^i_{\Phi}(M) \in \operatorname{supp}^{-1}_{\mathsf{Mod}\,R}(\Psi)$  for all  $i \leq n$  if and only if  $\Gamma_{\Phi}(\mathbb{E}^i(M)) \in \operatorname{supp}^{-1}_{\mathsf{Mod}\,R}(\Psi)$  for all  $i \leq n$ .
  - (b) Let  $\mathcal{X}$  be an  $({}^{s}\mathbb{E}, \oplus)$ -closed subcategory of Mod R. Then  $H^{i}_{\Phi}(M) \in \mathcal{X}$  for all  $i \leqslant n$  if and only if  $\Gamma_{\Phi}(\mathbb{E}^{i}(M)) \in \mathcal{X}$  for all  $i \leqslant n$ .

*Proof.* (1) We use [36, Propositions 3.2(1a) and 2.3(6)] for both inclusions. Let  $\mathfrak{p} \in \operatorname{Ass} M \cap \Phi$ . Then there is a monomorphism  $R/\mathfrak{p} \hookrightarrow M$ , which induces a monomorphism  $\Gamma_{\Phi}(R/\mathfrak{p}) \hookrightarrow \Gamma_{\Phi}(M)$ . As  $V(\mathfrak{p}) \subseteq \Phi$ , we have  $\Gamma_{\Phi}(R/\mathfrak{p}) = R/\mathfrak{p}$ .

(2a) The equality  $H^i_{\Phi}(M) = H^i(\mathbb{E}(M))$  and Proposition 7.2 deduce the "if" part. We prove the "only if" part by induction on n. We have

$$\operatorname{supp} \Gamma_{\Phi}(\mathbb{E}^0(M)) = \operatorname{Ass} \Gamma_{\Phi}(\mathbb{E}^0(M)) = \operatorname{Ass} \mathbb{E}^0(M) \cap \Phi = \operatorname{Ass} M \cap \Phi = \operatorname{Ass} \Gamma_{\Phi}(M) \subseteq \operatorname{supp} \Gamma_{\Phi}(M) \subseteq \Psi,$$

where the first equality holds since  $\Gamma_{\Phi}(\mathbb{E}^0(M))$  is injective, and the second and fourth ones follow from Lemma 7.3(1). Now let n > 0, and take an exact sequence  $0 \to M \to \mathcal{E}(M) \to \mathcal{O}M \to 0$ . Then  $\mathcal{H}^i_{\Phi}(\mathcal{O}M) = \mathcal{H}^{i+1}_{\Phi}(M) \in \operatorname{supp}^{-1}_{\mathsf{Mod}\,R}(\Psi)$  for all  $1 \le i \le n-1$ . An exact sequence  $0 \to \Gamma_{\Phi}(M) \to \Gamma_{\Phi}(\mathbb{E}^0(M)) \to \Gamma_{\Phi}(\mathcal{O}M) \to \mathcal{H}^1_{\Phi}(M) \to 0$  is induced. The modules  $\Gamma_{\Phi}(M), \mathcal{H}^1_{\Phi}(M)$  belong to  $\operatorname{supp}^{-1}_{\mathsf{Mod}\,R}(\Psi)$  by assumption, while so does  $\Gamma_{\Phi}(\mathbb{E}^0(M))$  by the induction basis. It is observed that  $\Gamma_{\Phi}(\mathcal{O}M) \in \operatorname{supp}^{-1}_{\mathsf{Mod}\,R}(\Psi)$ . The induction hypothesis implies that  $\Gamma_{\Phi}(\mathbb{E}^i(M)) = \Gamma_{\Phi}(\mathbb{E}^{i-1}(\mathcal{O}M)) \in \operatorname{supp}^{-1}_{\mathsf{Mod}\,R}(\Psi)$  for all  $1 \le i \le n$ . Thus the proof of the assertion is completed.

(2b) The assertion is a direct consequence of (2a) and Corollary 4.11.

**Proposition 7.4.** Let  $\Phi, \Psi$  be subsets of Spec R. Suppose that  $\Phi$  is specialization-closed. Then  $\operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi^{\complement} \cup \Psi)$  coincides with the subcategory of  $\mathsf{Mod}\,R$  consisting of modules M with  $\operatorname{H}^i_{\Phi}(M) \in \operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Psi)$  for all  $i \geqslant 0$ .

Proof. Let M be an R-module. Using Lemma 7.3(2a), we have that  $H^i_{\Phi}(M) \in \operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Psi)$  for all  $i \geq 0$  if and only if  $\Psi$  contains  $\operatorname{supp}\Gamma_{\Phi}(\mathbb{E}^i(M)) = \operatorname{Ass}\Gamma_{\Phi}(\mathbb{E}^i(M)) = \operatorname{Ass}\mathbb{E}^i(M) \cap \Phi$  for all  $i \geq 0$ , where the first equality holds since  $\Gamma_{\Phi}(\mathbb{E}^i(M))$  is injective, and the second one follows from Lemma 7.3(1). The latter condition is equivalent to saying that  $\Phi^{\complement} \cup \Psi$  contains  $\operatorname{Ass}\mathbb{E}^i(M)$  for all  $i \geq 0$ , which is equivalent to the inclusion  $\Phi^{\complement} \cup \Psi \supseteq \operatorname{supp} M$ .

**Remark 7.5.** Putting  $\mathcal{X} = 0$  in Lemma 7.3(2) and  $\Psi = \emptyset$  in Proposition 7.4, we recover [36, Proposition 4.5] and [36, Remark 3.8(1)], respectively.

For a specialization-closed subset  $\Phi$  of Spec R, we denote by  $\mathcal{H}_{\Phi}$  the subcategory of  $\mathsf{Mod}\,R$  consisting of modules M such that  $\mathrm{H}^i_{\Phi}(M)$  is ind-artinian for all  $i \geqslant 0$ .

Corollary 7.6. Let  $\Phi$  be a specialization-closed subset of Spec R.

- (1) There is an equality  $\mathcal{H}_{\Phi} = \sup_{\mathsf{Mod}\, R}^{-1} (\Phi^{\complement} \cup \operatorname{Max} R)$ .
- (2) Let R have finite positive Krull dimension d. Then  $\mathcal{H}_{\Phi}$  is (d-1)-wide. In particular,  $\mathcal{H}_{\Phi}$  is abelian if  $d \leq 2$ .

*Proof.* (1) Apply Proposition 7.4 for  $\Psi = \text{Max } R$  and use Proposition 7.2.

(2) We have  $\operatorname{ht} \mathfrak{p} < d$  for all  $\mathfrak{p} \in \Phi \setminus \operatorname{Max} R$ . Proposition 4.6(1) implies that  $(\Phi \setminus \operatorname{Max} R)^{\complement} = \Phi^{\complement} \cup \operatorname{Max} R$  is (d-1)-coherent. It follows from Corollary 7.6(1) and Theorem 4.10 that  $\mathcal{H}_{\Phi}$  is (d-1)-wide. Thus the proof of the first assertion is completed. The second assertion follows from the first one and [36, Remark 4.2(5)].

**Definition 7.7.** Let  $\Phi$  be a specialization-closed subset of Spec R. An R-module M is said to be  $\Phi$ -cofinite if  $\operatorname{Supp}_R M \subseteq \Phi$  and the R-module  $\operatorname{Ext}^i_R(R/I,M)$  is finitely generated for all integers i and ideals I of R with  $\operatorname{V}(I) \subseteq \Phi$ . We denote by  $\operatorname{Cof} \Phi$  the subcategory of  $\operatorname{Mod} R$  consisting of  $\Phi$ -cofinite R-modules.

If R is semilocal, then  $\operatorname{\mathsf{Cof}} \Phi = \{ M \in \operatorname{Supp}_{\operatorname{\mathsf{Mod}} R}^{-1}(\Phi) \mid \operatorname{H}_{\Phi}^i(M^{\vee}) \text{ is artinian for all } i \geqslant 0 \}$  by [16, Corollary 3.5], where  $(-)^{\vee} = \operatorname{\mathsf{Hom}}_R(-, \operatorname{\mathsf{E}}_R(R/\operatorname{rad} R))$ . As an analogue, (for a not-necessarily-semilocal ring R) we define

$$\operatorname{\mathsf{Cof}}' \Phi = \{ M \in \operatorname{Supp}_{\operatorname{\mathsf{Mod}} R}^{-1}(\Phi) \mid \operatorname{H}_{\Phi}^{i}(M^{\vee}) \text{ is ind-artinian for all } i \geqslant 0 \}.$$

It is proved in [16, Theorem 3.13(iii)] that if dim  $R \leq 2$ , then  $\mathsf{Cof}\,\Phi$  is abelian for a specialization-closed subset  $\Phi$  of  $\mathsf{Spec}\,R$ . We can prove an analogue of this result for  $\mathsf{Cof}'\,\Phi$ .

Corollary 7.8. One has  $\operatorname{Cof}' \Phi = \{ M \in \operatorname{supp}_{\operatorname{\mathsf{Mod}} R}^{-1}(\Phi) \mid M^{\vee} \in \operatorname{supp}_{\operatorname{\mathsf{Mod}} R}^{-1}(\Phi^{\complement} \cup \operatorname{Max} R) \}$  for a specialization-closed subset  $\Phi$  of  $\operatorname{Spec} R$ . If  $\dim R \leqslant 2$ , then  $\operatorname{\mathsf{Cof}'} \Phi$  is abelian.

*Proof.* We use [36, Theorem 2.6] and Corollary 7.6. Both  $\operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi)$  and  $\operatorname{supp}_{\mathsf{Mod}\,R}^{-1}(\Phi^{\complement} \cup \operatorname{Max} R)$  are abelian, and it is routine to deduce the last assertion from this.

Acknowledgments. The authors thank Tsutomu Nakamura for reminding them of Lemma 5.7 and the correctness of [32, Theorem 5.2]; without this, the authors would not be able to get the proof of Proposition 5.8. The authors also thank Lidia Angeleri Hügel for giving them useful observation on bireflective Giraud subcategories. Part of this work was done during Takahashi's visit to the University of Kansas from March 2018 to September 2019, and he thanks them for their hospitality. Also, the authors very much thank the anonymous referees for their careful reading, valuable comments and helpful suggestions.

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