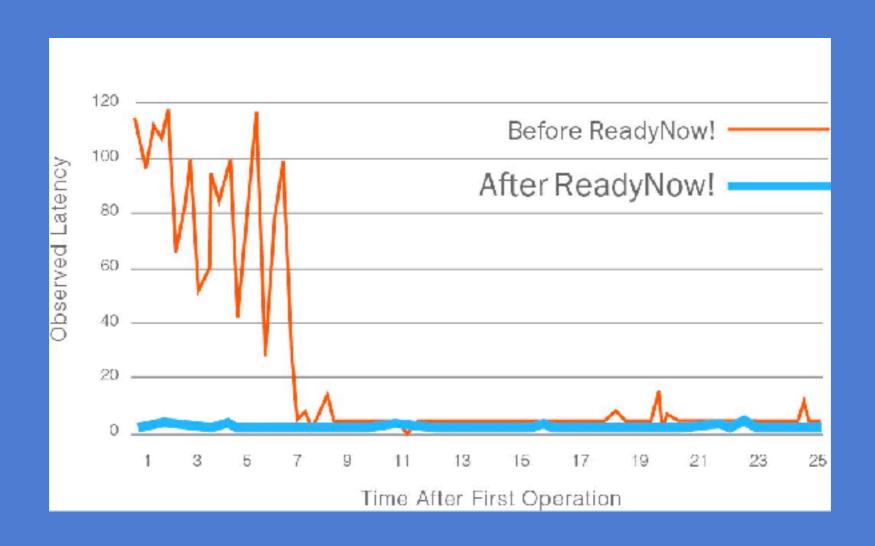
Understanding the JIT's Tricks

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VM Engineer



Highly Scalable VM

Continuously Concurrent Compacting Collector ReadyNow! for Low Latency Applications



ZUU Multi-Platform OpenJDK Cloud Support including Docker and Azure Embedded Support

GOAL: Understand...

```
ArrayList<E>.forEach(Consumer<? super E> action) {
  for ( int i = 0; i < this.size; i++ ) {
    action.accept(this.elementData[i]);
  }
}</pre>
```

5 Lines?

Actual Implementation

```
ArrayList<E>.forEach(Consumer<? super E> action) {
   Objects.requireNonNull(action);
   final int expectedModCount = modCount;
   final E[] elementData = (E[]) this.elementData;
   final int size = this.size;

for (int i=0; modCount == expectedModCount && i < size; i++) {
    action.accept(elementData[i]);
   }
   if (modCount != expectedModCount) throw new CME();
}</pre>
```

The Just-in-Time Compiler is a Compiler, but It's a...

Profile Guided Speculatively Optimizing Compiler

So We Need to Understand...

Static Optimizations
Speculative Optimizations
Inter-procedural Analysis
Deoptimization

How They Fit Together

REALGOAL:

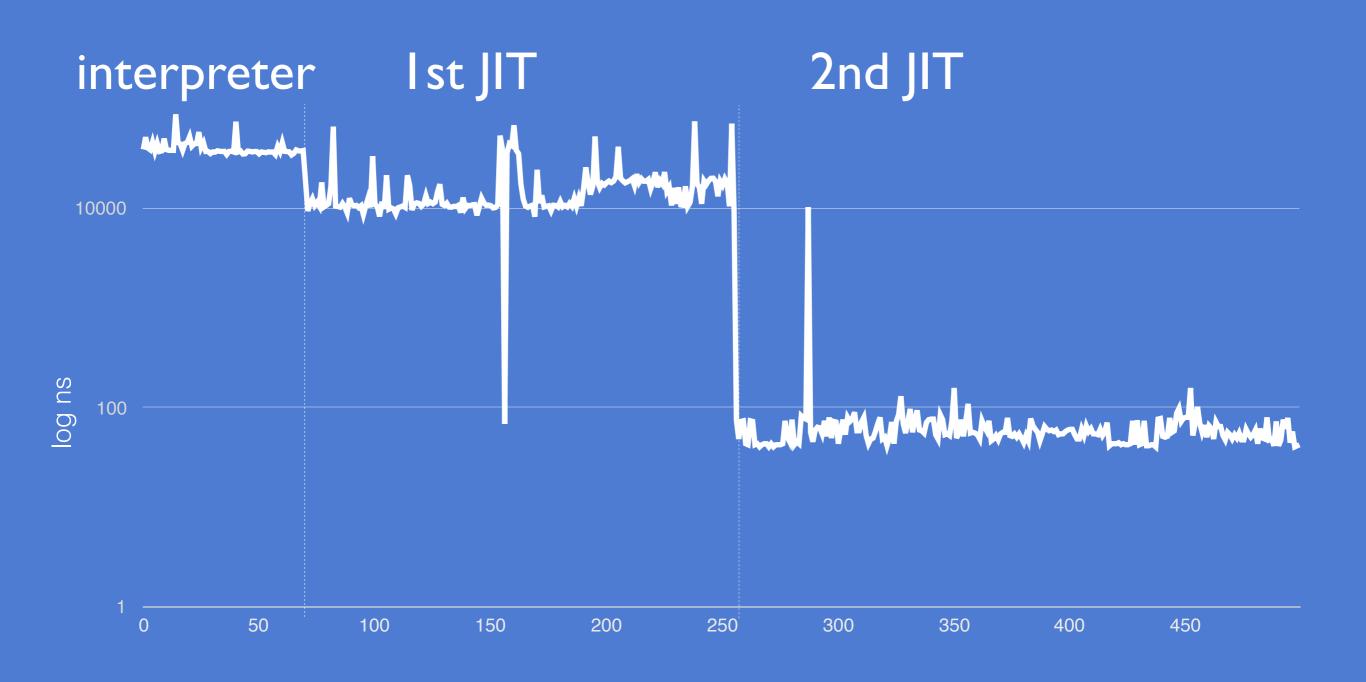
Understand What
You Can Rely on
OpenJDK's JIT to do

But First...

When Do We JIT?

```
public class Allocation {
 static final int CHUNK_SIZE = 1_000;
 public static void main(String[] args) {
   for ( int i = 0; i < 500; ++i ) {
      long startTime = System.nanoTime();
      for ( int j = 0; j < CHUNK_SIZE; ++j ) {
        new Object();
      long endTime = System.nanoTime();
      System.out.printf("%d\t%d%n", i, endTime - startTime);
```

Warm-Up



-XX:+PrintCompilation

When Exactly?

Two Compilations of One Method?

What about Tiers 1 & 2?

Thresholds

-XX:+PrintFlagsFinal

Java 8 (Tiered) Thresholds

<u>intx</u> lier2BackEdgeThreshold	= 0	{product}
<u>intx</u> Tier2CompileThreshold	= 0	{product}
<u>intx</u> Tier3BackEdgeThreshold	= 60000	{product}
<u>intx</u> Tier3CompileThreshold	= 2000	{product}
<u>intx</u> Tier3InvocationThreshold	= 200	{product}
<u>intx</u> Tier3MinInvocationThreshold	= 100	{product}
<u>intx</u> Tier4BackEdgeThreshold	= 40000	{product}
<u>intx</u> Tier4CompileThreshold	= 15000	{product}
<u>intx</u> Tier4InvocationThreshold	= 5000	{product}
<u>intx</u> Tier4MinInvocationThreshold	= 600	{product}

Java 7 (Non-tiered) Thresholds

<u>intx</u> BackEdgeThreshold	= 100000	{pd product}
<u>intx</u> CompileThreshold	= 10000	{pd product}

Counters Invocation Counter Backedge (Loop) Counter

Invocation Counter > Invocation Threshold Hot Methods

Backedge Counter > Backedge Threshold Hot Loops

Invocation + Backedge Counter > Compile Threshold Medium Hot Methods with Medium Hot Loops

Method or Loop JIT

If Loop Count (Backedges) > Threshold, Compile Loop

On-Stack Replacement

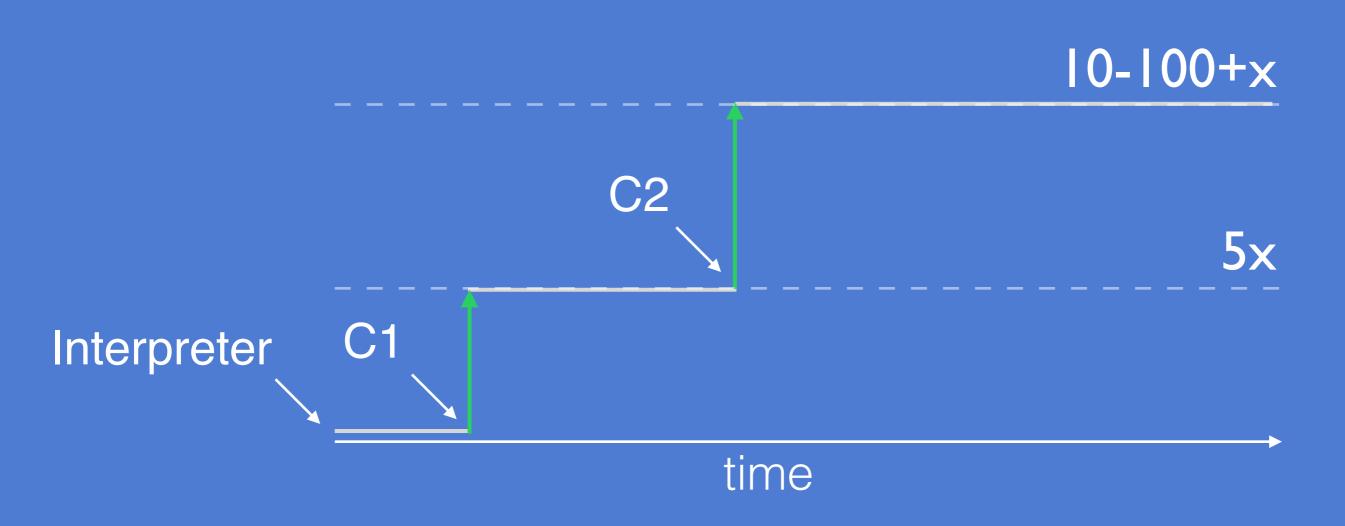
On-Stack Replacement

sum @ 20 sum . . . main main

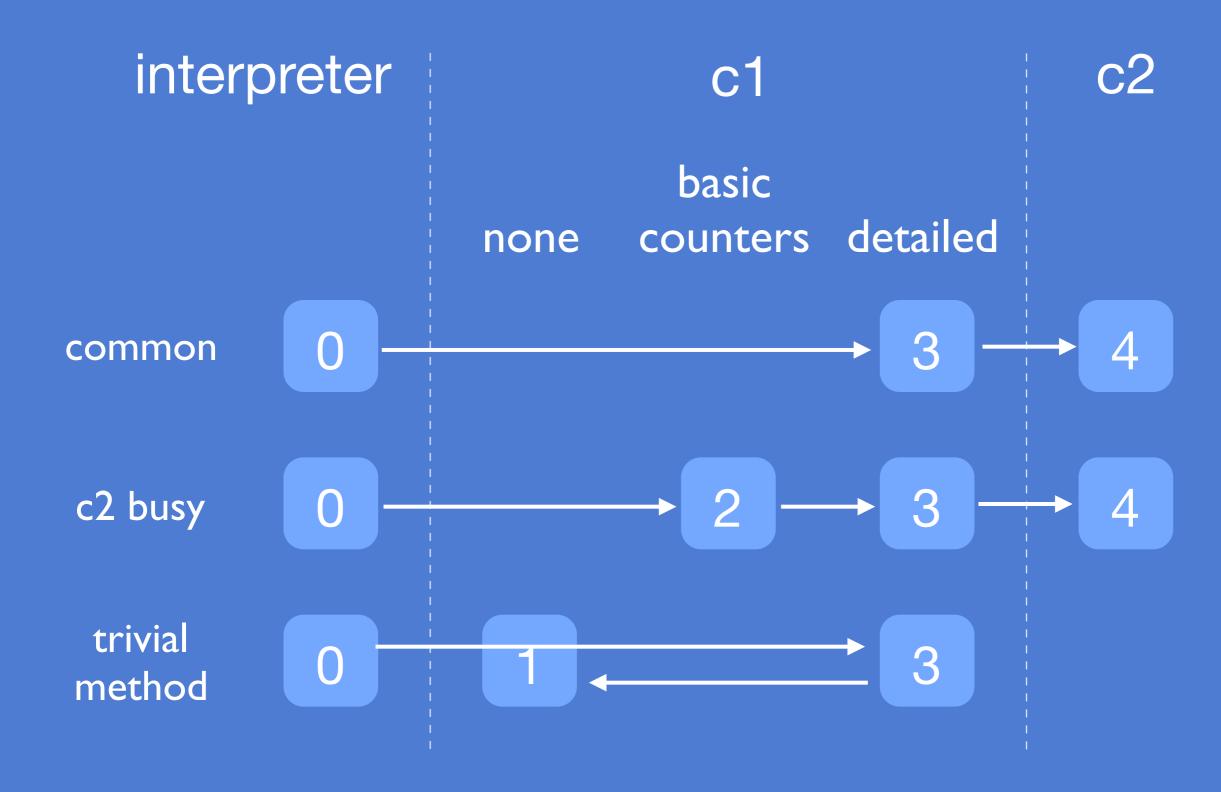
interpreter frame

compiled frame

Tiered Compilation

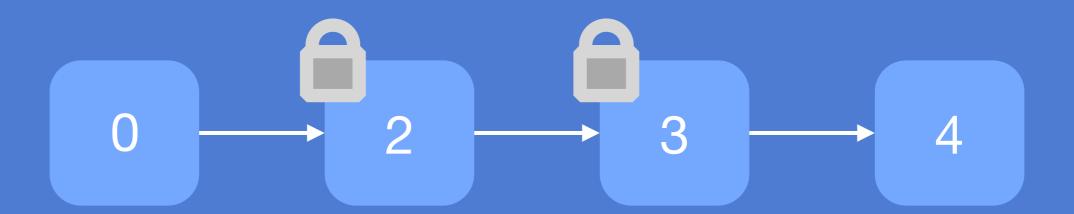


Tiered Compilation

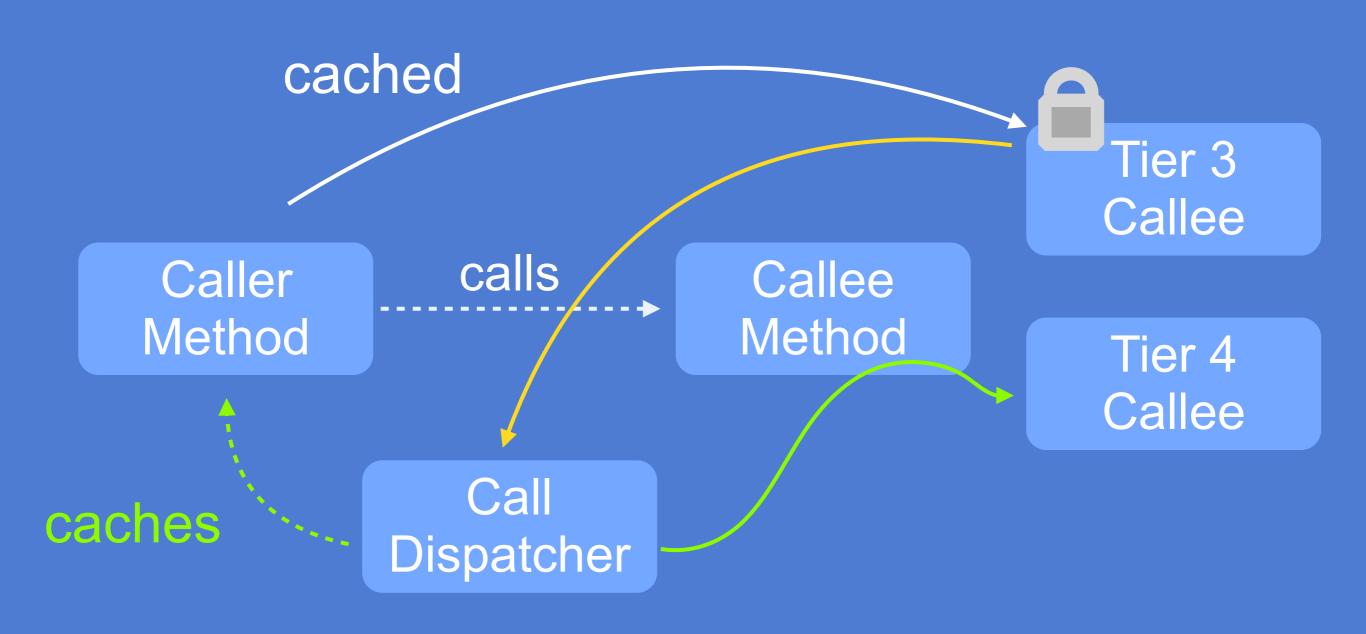


Made Not Entrant

12394 73 % 3 ...Allocation::main @ -2 (78 bytes) made not entrant



Lock Free Cache Invalidation



HotSpot's Job is to Find Hot Spots

Rules for Triggering the JIT Keep Changing

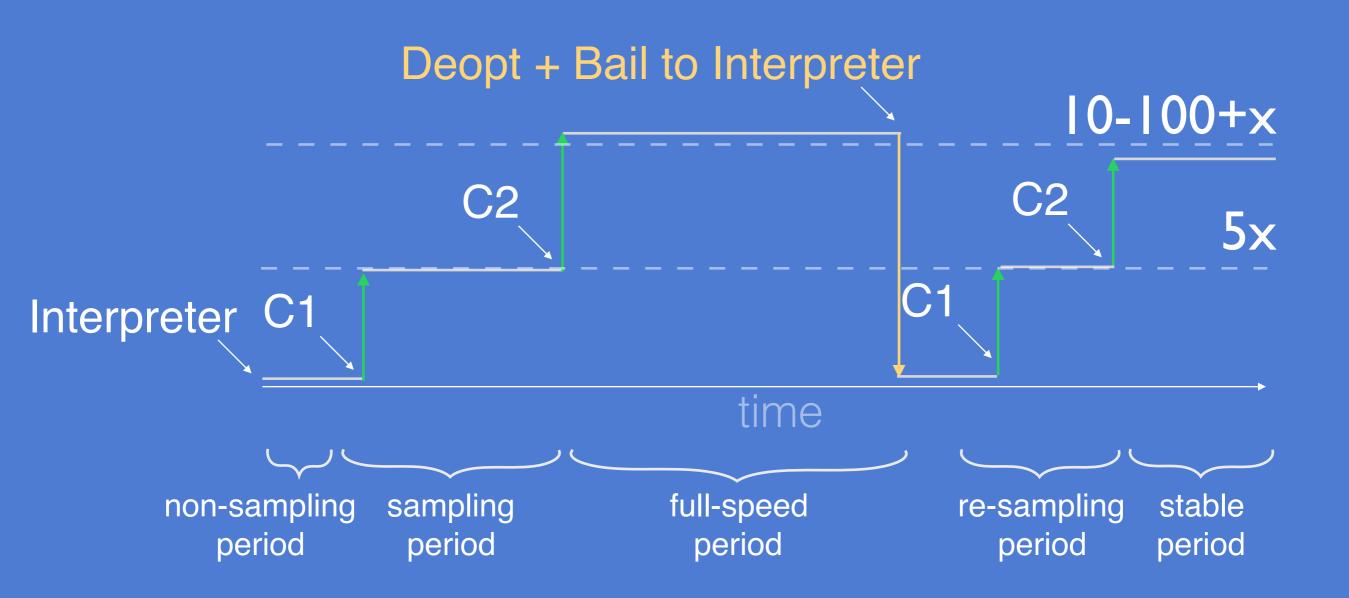
HotSpot JITs...

Hot Methods
Hot Loops
Warm Methods with Warm Loops

Focus on Final Tier

What Does the JHT C2 Do?

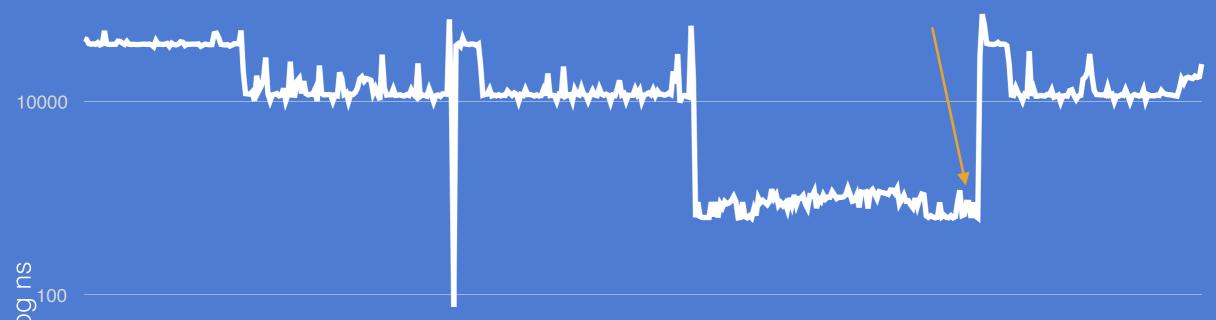
Profiling + Deoptimization



```
public class AllocationTrap {
  static final int CHUNK_SIZE = 1_000;
  public static void main(String[] args) {
   Object trap = null;
    for ( int i = 0; i < 500; ++i ) {
      long startTime = System.nanoTime();
      for ( int j = 0; j < CHUNK_SIZE; ++j ) {
        new Object();
        if ( trap != null ) {
          System.out.println("trap!");
          trap = null;
     if ( i == 400 ) trap = new Object();
      long endTime = System.nanoTime();
      System.out.printf("%d\t%d%n", i, endTime - startTime);
```

Deoptimization







Returning to for Each

```
ArrayList<E>.forEach(Consumer<? super E> action) {
  for ( int i = 0; i < this.size; i++ ) {
    action.accept(this.elementData[i]);
  }
}</pre>
```

Something "Simpler"

"Simpler" Bound

```
ArrayStream<E>.forEach(Consumer<?!super E> action) {
  for ( int i = 0; i < this.elementData.length; i++ ) {
    action.accept(this.elementData[i]);
  }
}</pre>
```

Implied Safety Code

```
ArrayStream<E>.forEach(Consumer<? super E> action) {
if (this == null) throw new NPE();
if (this.elementData == null) throw new NPE();
 for ( int i = 0; i < this.elementData.length; i++ ) {
  if (this == null) throw new NPE();
  if (this.elementData == null) throw new NPE();
  if ( i < 0 ) throw new AIOBE();
  if ( i >= this.elementData.length ) throw new AIOBE();
  if ( action == null ) throw new NPE();
   action.accept(this.elementData[i]);
```

Null Check Elimination

```
ArrayStream<E>.forEach(Consumer<? super E> action) {
                                                           this != null
if ( this == null ) throw new NPE(); ←
if (this.elementData == null) throw new NPE();
for ( int i = 0; i < this.elementData.length, i++ ) {
  if ( this == null ) throw new NPE(); ~
  if (this.elementData == null) throw new NPE();
  if ( i < 0 ) throw new AIOBE();
  if ( i >= this.elementData.length ) throw new AIOBE();
  if ( action == null ) throw new NPE();
  action.accept(this.elementData[i]);
```

Lower Bound Check Elimination

```
ArrayStream<E>.forEach(Consumer<? super E> action) {
  if ( this.elementData == null ) throw new NPE();

  for ( int i = 0; i < this.elementData.length; i++ ) {
    if ( this.elementData == null ) throw new NPE();
    if ( i < 0 ) throw new AIOBE();
    if ( i >= this.elementData.length ) throw new AIOBE();

    if ( action == null ) throw new NPE();
    action.accept(this.elementData[i]);
  }
}
```

Canonicalize Upper Bound

```
ArrayStream<E>.forEach(Consumer<? super E> action) {
  if ( this.elementData == null ) throw new NPE();

  for ( int i = 0; i < this.elementData.length; i++ ) {
    if ( this.elementData == null ) throw new NPE();
    if ( i >= this.elementData.length ) throw new AIOBE();
    if ( !(i < this.elementData.length) ) throw new AIOBE();
    if ( action == null ) throw new NPE();
    action.accept(this.elementData[i]);
  }
}</pre>
```

Upper Bound Check Elimination

Cached Length isn't Better!

Confuses the JIT

```
public class ArrayStream<E> interface Stream<E> {
  private final E[] elementData;
 private final int size;
  public ArrayStream(E... elements) {
    this.elementData = elements;
    this.size = elements.length;
                                              mismatch!
  forEach(Consumer<? super E> action) {
   if (this.elementData == null) throw new NPE();
    for ( int i = 0; i < this.size; i++ ) {
     if (this.elementData == null) throw new NPE();
     if ( i >= this.elementData.length ) throw new AIOBE();
     if ( action == null ) throw new NPE();
     action.accept(this.elementData[i]);
```

What Else?

```
ArrayStream<E>.forEach(Consumer<? super E> action) {
  if ( this.elementData == null ) throw new NPE();

  for ( int i = 0; i < this.elementData.length; i++ ) {
     if ( this.elementData == null ) throw new NPE();

     if ( action == null ) throw new NPE();
     action.accept(this.elementData[i]);
  }
}</pre>
```

Null Pointer Checks

```
Required -
                                                             cannot
                                                             optimize
                                                             further?
ArrayStream<E>.forEach(Consumer<? super E> action) {
 if (this.elementData == null) throw new NPE();
                                                             Assume
  for ( int i = 0; i < this.elementData.length; i++ ) {
                                                             non-null
    if (this.elementData == null) throw new NPE();
                                                             from
                                                             above?
    if ( action == null ) throw new NPE(); \
    action.accept(this.elementData[i]);
                                                             Required -
                                                             cannot
                                                             optimize
                                                             further?
```

Implicit Null Check

```
Possible, but improbable
```

```
if ( this.elementData == null ) throw new NPE();
this.elementData.length;
```



Three Nulls, You Deopt!

-XX:+PrintCompilation

```
java.lang.String::hashCode (55 bytes)
   121 1
   135 2
                ...NullCheck::hotMethod (6 bytes)
   136 3 % ! ...NullCheck::main @ 5 (69 bytes)
tempting fate 0
tempting fate 1
tempting fate 2
                ...NullCheck::hotMethod (6 bytes) made not entrant
  5144 2
tempting fate 3
tempting fate 4
tempting fate 5
tempting fate 6
tempting fate 7
tempting fate 8
tempting fate 9
```

Stop the World

Need to Stop All Java Threads to...

Deoptimize

Lock Inflation / Deflation

Garbage Collect



Hot Exception Optimization

```
int caughtCount = 0;
   Set<NullPointerException> nullPointerExceptions =
     new HashSet<>();
   for ( Object object : objects ) {
     try {
       object.toString();
     } catch ( NullPointerException e ) {
       nullPointerExceptions.add( e );
       caughtCount += 1;
Null Proportion: 0.100000 Caught:
                                            Unique:
                                                       2015
                                    10057
                                            Unique:
Null Proportion: 0.500000 Caught:
                                                       7191
                                    50096
Null Proportion: 0.900000 Caught:
                                            Unique:
                                    89929
                                                      11030
```

Hot Exceptions

```
int caughtCount = 0;
HashSet<NullPointerException> nullPointerExceptions =
  new HashSet<>();
for ( Object object : objects ) {
 try {
    object.toString();
  } catch ( NullPointerException e ) {
    boolean added = nullPointerExceptions.add(e);
    if (!added) e.printStackTrace();
    caughtCount += 1;
```

java.lang.NullPointerException

No StackTrace???

Total Elimination is Better!

```
ArrayStream<E>.forEach(Consumer<? super E> action) {
  if ( this.elementData == null ) throw new NPE();

  for ( int i = 0; i < this.elementData.length; i++ ) {
    if ( this.elementData == null ) throw new NPE();
    if ( action == null ) throw new NPE();
    action.accept(this.elementData[i]);
  }
}</pre>
```

Common Sub-Expression

```
if ( this.elementData == null ) throw new NPE();
for ( ... ) {
  if ( this.elementData == null ) throw new NPE();
}
```

Requires knowing that...

null doesn't change this doesn't change easy

this.elementData doesn't change ← hard

The Other "Easy" One

Local Variable: action

CANNOT be changed by another thread or method.

```
ArrayStream<E>.forEach(Consumer<? super E> action) {
  if ( this.elementData == null ) throw new NPE();

  for ( int i = 0; i < this.elementData.length; i++ ) {
    if ( this.elementData == null ) throw new NPE();

    if ( action == null ) throw new NPE();
    action.accept(this.elementData[i]);
  }
}</pre>
```

Loop Peeling

```
ArrayStream<E>.forEach(Consumer<? super E> action) {
  if (this.elementData == null) throw new NPE();
  // i=0 iteration
  if ( 0 < this.elementData.length ) {</pre>
   if (this.elementData == null) throw NPE();
   if ( action == null ) throw new NPE();
    action.accept(this.elementData[0]);
 }
  // rest of the iterations
  for ( int i = 1; i < this.elementData.length; i++ ) {
   if (this.elementData == null) throw new NPE();
   if ( action == null ) throw new NPE();
    action.accept(this.elementData[i]);
```

Back to this.elementData

CAN be changed by another thread.

Compiler Doesn't Care! Really?

No Synchronization Action - Single Threaded Semantics

Loop Invariant Hoisting?

```
ArrayStream<E>.forEach(Consumer<? super E> action) {
  E[] elementData = this.elementData;
  int len = elementData.length;
  if ( elementData == null ) throw new NPE();
  // i=0 iteration
  if (0 < len) {
   if ( elementData == null ) throw NPE();
    if ( action == null ) throw new NPE();
    action.accept(this.elementData[0]);
  // rest of the iterations
  for ( int i = 1; i < elementData.length; i++ ) {
   if ( elementData == null ) throw new NPE();
    action.accept(elementData[i]);
```

Terrifying!

Producer Thread

Consumer Thread

```
sharedData = ...;
sharedDone = true;
```

```
while (!sharedDone);
print(sharedData);
```

Assume sharedData is loop invariant!

```
localDone = sharedDone;
while (!localDone);
print(sharedData);
```

Not So Fast Single Threaded Side Effects

```
ArrayStream<E>.forEach(Consumer<? super E> action) {
  if (this.elementData == null) throw new NPE();
 // i=0 iteration
 if ( 0 < this.elementData.length ) {</pre>
   if ( this.elementData == null ) throw NPE();
                                                           Can
   if ( action == null ) throw new NPE();
                                                    this.elementData
    action.accept(this.elementData[0]);
                                                       change? YES
  // rest of the iterations
  for ( int i = 1; i < this.elementData.length; /i++ ) {
   if (this.elementData == null) throw new NPE();
   action.accept(this.elementData[i]);
```

ArrayStream NOT ArrayList

this.elementData is final!

Doesn't matter - reflection!

A Call is a "Black Box" which may contain "Evils"!



Inter-procedural Analysis

Prove No
Side Effects / Evils

Inter-procedural Analysis = Inlining

Inlining Copy & Paste Callee Into Caller

Start with a Static Call

JMH: Java Measurement Harness

```
@Benchmark
public void cstyle() {
  for ( int i = 0; i < this.elementData.length; ++i ) {
    consume(this.elementData[i]);
static int sum = 0;
@CompilerControl(CompilerControl.Mode.INLINE | DONT_INLINE)
static consume(int x) {
  SUM += X;
```

Inlined

Benchmark	Mode	Cnt	Score	Error	Units
LoopInvariant.cstyle	avgt	10	296.759 ±	3.619	ns/op
LoopInvariant.enhanced	avgt	10	294.379 ±	7.461	ns/op
LoopInvariant.hoisted	avgt	10	292.491 ±	7.623	ns/op

Not Inlined

Benchmark	Mode	Cnt	Score	Error	Units
LoopInvariant.cstyle	avgt	10	2922.285 ±	48.199	ns/op
LoopInvariant.enhanced	avgt	10	2301.793 ±	37.154	ns/op
LoopInvariant.hoisted	avgt	10	2325.981 ±	39.935	ns/op

Not Just "Sugar"

```
for ( int x: this.elementData ) {
  consume(x);
}
```

javac

```
E[] elementData = this.elementData;
for ( int i = 0; i < elementData.length; ++i ) {
  int x = elementData[i];
  consume(x);
}</pre>
```

More Terrifying!

Producer Thread

```
sharedData = ...;
sharedDone = true;
```

Consumer Thread

```
while (!sharedDone ) {
  fn();
}
print(sharedData);
```

Assume sharedData is loop invariant!

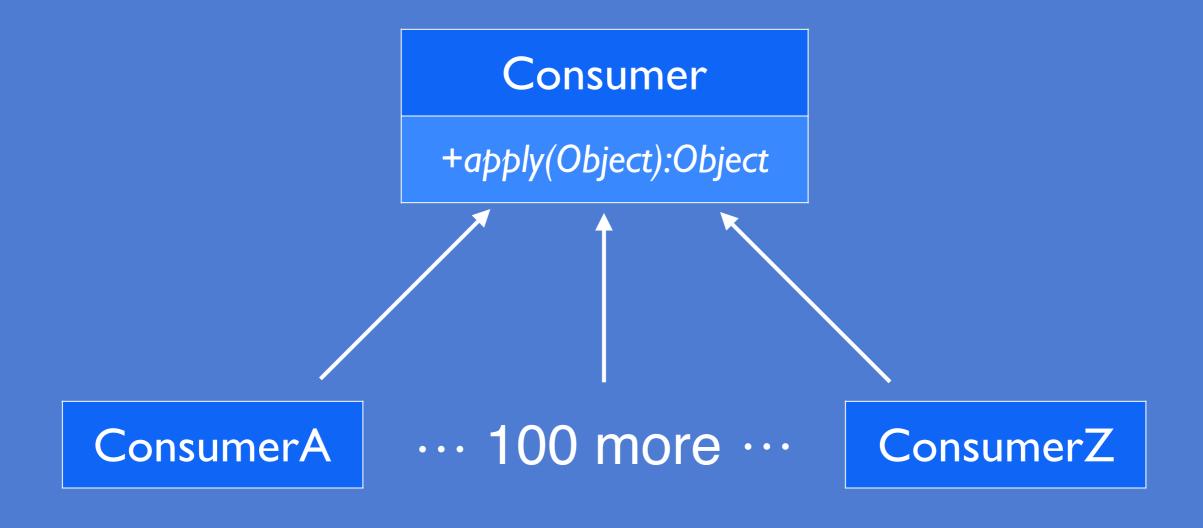
```
localDone = sharedDone;
while (!localDone) {
    // inlined fn() ...
}
print(sharedData);
```

Inlining Numbers to Remember...

-XX:+PrintFlagsFinal

MaxTrivialSize	6
MaxInlineSize	35
FreqInlineSize	325
MaxInlineLevel	9
MaxRecursiveInlineLevel	1
MinInliningThreshold	250
Tier1MaxInlineSize	8
Tier1FreqInlineSize	35

What About Dynamic Calls?



Java is a Dynamic Language!

Dynamically Loaded

Dynamically Linked

Lazy Initialized

Typically Dynamically Dispatched

Even Dynamic Code Gen in JDK

Unloaded Class?!?

Don't Know...

Fields in Class

Methods in Class

Parent Class

Interfaces

Anything?

Give Up!

uncommon_trap(:unloaded)

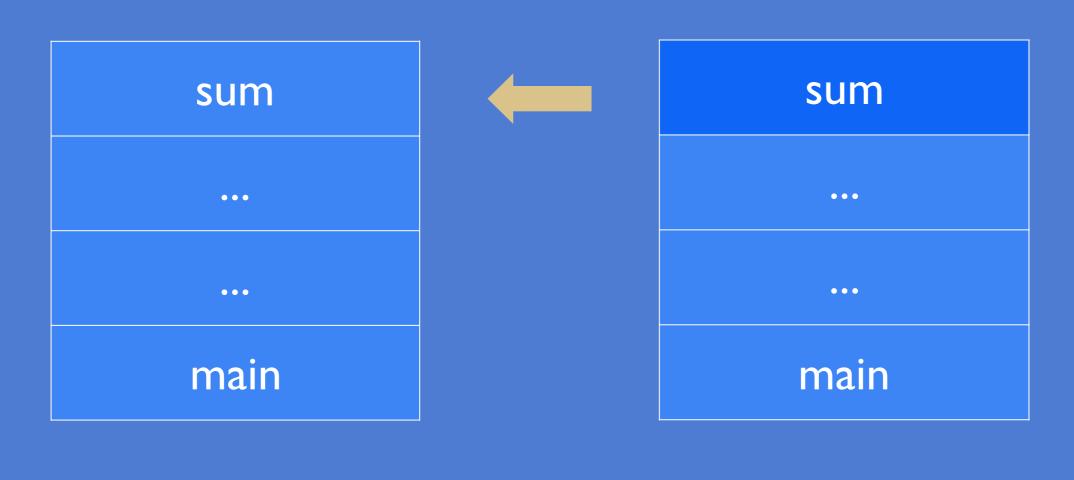
`What? I give up!

Compile + Deopt Storm

```
public class UnloadedForever {
  public static void main(String[] args) {
   for ( int i = 0; i < 100_000; ++i ) {
      try {
        factory();
      } catch ( Throwable t ) {
        // ignore
  static DoesNotExist factory() {
    return new DoesNotExist();
```

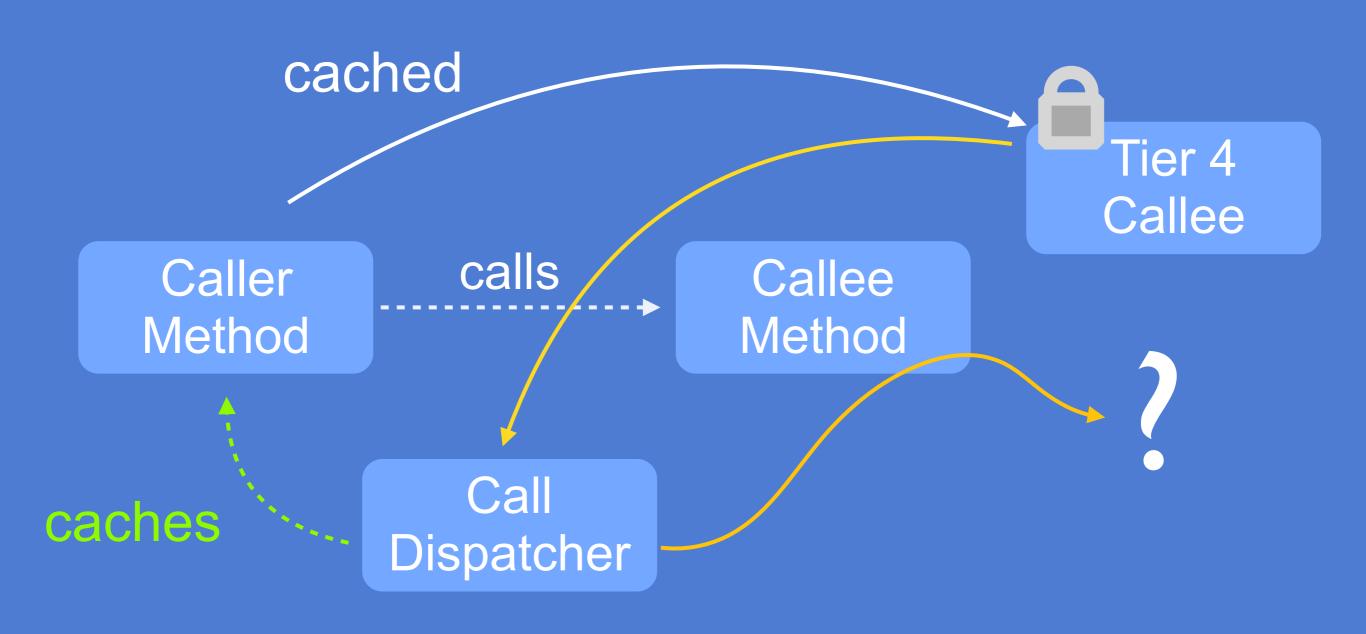
Compile + Deopt Storm

On-Stack Replacement in Reverse

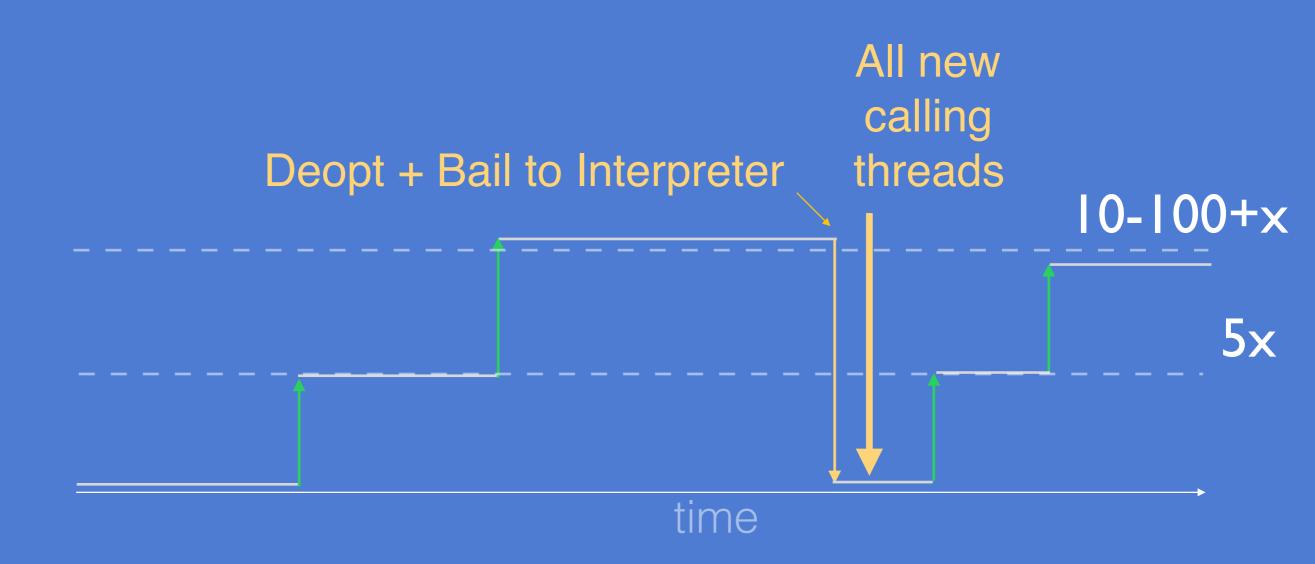




Lock Free — but Hurts



Lock Free — but Really Hurts



Back to Dynamic/Virtual Calls 4 Strategies to "Devirtualize"

Static Analysis

Class Hierarchy Analysis

TypeProfile

Unique Concrete Method

Monomorphic

```
public class Monomorphic {
  public static void main(String[] args)
    throws InterruptedException
    Func func = new Square();
    for ( int i = 0; i < 20_{-}000; ++i ) {
      apply(func, i);
    Thread.sleep(5_000);
  }
  static double apply(Func func, int x) {
    return func.apply(x);
```

Static Analysis

```
public class Monomorphic {
  public static void main(String[] args)
    throws InterruptedException
    Func func = new Square();
    for ( int i = 0; i < 20_{-}000; ++i ) {
      apply(func, i);
    Thread.sleep(5_000);
  static double apply(Fune func, int x) {
    return func.apply(\hat{x});
```

Monomorphic

-XX:+PrintCompilation -XX:-BackgroundCompilation -XX:+UnlockDiagnosticVMOptions -XX:+PrintInlining

Potential for Deopt Storm

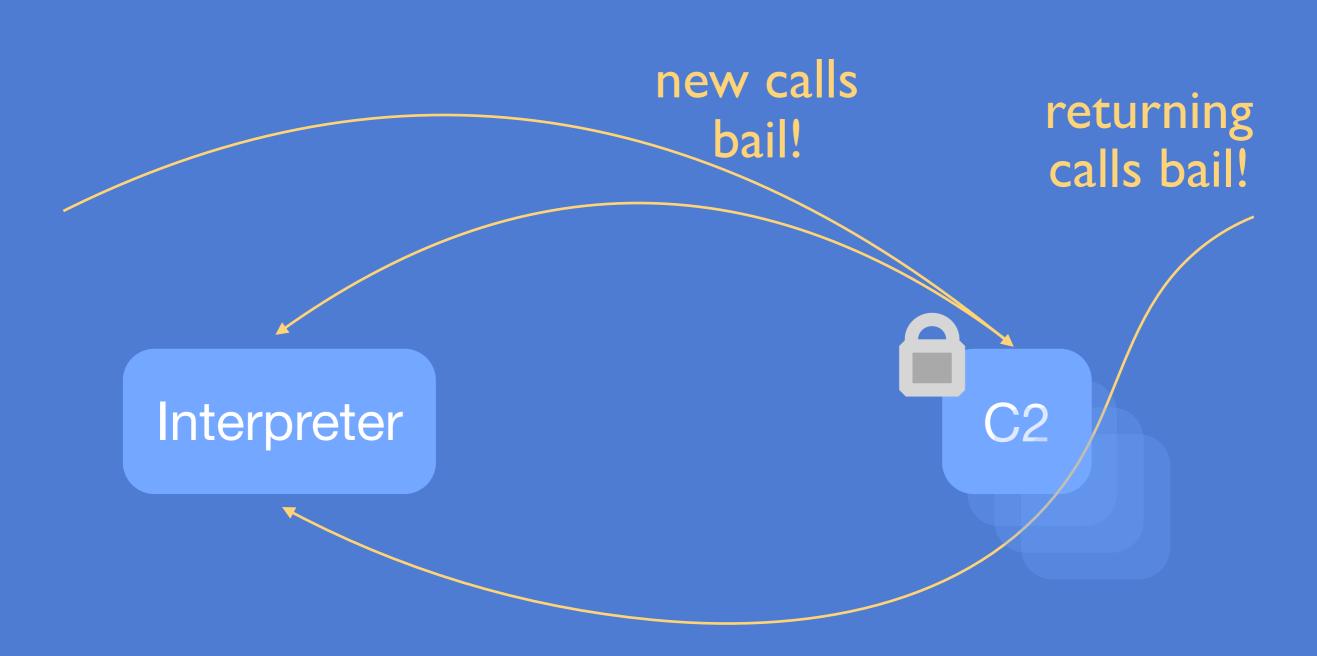
```
public class ChaStorm {
  public static void main(String[] args) throws... {
    Func func = new Square();
    for ( int i = 0; i < 10_{000}; ++i ) {
      apply1(func, i);
      apply8(func, i);
    System.out.println("Waiting for compiler...");
    Thread.sleep(5_000);
    System.out.println("Deoptimize...");
    System.out.println(Sqrt.class);
    Thread.sleep(5_000);
```

Potential for Deopt Storm

-XX:+PrintCompilation
-XX+PrintSafepointStatistics
-XX:PrintSafepointStatisticsCount=I

```
java.lang.String::hashCode (55 bytes)
   152
                example04.support.Square::apply (4 bytes)
   166
   173
                example04b.ChaStorm::apply1 (7 bytes)
                example04b.ChaStorm::apply2 (7 bytes)
   173
Waiting for compiler...
                example04b.ChaStorm::apply3 (7 bytes)
   174
                example04b.ChaStorm::apply7 (7 bytes)
   174
                example04b.ChaStorm::apply8 (7 bytes)
   174
          10
Deoptimize...
                example04b.ChaStorm::apply7 (7 bytes)
   5176
                                                        made not entrant
                example04b.ChaStorm::apply6 (7 bytes)
  5176
                                                        made not entrant
  5176
               example04b.ChaStorm::apply2 (7 bytes)
                                                        made not entrant
               example04b.ChaStorm::apply1 (7 bytes)
  5176
                                                       made not entrant
                example04b.ChaStorm::apply8 (7 bytes)
          10
                                                        made not entrant
  5176
class example04.support.Sqrt
                         [threads: total initially_running wait_to_block]
vmop
5.096: Deoptimize
```

NOT Lock Free — and Really, Really Hurts



TypeProfile

Interpreter & CI Gather Data Track Types used at Each Call Site

-XX:+UnlockDiagnosticVMOptions -XX:+LogCompilation

```
<klass id='780' name='Square' flags='1'/>
<klass id='781' name='Sqrt' flags='1'/>
<call method='783' count='23161'
   prof_factor='1' virtual='1' inline='1'
   receiver='780' receiver_count='19901'
   receiver2='781' receiver2_count='3260'/>
```

Bimorphic

```
Func func = \dots
double result = func.apply(20);
if ( func.getClass().equals(Square.class) ) {
} else {
  uncommon_trap(class_check);
if ( func.getClass().equals(Square.class) ) {
} else if ( func.getClass().equals(AlsoSquare.class) ) {
} else {
  uncommon_trap(bimorphic);
func.apply(x);
```

Very Effective

Call-site specific

Works for 90-95% of call sites

Very few call sites are "megamorphic"

Slightly more overhead than no check (3-5ns)

Why Trap?!?



Solved? NO

```
size could change!
ArrayList<E>.forEach(Consumer<? super E> action) {
  for ( int i = 0; i < this.size; i++ ) {
    action.accept(this.elementData[i]);
  }
} megamorphic,
  no (incomplete) could change!
  inlining.</pre>
```

Unless, Done Manually...

```
ArrayList<E>.forEach(Consumer<? super E> action) {
  Objects.requireNonNull(action);
  final int expectedModCount = modCount;
  final E[] elementData = (E[]) this.elementData;
  final int size = this.size;
  for (int i=0;
    modCount == expectedModCount && i < size;</pre>
    i++)
    action.accept(elementData[i]);
  if (modCount != expectedModCount) throw new CME();
```

Goal Accomplished? NO

More loop optimizations...

Loop Unrolling
Loop Unswitching
Vectorization

Interactions with Garbage Collector

Handling this.size with an uncommon trap

JIT (and All Compilers) Are Just Complex Pattern Matchers.

Like

"Normal" Code
Small Methods
Immutability
Local Variables

Don't Like

"Weird" Code
Big Methods
Mutability
Native Methods*

VM Developer Blogs

PSYCHOSOMATIC, LOBOTOMY, SAW

Nitsan Wakart

http://psy-lob-saw.blogspot.com/

ORACLE®

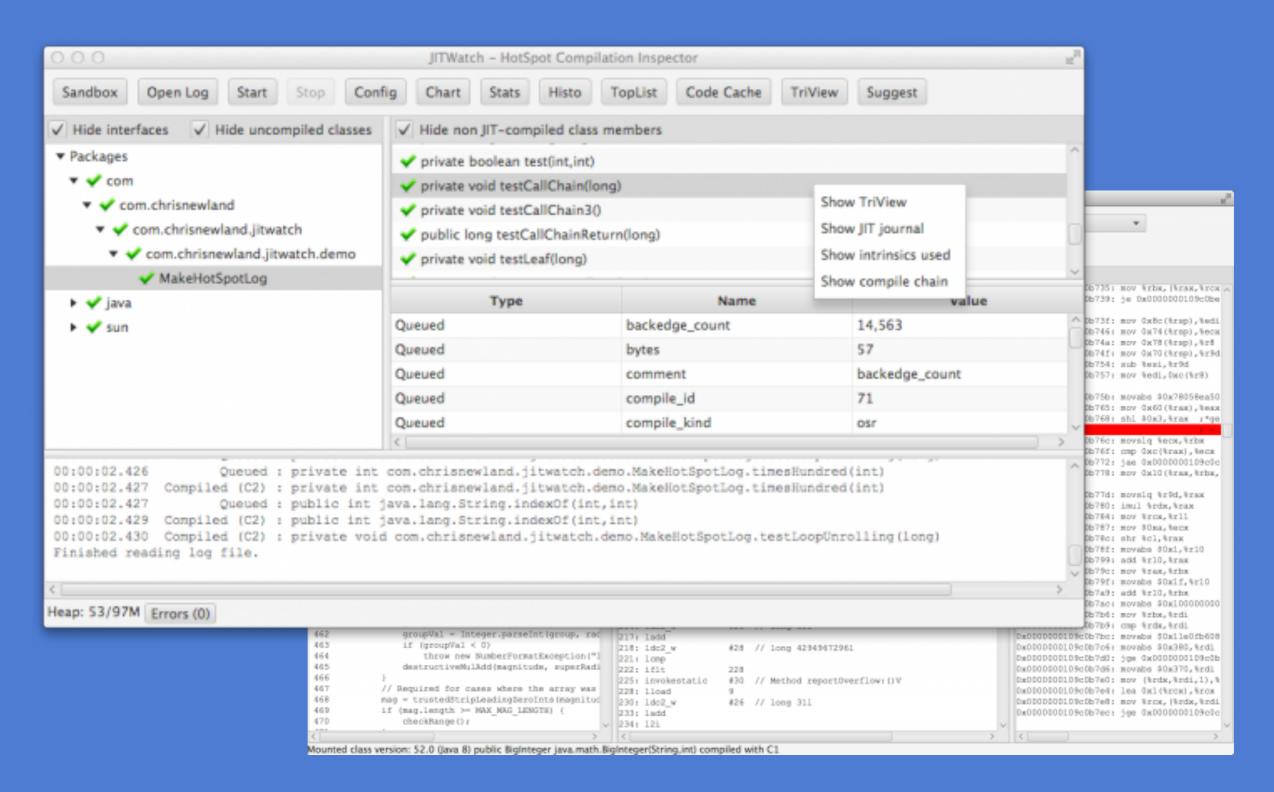
Aleksey Shipilëv

http://shipilev.net/

Igor Veresov

https://twitter.com/maddocig

JITWatch



Shameless Self-Promotion

O'REILLY®
Optimizing Java
Douglas Q. Hawkins

http://shop.oreilly.com/product/0636920043560.do

Questions?

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VM Engineer

