



Lecture 22

Dynamic Programming (0/1 Knapsack Problem): Problem Analysis, Notations, Designing DP Algorithm for 0/1 Knapsack Problem & its Time Complexity, and Applications of 0/1 Knapsack Problem



Knapsack problem

Given some *items*, pack the knapsack to get the *maximum total value*. Each item has some *weight* and some *value*. *Total weight* that we can carry is no more than *some fixed number W* . So, we must consider *weights of items* as well as their *values*.

| <i>Item #</i> | <i>Weight</i> | <i>Value</i> |
|---------------|---------------|--------------|
| <i>1</i> | <i>1</i> | <i>8</i> |
| <i>2</i> | <i>3</i> | <i>6</i> |
| <i>3</i> | <i>5</i> | <i>5</i> |



Knapsack problem

There are two versions of the problem:

1. “0-1 knapsack problem”

- › *Items are indivisible; you either take an item or not. Some special instances can be solved with **dynamic programming***

2. “Fractional knapsack problem” – Self Learning

- › *Items are divisible: you can take any fraction of an item*



0-1 Knapsack problem

- › *Given a knapsack with maximum capacity W , and a set S consisting of n items*
- › *Each item i has some weight w_i and benefit value b_i (*all w_i and W are integer values*)*
- › *Problem*: *How to pack the knapsack to achieve maximum total value of packed items?*



Dynamic Programming: 0/1 Knapsack Problem

- › **Given:** A set S of n items, with each item i having
 - w_i – a positive weight
 - b_i – a positive benefit
- › **Goal:** Choose items with maximum total benefit but with weight at most W .
- › If fractional amounts are **not** allowed, then this is the **0/1 knapsack problem**
 - In this case, we let T denote the set of items we take

Objective: maximize $\sum_{i \in T} b_i$

Constraint: $\sum_{i \in T} w_i \leq W$



0-1 Knapsack problem

› *Problem, in other words, is to find*

$$\max \sum_{i \in T} b_i \text{ subject to } \sum_{i \in T} w_i \leq W$$

The problem is called a “0-1” problem, because each item must be entirely accepted or rejected.



0-1 Knapsack problem: brute-force approach

Let's first solve this problem with a straightforward algorithm

- › *Since there are n items, there are 2^n possible combinations of items.*
- › *We go through all combinations and find the one with maximum value and with total weight less or equal to W*
- › *Running time will be $O(2^n)$*



0-1 Knapsack problem: Dynamic programming approach

- › *We can do better with an algorithm based on dynamic programming*
- › *We need to carefully identify the subproblems*



Defining a Subproblem

- › *Given a knapsack with maximum capacity W , and a set S consisting of n items*
- › *Each item i has some weight w_i and benefit value b_i (**all w_i and W are integer values**)*
- › *Problem: How to pack the knapsack to achieve maximum total value of packed items?*



Defining a Subproblem

- › *We can do better with an algorithm based on dynamic programming*
- › *We need to carefully identify the subproblems*

Let's try this:

If items are labeled $1..n$, then a subproblem would be to find an optimal solution for $S_k = \{\text{items labeled } 1, 2, \dots, k\}$



Defining a Subproblem

If items are labeled $1..n$, then a subproblem would be to find an optimal solution for $S_k = \{\text{items labeled } 1, 2, .. k\}$

- › *This is a reasonable subproblem definition.*
- › *The question is: can we describe the final solution (S_n) in terms of subproblems (S_k)?*
- › *Unfortunately, we can't do that.*



Defining a Subproblem

| | | | | |
|--------------------|--------------------|--------------------|--------------------|--|
| $w_1=2$ $b_1=3$ | $w_2=4$ $b_2=5$ | $w_3=5$ $b_3=8$ | $w_4=3$ $b_4=4$ | |
|--------------------|--------------------|--------------------|--------------------|--|

Max weight: $W = 20$

For S_4 :

Total weight: 14

Maximum benefit: 20

| | | | |
|--------------------|--------------------|--------------------|---------------------|
| $w_1=2$ $b_1=3$ | $w_2=4$ $b_2=5$ | $w_3=5$ $b_3=8$ | $w_5=9$ $b_5=10$ |
|--------------------|--------------------|--------------------|---------------------|

For S_5 :

Total weight: 20

Maximum benefit: 26

?

S_5

S_4

| Item # | Weight W_i | Benefit b_i |
|--------|--------------|---------------|
| 1 | 2 | 3 |
| 2 | 4 | 5 |
| 3 | 5 | 8 |
| 4 | 3 | 4 |
| 5 | 9 | 10 |

Solution for S_4 is not part of the solution for S_5 !!!



Defining a Subproblem

- › *As we have seen, the solution for S_4 is not part of the solution for S_5*
- › *So, our definition of a subproblem is flawed and we need another one!*



Defining a Subproblem

- › *Given a knapsack with maximum capacity W , and a set S consisting of n items*
- › *Each item i has some weight w_i and benefit value b_i (**all w_i and W are integer values**)*
- › *Problem: How to pack the knapsack to achieve maximum total value of packed items?*



Defining a Subproblem

- › *Let's add another parameter: w , which will represent the maximum weight for each subset of items*
- › *The subproblem then will be to compute $V[k, w]$, i.e., to find an optimal solution for $S_k = \{\text{items labeled } 1, 2, \dots, k\}$ in a knapsack of size w*



Recursive Formula for subproblems

- › *The subproblem will then be to compute $V[k, w]$, i.e., to find an optimal solution for $S_k = \{\text{items labeled } 1, 2, \dots, k\}$ in a knapsack of size w*
- › *Assuming knowing $V[i, j]$, where $i=0, 1, 2, \dots, k-1$, $j=0, 1, 2, \dots, w$, how to derive $V[k, w]$?*



Recursive Formula for subproblems (continued)

Recursive formula for subproblems:

$$V[k, w] = \begin{cases} V[k-1, w] & \text{if } w_k > w \\ \max\{V[k-1, w], V[k-1, w-w_k] + b_k\} & \text{else} \end{cases}$$

It means, that the best subset of S_k that has total weight w is:

- 1) the best subset of S_{k-1} that has total weight $\leq w$, **or***
- 2) the best subset of S_{k-1} that has total weight $\leq w-w_k$ plus the item k*



Recursive Formula

$$V[k, w] = \begin{cases} V[k-1, w] & \text{if } w_k > w \\ \max \{V[k-1, w], V[k-1, w - w_k] + b_k\} & \text{else} \end{cases}$$

- › *The best subset of S_k that has the total weight $\leq w$, either contains item k or not.*
- › *First case: $w_k > w$. Item k can't be part of the solution, since if it was, the total weight would be $> w$, which is unacceptable.*
- › *Second case: $w_k \leq w$. Then the item k can be in the solution, and we choose the case with greater value.*



0-1 Knapsack Algorithm

for $w = 0$ to W

$V[0,w] = 0$

for $i = 1$ to n

$V[i,0] = 0$

for $i = 1$ to n

for $w = 0$ to W

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else:

$V[i, w] = V[i-1, w]$

else: $V[i, w] = V[i-1, w]$ // $w_i > w$



Running time

for $w = 0$ to W

$V[0,w] = 0$

$O(W)$

for $i = 1$ to n

$V[i,0] = 0$

for $i = 1$ to n

Repeat n times

for $w = 0$ to W

$O(W)$

< the rest of the code >

What is the running time of this algorithm?

*$O(n*W)$*

Remember that the brute-force algorithm takes $O(2^n)$



Example

Let's run our algorithm on the following data:

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| | | i \ W | | | | | |
|--------|---|-------|---|---|---|---|---|
| | | 0 | 1 | 2 | 3 | 4 | 5 |
| wi, bi | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | | | | | | |
| (3,4), | 2 | | | | | | |
| (4,5), | 3 | | | | | | |
| (5,6) | 4 | | | | | | |

for $w = 0$ *to* W
 $V[0,w] = 0$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i \ W | | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | | | | | |
| (3,4), | 2 | 0 | | | | | |
| (4,5), | 3 | 0 | | | | | |
| (5,6) | 4 | 0 | | | | | |

for i = 1 to n

$$V[i,0] = 0$$

n = 4 (# of elements)

W = 5 (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i \ W | | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | | | | |
| (3,4), | 2 | 0 | | | | | |
| (4,5), | 3 | 0 | | | | | |
| (5,6) | 4 | 0 | | | | | |

Items: (w_i, b_i)

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=1$

$w-w_i=-1$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i \ W | | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | 3 | | | |
| (3,4), | 2 | 0 | | | | | |
| (4,5), | 3 | 0 | | | | | |
| (5,6) | 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=2$

$w-w_i=0$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i \ W | | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | 3 | 3 | | |
| (3,4), | 2 | 0 | | | | | |
| (4,5), | 3 | 0 | | | | | |
| (5,6) | 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=3$

$w-w_i=1$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i\W | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), 1 | 0 | 0 | 3 | 3 | 3 | |
| (3,4), 2 | 0 | | | | | |
| (4,5), 3 | 0 | | | | | |
| (5,6), 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=4$

$w-w_i=2$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (8)

| i \ W | | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), | 2 | 0 | | | | | |
| (4,5), | 3 | 0 | | | | | |
| (5,6) | 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$b_i=3$

$w_i=2$

$w=5$

$w-w_i=3$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (9)

| i \ W | | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), 1 | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), 2 | 2 | 0 | 0 | | | | |
| (4,5), 3 | 3 | 0 | | | | | |
| (5,6), 4 | 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=2$

$b_i=4$

$w_i=3$

$w=1$

$w-w_i=-2$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i \ W | | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), 1 | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), 2 | 2 | 0 | 0 | 3 | | | |
| (4,5), 3 | 3 | 0 | | | | | |
| (5,6), 4 | 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=2$

$b_i=4$

$w_i=3$

$w=2$

$w-w_i=-1$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i \ W | | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), | 2 | 0 | 0 | 3 | 4 | | |
| (4,5), | 3 | 0 | | | | | |
| (5,6) | 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=2$

$b_i=4$

$w_i=3$

$w=3$

$w-w_i=0$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$



Example (Cont !!!)

| i\W | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), 2 | 0 | 0 | 3 | 4 | 4 | |
| (4,5), 3 | 0 | | | | | |
| (5,6), 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=2$

$b_i=4$

$w_i=3$

$w=4$

$w-w_i=1$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| $i \backslash W$ | | 0 | 1 | 2 | 3 | 4 | 5 |
|------------------|---|---|---|---|---|---|---|
| w_i, b_i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), | 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), | 3 | 0 | | | | | |
| (5,6) | 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=2$

$b_i=4$

$w_i=3$

$w=5$

$w-w_i=2$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i \ W | | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), 1 | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), 2 | 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), 3 | 3 | 0 | 0 | 3 | 4 | | |
| (5,6) 4 | 4 | 0 | | | | | |

$i=3$

$b_i=5$

$w_i=4$

$w=1..3$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i\W | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), 3 | 0 | 0 | 3 | 4 | 5 | |
| (5,6), 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=3$

$b_i=5$

$w_i=4$

$w=4$

$w - w_i = 0$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i \ W | | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), 1 | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), 2 | 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), 3 | 3 | 0 | 0 | 3 | 4 | 5 | 7 |
| (5,6), 4 | 4 | 0 | | | | | |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

i=3

b_i=5

w_i=4

w=5

w - w_i = 1

if $w_i \leq w$ // item i can be part of the solution

┌ if $b_i + V[i-1, w-w_i] > V[i-1, w]$

│ $V[i, w] = b_i + V[i-1, w-w_i]$

│ else

└ $V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

n = 4 (# of elements)

W = 5 (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| $i \backslash W$ | 0 | 1 | 2 | 3 | 4 | 5 |
|------------------|---|-----|-----|-----|-----|---|
| w_i, b_i | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), 3 | 0 | 0 | 3 | 4 | 5 | 7 |
| (5,6) 4 | 0 | ↓ 0 | ↓ 3 | ↓ 4 | ↓ 5 | |

$i=4$

$b_i=6$

$w_i=5$

$w=1..4$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Example (Cont !!!)

| i \ W | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------------|---|---|---|---|---|---|
| w _i , b _i | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), 3 | 0 | 0 | 3 | 4 | 5 | 7 |
| (5,6) 4 | 0 | 0 | 3 | 4 | 5 | 7 |

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=4$

$b_i=6$

$w_i=5$

$w=5$

$w - w_i = 0$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Comments

- › *This algorithm only finds the max possible value that can be carried in the knapsack*
 - *i.e., the value in $V[n, W]$*
- › *To know the items that make this maximum value, an addition to this algorithm is necessary*



How to find actual Knapsack Items

- › *All of the information we need is in the table.*
- › *$V[n, W]$ is the maximal value of items that can be placed in the Knapsack.*
- › *Let $i=n$ and $k=W$*

if $V[i, k] \neq V[i-1, k]$ then

mark the i^{th} item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$ // Assume the i^{th} item is not in the knapsack

// Could it be in the optimally packed knapsack?



Finding the Items

| $i \backslash W$ | | 0 | 1 | 2 | 3 | 4 | 5 |
|------------------|---|---|---|---|---|---|---|
| w_i, b_i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), | 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), | 3 | 0 | 0 | 3 | 4 | 5 | 7 |
| (5,6) | 4 | 0 | 0 | 3 | 4 | 5 | 7 |

$i=n, k=W$

while $i, k > 0$

if $V[i, k] \neq V[i-1, k]$ then

mark the i^{th} item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=4$

$k=5$

$b_i=6$

$w_i=5$

$V[i, k] = 7$

$V[i-1, k] = 7$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Finding the Items (Cont !!!)

| $i \backslash W$ | | 0 | 1 | 2 | 3 | 4 | 5 |
|------------------|---|---|---|---|---|---|---|
| w_i, b_i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), | 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), | 3 | 0 | 0 | 3 | 4 | 5 | 7 |
| (5,6) | 4 | 0 | 0 | 3 | 4 | 5 | 7 |

$i=n, k=W$

while $i, k > 0$

if $V[i, k] \neq V[i-1, k]$ then

mark the i^{th} item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=4$

$k=5$

$b_i=6$

$w_i=5$

$V[i, k] = 7$

$V[i-1, k] = 7$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Finding the Items (Cont !!!)

| | $i \backslash W$ | 0 | 1 | 2 | 3 | 4 | 5 |
|------------|------------------|---|---|---|---|---|---|
| w_i, b_i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), | 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), | 3 | 0 | 0 | 3 | 4 | 5 | 7 |
| (5,6) | 4 | 0 | 0 | 3 | 4 | 5 | 7 |

$i=3$

$k=5$

$b_i=5$

$w_i=4$

$V[i,k] = 7$

$V[i-1,k] = 7$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=n, k=W$

while $i,k > 0$

if $V[i,k] \neq V[i-1,k]$ then

mark the i^{th} item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Finding the Items (Cont !!!)

| $i \backslash W$ | 0 | 1 | 2 | 3 | 4 | 5 |
|------------------|---|---|---|---|---|---|
| w_i, b_i | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), 3 | 0 | 0 | 3 | 4 | 5 | 7 |
| (5,6), 4 | 0 | 0 | 3 | 4 | 5 | 7 |

$i=n, k=W$

while $i, k > 0$

if $V[i, k] \neq V[i-1, k]$ then

mark the i^{th} item as in the knapsack

$i = i-1, k = k-w_i$

else $i = i-1$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=2$

$k=5$

$b_i=4$

$w_i=3$

$V[i, k] = 7$

$V[i-1, k] = 3$

$k - w_i = 2$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Finding the Items (Cont !!!)

| $i \backslash W$ | 0 | 1 | 2 | 3 | 4 | 5 |
|------------------|---|---|---|---|---|---|
| w_i, b_i | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | 3 | 3 | 3 |
| (3,4), | 2 | 0 | 0 | 3 | 4 | 7 |
| (4,5), | 3 | 0 | 0 | 3 | 4 | 5 |
| (5,6) | 4 | 0 | 0 | 3 | 4 | 5 |

$i=n, k=W$

while $i, k > 0$

if $V[i, k] \neq V[i-1, k]$ then

mark the i^{th} item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

$i=1$

$k=2$

$b_i=3$

$w_i=2$

$V[i, k] = 3$

$V[i-1, k] = 0$

$k - w_i = 0$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Finding the Items (Cont !!!)

| $i \backslash W$ | | 0 | 1 | 2 | 3 | 4 | 5 |
|------------------|---|---|---|---|---|---|---|
| w_i, b_i | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 0 | 3 | 3 | 3 | 3 |
| (3,4), | 2 | 0 | 0 | 3 | 4 | 4 | 7 |
| (4,5), | 3 | 0 | 0 | 3 | 4 | 5 | 7 |
| (5,6) | 4 | 0 | 0 | 3 | 4 | 5 | 7 |

$i=0$

$k=0$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

The optimal
knapsack should
contain {1, 2}

$i=n, k=W$

while $i, k > 0$

if $V[i, k] \neq V[i-1, k]$ then

mark the i^{th} item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Finding the Items (Cont !!!)

| $i \backslash W$ | 0 | 1 | 2 | 3 | 4 | 5 |
|------------------|---|---|---|---|---|---|
| w_i, b_i | 0 | 0 | 0 | 0 | 0 | 0 |
| (2,3), | 1 | 0 | 3 | 3 | 3 | 3 |
| (3,4), | 2 | 0 | 3 | 4 | 4 | 7 |
| (4,5), | 3 | 0 | 3 | 4 | 5 | 7 |
| (5,6) | 4 | 0 | 3 | 4 | 5 | 7 |

$i=n, k=W$

while $i, k > 0$

if $V[i, k] \neq V[i-1, k]$ then

mark the i^{th} item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

Items:

1: (2, 3)

2: (3, 4)

3: (4, 5)

4: (5, 6)

The optimal knapsack should contain {1, 2}

$n = 4$ (# of elements)

$W = 5$ (max weight)

Elements (weight, benefit):

(2,3), (3,4), (4,5), (5,6)



Homework

1. a. Apply the bottom-up dynamic programming algorithm to the following instance of the knapsack problem:

| item | weight | value |
|------|--------|-------|
| 1 | 3 | \$25 |
| 2 | 2 | \$20 |
| 3 | 1 | \$15 |
| 4 | 4 | \$40 |
| 5 | 5 | \$50 |

, capacity $W = 6$.

› *How to find out which items are in the optimal subset?*



Summary

- › *Dynamic programming is a useful technique of solving certain kind of problems*
- › *When the solution can be recursively described in terms of partial solutions, we can store these partial solutions and re-use them as necessary (memorization)*
- › *Knapsack problem can be solve using dynamic programming approach where items are selected in such a optimal way which help to maximize the values*
- › *Running time of dynamic programming algorithm vs. naïve algorithm:*
 - *0-1 Knapsack problem: $O(W*n)$ vs. $O(2^n)$*

Thank You!!!

Have a good day

