

Machine Learning

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GENETIC ALGORITHM

- **Main Decisions:**

- Representation/ Encoding of Individuals/ Chromosome
- Fitness/ Evaluation Function
- Stochastic Operators for reproduction [Evolution]
 - Crossover
 - Mutation
- Selection

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Chromosome

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Representation of Solutions: The Chromosome

Gene: A basic unit, which represents one characteristic of the individual. The value of each gene is called an *allele*

Chromosome: A string of genes; it represents an individual i.e. a possible solution of a problem. Each chromosome represents a point in the search space

Population: A collection of chromosomes

An appropriate chromosome representation is important for the efficiency and complexity of the GA

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Representation of Solutions: The Chromosome

The classical representation scheme for chromosomes is binary vectors of fixed length. However, real valued chromosomes are also possible

In binary coded chromosomes, every gene has two alleles

In real coded chromosomes, a gene can be assigned any value from a domain of values

In the case of an I -dimensional search space, each chromosome consists of I variables with each variable encoded as a bit string

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Representation of Solutions: The Chromosome

Example: Cookies Problem

Two parameters sugar and flour (in kgs). The range for both is 0 to 9 kgs. Therefore a chromosome will comprise of two genes called sugar and flour

5	1
---	---

Chromosome # 01

2	4
---	---

Chromosome # 02

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Representation of Solutions: The Chromosome

Example: Expression satisfaction Problem

$$\begin{aligned} F = & (\neg a \vee c) \wedge (\neg a \vee c \vee \neg e) \\ & \wedge (\neg b \vee c \vee d \vee \neg e) \wedge (a \vee \neg b \vee c) \\ & \wedge (\neg e \vee f) \end{aligned}$$

Chromosome: Six binary genes a b c d e f e.g. 100111

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Fitness Function

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Fitness Function

Also called “evaluation function”

It is used to determine the fitness of a chromosome

Creating a good fitness function is one of the challenging tasks of using GA

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Fitness Function

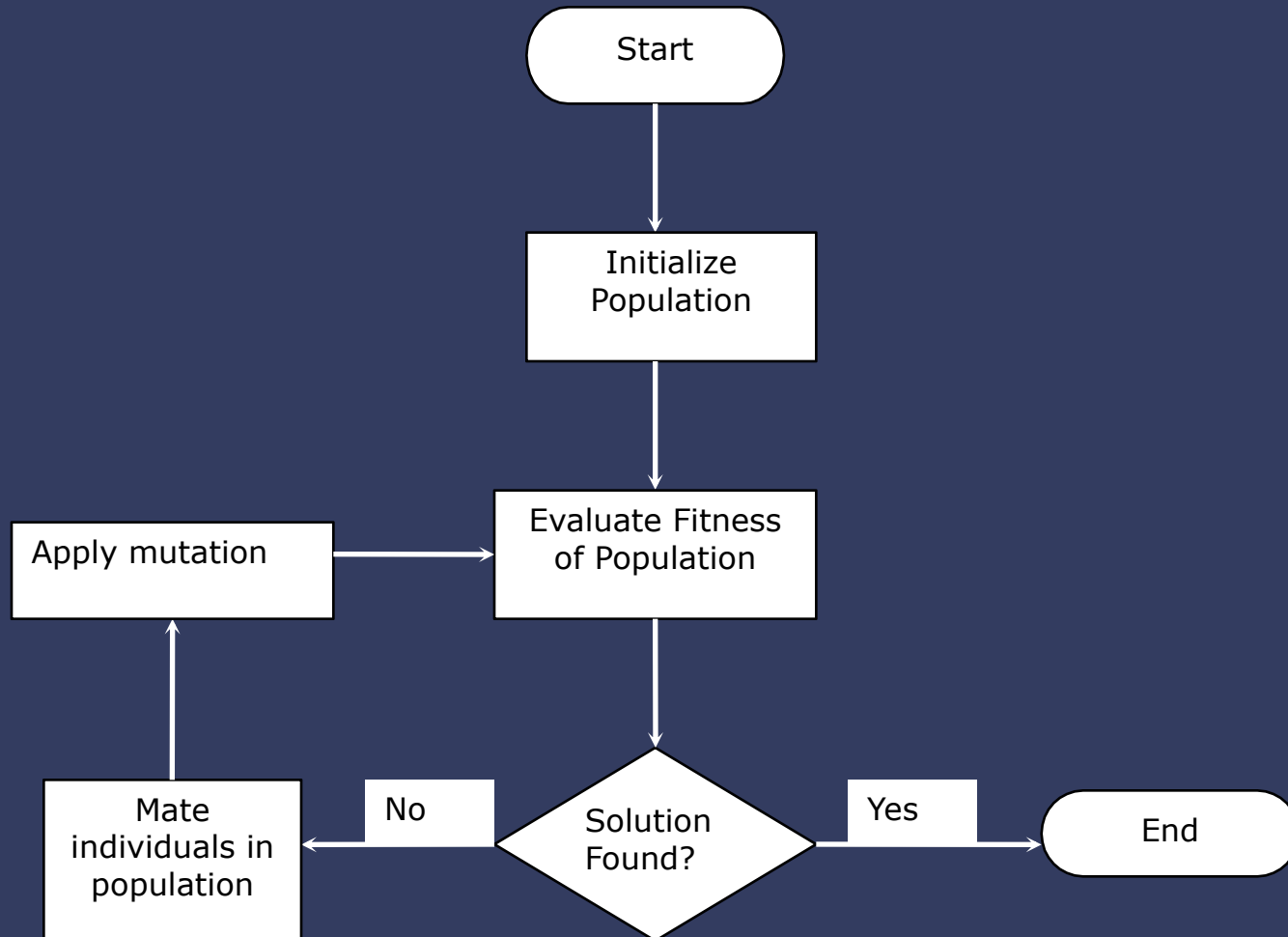
Example: Cookies Problem

Two parameters sugar and flour (in kgs). The range for both is 0 to 9 kgs. Therefore a chromosome will comprise of two genes called sugar and flour

5	1
---	---

2	4
---	---

The fitness function for a chromosome is the taste of the resulting cookies; values can be from 1 to 100



A More Realistic Example

- Suppose you have a large number of (x, y) data points
 - For example, $(1, 4)$, $(3, 9)$, $(5, 8)$, ...
- You would like to fit a polynomial (of up to degree 1) through these data points
 - That is, you want a formula $y = mx + c$ that gives you a reasonably good fit to the actual data
 - Here's the usual way to compute goodness of fit:
 - Compute the sum of $(\text{actual } y - \text{predicted } y)^2$ for all the data points
 - The lowest sum represents the best fit
- You can use a genetic algorithm to find a “pretty good” solution

A More Realistic Example

- Your formula is $y = mx + c$
- Your unknowns are m and c ; where m and c are integers
- Your representation is the array $[m, c]$
- Your evaluation function for one array is:
 - For every actual data point (x, y)
 - Compute $\hat{y} = mx + c$
 - Find the sum of $(y - \hat{y})^2$ over all x
 - The sum is your measure of “badness” (larger numbers are worse)
 - Example: For $[m, c] = [5, 7]$ and the data points $(1, 10)$ and $(2, 13)$:
 - $\hat{y} = 5x + 7 = 12$ when x is 1
 - $\hat{y} = 5x + 7 = 17$ when x is 2
 - Now compute the badness
 $(10 - 12)^2 + (13 - 17)^2 = 2^2 + 4^2 = 20$
 - If these are the only two data points, the “badness” of $[5, 7]$ is 20

A More Realistic Example

- Your GA might be as follows:
 - Create two-element arrays of random numbers
 - Repeat 50 times (or any other number):
 - For each of the arrays, compute its badness (using all data points)
 - Keep the best arrays (with low badness)
 - From the arrays you keep, generate new arrays as follows:
 - Convert the numbers in the array to binary, toggle one of the bits at random
 - Quit if the badness of any of the solution is zero
 - After all 50 trials, pick the best array as your final answer

A More Realistic Example

- $(x, y) : \{(1, 5) (3, 9)\}$
- $[2 \ 7][1 \ 3]$ (initial random population, where m and c represent genes)
 - $\hat{y} = 2x + 7 = 9$ when x is 1
 - $\hat{y} = 2x + 7 = 13$ when x is 3
 - Badness: $(5 - 9)^2 + (9 - 13)^2 = 4^2 + 4^2 = 32$
- $\hat{y} = 1x + 3 = 4$ when x is 1
- $\hat{y} = 1x + 3 = 6$ when x is 3
- Badness: $(5 - 4)^2 + (9 - 6)^2 = 1^2 + 3^2 = 10$
- Now, let's keep the one with low "badness" $[1 \ 3]$
- Binary representation $[001 \ 011]$
- Apply mutation to generate new arrays $[011 \ 011]$
- Now we have $[1 \ 3] [3 \ 3]$ as the new population considering that we keep the two best individuals

A More Realistic Example

- $(x, y) : \{(1,5) (3, 9)\}$
- $[1\ 3][3\ 3]$ (current population)
 - $\hat{y} = 1x + 3 = 4$ when x is 1
 - $\hat{y} = 1x + 3 = 6$ when x is 3
 - Badness: $(5 - 4)^2 + (9 - 6)^2 = 1 + 9 = 10$
- $\hat{y} = 3x + 3 = 6$ when x is 1
- $\hat{y} = 3x + 3 = 12$ when x is 3
- Badness: $(5 - 6)^2 + (9 - 12)^2 = 1 + 9 = 10$
- Lets keep the $[3\ 3]$
- Representation $[011\ 011]$
- Apply mutation to generate new arrays $[010\ 011]$ i.e. $[2,3]$
- Now we have $[3\ 3]\ [2\ 3]$ as the new population

A More Realistic Example

- $(x, y) : \{(1, 5) (3, 9)\}$
- $[3 \ 3][2 \ 3]$ (current population)
 - $\hat{y} = 3x + 3 = 6$ when x is 1
 - $\hat{y} = 3x + 3 = 12$ when x is 3
 - Badness: $(5 - 6)^2 + (9 - 12)^2 = 1 + 9 = 10$
- $[2 \ 3]$ (solution found)
 - $\hat{y} = 2x + 3 = 5$ when x is 1
 - $\hat{y} = 2x + 3 = 9$ when x is 3
 - Badness: $(5 - 5)^2 + (9 - 9)^2 = 0^2 + 0^2 = 0$
- $y = 2x + 3$
- Note: It is not necessary that the badness must always be zero. It can be some other threshold value as well.

The simple example again

- Suppose your “individuals” are 32-bit computer words, and you want a string in which all the bits are ones
- Here’s how you can do it:
 - Create 100 randomly generated computer words
 - Repeatedly do the following:
 - Count the 1 bits in each word
 - Exit if any of the words have all 32 bits set to 1
 - Keep the 10 words that have the most 1s (discard the rest).
 - From each word, generate 9 new words as follows:
 - Choose one of the words
 - **Take the first half of this word and combine it with the second half of some other word**

The simple example again

- Half from one, half from the other:

A = 0110 1001 0100 1110 / 1010 1101 1011 0101
B = 1101 0100 0101 1010 / 1011 0100 1010 0101

C = 0110 1001 0100 1110 1011 0100 1010 0101

Mutation vs Crossover

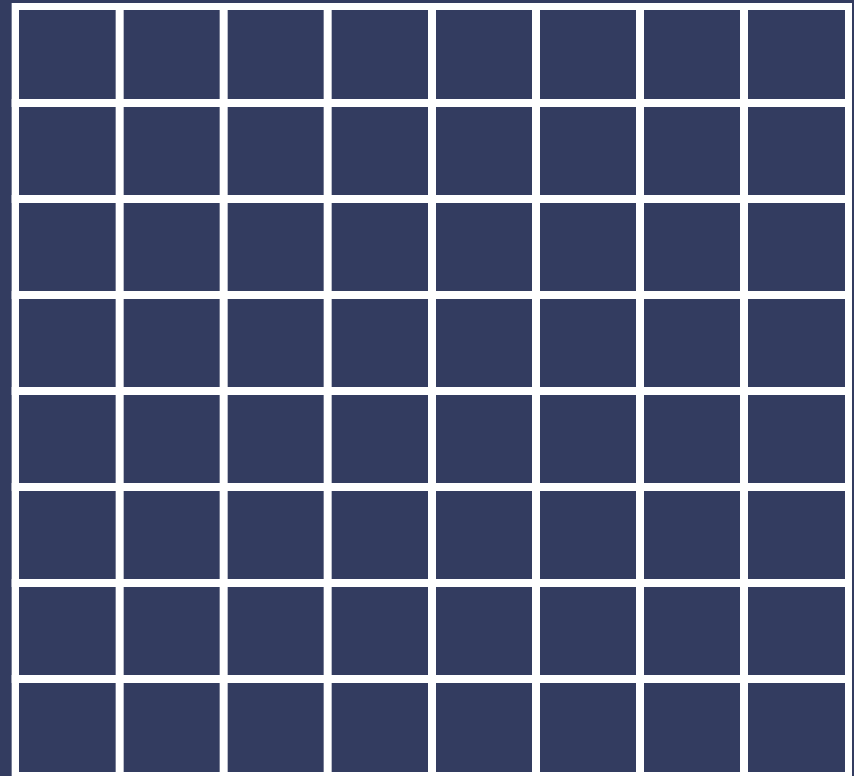
- In the simple example of 32-bit words (trying to get all 1s):
 - The (two-parent, no mutation) approach, if it succeeds, is likely to succeed much faster
 - Because up to half of the bits change each time, not just one bit
 - However, without mutation, it may not succeed at all
 - By pure bad luck, maybe none of the first randomly generated words have (say) bit 17 set to 1
 - Then there is no way a 1 could ever occur in this position as we are not changing individual bits separately
 - Another problem is **lack of genetic diversity**
 - Maybe some of the first generation did have bit 17 set to 1, but none of them were selected for the second generation
- **The best technique in general turns out to be crossover with mutation**

Project - Curve Fitting

- Your formula is $y = ax^5 + bx^4 + cx^3 + dx^2 + ex + f$
- Your “genes” are a, b, c, d, e, and f
- Your “solution”/chromosome is the array [a, b, c, d, e, f]
- Generate a random initial population of likely solutions to the problem
- Apply Genetic Algorithm using Crossover and Mutation both to find the best curve that fits a given data set of (x, y) points

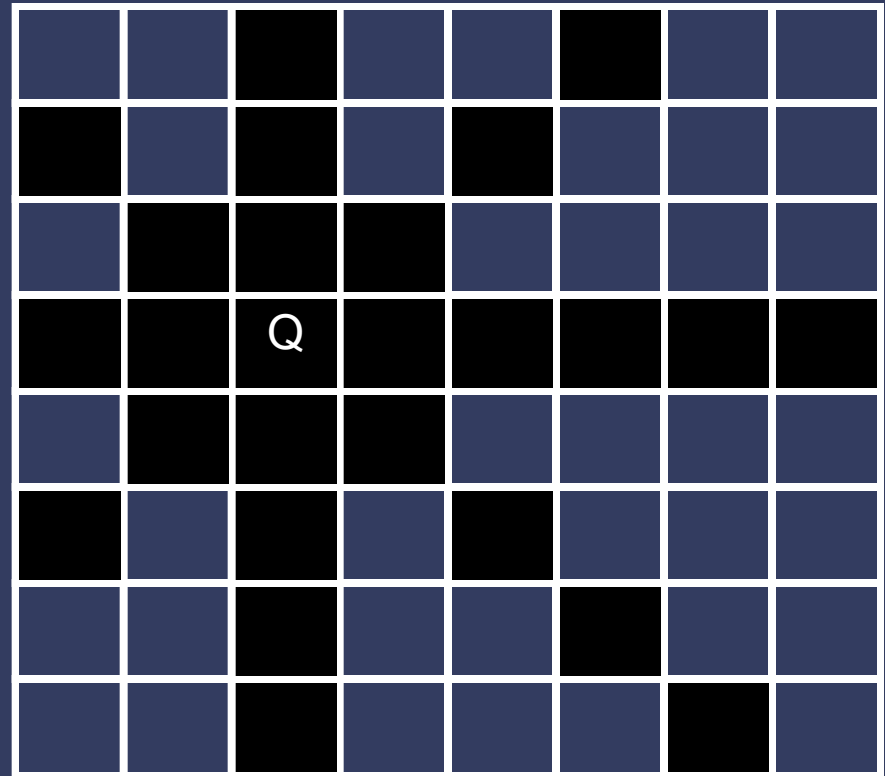
Eight Queens Problem

- The problem is to place 8 queens on a chess board so that none of them can attack the other. A chess board can be considered a plain board with eight columns and eight rows.



Eight Queens Problem

- The possible cells that the Queen can move to when placed in a particular square are shaded



Board Positions

- We need a scheme to denote the board's position at any given time

2	6	8	3	4	5	3	1
---	---	---	---	---	---	---	---

							Q
Q							
			Q			Q	
				Q			
					Q		
	Q						
		Q					

Fitness Function

- Now we need a fitness function, a function by which we can tell which board position is nearer to our goal
- Define a method to rate board positions.
- E.g. count the number of Queens that do not attack others

Fitness Function

Fitness Function:

Q1 can attack NONE

Q2 can attack NONE

Q3 can attack Q6

Q4 can attack Q5

Q5 can attack Q4

Q6 can attack Q5

Q7 can attack Q4

Q8 can attack Q5

							Q8
Q1							
			Q4			Q7	
				Q5			
					Q6		
	Q2						
		Q3					

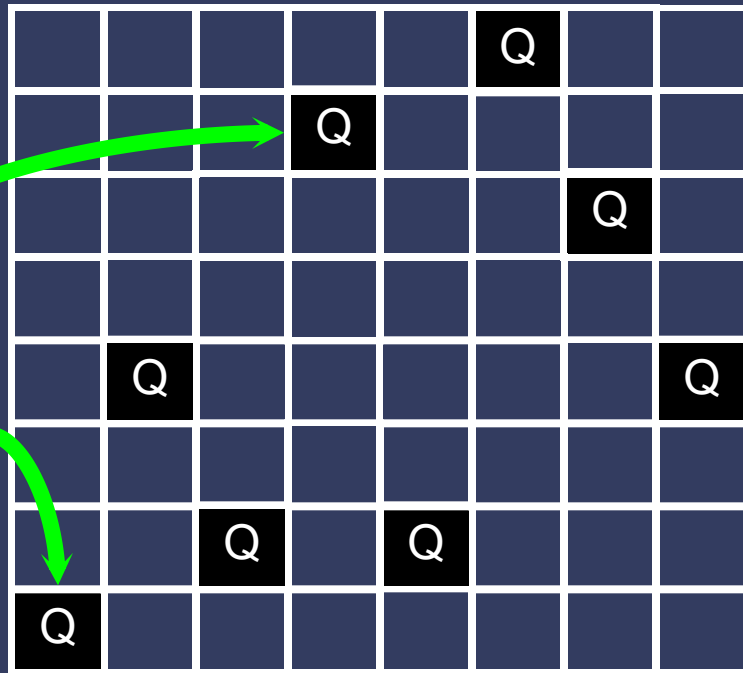
Fitness = No of. Queens that can attack none (Fitness = 2)

Eight Queens Problem

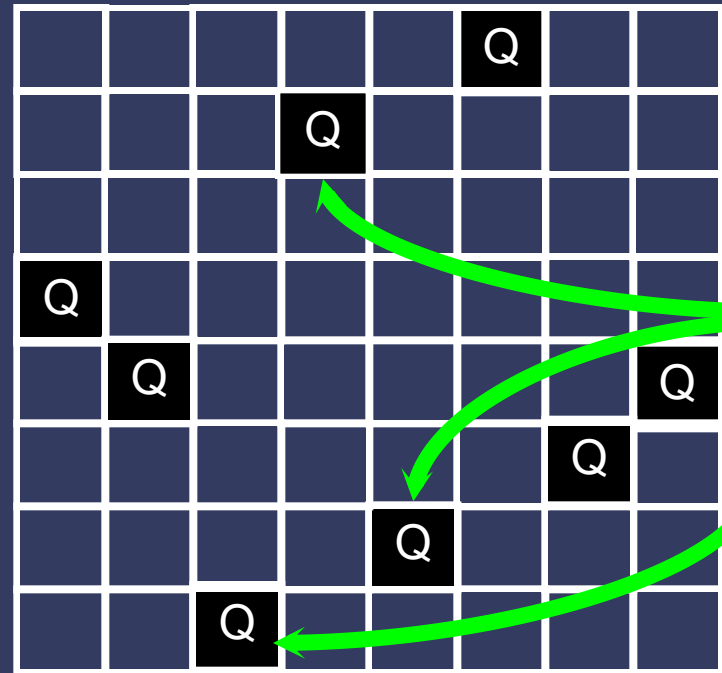
- Choose initial population of board configurations
- Evaluate the fitness of everyone
- Choose the best individuals from the population for crossover

Example

- Suppose the following individuals are chosen for crossover



8 5 7 2 7 1 3 5



4 5 8 2 7 1 6 5

Example

Using Crossover

Parents

8 5 7 2 **7 1 3 5**

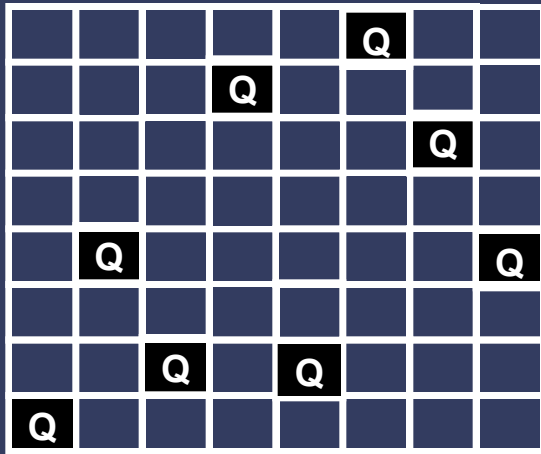
4 5 8 2 **7 1 6 5**

Children

8 5 7 2 **7 1 6 5**

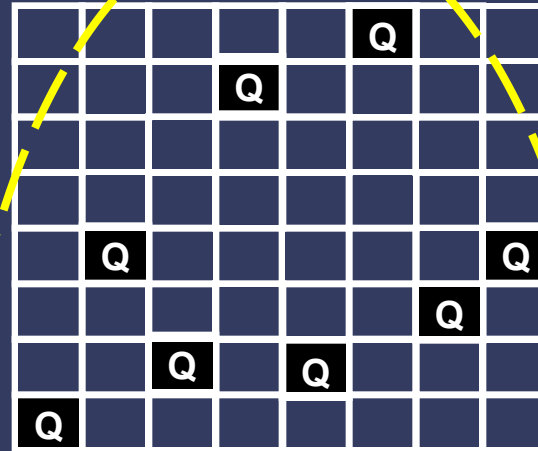
4 5 8 2 **7 1 3 5**

Example



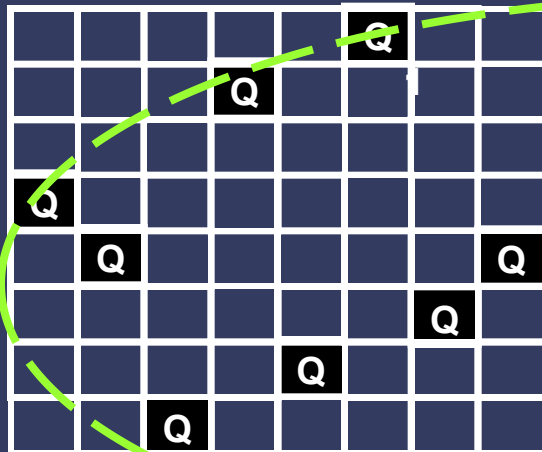
2

8 5 7 2 7 1 3 5



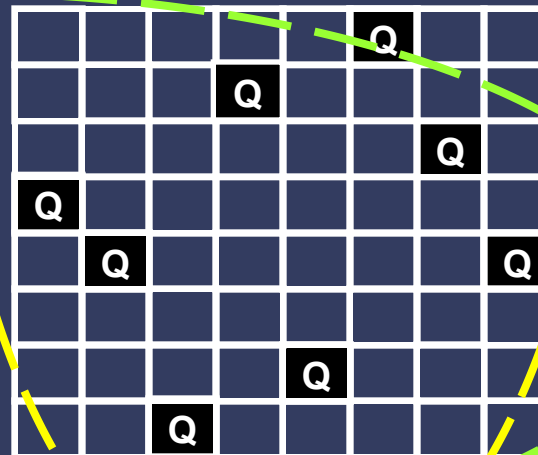
2

8 5 7 2 7 1 6 5



3

4 5 8 2 7 1 6 5



4

4 5 8 2 7 1 3 5

Example

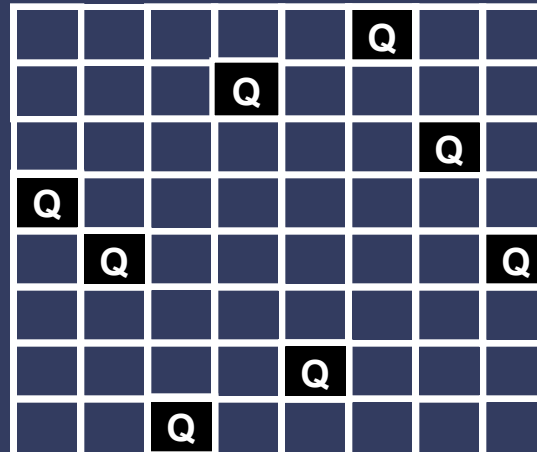
- Mutation, flip bits at random

4 5 8 2 7 1 6 5

0100 0101 1000 0010 0111 0001 0110 0101

0100 0101 1000 0010 0111 0001 0011 0101

4 5 8 2 7 1 3 5



4

4 5 8 2 7 1 3 5

Example

- This process is repeated until an individual with required fitness level is found
- If not found, then the process is repeated further until the overall fitness of the population or any of its individuals gets very close to the required fitness level
- Set threshold for the number of iterations to end the process in finite time

Example

- Solution!

					Q		
			Q				
						Q	
Q							
							Q
	Q						
				Q			
		Q					

8

4 6 8 2 7 1 3 5

Applications

- **Optimization**
- **Economics**
- **Neural Networks**
- **Image Processing**
- **Vehicle routing problems**
- **Scheduling applications**
- **DNA Analysis**
- **Etc.**