

**Kruskal's algorithm to compute MST**

- 1) sort all edges in ascending order of cost(weight)
- 2) add the next edge of the sorted list into a set T unless doing so would create a cycle in T
- 3) T will be the MST once T contains all vertices or  $(|V| - 1)$  edges

a)

We first sort all the edges by weight in ascending order, with E being the set of edges, wgt being the weight of each edge.

E = { (0 – 1), (2 – 5), (0 – 2), (4 – 6), (2 – 3), (6 – 7), (3 – 5), (5 – 6), (4 – 5), (0 – 3), (5 – 7), (3 – 4),  
 wgt: 4      5      6      7      8      9      10      11      14      16      18      21  
 (2 – 7), (1 – 7) }  
      23      24

**# of vertices: 8** (we stop when MST contains all 0 – 7 vertices, or 7 edges)

**# of edges: 14** (total number of edges in the input Graph)

Now that the edges have been sorted in ascending order, our set MST is currently empty:

MST = { }

We will add any edge starting from the smallest weight to MST if the edge does not create a cycle in the current MST.

Step 1:

MST = { }

$e(0 - 1)$  <- current edge, does not create a cycle, add into MST

MST = {  $e(0 - 1)$  }

Step 2:

MST = {  $e(0 - 1)$  }

$e(2 - 5)$  <- current edge, does not create a cycle, add into MST

MST = {  $e(0 - 1)$ ,  $e(2 - 5)$  }

Step 3:

MST = {  $e(0 - 1)$ ,  $e(2 - 5)$  }

$e(0 - 2)$  <- current edge, does not create a cycle, add into MST

MST = {  $e(0 - 1)$ ,  $e(2 - 5)$ ,  $e(0 - 2)$  }

Step 4:

MST = {  $e(0 - 1)$ ,  $e(2 - 5)$ ,  $e(0 - 2)$  }

$e(4 - 6)$  <- current edge, does not create a cycle, add into MST

MST = {  $e(0 - 1)$ ,  $e(2 - 5)$ ,  $e(0 - 2)$ ,  $e(4 - 6)$  }

Step 5:

MST = {  $e(0 - 1)$ ,  $e(2 - 5)$ ,  $e(0 - 2)$ ,  $e(4 - 6)$  }

$e(2-3)$  <- current edge, does not create a cycle, add into MST  
 $MST = \{ e(0-1), e(2-5), e(0-2), e(4-6), e(2-3) \}$

Step 6:

$MST = \{ e(0-1), e(2-5), e(0-2), e(4-6), e(2-3) \}$   
 $e(6-7)$  <- current edge, does not create a cycle, add into MST  
 $MST = \{ e(0-1), e(2-5), e(0-2), e(4-6), e(2-3), e(6-7) \}$

Step 7:

$MST = \{ e(0-1), e(2-5), e(0-2), e(4-6), e(2-3), e(6-7) \}$   
 $e(3-5)$  <- current edge, creates a cycle in MST ( $2-3-5$ ), skip  
 $MST = \{ e(0-1), e(2-5), e(0-2), e(4-6), e(2-3), e(6-7) \}$

Step 8:

$MST = \{ e(0-1), e(2-5), e(0-2), e(4-6), e(2-3), e(6-7) \}$   
 $e(5-6)$  <- current edge, does not create a cycle, add into MST  
 $MST = \{ e(0-1), e(2-5), e(0-2), e(4-6), e(2-3), e(6-7), e(5-6) \}$

The MST is finished since there are now  $(V-1)$  number of edges.

So the MST result is:

$MST = \{ e(0-1), e(2-5), e(0-2), e(4-6), e(2-3), e(6-7), e(5-6) \}$

b)

### Kruskal's MST Correctness Proof

Proposition: Kruskal's algorithm computes the MST

Proof:

Case 1: suppose that adding  $e$  to MST create a cycle

- $e$  is the max-cost edge in cycle  $C$
- $e$  is not in the MST due to the cycle property

Cycle Property: let  $C$  be any cycle in a graph, and let  $f$  be the max-cost edge belonging to  $C$ ,  
then the MST does not contain  $f$

Since the list of edges is sorted, the edge  $f$  will always be the max-cost edge belonging to a cycle in the MST. Same thing can be said with the following case with it instead being a min-cost edge.

Case 2: suppose adding  $e = (v,w)$  to  $T$  does not create a cycle in  $T$

- let  $S$  be the vertices in  $v$ 's connected component
- $w$  is not in  $S$
- $e$  is the min-cost edge with exactly one end point in  $S$
- $e$  is in MST due to the cut property

Cut Property: let  $S$  be any subset of vertices and  $e$  be the min-cost edge with exactly one end point in  $S$ , then the MST contains  $e$

c)

The most efficient data structure that is applicable in the implementation of Kruskal's algorithm is Union-Find (UF). With the following pseudocode for his algorithm, we can analyze the time complexity using the UF class.

The Union-Find(UF) data structure

- maintain a set for each connected component
- if  $v$  and  $w$  are in the same component already, then adding  $e(v, w)$  create a cycle
- if not creating a cycle, to add  $e(v, w)$  to  $T$ , you merge the sets containing  $v$  and  $w$

```
public class Kruskal
{
    private Set<Edge> mst = new HashSet<Edge>();
    public Kruskal(WeightedGraph G)
    {
        Edge [] edges = G.edges();
        Arrays.sort(edges, Edge.BY_WEIGHT);
        UnionFind uf = new UnionFind(G.v());

        for(Edge e: edges())
        {
            if(!uf.find(e.either(), e.other(e.either())))
            {
                mst.add(e);
                uf.unite(e.either(), e.other(e.either()));
            }
        }
    }

    public Iterable<Edge> mst()
    {
        return mst;
    }
}
```

With  $V$  being vertices and  $E$  edges:

- 1) Sorting the array of edges by their weight takes  $O(E \log E)$  with quick sort or merge sort
  - this happens only once, so we add this to the GRF
- 2) The for loop will iterate at worst case scenario, the number of edges  $E$  in the graph.
 
$$\text{GRF} = E + (E \log E)$$
- 3) For each edge we will have to check the addition of the correct edges into the components

This will take  $O(\log V)$  since we only need 1 component with all vertices 1 time through adding and merging. Now our GRF is;

$$\text{GRF} = (E \log V) + (E \log E)$$

$E$  is bigger in worst case scenarios so we can drop first term, however, it all depends on if the edges have been sorted or not. Thus we have two scenarios:

With sorted edges: time complexity is  $O(E \log V)$

Without sorted edges: time complexity is  $O(E \log E)$