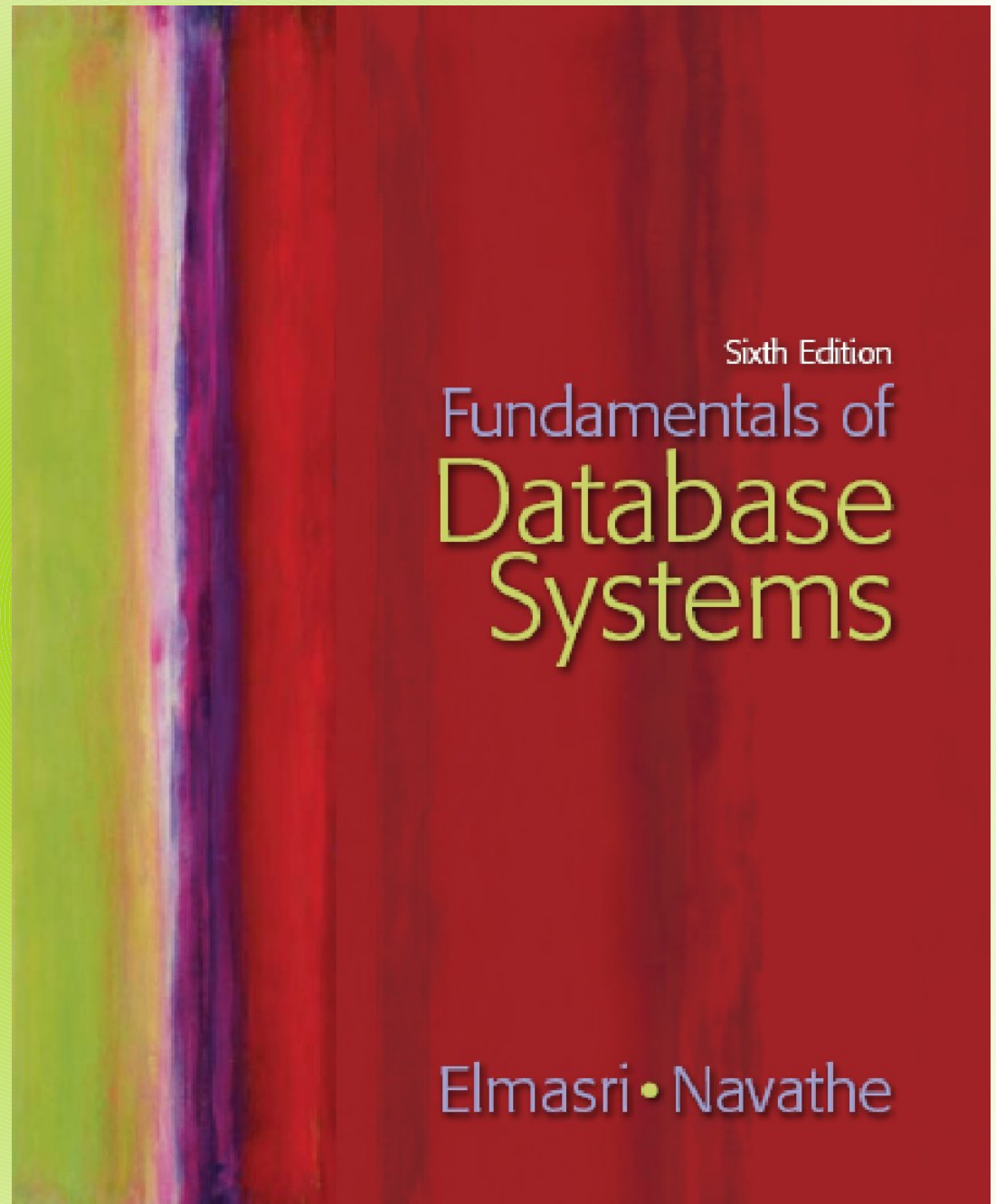


# **Chapter 6**

## **The Relational Algebra and Relational Calculus**



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# Chapter 6 Outline

Unary Relational Operations: SELECT and PROJECT

Relational Algebra Operations from Set Theory

Binary Relational Operations: JOIN and DIVISION

Additional Relational Operations

# Chapter 6 Outline (cont'd.)

Examples of Queries in Relational Algebra

The Tuple Relational Calculus

The Domain Relational Calculus

# Chapter 6 Outline (cont'd.)

Examples of Queries in Relational Algebra

The Tuple Relational Calculus

The Domain Relational Calculus

# The Relational Algebra and Relational Calculus

## **Relational algebra**

Basic set of operations for the relational model

## **Relational algebra expression**

Sequence of relational algebra operations

## **Relational calculus**

Higher-level declarative language for specifying relational queries

# Unary Relational Operations: SELECT and PROJECT

## The SELECT Operation

Subset of the tuples from a relation that satisfies a selection condition:

$$\sigma_{\langle \text{selection condition} \rangle}(R)$$

- Boolean expression contains clauses of the form  
<attribute name> <comparison op> <constant value>  
*or*
- <attribute name> <comparison op> <attribute name>

# Unary Relational Operations: SELECT and PROJECT (cont'd.)

Example:

$\sigma_{(Dno=4 \text{ AND } Salary > 25000) \text{ OR } (Dno=5 \text{ AND } Salary > 30000)}(EMPLOYEE)$

<selection condition> applied  
independently to each individual tuple  $t$  in  $R$

If condition evaluates to TRUE, tuple selected

Boolean conditions **AND**, **OR**, and **NOT**

## Unary

Applied to a single relation



# Unary Relational Operations: SELECT and PROJECT (cont'd.)

## Selectivity

Fraction of tuples selected by a selection condition

SELECT operation commutative

$$\sigma_{\langle \text{cond1} \rangle}(\sigma_{\langle \text{cond2} \rangle}(R)) = \sigma_{\langle \text{cond2} \rangle}(\sigma_{\langle \text{cond1} \rangle}(R))$$

**Cascade** SELECT operations into a single operation with **AND** condition

$$\sigma_{\langle \text{cond1} \rangle}(\sigma_{\langle \text{cond2} \rangle}(\dots(\sigma_{\langle \text{cond}n \rangle}(R)) \dots)) = \sigma_{\langle \text{cond1} \rangle \text{ AND } \langle \text{cond2} \rangle \text{ AND } \dots \text{ AND } \langle \text{cond}n \rangle}(R)$$



# Unary Relational Operations: SELECT and PROJECT (cont'd.)

For example, the following operation:

$\sigma_{Dno=4 \text{ AND } Salary > 25000} (EMPLOYEE)$

would correspond to the following SQL query:

```
SELECT      *  
FROM        EMPLOYEE  
WHERE       Dno=4 AND Salary>25000;
```

# The PROJECT Operation

Selects columns from table and discards the other columns:

$$\pi_{\langle \text{attribute list} \rangle}(R)$$

$$\pi_{\text{Lname, Fname, Salary}}(\text{EMPLOYEE})$$

## Degree

Number of attributes in  $\langle \text{attribute list} \rangle$

## Duplicate elimination

Result of PROJECT operation is a set of distinct tuples

# The PROJECT Operation

In SQL, the PROJECT attribute list is specified in the SELECT clause of a query. For example, the following operation:

$$\pi_{\text{Sex, Salary}}(\text{EMPLOYEE})$$

would correspond to the following SQL query:

```
SELECT    DISTINCT Sex, Salary
FROM      EMPLOYEE
```

**Figure 6.1**

Results of SELECT and PROJECT operations. (a)  $\sigma_{(Dno=4 \text{ AND } Salary > 25000) \text{ OR } (Dno=5 \text{ AND } Salary > 30000)}(EMPLOYEE)$ .  
 (b)  $\pi_{Lname, Fname, Salary}(EMPLOYEE)$ . (c)  $\pi_{Sex, Salary}(EMPLOYEE)$ .

**(a)**

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
Franklin	T	Wong	333445555	1955-12-08	638 Voss, Houston, TX	M	40000	888665555	5
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	M	38000	333445555	5

**(b)**

Lname	Fname	Salary
Smith	John	30000
Wong	Franklin	40000
Zelaya	Alicia	25000
Wallace	Jennifer	43000
Narayan	Ramesh	38000
English	Joyce	25000
Jabbar	Ahmad	25000
Borg	James	55000

**(c)**

Sex	Salary
M	30000
M	40000
F	25000
F	43000
M	38000
M	25000
M	55000

# Sequences of Operations and the RENAME Operation

**In-line expression:**

$$\pi_{\text{Fname, Lname, Salary}}(\sigma_{\text{Dno}=5}(\text{EMPLOYEE}))$$

**Sequence of operations:**

$$\begin{aligned}\text{DEP5\_EMPS} &\leftarrow \sigma_{\text{Dno}=5}(\text{EMPLOYEE}) \\ \text{RESULT} &\leftarrow \pi_{\text{Fname, Lname, Salary}}(\text{DEP5\_EMPS})\end{aligned}$$

**Rename** attributes in intermediate results

RENAME operation

$$\begin{aligned}\text{TEMP} &\leftarrow \sigma_{\text{Dno}=5}(\text{EMPLOYEE}) \\ R(\text{First\_name, Last\_name, Salary}) &\leftarrow \pi_{\text{Fname, Lname, Salary}}(\text{TEMP})\end{aligned}$$
$$\rho_{S(B_1, B_2, \dots, B_n)}(R) \quad \text{or} \quad \rho_S(R) \quad \text{or} \quad \rho_{(B_1, B_2, \dots, B_n)}(R)$$

# Sequences of Operations and the RENAME Operation

(a)

Fname	Lname	Salary
John	Smith	30000
Franklin	Wong	40000
Ramesh	Narayan	38000
Joyce	English	25000

**Figure 6.2**

Results of a sequence of operations. (a)  $\pi_{\text{Fname, Lname, Salary}}(\sigma_{\text{Dno}=5}(\text{EMPLOYEE}))$ . (b) Using intermediate relations and renaming of attributes.

(b)

TEMP

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	B	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	M	30000	333445555	5
Franklin	T	Wong	333445555	1955-12-08	638 Voss, Houston, TX	M	40000	888665555	5
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	M	38000	333445555	5
Joyce	A	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5

R

First_name	Last_name	Salary
John	Smith	30000
Franklin	Wong	40000
Ramesh	Narayan	38000
Joyce	English	25000

# Relational Algebra Operations from Set Theory

## **UNION, INTERSECTION, and MINUS**

Merge the elements of two sets in various ways

Binary operations

Relations must have the same type of tuples

### **UNION**

$$R \cup S$$

Includes all tuples that are either in  $R$  or in  $S$  or in both  $R$  and  $S$

Duplicate tuples eliminated



# Relational Algebra Operations from Set Theory

```
DEP5_EMPS  $\leftarrow \sigma_{Dno=5}(EMPLOYEE)$   
RESULT1  $\leftarrow \pi_{Ssn}(DEP5\_EMPS)$   
RESULT2(Ssn)  $\leftarrow \pi_{Super\_ssn}(DEP5\_EMPS)$   
RESULT  $\leftarrow RESULT1 \cup RESULT2$ 
```

**RESULT1**

Ssn
123456789
333445555
666884444
453453453

**RESULT2**

Ssn
333445555
888665555

**RESULT**

Ssn
123456789
333445555
666884444
453453453
888665555

**Figure 6.3**

Result of the UNION operation  
 $RESULT \leftarrow RESULT1 \cup RESULT2$ .

# Relational Algebra Operations from Set Theory (cont'd.)

## INTERSECTION

$$R \cap S$$

Includes all tuples that are in both  $R$  and  $S$

## SET DIFFERENCE (or MINUS)

$$R - S$$

Includes all tuples that are in  $R$  but not in  $S$

# Relational Algebra Operations from Set Theory (cont'd.)

**Figure 6.4**

The set operations UNION, INTERSECTION, and MINUS. (a) Two union-compatible relations. (b)  $STUDENT \cup INSTRUCTOR$ . (c)  $STUDENT \cap INSTRUCTOR$ . (d)  $STUDENT - INSTRUCTOR$ . (e)  $INSTRUCTOR - STUDENT$ .

(a) **STUDENT**

Fn	Ln
Susan	Yao
Ramesh	Shah
Johnny	Kohler
Barbara	Jones
Amy	Ford
Jimmy	Wang
Ernest	Gilbert

**INSTRUCTOR**

Fname	Lname
John	Smith
Ricardo	Browne
Susan	Yao
Francis	Johnson
Ramesh	Shah

(b)

Fn	Ln
Susan	Yao
Ramesh	Shah
Johnny	Kohler
Barbara	Jones
Amy	Ford
Jimmy	Wang
Ernest	Gilbert
John	Smith
Ricardo	Browne
Francis	Johnson

(c)

Fn	Ln
Susan	Yao
Ramesh	Shah

(d)

Fn	Ln
Johnny	Kohler
Barbara	Jones
Amy	Ford
Jimmy	Wang
Ernest	Gilbert

(e)

Fname	Lname
John	Smith
Ricardo	Browne
Francis	Johnson

# The CARTESIAN PRODUCT (CROSS PRODUCT) Operation

## CARTESIAN PRODUCT

### CROSS PRODUCT or CROSS JOIN

Denoted by  $\times$

Binary set operation

Relations do not have to be union compatible

Useful when followed by a selection that matches values of attributes

$$R(A_1, A_2, \dots, A_n) \times S(B_1, B_2, \dots, B_m) \longrightarrow Q(A_1, A_2, \dots, A_n, B_1, B_2, \dots, B_m),$$

$FEMALE\_EMPS \leftarrow \sigma_{Sex='F'}(EMPLOYEE)$   
 $EMP\_NAMES \leftarrow \pi_{Fname, Lname, Ssn}(FEMALE\_EMPS)$   
 $EMP\_DEPENDENTS \leftarrow EMP\_NAMES \times DEPENDENT$   
 $ACTUAL\_DEPENDENTS \leftarrow \sigma_{Ssn=Essn}(EMP\_DEPENDENTS)$   
 $RESULT \leftarrow \pi_{Fname, Lname, Dependent\_name}(ACTUAL\_DEPENDENTS)$

**FEMALE\_EMPS**

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
Alicia	J	Zelaya	999887777	1968-07-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Joyce	A	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5

**EMP\_NAMES**

Fname	Lname	Ssn
Alicia	Zelaya	999887777
Jennifer	Wallace	987654321
Joyce	English	453453453

**EMP\_DEPENDENTS**

Fname	Lname	Ssn	Essn	Dependent_name	Sex	Bdate	...
Alicia	Zelaya	999887777	333445555	Alice	F	1986-04-05	...
Alicia	Zelaya	999887777	333445555	Theodore	M	1983-10-25	...
Alicia	Zelaya	999887777	333445555	Joy	F	1958-05-03	...
Alicia	Zelaya	999887777	987654321	Abner	M	1942-02-28	...
Alicia	Zelaya	999887777	123456789	Michael	M	1988-01-04	...
Alicia	Zelaya	999887777	123456789	Alice	F	1988-12-30	...
Alicia	Zelaya	999887777	123456789	Elizabeth	F	1967-05-05	...
Jennifer	Wallace	987654321	333445555	Alice	F	1986-04-05	...
Jennifer	Wallace	987654321	333445555	Theodore	M	1983-10-25	...
Jennifer	Wallace	987654321	333445555	Joy	F	1958-05-03	...
Jennifer	Wallace	987654321	987654321	Abner	M	1942-02-28	...
Jennifer	Wallace	987654321	123456789	Michael	M	1988-01-04	...
Jennifer	Wallace	987654321	123456789	Alice	F	1988-12-30	...
Jennifer	Wallace	987654321	123456789	Elizabeth	F	1967-05-05	...
Joyce	English	453453453	333445555	Alice	F	1986-04-05	...
Joyce	English	453453453	333445555	Theodore	M	1983-10-25	...
Joyce	English	453453453	333445555	Joy	F	1958-05-03	...
Joyce	English	453453453	987654321	Abner	M	1942-02-28	...
Joyce	English	453453453	123456789	Michael	M	1988-01-04	...
Joyce	English	453453453	123456789	Alice	F	1988-12-30	...
Joyce	English	453453453	123456789	Elizabeth	F	1967-05-05	...

**RESULT**

Fname	Lname	Dependent_name
Jennifer	Wallace	Abner

**ACTUAL\_DEPENDENTS**

Fname	Lname	Ssn	Essn	Dependent_name	Sex	Bdate	...
Jennifer	Wallace	987654321	987654321	Abner	M	1942-02-28	...

**Figure 6.5**

The Cartesian Product (Cross Product) operation.

# Binary Relational Operations: JOIN and DIVISION

## The **JOIN** Operation

Denoted by  $\bowtie$

$$R \bowtie \langle \text{join condition} \rangle S$$

Combine related tuples from two relations into single “longer” tuples

General join condition of the form  $\langle \text{condition} \rangle$   
**AND**  $\langle \text{condition} \rangle$  **AND...AND**  $\langle \text{condition} \rangle$

Example:

$$\begin{aligned} \text{DEPT\_MGR} &\leftarrow \text{DEPARTMENT} \bowtie_{\text{Mgr\_ssn}=\text{Ssn}} \text{EMPLOYEE} \\ \text{RESULT} &\leftarrow \pi_{\text{Dname, Lname, Fname}}(\text{DEPT\_MGR}) \end{aligned}$$

# Binary Relational Operations: JOIN and DIVISION

$\text{DEPT\_MGR} \leftarrow \text{DEPARTMENT} \bowtie_{\text{Mgr\_ssn}=\text{Ssn}} \text{EMPLOYEE}$   
 $\text{RESULT} \leftarrow \pi_{\text{Dname, Lname, Fname}}(\text{DEPT\_MGR})$

**DEPT\_MGR**

Dname	Dnumber	Mgr_ssn	...	Fname	Minit	Lname	Ssn	...
Research	5	333445555	...	Franklin	T	Wong	333445555	...
Administration	4	987654321	...	Jennifer	S	Wallace	987654321	...
Headquarters	1	888665555	...	James	E	Borg	888665555	...

**Figure 6.6**

Result of the JOIN operation  $\text{DEPT\_MGR} \leftarrow \text{DEPARTMENT} \bowtie_{\text{Mgr\_ssn}=\text{Ssn}} \text{EMPLOYEE}$ .



# Binary Relational Operations: JOIN and DIVISION (cont'd.)

## THETA JOIN

Each <condition> of the form  $A_i \theta B_j$

$A_i$  is an attribute of  $R$

$B_j$  is an attribute of  $S$

$A_i$  and  $B_j$  have the same domain

$\theta$  (theta) is one of the comparison operators:

- $\{=, <, \leq, >, \geq, \neq\}$

# Variations of JOIN: The EQUIJOIN and NATURAL JOIN

## **EQUIJOIN**

Only = comparison operator used

Always have one or more pairs of attributes that have identical values in every tuple

## **NATURAL JOIN**

Denoted by \*

Removes second (superfluous) attribute in an EQUIJOIN condition

# Variations of JOIN: The EQUIJOIN and NATURAL JOIN

$\text{PROJ\_DEPT} \leftarrow \text{PROJECT} \bowtie \rho_{(\text{Dname}, \text{Dnum}, \text{Mgr\_ssn}, \text{Mgr\_start\_date})}(\text{DEPARTMENT})$

=

$\text{DEPT} \leftarrow \rho_{(\text{Dname}, \text{Dnum}, \text{Mgr\_ssn}, \text{Mgr\_start\_date})}(\text{DEPARTMENT})$   
 $\text{PROJ\_DEPT} \leftarrow \text{PROJECT} \bowtie \text{DEPT}$

**PROJ\_DEPT**

Pname	<u>Pnumber</u>	Plocation	Dnum	Dname	Mgr_ssn	Mgr_start_date
ProductX	1	Bellaire	5	Research	333445555	1988-05-22
ProductY	2	Sugarland	5	Research	333445555	1988-05-22
ProductZ	3	Houston	5	Research	333445555	1988-05-22
Computerization	10	Stafford	4	Administration	987654321	1995-01-01
Reorganization	20	Houston	1	Headquarters	888665555	1981-06-19
Newbenefits	30	Stafford	4	Administration	987654321	1995-01-01

# Variations of JOIN: The EQUIJOIN and NATURAL JOIN

DEPT\_LOCS  $\leftarrow$  DEPARTMENT \* DEPT\_LOCATIONS

DEPT\_LOCS

Dname	Dnumber	Mgr_ssn	Mgr_start_date	Location
Headquarters	1	888665555	1981-06-19	Houston
Administration	4	987654321	1995-01-01	Stafford
Research	5	333445555	1988-05-22	Bellaire
Research	5	333445555	1988-05-22	Sugarland
Research	5	333445555	1988-05-22	Houston

# Variations of JOIN: The EQUIJOIN and NATURAL JOIN (cont'd.)

## Join selectivity

Expected size of join result divided by the maximum size  $n_R * n_S$

## Inner joins

Type of match and combine operation

Defined formally as a combination of CARTESIAN PRODUCT and SELECTION

# A Complete Set of Relational Algebra Operations

Set of relational algebra operations  $\{\sigma, \pi, \cup, \rho, -, \times\}$  is a **complete set**

Any relational algebra operation can be expressed as a sequence of operations from this set

$$R \cap S \equiv (R \cup S) - ((R - S) \cup (S - R))$$

$$R \bowtie_{\langle \text{condition} \rangle} S \equiv \sigma_{\langle \text{condition} \rangle} (R \times S)$$

# The DIVISION Operation

Denoted by  $\div$

Example: retrieve the names of employees who work on all the projects that 'John Smith' works on

Apply to relations  $R(Z) \div S(X)$

Attributes of  $S$  are a subset of the attributes of  $R$



$SMITH \leftarrow \sigma_{Fname='John' \text{ AND } Lname='Smith'}(EMPLOYEE)$   
 $SMITH\_PNOS \leftarrow \pi_{Pno}(WORKS\_ON \bowtie_{Essn=Ssn} SMITH)$

$SSN\_PNOS \leftarrow \pi_{Essn, Pno}(WORKS\_ON)$

$SSNS(Ssn) \leftarrow SSN\_PNOS \div SMITH\_PNOS$   
 $RESULT \leftarrow \pi_{Fname, Lname}(SSNS * EMPLOYEE)$

**Figure 6.8**

The DIVISION operation. (a) Dividing SSN\_PNOS by SMITH\_PNOS. (b)  $T \leftarrow R \div S$ .

<b>(a)</b>		<b>(b)</b>	
<b>SSN_PNOS</b>		<b>R</b>	
<b>Essn</b>	<b>Pno</b>	<b>A</b>	<b>B</b>
123456789	1	a1	b1
123456789	2	a2	b1
666884444	3	a3	b1
453453453	1	a4	b1
453453453	2	a1	b2
333445555	2	a3	b2
333445555	3	a2	b3
333445555	10	a3	b3
333445555	20	a4	b3
999887777	30	a1	b4
999887777	10	a2	b4
987987987	10	a3	b4
987987987	30		
987654321	30		
987654321	20		
888665555	20		

<b>SMITH_PNOS</b>		<b>S</b>	
<b>Pno</b>		<b>A</b>	
1		a1	
2		a2	
		a3	

<b>SSNS</b>		<b>T</b>	
<b>Ssn</b>		<b>B</b>	
123456789		b1	
453453453		b4	

# Operations of Relational Algebra

**Table 6.1** Operations of Relational Algebra

OPERATION	PURPOSE	NOTATION
SELECT	Selects all tuples that satisfy the selection condition from a relation $R$ .	$\sigma_{\langle \text{selection condition} \rangle}(R)$
PROJECT	Produces a new relation with only some of the attributes of $R$ , and removes duplicate tuples.	$\pi_{\langle \text{attribute list} \rangle}(R)$
THETA JOIN	Produces all combinations of tuples from $R_1$ and $R_2$ that satisfy the join condition.	$R_1 \bowtie_{\langle \text{join condition} \rangle} R_2$
EQUIJOIN	Produces all the combinations of tuples from $R_1$ and $R_2$ that satisfy a join condition with only equality comparisons.	$R_1 \bowtie_{\langle \text{join condition} \rangle} R_2$ , OR $R_1 \bowtie_{(\langle \text{join attributes 1} \rangle), (\langle \text{join attributes 2} \rangle)} R_2$
NATURAL JOIN	Same as EQUIJOIN except that the join attributes of $R_2$ are not included in the resulting relation; if the join attributes have the same names, they do not have to be specified at all.	$R_1 \star_{\langle \text{join condition} \rangle} R_2$ , OR $R_1 \star_{(\langle \text{join attributes 1} \rangle), (\langle \text{join attributes 2} \rangle)} R_2$ OR $R_1 \star R_2$

# Operations of Relational Algebra (cont'd.)

**Table 6.1** Operations of Relational Algebra

UNION	Produces a relation that includes all the tuples in $R_1$ or $R_2$ or both $R_1$ and $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 \cup R_2$
INTERSECTION	Produces a relation that includes all the tuples in both $R_1$ and $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 \cap R_2$
DIFFERENCE	Produces a relation that includes all the tuples in $R_1$ that are not in $R_2$ ; $R_1$ and $R_2$ must be union compatible.	$R_1 - R_2$
CARTESIAN PRODUCT	Produces a relation that has the attributes of $R_1$ and $R_2$ and includes as tuples all possible combinations of tuples from $R_1$ and $R_2$ .	$R_1 \times R_2$
DIVISION	Produces a relation $R(X)$ that includes all tuples $t[X]$ in $R_1(Z)$ that appear in $R_1$ in combination with every tuple from $R_2(Y)$ , where $Z = X \cup Y$ .	$R_1(Z) \div R_2(Y)$

# Notation for Query Trees

## Query tree

Represents the input relations of query as leaf nodes of the tree

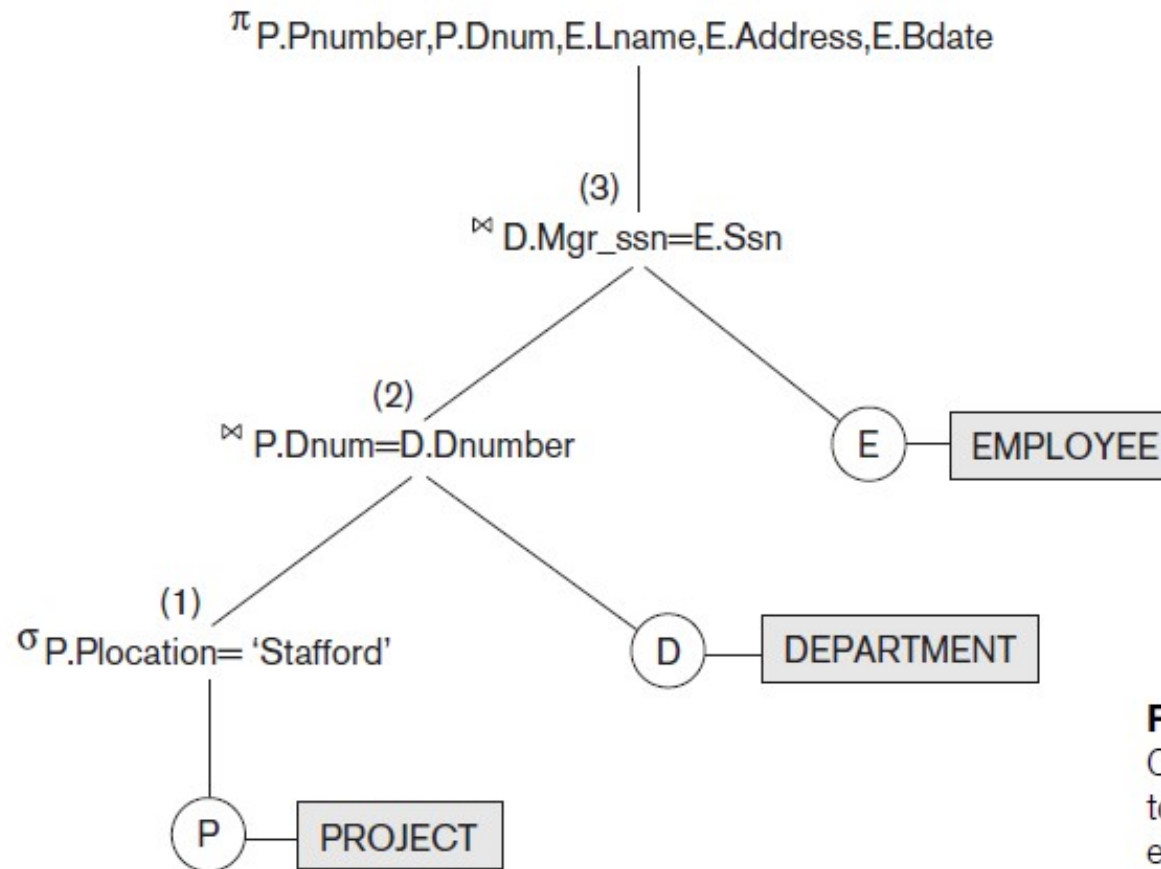
Represents the relational algebra operations as internal nodes

**Query 2.** For every project located in 'Stafford', list the project number, the controlling department number, and the department manager's last name, address, and birth date.

```

STAFFORD_PROJS  $\leftarrow \sigma_{Plocation='Stafford'}(PROJECT)$ 
CONTR_DEPTS  $\leftarrow (STAFFORD\_PROJS \bowtie_{Dnum=Dnumber} DEPARTMENT)$ 
PROJ_DEPT_MGRS  $\leftarrow (CONTR\_DEPTS \bowtie_{Mgr\_ssn=Ssn} EMPLOYEE)$ 
RESULT  $\leftarrow \pi_{Pnumber, Dnum, Lname, Address, Bdate}(PROJ\_DEPT\_MGRS)$ 

```



**Figure 6.9**

Query tree corresponding to the relational algebra expression for Q2.

# Additional Relational Operations

## Generalized projection

Allows functions of attributes to be included in the projection list

$$\pi_{F_1, F_2, \dots, F_n}(R)$$

Example:

EMPLOYEE (Ssn, Salary, Deduction, Years\_service)

REPORT  $\leftarrow \rho_{(Ssn, Net\_salary, Bonus, Tax)}(\pi_{Ssn, Salary - Deduction, 2000 * Years\_service, 0.25 * Salary}(EMPLOYEE)).$

## Aggregate functions and grouping

Common functions applied to collections of numeric values

Include SUM, AVERAGE, MAXIMUM, and MINIMUM,  
COUNT

# Additional Relational Operations (cont'd.)

Group tuples by the value of some of their attributes

Apply aggregate function independently to each group

$$\langle \text{grouping attributes} \rangle \mathfrak{J} \langle \text{function list} \rangle (R)$$



### Figure 6.10

The aggregate function operation.

- a.  $\rho_{R(Dno, No\_of\_employees, Average\_sal)}(Dno \int COUNT Ssn, AVERAGE Salary(EMPLOYEE)).$
- b.  $Dno \int COUNT Ssn, AVERAGE Salary(EMPLOYEE).$
- c.  $\int COUNT Ssn, AVERAGE Salary(EMPLOYEE).$

R

(a)

Dno	No_of_employees	Average_sal
5	4	33250
4	3	31000
1	1	55000

(b)

Dno	Count_ssn	Average_salary
5	4	33250
4	3	31000
1	1	55000

(c)

Count_ssn	Average_salary
8	35125

<sup>8</sup>Note that this is an arbitrary notation we are suggesting. There is no standard notation.

# Recursive Closure Operations

Operation applied to a **recursive relationship** between tuples of same type

to specify the Ssn s of all employees e' directly supervised—at level one—by the employee e whose name is 'James Borg

```
BORG_SSN  $\leftarrow \pi_{Ssn}(\sigma_{Fname='James' \text{ AND } Lname='Borg'}(EMPLOYEE))$   
SUPERVISION(Ssn1, Ssn2)  $\leftarrow \pi_{Ssn, Super\_ssn}(EMPLOYEE)$   
RESULT1(Ssn)  $\leftarrow \pi_{Ssn1}(SUPERVISION \bowtie_{Ssn2=Ssn} BORG\_SSN)$ 
```

to retrieve all employees supervised by Borg at level 2—that is, all employees e'' supervised by some employee e' who is directly supervised by Borg

```
RESULT2(Ssn)  $\leftarrow \pi_{Ssn1}(SUPERVISION \bowtie_{Ssn2=Ssn} RESULT1)$ 
```

## SUPERVISION

(Borg's Ssn is 888665555)

(Ssn) (Super\_ssn)

Ssn1	Ssn2
123456789	333445555
333445555	888665555
999887777	987654321
987654321	888665555
666884444	333445555
453453453	333445555
987987987	987654321
888665555	null

### RESULT1

Ssn
333445555
987654321

(Supervised by Borg)

### RESULT2

Ssn
123456789
999887777
666884444
453453453
987987987

(Supervised by  
Borg's subordinates)

### RESULT

Ssn
123456789
999887777
666884444
453453453
987987987
333445555
987654321

(RESULT1  $\cup$  RESULT2)

**Figure 6.11**

A two-level recursive query.

# OUTER JOIN Operations

## Outer joins

Keep all tuples in  $R$ , or all those in  $S$ , or all those in both relations regardless of whether or not they have matching tuples in the other relation

## Types

- LEFT OUTER JOIN, RIGHT OUTER JOIN, FULL OUTER JOIN

**Example**  $TEMP \leftarrow (EMPLOYEE \bowtie_{Ssn=Mgr\_ssn} DEPARTMENT)$

$RESULT \leftarrow \pi_{Fname, Minit, Lname, Dname}(TEMP)$

# OUTER JOIN Operations

TEMP  $\leftarrow$  (EMPLOYEE  $\bowtie_{\text{Ssn}=\text{Mgr\_ssn}}$  DEPARTMENT)  
RESULT  $\leftarrow \pi_{\text{Fname, Minit, Lname, Dname}}(\text{TEMP})$

**Figure 6.12**

The result of a LEFT OUTER JOIN operation.

**RESULT**

Fname	Minit	Lname	Dname
John	B	Smith	NULL
Franklin	T	Wong	Research
Alicia	J	Zelaya	NULL
Jennifer	S	Wallace	Administration
Ramesh	K	Narayan	NULL
Joyce	A	English	NULL
Ahmad	V	Jabbar	NULL
James	E	Borg	Headquarters

# The OUTER UNION Operation

Take union of tuples from two relations that have some common attributes

Not union (type) compatible

## **Partially compatible**

All tuples from both relations included in the result

Tuples with the same value combination will appear only once

STUDENT(Name, Ssn, Department, Advisor)

INSTRUCTOR(Name, Ssn, Department, Rank)

STUDENT\_OR\_INSTRUCTOR(Name, Ssn, Department, Advisor, Rank)

# Examples of Queries in Relational Algebra

**Query 1.** Retrieve the name and address of all employees who work for the 'Research' department.

```
RESEARCH_DEPT  $\leftarrow \sigma_{Dname='Research'}(DEPARTMENT)$   
RESEARCH_EMPS  $\leftarrow (RESEARCH\_DEPT \bowtie_{Dnumber=Dno} EMPLOYEE)$   
RESULT  $\leftarrow \pi_{Fname, Lname, Address}(RESEARCH\_EMPS)$ 
```

As a single in-line expression, this query becomes:

```
 $\pi_{Fname, Lname, Address}(\sigma_{Dname='Research'}(DEPARTMENT \bowtie_{Dnumber=Dno}(EMPLOYEE)))$ 
```



# Examples of Queries in Relational Algebra (cont'd.)

**Query 2.** For every project located in 'Stafford', list the project number, the controlling department number, and the department manager's last name, address, and birth date.

```
STAFFORD_PROJS  $\leftarrow \sigma_{Plocation='Stafford'}(PROJECT)$   
CONTR_DEPTS  $\leftarrow (STAFFORD\_PROJS \bowtie_{Dnum=Dnumber} DEPARTMENT)$   
PROJ_DEPT_MGRS  $\leftarrow (CONTR\_DEPTS \bowtie_{Mgr\_ssn=Ssn} EMPLOYEE)$   
RESULT  $\leftarrow \pi_{Pnumber, Dnum, Lname, Address, Bdate}(PROJ\_DEPT\_MGRS)$ 
```

**Query 3.** Find the names of employees who work on *all* the projects controlled by department number 5.

```
DEPT5_PROJS  $\leftarrow \rho_{(Pno)}(\pi_{Pnumber}(\sigma_{Dnum=5}(PROJECT)))$   
EMP_PROJ  $\leftarrow \rho_{(Ssn, Pno)}(\pi_{Essn, Pno}(WORKS\_ON))$   
RESULT_EMP_SSNS  $\leftarrow EMP\_PROJ \div DEPT5\_PROJS$   
RESULT  $\leftarrow \pi_{Lname, Fname}(RESULT\_EMP\_SSNS * EMPLOYEE)$ 
```



# Examples of Queries in Relational Algebra (cont'd.)

**Query 6.** Retrieve the names of employees who have no dependents.

This is an example of the type of query that uses the MINUS (SET DIFFERENCE) operation.

```
ALL_EMPS  $\leftarrow \pi_{Ssn}(\text{EMPLOYEE})$   
EMPS_WITH_DEPS(Ssn)  $\leftarrow \pi_{Essn}(\text{DEPENDENT})$   
EMPS_WITHOUT_DEPS  $\leftarrow (\text{ALL\_EMPS} - \text{EMPS\_WITH\_DEPS})$   
RESULT  $\leftarrow \pi_{Lname, Fname}(\text{EMPS\_WITHOUT\_DEPS} \times \text{EMPLOYEE})$ 
```

**Query 7.** List the names of managers who have at least one dependent.

```
MGRS(Ssn)  $\leftarrow \pi_{Mgr\_ssn}(\text{DEPARTMENT})$   
EMPS_WITH_DEPS(Ssn)  $\leftarrow \pi_{Essn}(\text{DEPENDENT})$   
MGRS_WITH_DEPS  $\leftarrow (\text{MGRS} \cap \text{EMPS\_WITH\_DEPS})$   
RESULT  $\leftarrow \pi_{Lname, Fname}(\text{MGRS\_WITH\_DEPS} \times \text{EMPLOYEE})$ 
```

# Summary

Formal languages for relational model of data:

Relational algebra: operations, unary and binary operators

Some queries cannot be stated with basic relational algebra operations

- But are important for practical use