Non-regular languages

(Pumping Lemma)

Non-regular languages

$$\{a^n b^n : \quad n \geq 0\}$$

$$\{vv^R : \quad v \in \{a,b\}^*\}$$

Regular languages

$$a^*b$$

$$b^*c + a$$

$$b + c(a+b)^*$$

etc...

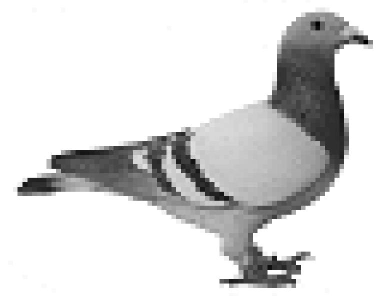
How can we prove that a language L is not regular?

Prove that there is no DFA or NFA or RE that accepts L

Difficulty: this is not easy to prove

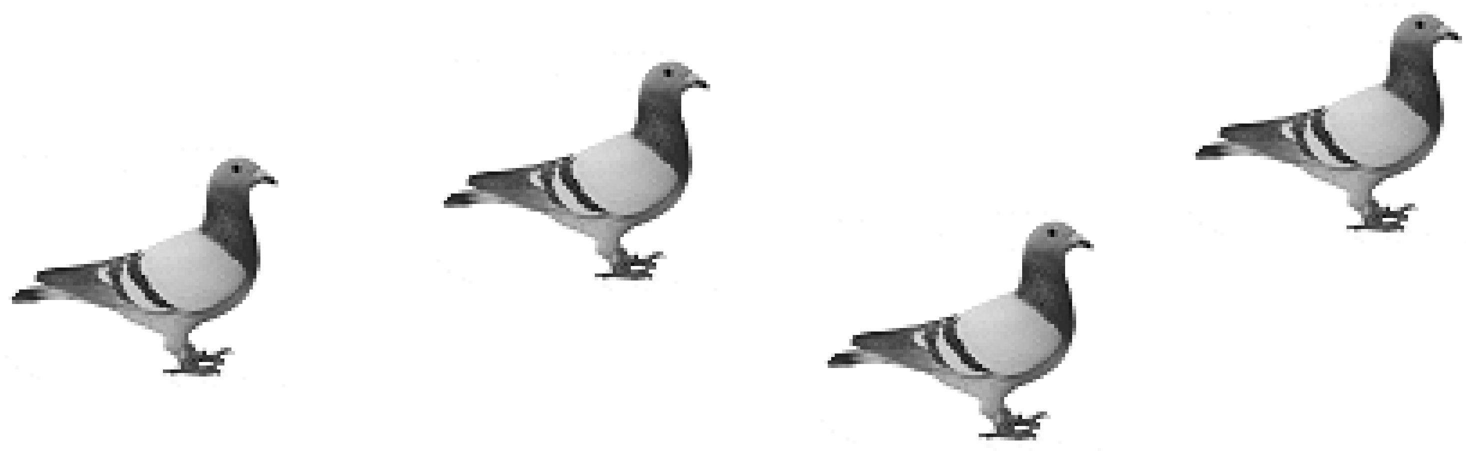
(since there is an infinite number of them)

Solution: use the Pumping Lemma !!!

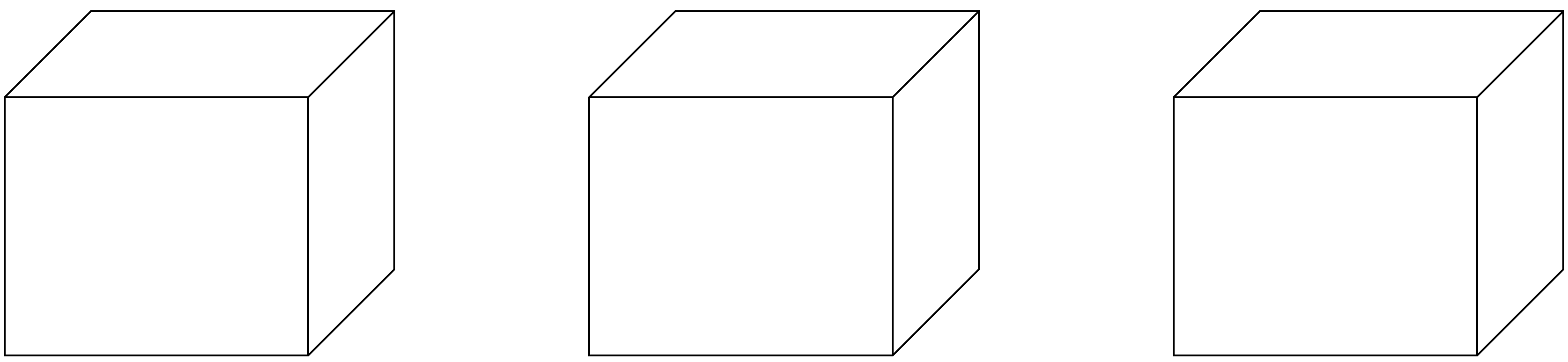


The Pigeonhole Principle

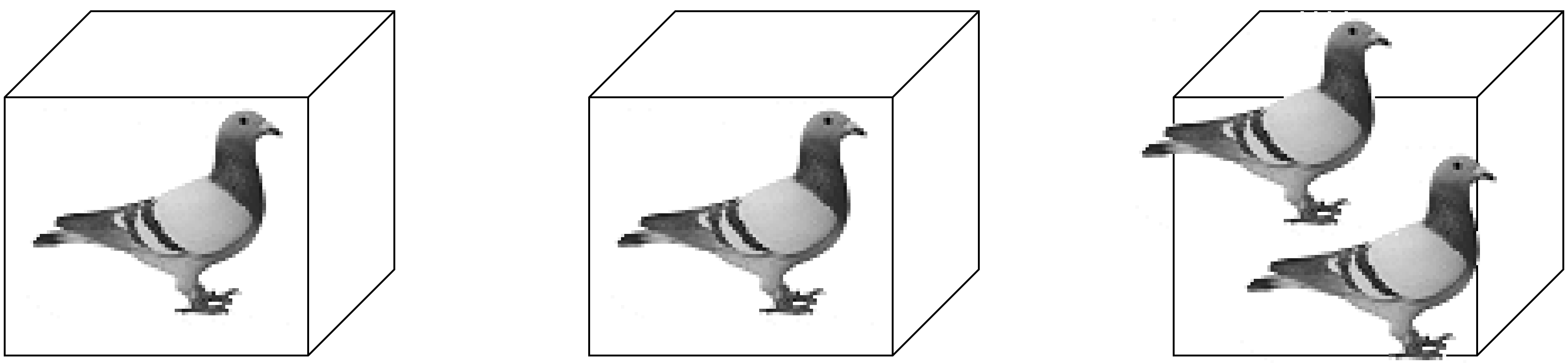
4 pigeons



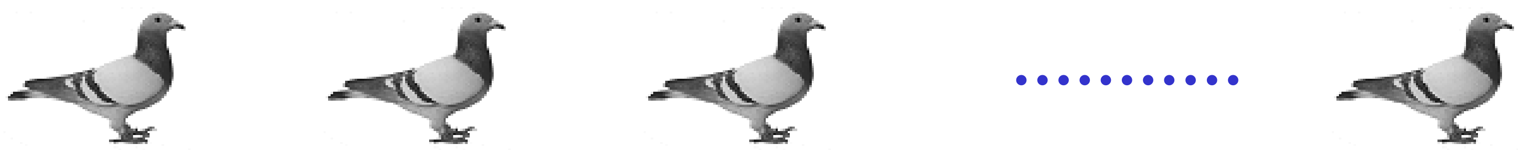
3 pigeonholes



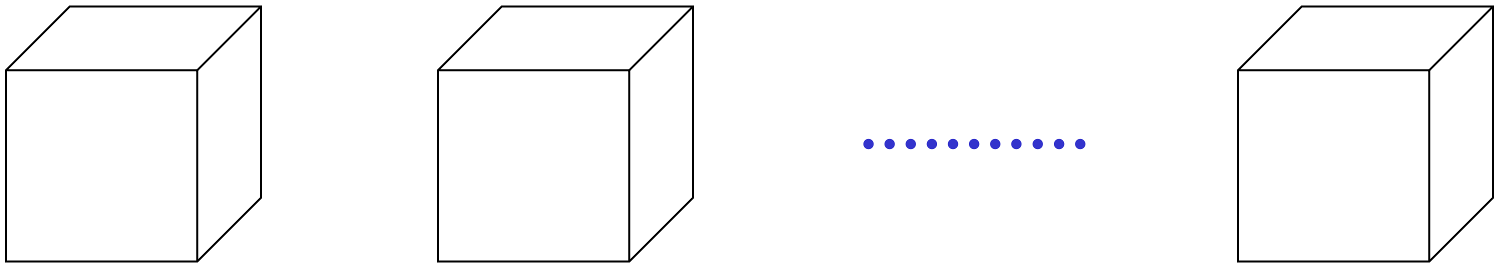
A pigeonhole must contain at least two pigeons



n pigeons



m pigeonholes $\quad n > m$



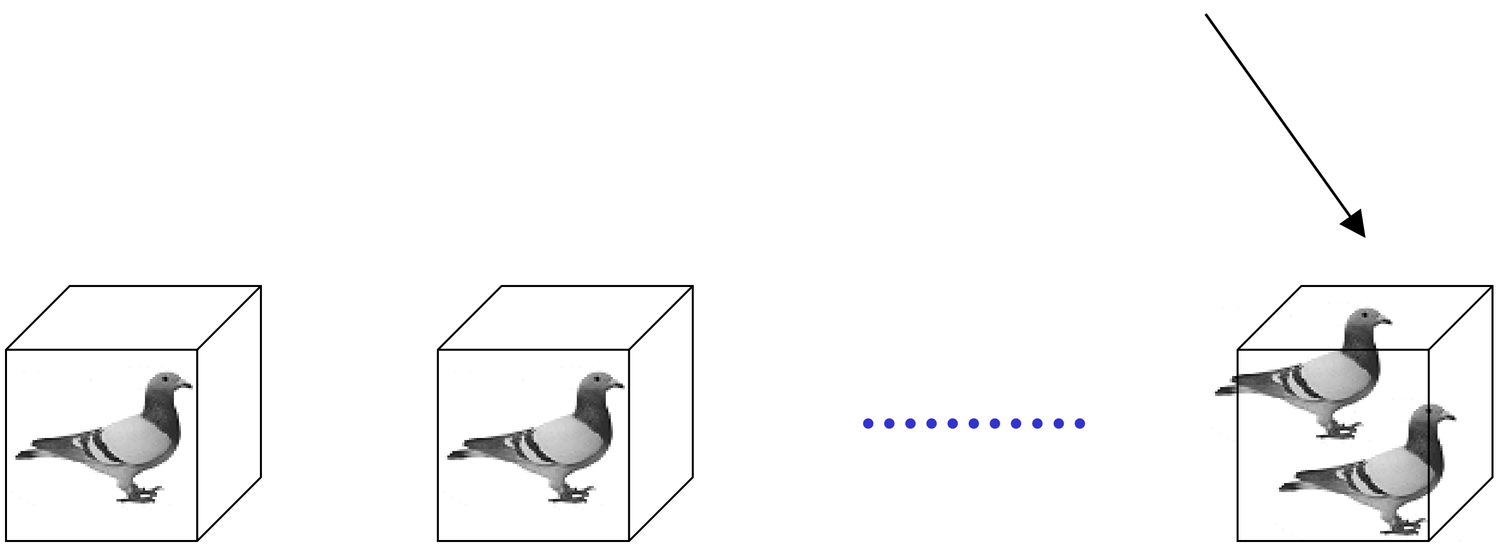
The Pigeonhole Principle

n pigeons

m pigeonholes

$$n > m$$

There is a pigeonhole with at least 2 pigeons

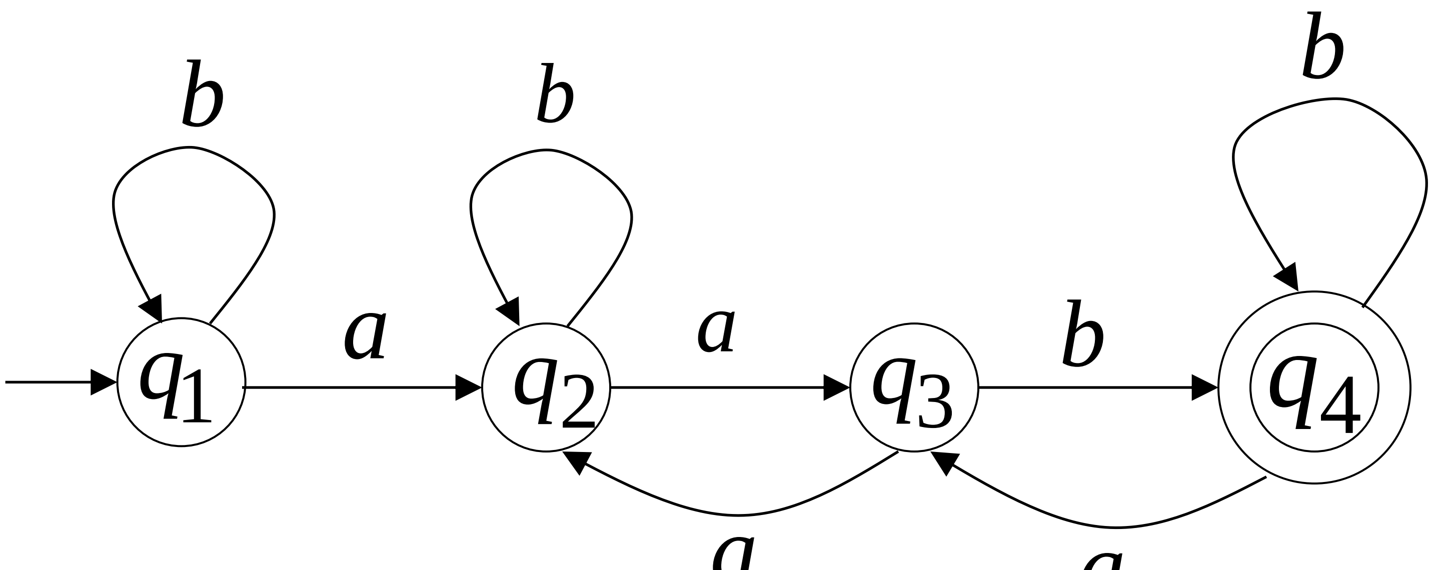


The Pigeonhole Principle

and

DFAs

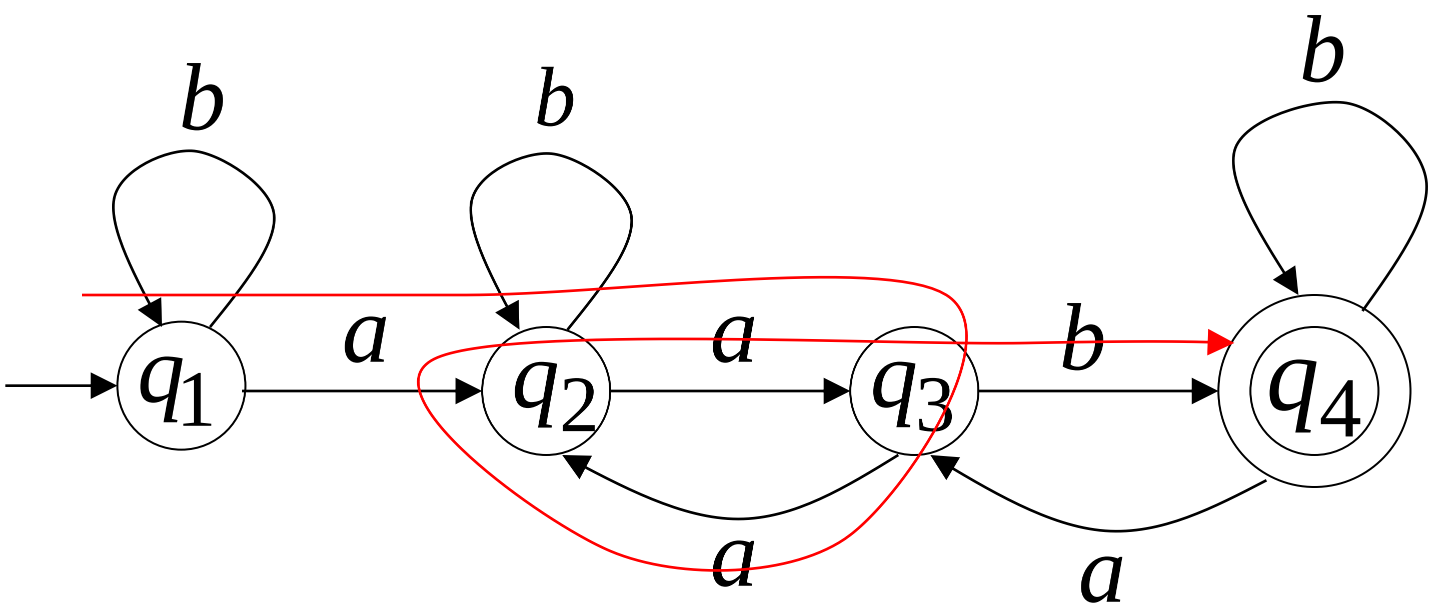
Consider a DFA with 4 states



Consider the walk of a "long" string: $aaaab$
(length at least 4)

A state is repeated in the walk of $aaaab$

$$q_1 \xrightarrow{a} \boxed{q_2} \xrightarrow{a} q_3 \xrightarrow{a} \boxed{q_2} \xrightarrow{a} q_3 \xrightarrow{b} q_4$$

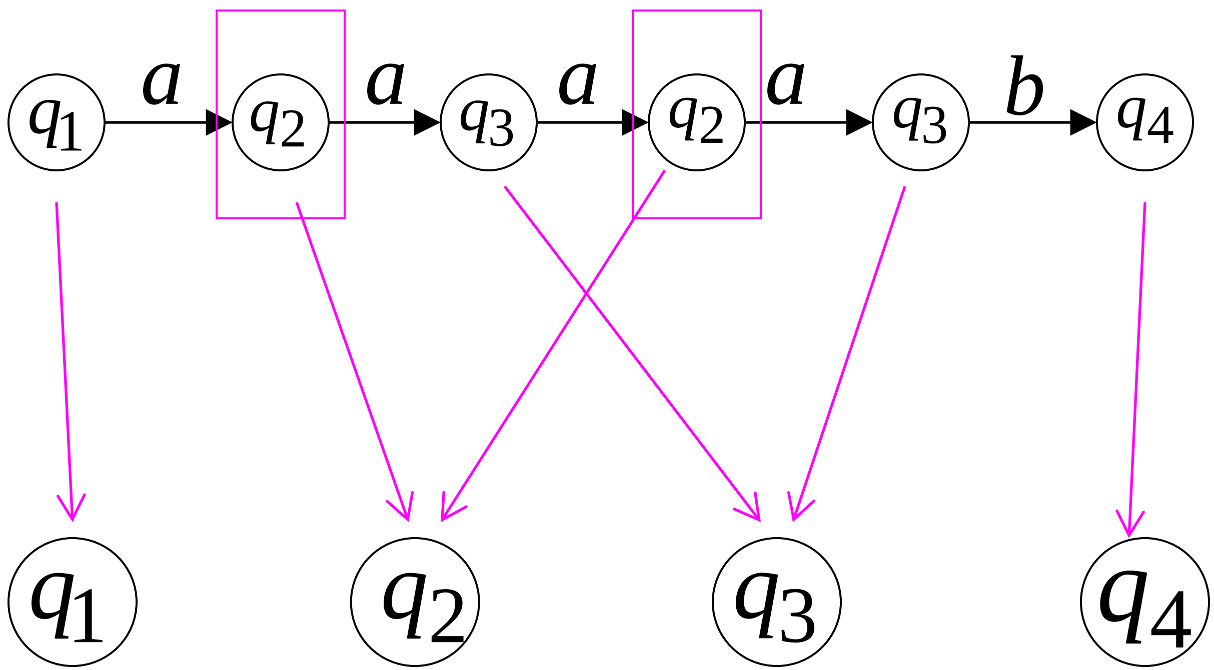


The state is repeated as a result of the pigeonhole principle

Walk of $aaaab$

Pigeons:
(walk states)

Are more than



Nests:
(Automaton states)

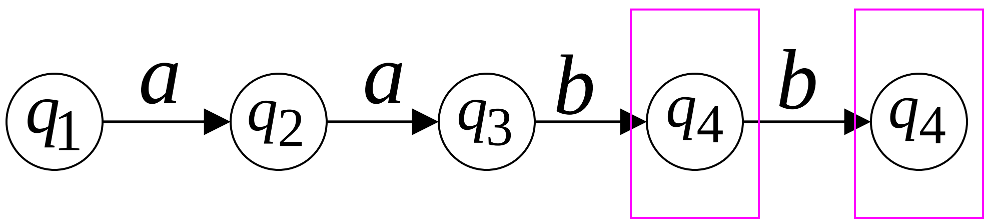
Repeated state

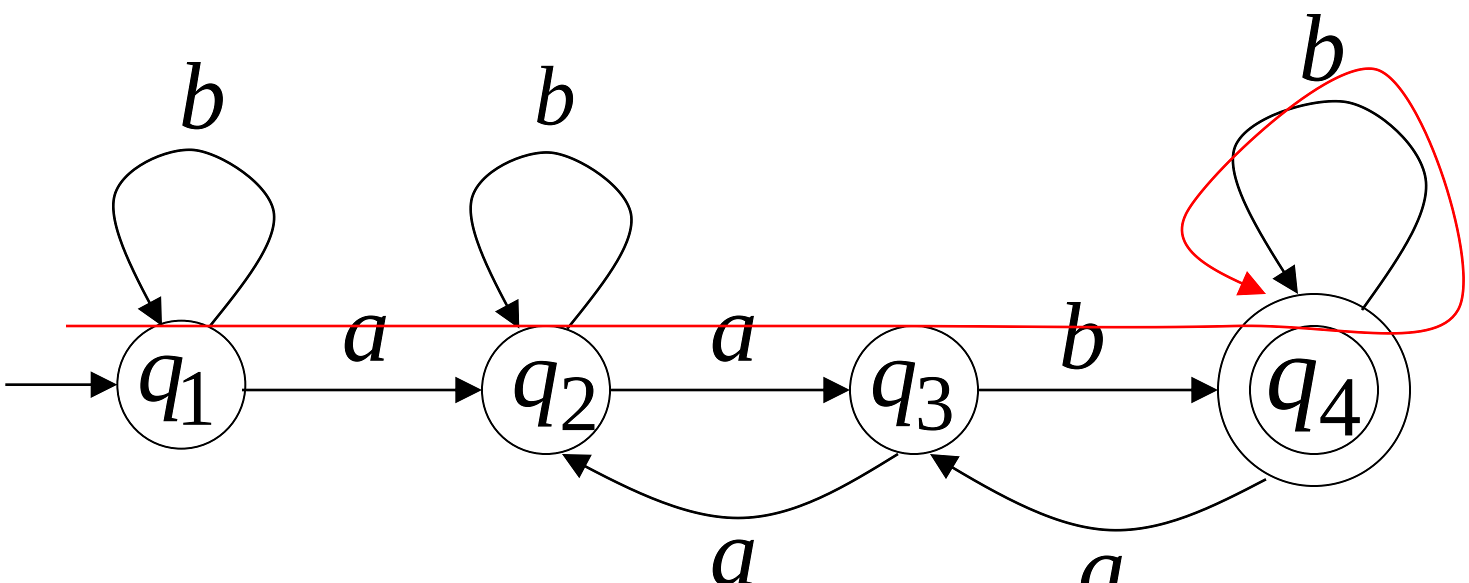
Repeated state

Consider the walk of a "long" string: $aabb$
(length at least 4)

Due to the pigeonhole principle:

A state is repeated in the walk of $aabb$



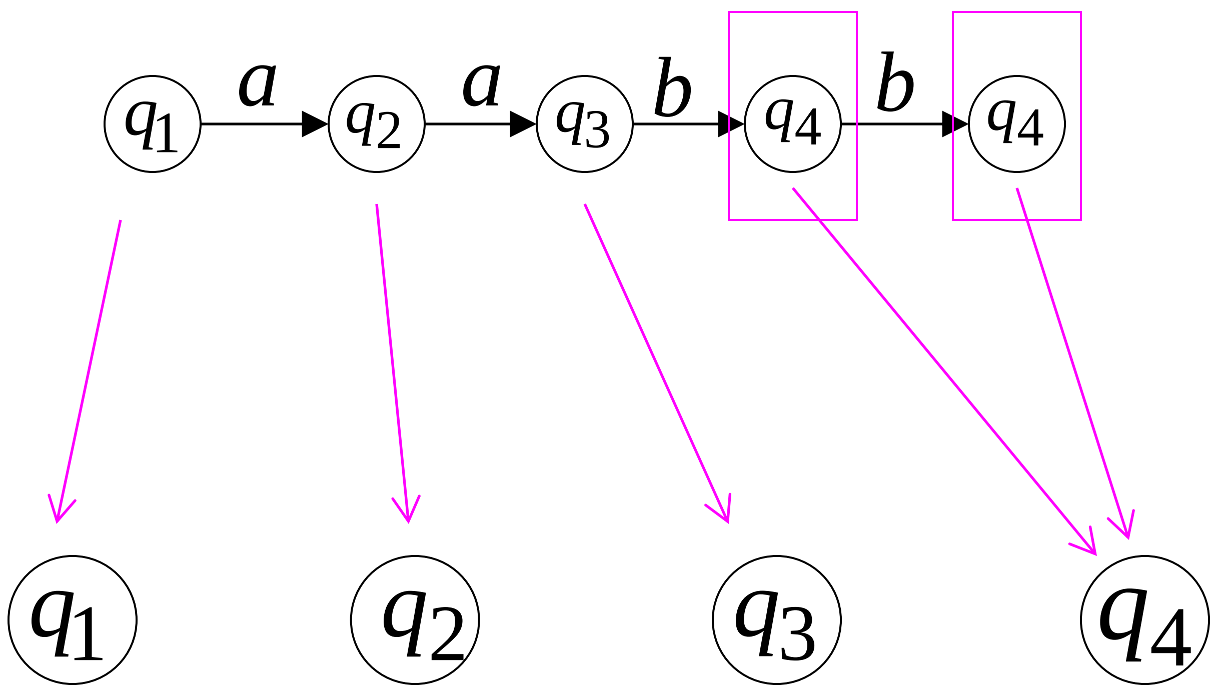


The state is repeated as a result of the pigeonhole principle:

Walk of $aabb$

Pigeons:
(walk states)

Are more than



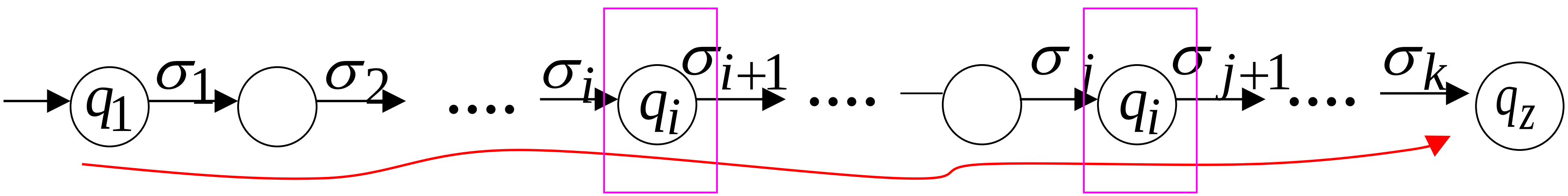
Nests:
(Automaton states)

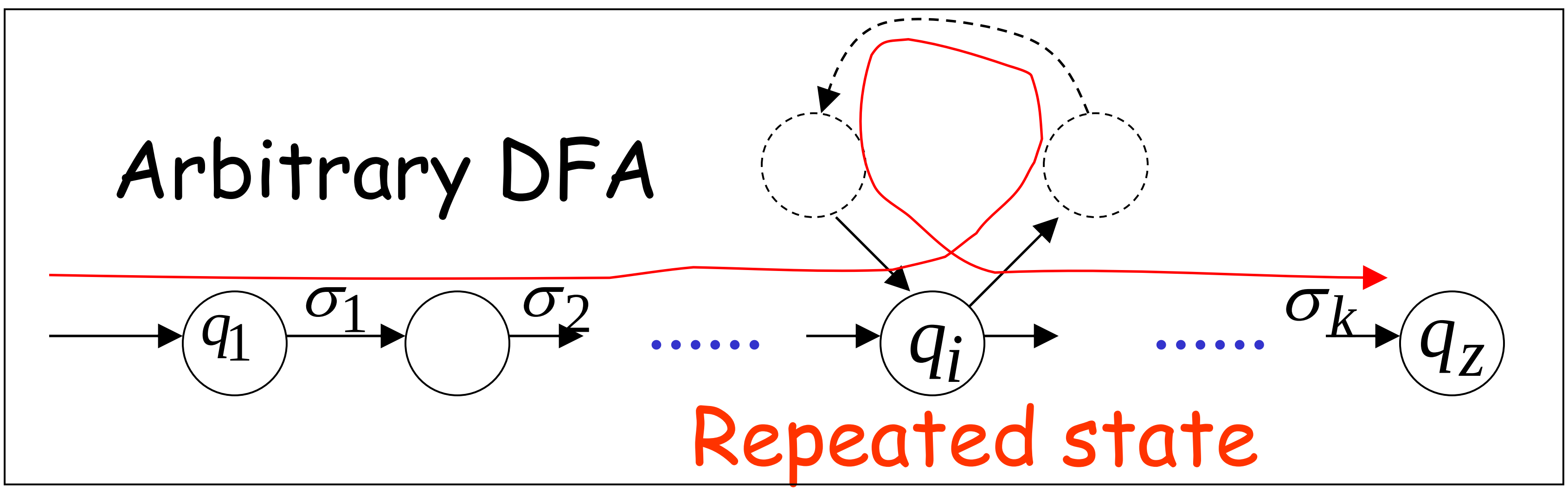
Automaton States

Repeated state

In General: If $|w| \geq$ #states of DFA,
by the pigeonhole principle,
a state is repeated in the walk w

Walk of $w = \sigma_1 \sigma_2 \cdots \sigma_k$



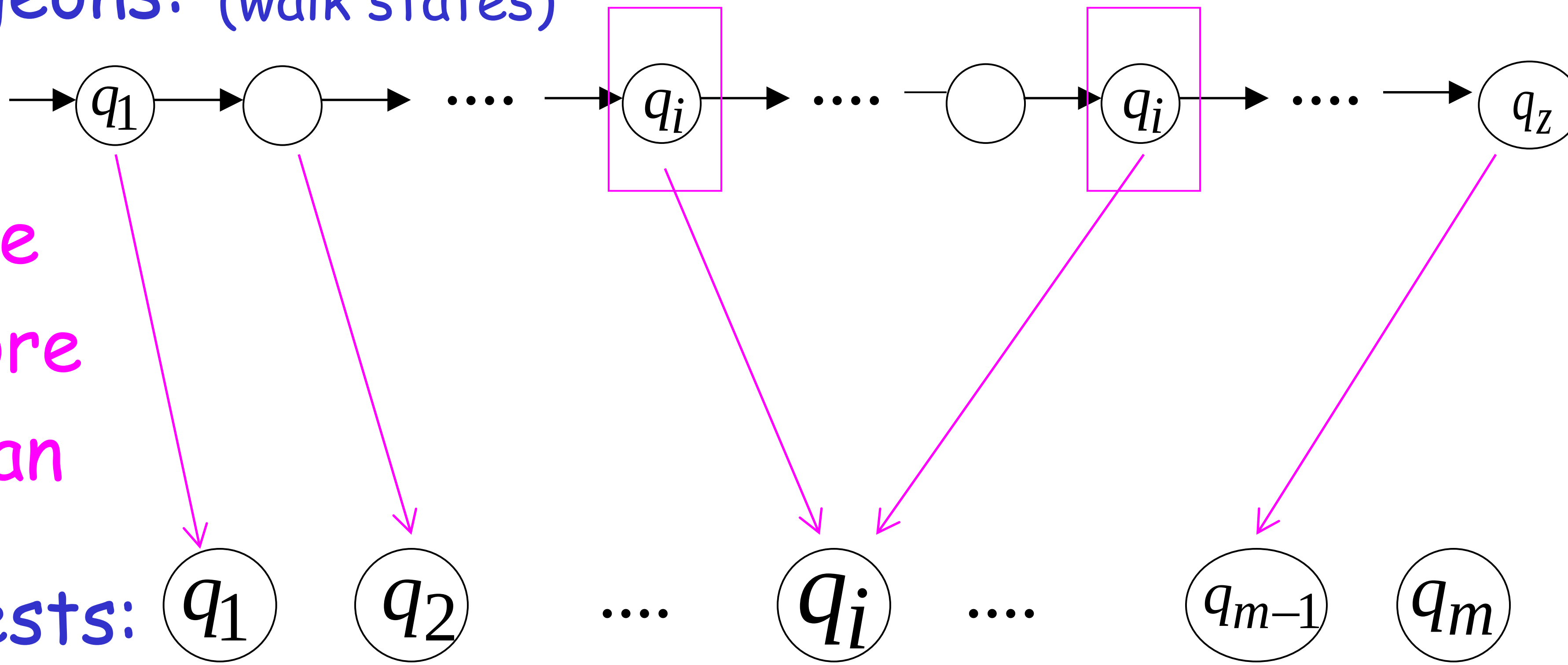


$|w| \geq \#\text{states of DFA} = m$

Number of states in walk is at least $m+1$

Walk of w

Pigeons: (walk states)



Are more than

Nests: (Automaton states)

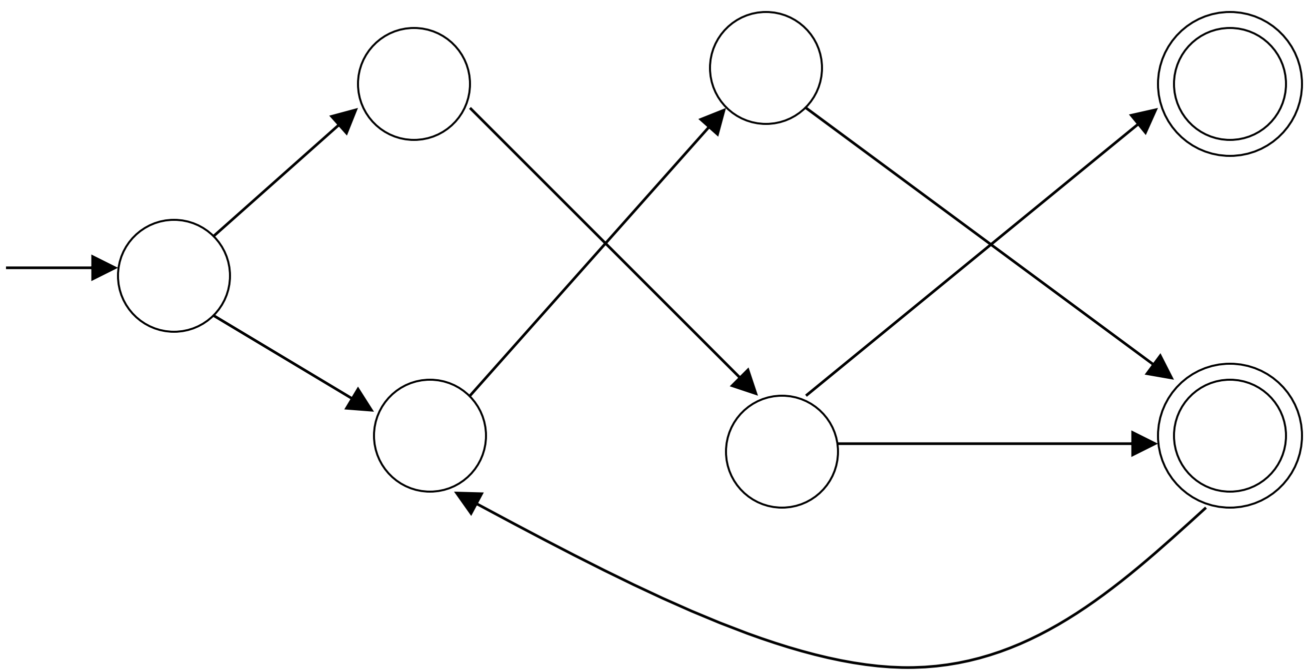
A state is repeated

The Pumping Lemma

Take an infinite regular language L

(contains an infinite number of strings)

There exists a DFA that accepts L



m

states

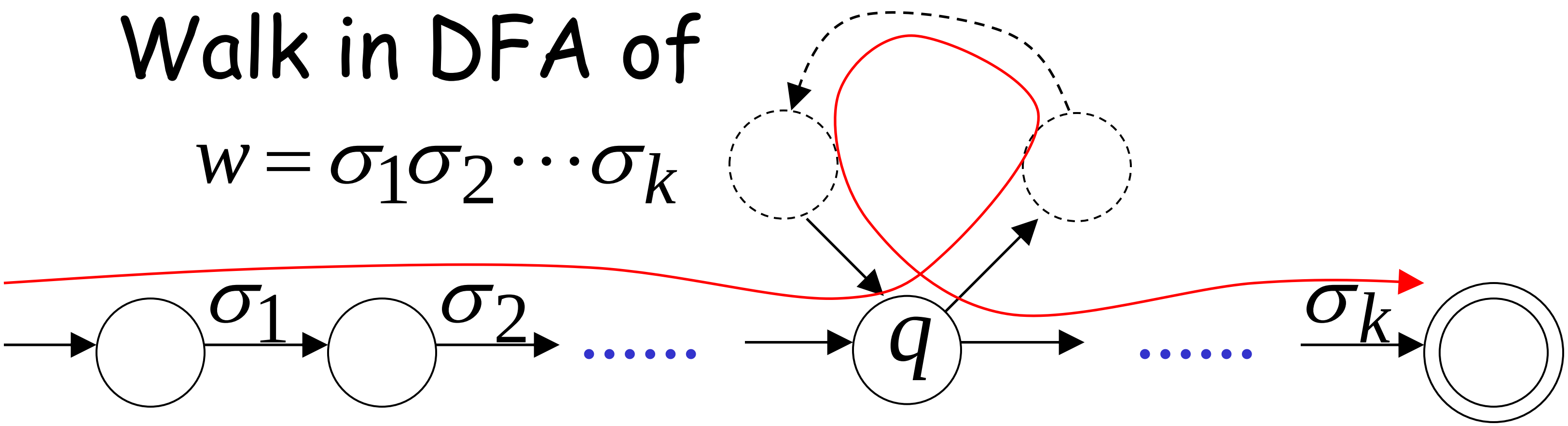
Take string $w \in L$ with $|w| \geq m$

(number of states of DFA)

then, at least one state is repeated in the walk of w

Walk in DFA of

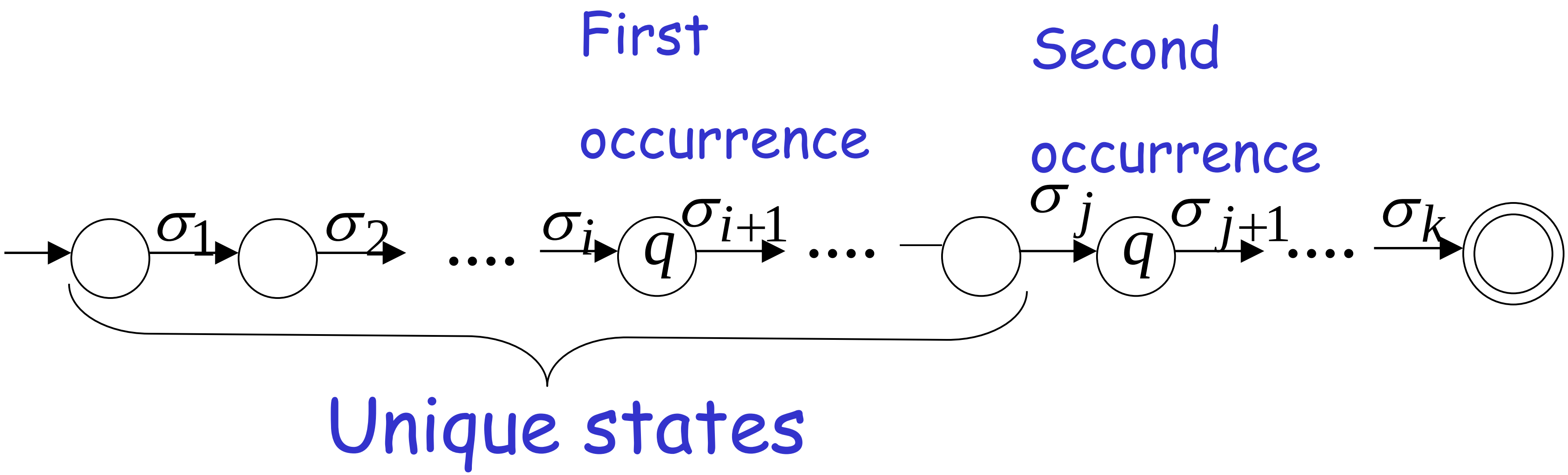
$w = \sigma_1 \sigma_2 \cdots \sigma_k$



There could be many states repeated

Take q to be the first state repeated

One dimensional projection of walk w :

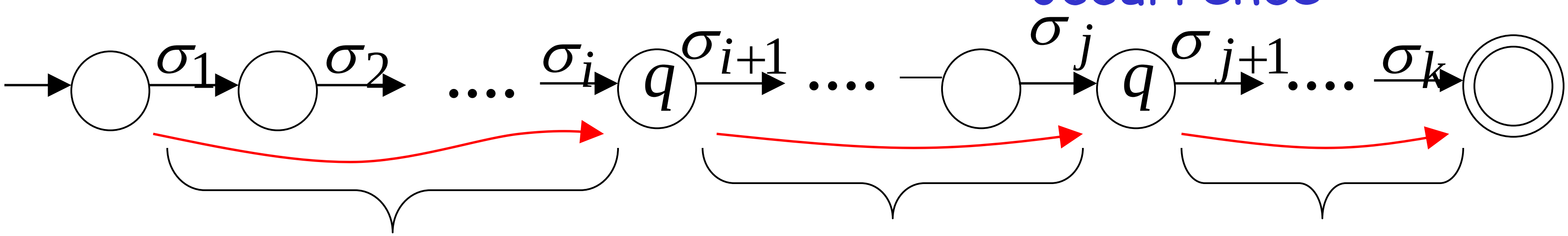


We can write $w = xyz$

One dimensional projection of walk w:

First occurrence

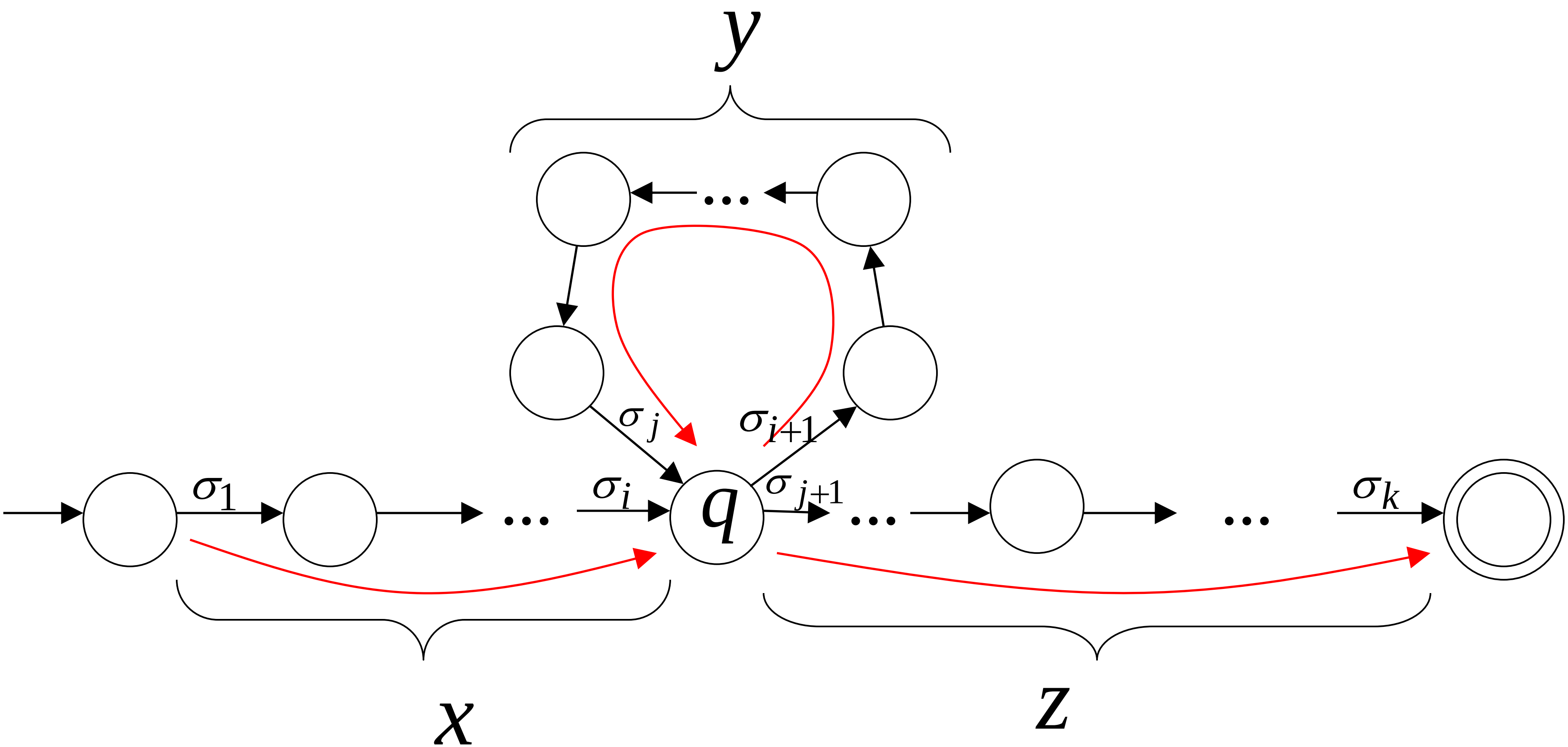
Second occurrence



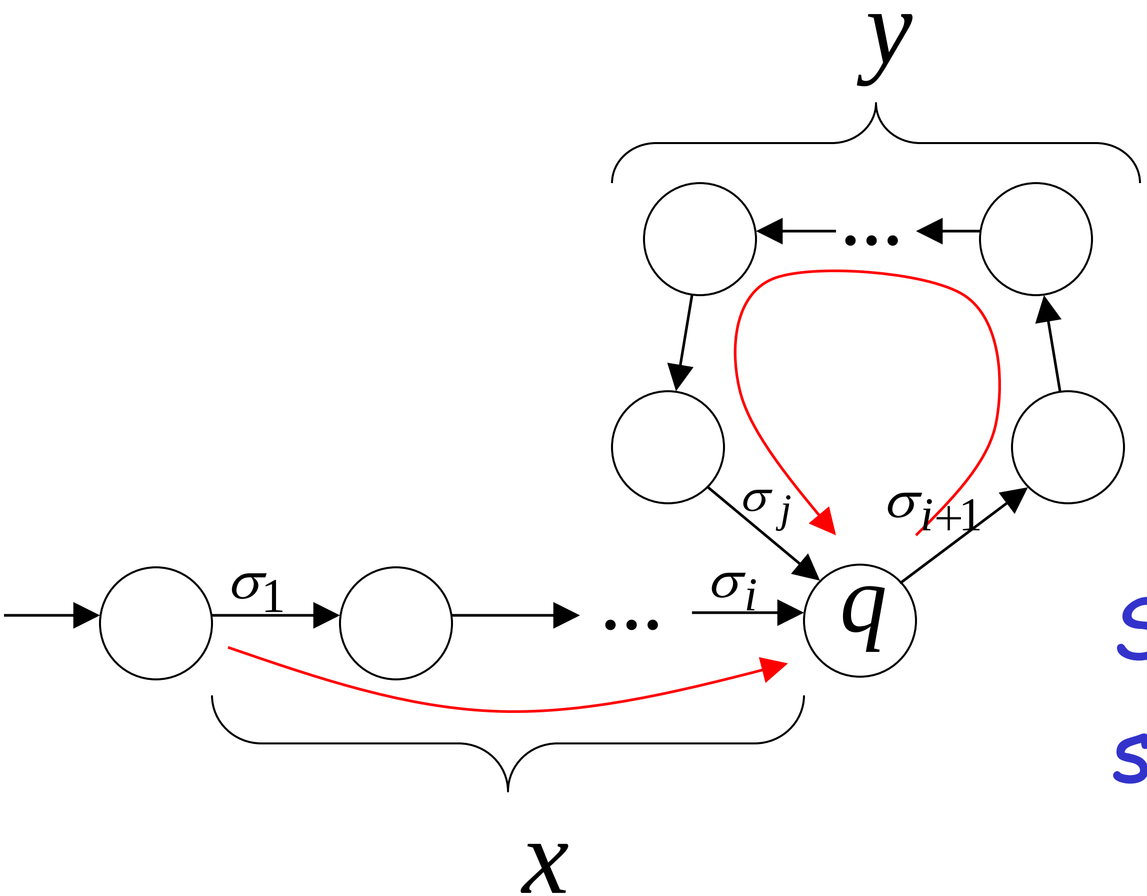
$x = \sigma_1 \cdots \sigma_i$ $\quad$ $y = \sigma_{i+1} \cdots \sigma_j$ $\quad$ $z = \sigma_{j+1} \cdots \sigma_k$

In DFA: $w = x\ y\ z$

Where y corresponds to substring between first and second occurrence of q



Observation: length $|x\,y| \leq m$ number of states of DFA

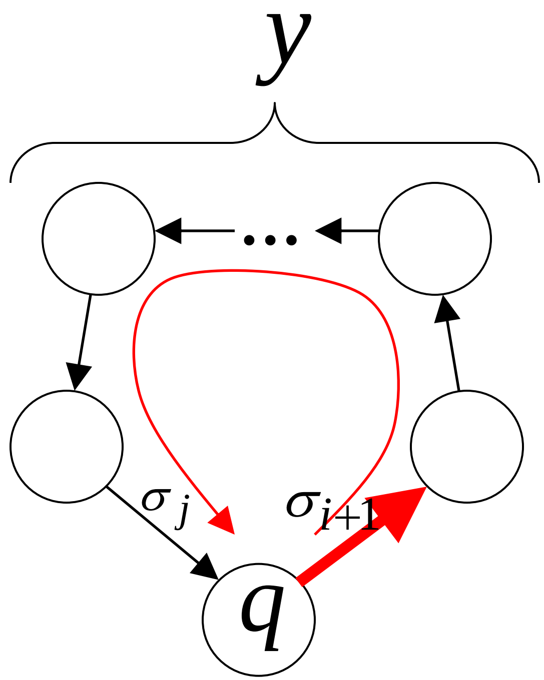


Because of unique states in xy

Since, in xy no state is repeated (except q)

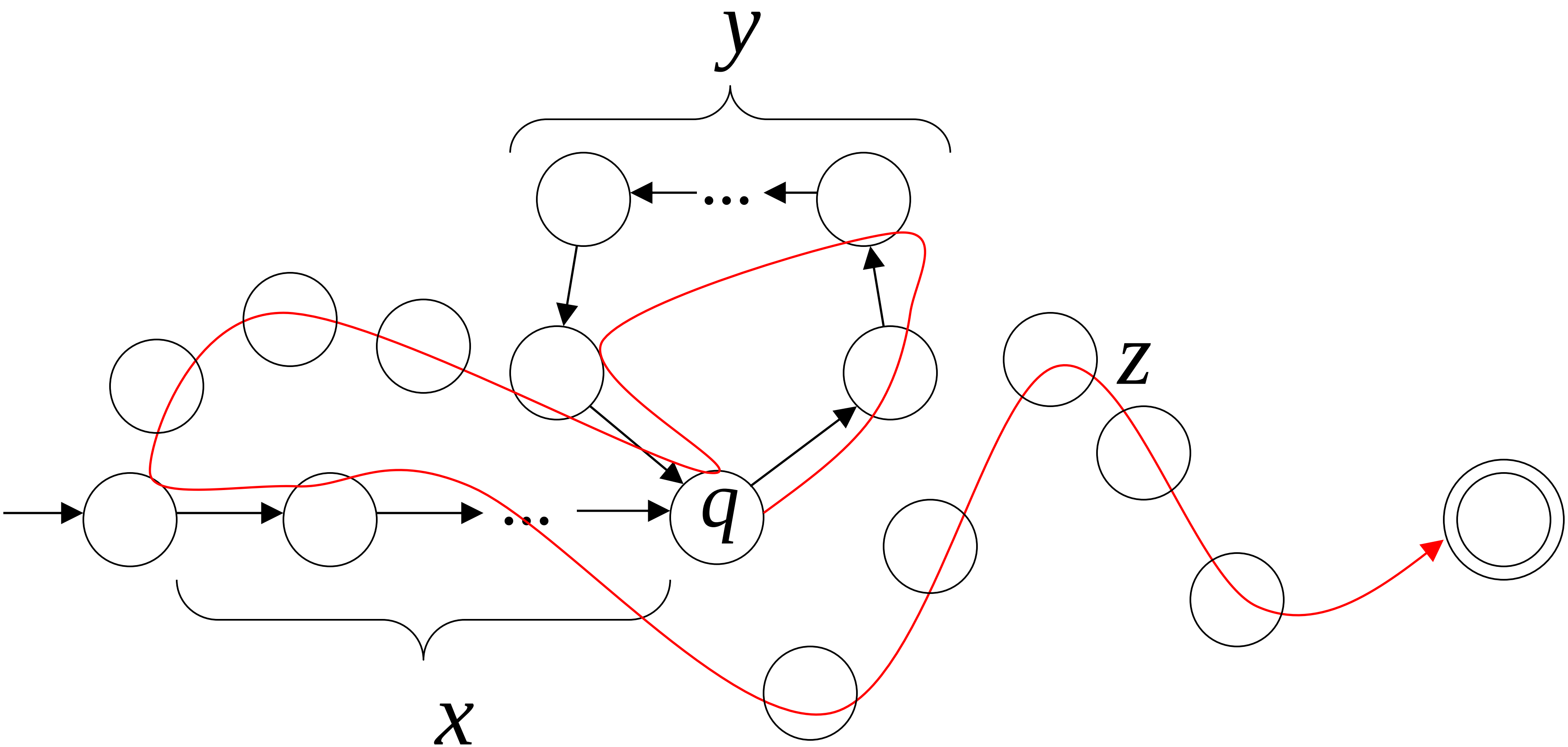
Observation: length $|y| \geq 1$

Since there is at least one transition in loop



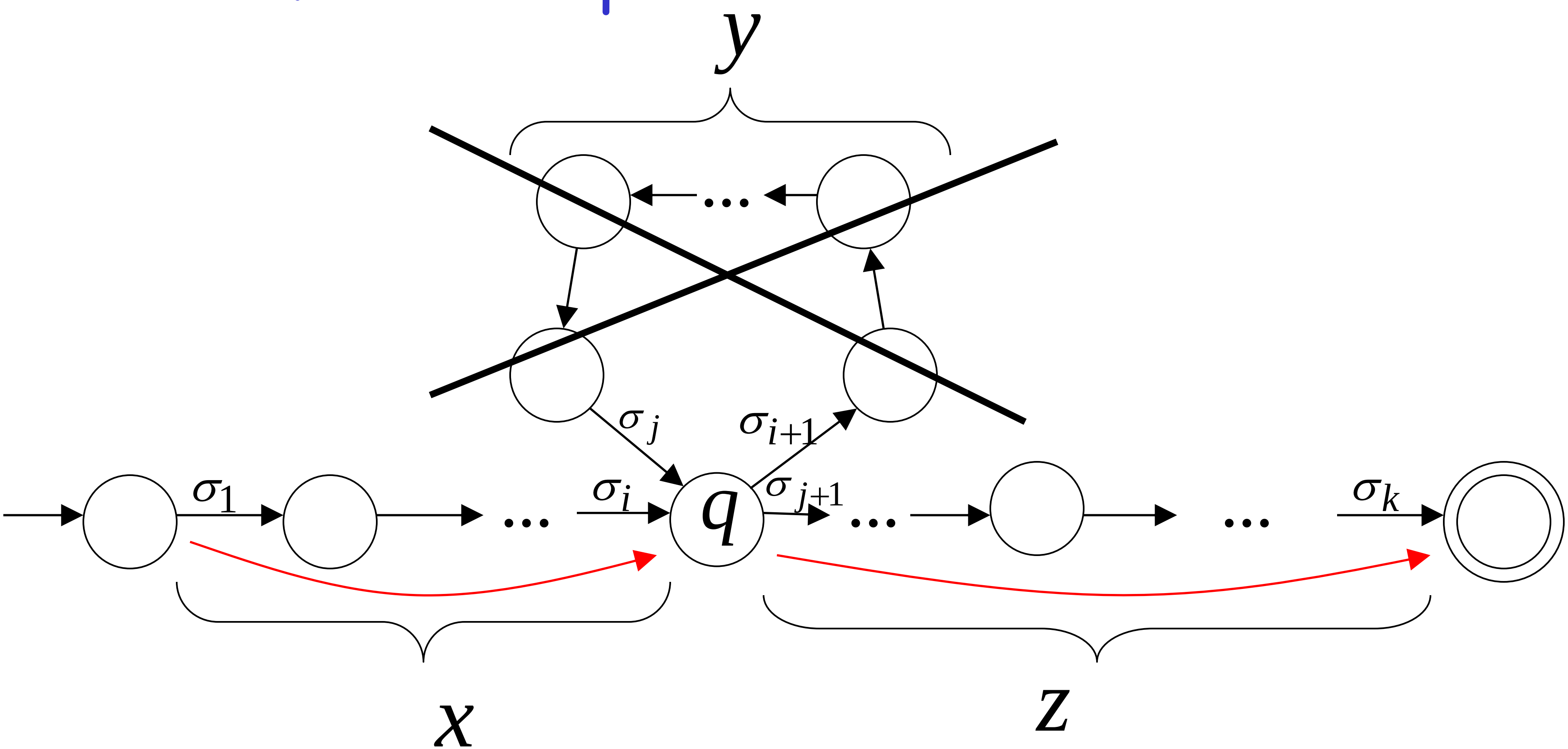
We do not care about the form of string z

z may actually overlap with the paths of x and y



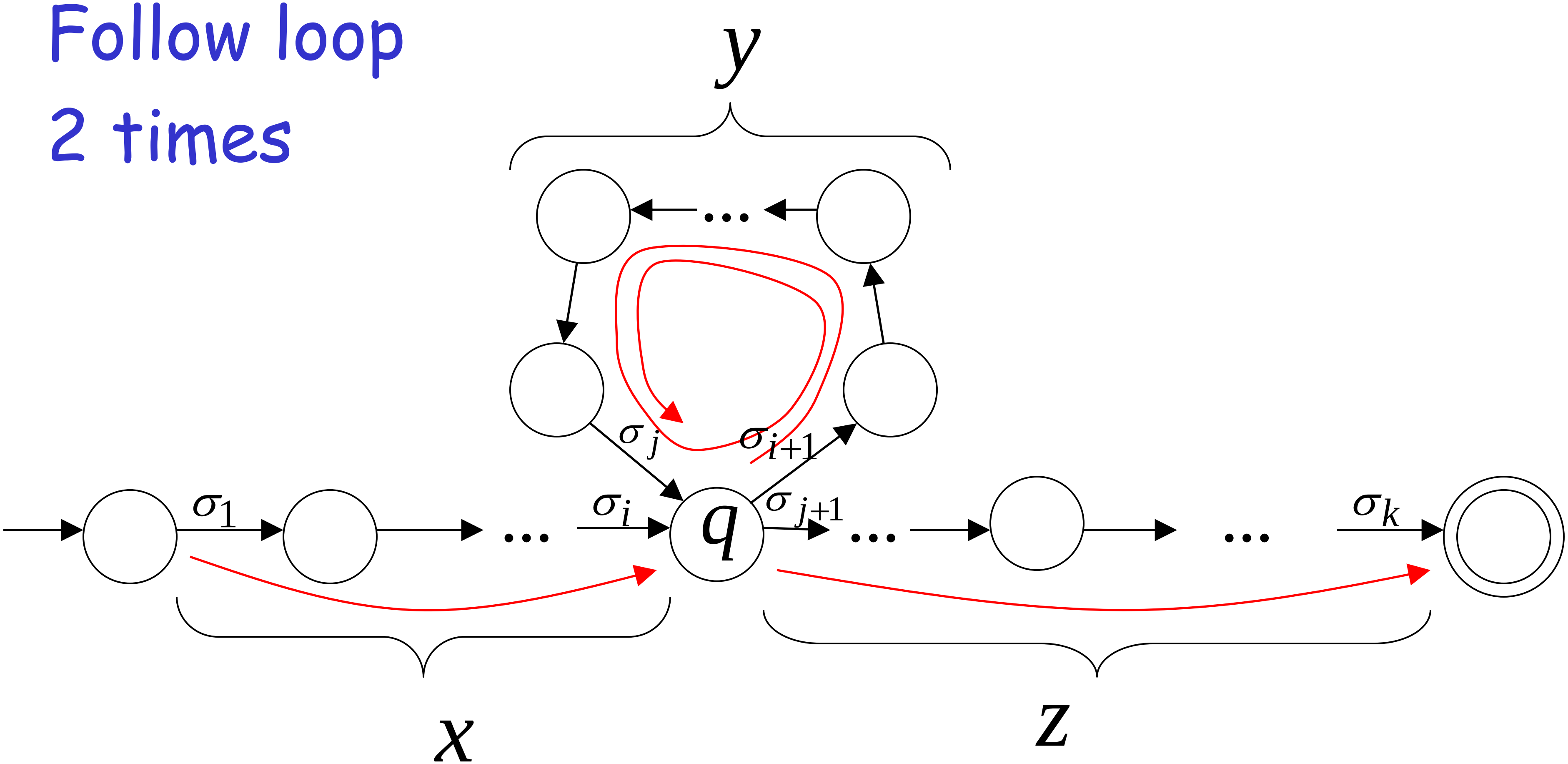
Additional string: The string $x\,z$ is accepted

Do not follow loop



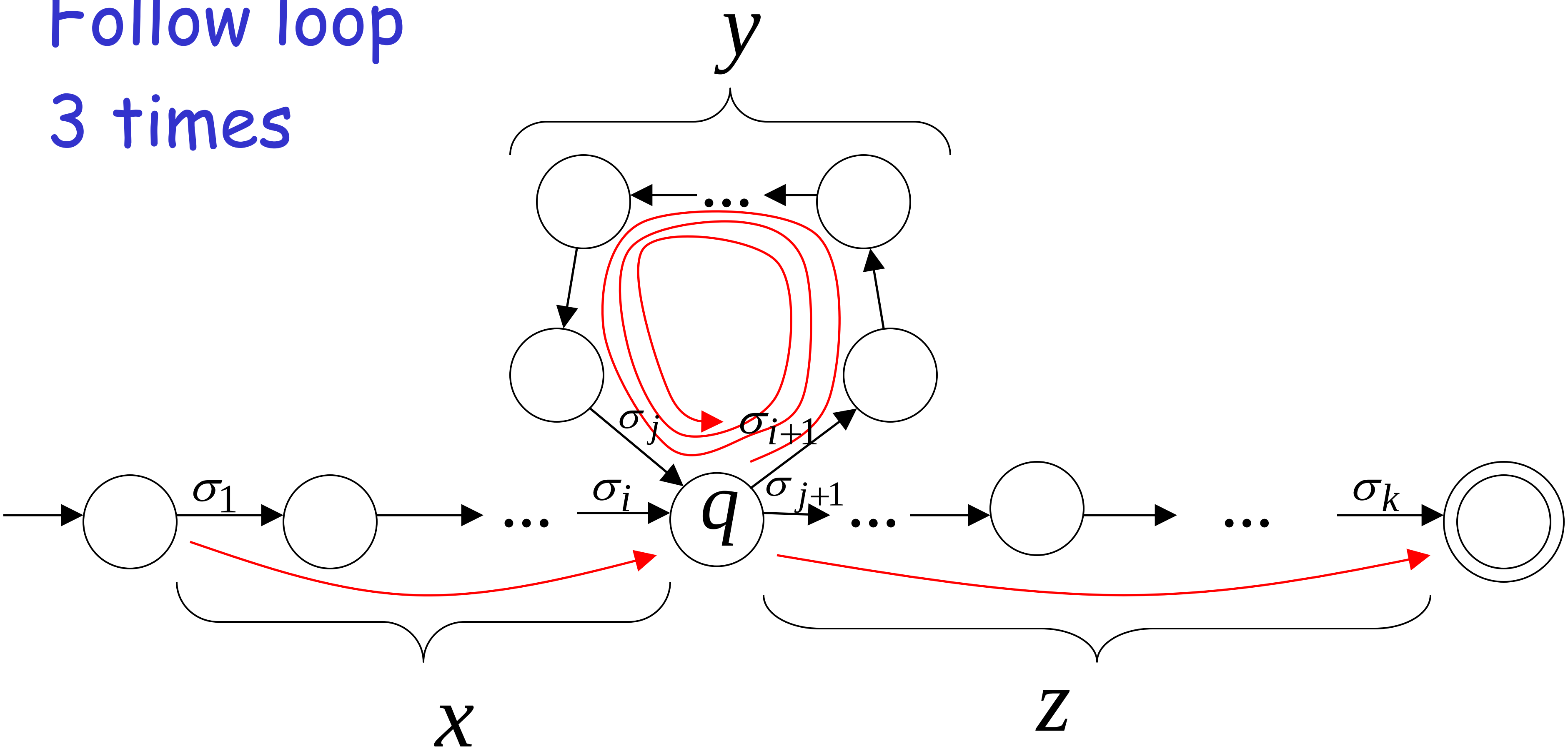
Additional string: The string $x\, y\, y\, z$ is accepted

Follow loop 2 times



Additional string: The string $x\,y\,y\,y\,z$ is accepted

Follow loop 3 times

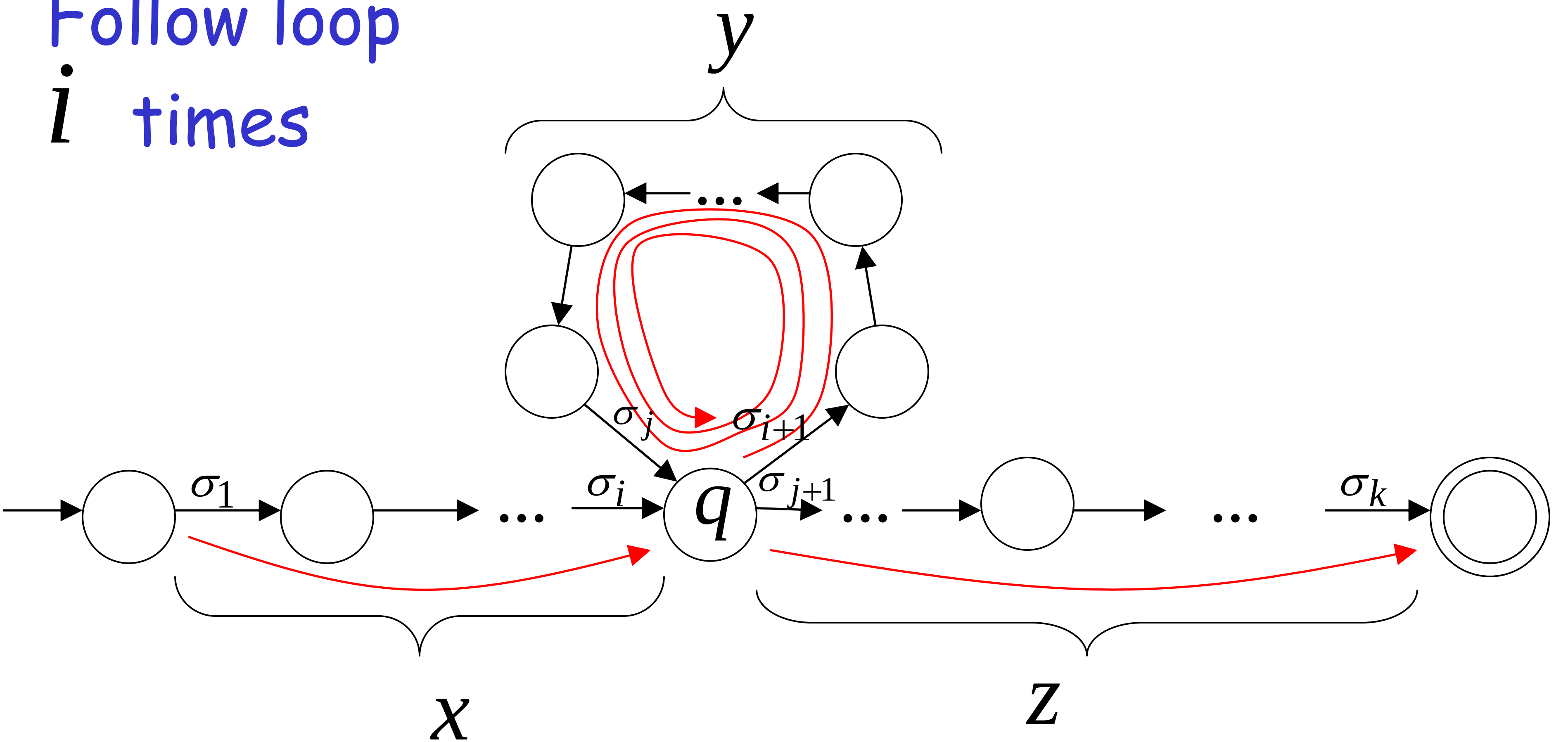


In General: The string $x\,y^i\,z$

is accepted $i = 0, 1, 2, \ldots$

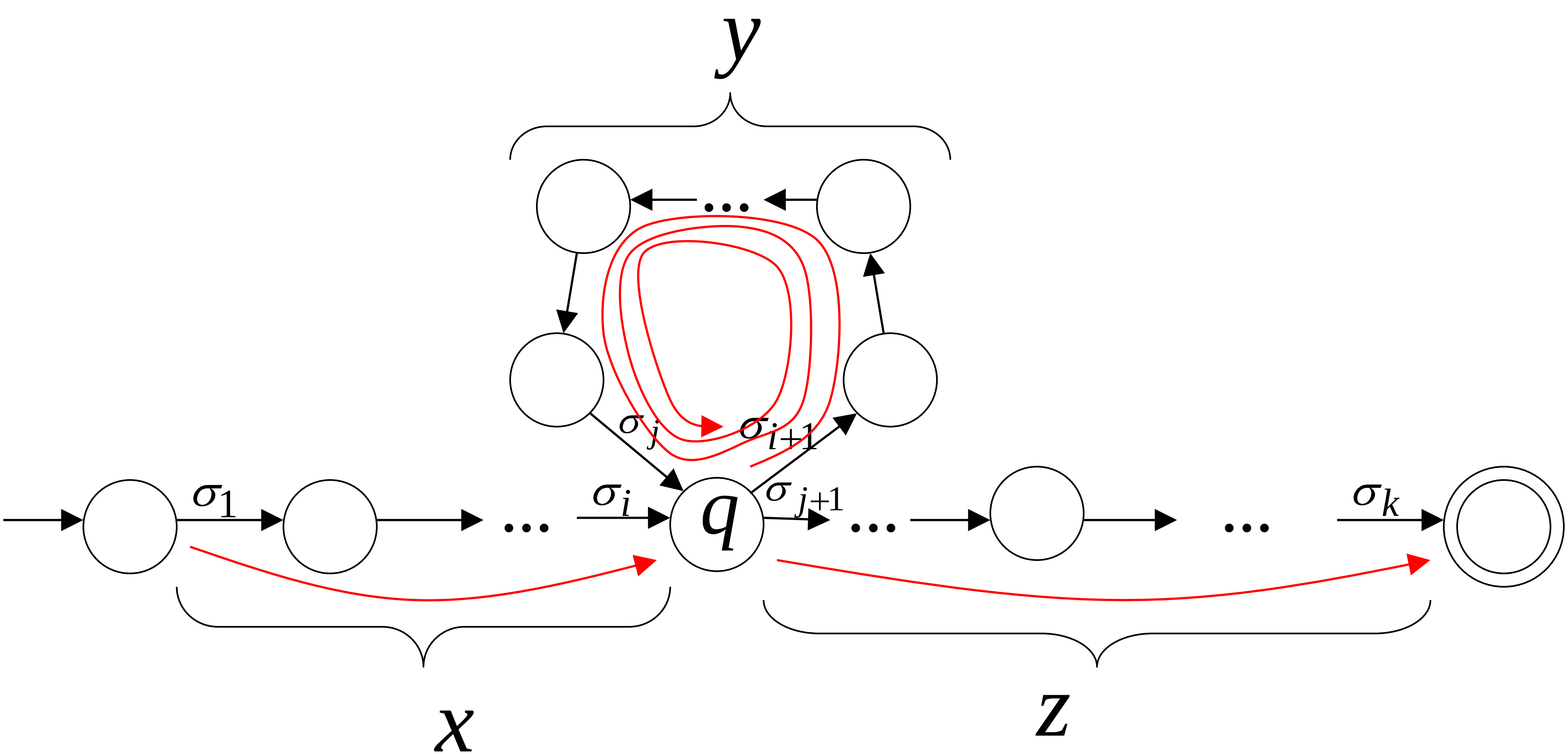
Follow loop

i times



Therefore: $x\,y^i\,z \in L \qquad i = 0, 1, 2, \ldots$

Language accepted by the DFA



In other words, we described:

The Pumping Lemma !!!

The Pumping Lemma:

- Given a infinite regular language L
- there exists an integer m (critical length)
- for any string $w \in L$ with length $|w| \geq m$
- we can write $w = x\,y\,z$
- with $|x\,y| \leq m$ and $|y| \geq 1$
- such that: $x\,y^i\,z \in L \qquad i = 0, 1, 2, \ldots$

In the book:

Critical length m = Pumping length p

Applications

of

the Pumping Lemma

Observation:

Every language of finite size has to be regular

(we can easily construct an NFA that accepts every string in the language)

Therefore, every non-regular language has to be of infinite size

(contains an infinite number of strings)

Suppose you want to prove that an infinite language L is not regular

1. Assume the opposite: L is regular

2. The pumping lemma should hold for L

3. Use the pumping lemma to obtain a contradiction

4. Therefore, L is not regular

Explanation of Step 3: How to get a contradiction

1. Let m be the critical length for L

2. Choose a particular string $w \in L$ which satisfies the length condition $|w| \geq m$

3. Write $w = xyz$

4. Show that $w' = xy^i z \notin L$ for some $i \neq 1$

5. This gives a contradiction, since from pumping lemma $w' = xy^i z \in L$

Note: It suffices to show that only one string $w \in L$ gives a contradiction

You don't need to obtain contradiction for every $w \in L$

Example of Pumping Lemma application

Theorem: The language $L = \{a^n b^n : n \geq 0\}$ is not regular

Proof: Use the Pumping Lemma

$$L = \{a^n b^n : n \geq 0\}$$

Assume for contradiction
that L is a regular language

Since L is infinite
we can apply the Pumping Lemma

$$L = \{a^n b^n : n \geq 0\}$$

Let m be the critical length for L

Pick a string w such that: $w \in L$

and length $|w| \geq m$

We pick $w = a^m b^m$

From the Pumping Lemma:

we can write $w = a^m b^m = x\ y\ z$

with lengths $|x\ y| \le m, \quad |y| \ge 1$

$$w = xyz = a^m b^m = \overbrace{\underbrace{a...a}_{x}\underbrace{a...a}_{y}\underbrace{a...a\overbrace{b...b}^{m}}_{z}}^{m}$$

Thus: $y = a^k, \quad 1 \le k \le m$

$$x\,y\,z = a^m b^m \qquad y = a^k, \quad 1 \le k \le m$$

From the Pumping Lemma: $x\,y^i\,z \in L$

$$i = 0, 1, 2, \ldots$$

Thus: $x\,y^2\,z \in L$

$$x\ y\ z = a^m b^m \qquad y = a^k,\quad 1 \le k \le m$$

From the Pumping Lemma: $x\ y^2\ z \in L$

$$xy^2z = \overbrace{\underbrace{a...a}_{x}\underbrace{a...a}_{y}\underbrace{a...a}_{y}a...a}^{m+k}\overbrace{b...b}^{m} \in L$$

(where $z = a...ab...b$)

Thus: $a^{m+k}b^m \in L$

$$a^{m+k}b^m \in L \qquad\qquad k \geq 1$$

BUT: $L = \{a^n b^n : n \geq 0\}$

$\Downarrow$

$$a^{m+k}b^m \notin L$$

CONTRADICTION!!!

Therefore: Our assumption that L is a regular language is not true

Conclusion: L is not a regular language

END OF PROOF

Non-regular language $\{a^n b^n : \quad n \geq 0\}$

Regular languages

$$L(a^* b^*)$$