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# CNN model for super-resolution

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ECS795P - Deep Learning and Computer Vision

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The deployment of deep convolutional neural networks (CNNs) to resolve the classical problem of single image super-resolution (SISR) has had a tangible and positive contribution towards the computer vision domain. The research methodology denoted as the Super-Resolution Convolutional Neural Network (SRCNN) architecture, involves the implementation of an end-to-end mapping between low- and high-resolution images that enables the optimisation of the pre- and post-processing steps. Salient features of SRCNN that augment with the sparse-coding-based method include a fully connected feed-forward network with a limited number of layers and filters, thereby, simplifying the overall architecture and providing adequate speed for online usage whilst preserving accuracy. Moreover, SRCNN can use three channels of colour concurrently to achieve enhanced performance and superior reconstruction of low-resolution images. However, the SRCNN model represents considerable challenges including sensitivity to hyperparameter tuning, initialisation of filter weights, and the constant vigilance required for the modulation of the learning rate being considered extraneous.

The most important aspects of research in SISR involve improvements in performance and output image quality via a myriad of techniques including deeper networks, modifying hyperparameters, using advanced loss functions, and developing ensemble methods. As mentioned by Yang et al., leveraging CNNs to enhance single-image resolution has given rise to various production-grade applications characterized by the usage of adaptive increments in the resolution and increasing the width and/or depth of the network [1]. These new techniques with the availability of large datasets, coupled with increased computing power are driving SISR into mainstream adoption.

Kim et al. showed the development of a deep neural network architecture by extending the design of Visual Geometry Group (VGG) nets and integrating multiple convolution layers with a high learning rate, thereby, improving accuracy and highlighting the efficient evaluation of larger image regions [2]. Additionally, the optimizer was changed from the stochastic implementation (Stochastic Gradient Descent) to Adam (Adaptive Moment Estimation) with gradient clipping to offset large gradient shifts (exploding gradient problem). Consequently, Kim et al. also showed a recursive approach applied to deep networks, although the training of the networks suffered from the vanishing gradient problem that obstructed convergence [3]. To solve this issue, residual blocks as shortcut connections designed to bypass several convolution layers were implemented.

To counteract the degradation of training accuracy with deep models, the usage of residual learning frameworks has enabled "... explicitly [reformulating] the layers as learning residual functions with reference to the layer inputs, instead of learning unreferenced functions" [4]. Moreover, Johnson et al. introduced the usage of perceptual loss functions, instead of per-pixel loss functions, to improve high-level feature extraction and enhance the quality of the output image [5]. In a similar vein, Ledig et al. defined a Super-Resolution Generative Adversarial Network (SRGAN) that enabled the recuperation of finer texture details, usually lost during the upscaling process, by using adversarial (perceptual similarity) and content loss (mean-opinion-score) functions as opposed to the vanilla Mean Squared Error (MSE) used in traditional SRCNNs [6]. The architecture of SRGAN also uses batch normalization for each block that exhibits increased stability in the learning process during training.

Lai et al. proposed high-quality reconstruction for SISR by using a pyramid model based on using sub-band high frequency residuals at each level, therefore, positively influencing

the pre-processing by negating the requirement of bicubic interpolation [7]. As an extension to this research, Wang et al. designed a scalable GAN model that progressively improved the upscaling of the input images whilst labelling the learning process from easy to hard [8]. Thus, improving the upscaling done by Lai et al. by a factor of two for high upsampling factors.

Shi et al. developed a methodology to enable reconstruction of the input image before upscaling by employing feature extraction in the low resolution (LR) space, instead of performing the same operation in high resolution (HR) [9]. This negates the usage of bicubic interpolation where "... the [LR] input image is upscaled to the [HR] space using a single filter" [9], thereby, reducing the overall computational complexity and improving performance.

As generally agreed in the research community, the super-resolution problem is an inference problem which is ill-posed at the best of times. Indeed, research still needs to be conducted in this nascent domain where problems such as the development of networks capable of executing simultaneous upscaling factors and addressing issues related to image blurring. Typically, researchers constrain the solution space by using prior information such as exploiting the texture of the input image where similarities can be correlated, or by using a pre-trained network that has been trained on generic sample pairs. An array of solutions exists for these issues, yet, due to the undetermined nature of the base problem, no unique solution can be guaranteed.

An interesting topic that needs further research is the combined incorporation of operating channels such as RGB or YCbCr colour spaces [10]. Currently, "... operating (training or evaluation) on different color spaces or channels can make the evaluation results differ greatly (up to 4 dB)" [11]. Addressing this would expand the scope of multi-dimensional SRCNNs to produce greater colour depths whilst performing upscaling on the input image.

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