



# Train++: An Incremental ML Model Training Algorithm to Create Self-Learning IoT Devices

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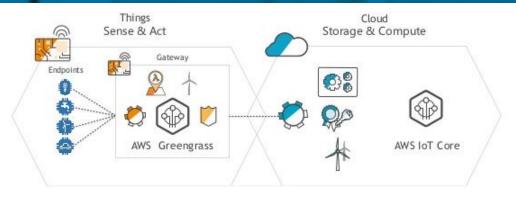






### Introduction





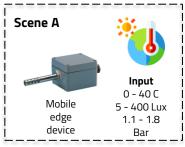
A typical IoT scenario: Edge devices (Endpoints) depends on cloud for inference and model updates

To improve inference accuracy, edge devices log unseen data in cloud. Initial model re-trained then sent as OTA update

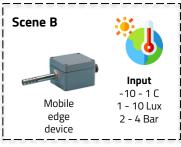
- ✓ Edge devices hardware cost increase wireless module addition
- ✓ Increases cyber-security risks and power consumption
- ✓ Not self-contained ubiquitous systems since they depend on the cloud services for inference and re-training
- ✓ Latency, privacy, other concerns

### Introduction





Accuracy: 92 %



Accuracy: 54 %

IoT edge devices exposed to new scenes/environments

- In the real-world, every new scene generates a fresh, unseen data pattern
- When the model deployed in edge devices sees such fresh patterns, it will either not know how to react to that specific scenario or lead to false or less accurate results
- A model trained using data from one context will not produce the expected results when deployed in another context
- It is not feasible to train multiple models for multiple environments and contexts

### Background



 To enable the edge devices to learn offline after deployment - ML model deployed and running on the edge devices need to be re-trained or updated at the device level

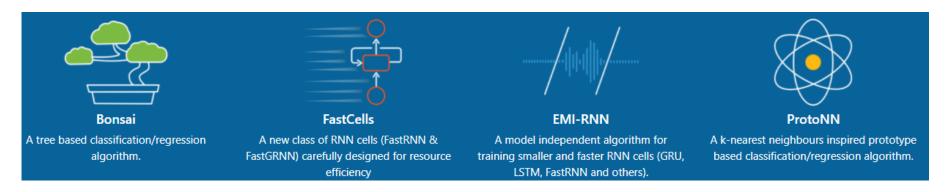


Top ML Frameworks for running ML models on MCUs. It does not yet support training models on MCUs

- ML frameworks like TensorFlow Micro, FeatherCNN, Open-NN, etc. do not yet enable training models directly on MCUs, small CPUs and IoT FPGAs
- Currently models are trained on GPUs then deployed on IoT edge devices after deep compression/optimization

# Background





Microsoft EdgeML provides Algorithms and Tools to enable ML inference on the edge devices

- Bonsai: non-linear tree-based classifier which is designed to solve traditional ML problem with 2KB sized models
- EMI-RNN: speeding-up RNN inference up to 72x when compared to traditional implementations
- FastRNN & FastGRNN: can be used instead of LSTM and GRU. 35x smaller and faster with size less than 10KB
- SeeDot: floating-point to fixed-point quantization tool including a new language and compiler

### On Device ML Model Training





Powerful CPU + basic GPU based SBCs (single board computers)



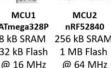






Billions of IoT devices are designed using such MCUs and small CPUs











MCU4

ESP32

520 kB SRAM

4 MB Flash

@ 240 MHz



ATSAMD21G18

32 kB SRAM

256 kB Flash

@ 48 MHz





MCU6 MCU7 ATmega2560 ESP8266 8 kB SRAM 32 kB SRAM 256 kB Flash 1 MB Flash @ 16 MHz @ 80 MHz

- Numerous papers, libraries, algorithms, tools exists to enable self-learning and re-training of ML models on better resourced devices like SBCs
  - ✓ Due to ML framework support i.e., TF Lite can run on SBCs and not on MCUs
- SEFR paper: Classification algorithm specifically designed to perform both training and testing on ultra-low power devices
- ML in Embedded Sensor Systems paper: Enable real-time data analytics and continuous training and re-training of the ML algorithm

### **Research Question**



• After real-world deployment, can the highly resource-constrained MCU-based IoT devices autonomously (offline) improve their intelligence by performing onboard re-training/updating (self-learning) of the local ML model using the live IoT use-case data?

# **Use case 1: Self-learning HVACs**



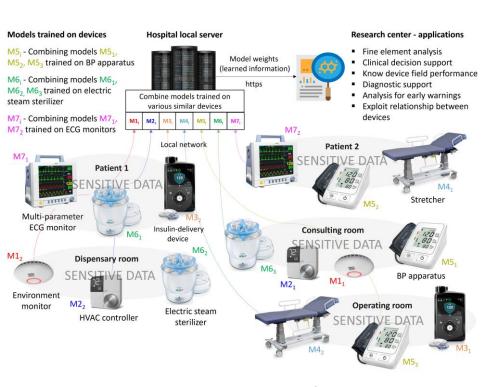


Create self-learning HVACs for superior thermal comfort

- HVAC controllers are resource-constrained IoT edge devices. For example, Google Nest, Echobee, etc.
- Every building/infrastructure has differences.
   Standard/one-size-fits-all strategy fails to provide superior level of thermal comfort for people
- Proposed algorithms can make HVAC controllers learn best strategy (offline) to perform tailored control of the HVAC unit for any building types
- Eliminates the need to find and set distinct HVAC control strategies for each building

### **Use case 2: Sensitive Medical Data**





- Data required for most researches are sensitive in nature as it revolves around private individuals
- Resource-constrained medical devices like insulindelivery devices, electric steam sterilizers, BP apparatus, etc., can use proposed algorithms to train offline using the sensitive data
- Transmit models instead of the actual medical data

Providing sensitive medical data for research

### **Use case 3: Learning any Patterns**





**Use case:** Learn to monitor vibrations on pump's crosshead, cylinder and frame. Generate alerts if anomaly patterns are detected

**Use case:** Learn to detect Leaks, monitor efficiency & consumptions

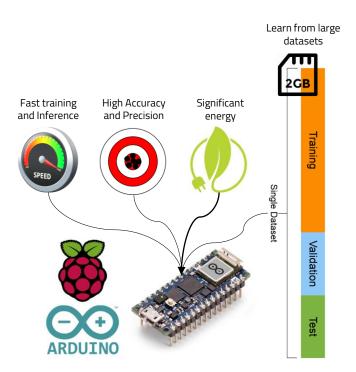


Self-learning the data patterns

- Proposed algorithms can train models on MCUs. Thus, even the tiny IoT devices can self-learn data patterns
  - ✓ Learn usual residential electricity consumption patterns and raise alerts in the event of unusual usage or overconsumption. Thus can reduce bills, detect leaks, etc.
  - Learn by monitoring the contextual sensor data corresponding to regular vibration patterns from the pump's crosshead, cylinder and frame
  - ✓ Generate alerts using the learned knowledge if anomaly patterns are predicted or detected

### **Train++ Incremental Training Algorithm**



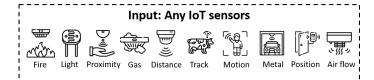


Benefits of Training and Inference on MCUs using Train++

- Train++ is designed for MCUs to perform on-board binary classifier model training, inference. Train++ algorithm main highlights/benefits
  - ✓ **Dataset size**: Incremental training characteristic enables training on limited Flash and SRAM footprints while also allowing to use full *n-samples* of the *high-dimensional* datasets
  - ✓ **Training speed and energy**: Achieves significant energy conservations due to its high-performance characteristics i.e., it trained and inferred at much higher speeds than other methods
  - ✓ Performance: Train++ trained classifiers show classification accuracy close to those of Python scikit-learn trained classifiers on high-resource CPUs

# Train++: Pipeline





#### **Condition monitoring** edge MCU



Edge MCU for a perfect coffee



### Train++ Pipeline

Step One: MCU selection Select any MCU of choice for the target use-case

Step Two: Dataset Feed contextual sensor data corresponding to normal working

Step Three: Learning Edge MCU learns using our Train++ algorithm

Step Four: Inference Infer for the target use case using edge MCU created model

#### Edge MCU for reduced bills



Usage patterns learning edge MCU



- Output: Self-learned edge device suitable for the target IoT use case

Equipment condition monitoring

Tailored HVAC control strategy

Analytics to reduce current bills Learn patterns to alert anomalies

Improve home appliance's

- Four-steps to use our Train++ algorithm to enable the edge MCUs to self-train offline for any IoT use cases
  - Select MCU of choice depending on the target use case
  - Contextual sensor data corresponding to normal working will be collected as the local dataset and used for training. The labels/ground truth for the collected training data rows shall be computed using our method from Edge2Train
  - Edge MCUs learns/trains using our core Train++ algorithm
  - The resultant MCU-trained models can start inference over previously unseen data



Algorithm 1 Train++: A high-performance binary classifier training algorithm for MCU-based IoT Devices.

#### Inputs:

C: Positive parameter to control the influence of  $\xi$ .

t: The dimension of time for real-time data inputs.

 $x_t$ : Real-time sensor data inputs. Where  $x_t \in \mathbb{R}^n$ .

 $y_i$ : Correct labels. Where  $y_i \in \{-1, +1\}$ .

#### Output:

w<sub>t</sub>: Incrementally learned weights.

Receive live data stream, represented as in Eqn (1).

#### **function** MCUTrain $(x_t, y_t, t, C)$

for t = 0 to setsize do

Predict  $\hat{\mathbf{v}}_t$  for every  $x_t$ . Use  $sign(x_t, w_t)$ .

Compute confidence score of prediction. Use  $|x_t, w_t|$ .

Compute margin at t. Use  $y_t(x_t, w_t)$ .

Show original label  $y_t$  to this algorithm.

if  $(\hat{y}_t \text{ equals to } y_t)$  then

Prediction was correct.  $y_t = sign(x_t, y_t)$ .

Predict again with a higher confidence score.

if  $(sign(x_t, y_t))$  lesser than 1) then

Suffer an instantaneous loss. Use Eqn (2).

if  $(sign(x_t.y_t)$  exceeds 1) then Loss becomes 0.

else

Wrong prediction. Loss becomes  $1 - y_t(x_t, w_t)$ .

#### end if

Incrementally learn  $w_t$ . Use Eqn (4).

Store the learned weights  $w_t$ .

end for

- The full Train++ algorithm is shown. Characteristics
  - ✓ Highly resource-friendly and low complexity design
  - ✓ Implementation less than 100 lines in C++
  - ✓ Does not depend on external or third-party libraries
  - ✓ Executable even by 8-bit MCUs without FPU, KPU, APU support
  - ✓ Incremental data loading and training characteristics
  - ✓ Compatible with datasets that have high feature dimensions
  - ✓ Keeps reading live data -> incrementally learns from it -> discards data (no storing required)



#### Inputs:

C: Positive parameter to control the influence of  $\xi$ .

t: The dimension of time for real-time data inputs.

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#### Output:

w<sub>t</sub>: Incrementally learned weights.

Receive live data stream, represented as in Eqn (1).

$$Dataset = \begin{cases} X = \{x_0, x_1...x_t\} \text{ where } x_t \in \mathbb{R}^n \\ Y = \{y_0, y_1...y_t\} \text{ where } y_t \in \{-1, +1\} \end{cases}$$
 (1)

First part: Dataset, Inputs and Output for Train++

- Input and output for Train++ core algorithm. Eqn (1) is the local dataset that is based on the IoT use-case data
  - ✓ X is the dataset rows that contain features of input data samples
  - ✓ Y holds the corresponding labels
  - ✓ dimension of time (t) is considered indefinite as real-time sensor data keeps arriving with indefinite length



**function** MCUTrain  $(x_t, y_t, t, C)$  **for** t = 0 to setsize **do** Predict  $\hat{y}_t$  for every  $x_t$ . Use  $sign(x_t.w_t)$ . Compute confidence score of prediction. Use  $|x_t.w_t|$ . Compute margin at t. Use  $y_t(x_t.w_t)$ . Show original label  $y_t$  to this algorithm. **if**  $(\hat{y}_t$  equals to  $y_t$ ) **then** Prediction was correct.  $y_t = sign(x_t.y_t)$ . Predict again with a higher confidence score.

Second part

- Initially the algorithm infers using a binary classification function that updates from round to round and the vector of weights w ∈ R<sup>n</sup> takes the sign (x . w) form
  - ✓ The magnitude |x. w| is the confidence score of prediction
  - ✓  $w_t$  is the weight vector that Train++ uses on round t
  - $\checkmark$   $y_t(x_t, w_t)$  is the margin obtained at t
- Whenever algorithm makes a correct prediction  $sign(x_t, w_t) = y_t$ 
  - ✓ After prediction, we instruct Train++ to predict again with a higher confidence score
  - ✓ Hence, the goal becomes to achieve at least 1 as the margin, as frequently as possible



```
if (sign(x_t.y_t) lesser than 1) then
Suffer an instantaneous loss. Use Eqn (2).
if (sign(x_t.y_t) exceeds 1) then
Loss becomes 0.
else
Wrong prediction. Loss becomes 1 - y_t(x_t.w_t).
end if
```

Third part

 Whenever y<sub>t</sub> (x<sub>t</sub> . w<sub>t</sub>) < 1 Hinge-loss makes Train++ suffer an instantaneous loss

$$l(w; (x, y)) = \begin{cases} 0 \text{ if } y(x.w) \ge 1\\ 1 - y(x.w) \text{ otherwise ,} \end{cases}$$
 (2)

- ✓ If margin exceeds 1 the loss is zero.
- ✓ Else, it is the difference between the margin and 1
- Now we require an update rule to modify the weight vector for each round



• Update rule to modify the weight vector for each round.  $\xi$  is a slack variable, C is the parameter to control influence of  $\xi$ 

$$w_{t+1} = argmin\frac{1}{2}||w - w_t||^2 + C\xi^2 \text{ s.t } l(w; (x_t, y_t)) \le \xi$$
 (3)

- ✓ Whenever a correct prediction occurs, the loss function is 0
- ✓ The argmin is wt, hence Train++ algorithm becomes permissive.
- Whereas on the rounds when misclassifications occur, loss is positive and Train++ offensively forces  $w_{t+1}$  to satisfy the constrain  $I(w; (x_t, y_t)) = 0$
- ✓ Larger C values produce strong offensiveness, which might increase the risk of destabilization when input data is noisy
- ✓ Lower C values improve adaptiveness

Incrementally learn  $w_t$ . Use Eqn (4). Store the learned weights  $w_t$ . end for

Fourth part



To keep  $w_{t+1}$  close to  $w_t$  to retain the information learned in previous rounds. The update rule in its simple closed-form is  $w_t + \tau_t y_t x_t$ . Substituting  $\tau$  then substituting loss  $I_t$  we get Eqn (4)

$$w_{t+1} = w_t + \frac{l_t}{||x_t||^2 + \frac{1}{2C}} y_t x_t$$

$$w_{t+1} = w_t + \frac{\max\{0, 1 - y_t(x_t.w_t)\}}{||x_t||^2 + \frac{1}{2C}} y_t x_t , \qquad (4)$$

Incrementally learn  $w_t$ . Use Eqn (4). Store the learned weights  $w_t$ . end for

Fourth part

- ✓ This update rule meets our expectations since the weight vector is updated with a value whose sign is determined by  $y_t$  (magnitude proportional to error)
- ✓ During correct classification, the nominator of Eqn (4) becomes 0, so  $w_{t+1} = w_t$
- $\checkmark$  During misclassification the value of the weight vector will move towards  $x_t$
- ✓ After this movement, the dot product in the update rule becomes negative. Hence input is classified correctly as +1

### **Train++ Evaluation Setup**



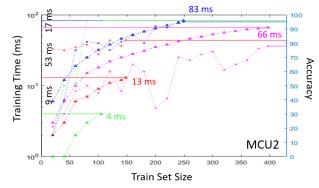
Dataset#: Name - feature dimension			
	D1: Iris Flowers [34] - 4		D5: Banknote [35] - 5
Datasets	ets D2: Heart Disease [36] - 13		D6: Survival [37] - 3
		reast Cancer [38] - 30	D7: Titanic [39] - 11
D4: MNIST Digits [40] - 64			
	MCU#	Name	Specs: processor flash,
	MICCH		SRAM (kB), clock (MHz)
	1	nRF52840 Feather	Cortex-M4, 1MB, 256, 64
	2	STM32f10 Blue Pill	Cortex-M0 128kB, 20, 72
MCU	3	Adafruit HUZZAH32	Xtensa LX6,
boards	4	Generic ESP32	4MB, 520, 240
	5	ATSAMD21 Metro	Cortex-M0+, 256kB, 32, 48

Datasets and MCUs used for Train++ evaluation

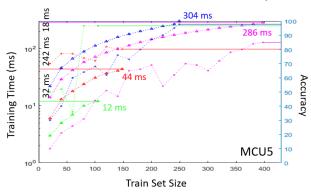
- Using Train++, for datasets D1-D7, we train a binary classifier on MCUs 1-5. This multiple datasets and MCUs based extensive experimental evaluation aims to answer
  - ✓ Is Train++ compatible with different MCU boards, and can it train ML models on MCUs using various datasets with various feature dimensions and sizes?
  - ✓ Can Train++ load, train, and infer using high features and size datasets on limited memory MCU boards that have low hardware specification and no FPU, APU, KPU support?

### **Results: Train Time**





Iris Flowers: ★ Train Time → Accuracy
Heart Disease: ★ Train Time → Accuracy



Breast Cancer: 

→ Train Time 

→ Accuracy

Handwritten Digits: 

→ Train Time 

→ Accuracy

- Training models on MCUs using Train++: Comparing training set size vs training time and accuracy for selected datasets
  - ✓ We show results only for MCUs 2, 5 as other MCUs trained in 2 ms
  - ✓ The gap in y-axis is difference in train time between datasets.
  - ✓ Train time grows almost linearly with samples for all datasets
  - ✓ For the Iris dataset MCU2 only took 4 ms to train on 105 samples
  - ✓ It took 83 ms to train on the Digits dataset.
  - ✓ MCU5 is slowest since it only has a 48 MHz clock, does not have FPU support. Hence it took 12 ms to train on 105 samples of the Iris dataset (3x times slower than MCU2) and 304 ms to train on the Digits dataset (3.6x times slower than MCU2)

### **Results: Infer Time and Memory**



- Memory: Flash and SRAM consumption is calculated during compilation by the IDE
  - ✓ For MCU1, Iris dataset and Train++ in total used only 4.1 % of Flash and 3.4 % SRAM. For Digits, the same MCU1 requires 6.54 % and 29.93 %
  - ✓ When using Edge2Train, for MCUs 2, 5, we cannot train using the Digits dataset because SRAM overflowed by +52.0 kB and +63.62 kB. Similarly for Heart Disease both the Flash and SRAM requirements exceed the MCU's capacity
    - ✓ Even after overflow on MCU2, Train++ incremental training characteristics could load the dataset incrementally and complete training in 83 ms
    - ✓ Similarly, even after overflow on MCU5, Train++ was able to load the entire dataset incrementally and train in 304 ms
- Inference time: For the selected datasets, on MCUs 1-5, Train++ method infer for the entire test set in lesser time than the Edge2Train model's unit inference time

### **Results: Accuracy**

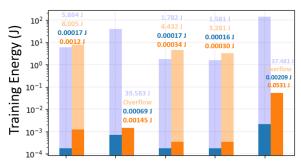


- Accuracy: The highest onboard classification accuracy is 97.33 % for the Iris (D1), 82.08 % for Heart Disease (D2), 85.0 % for Breast Cancer (D3), 98.0 % for Digits dataset (D4)
- Accuracy comparison: When comparing the accuracy of Train++ trained models with Edge2Train trained models
  - ✓ For the same Iris dataset, the accuracy improved by 7.3% and by 5.15% for the Digits dataset. This improvement is because our training algorithm enabled incremental loading of the full dataset
  - ✓ Other algorithms like SVMs work in batch mode, requiring full training data to be available in the limited MCU memory, thus sets an upper bound on the train set size
  - ✓ Train++ trained models achieved overall improved accuracy compared to the Edge2Train models, which were trained with limited data (unable to load full dataset due to memory constraints)

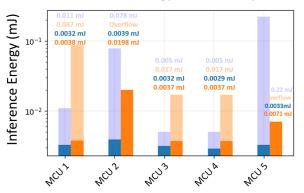
### Results: Edge2Train vs Train++



a. Training energy consumed by MCUs.



b. Unit inference energy consumed by MCUs.



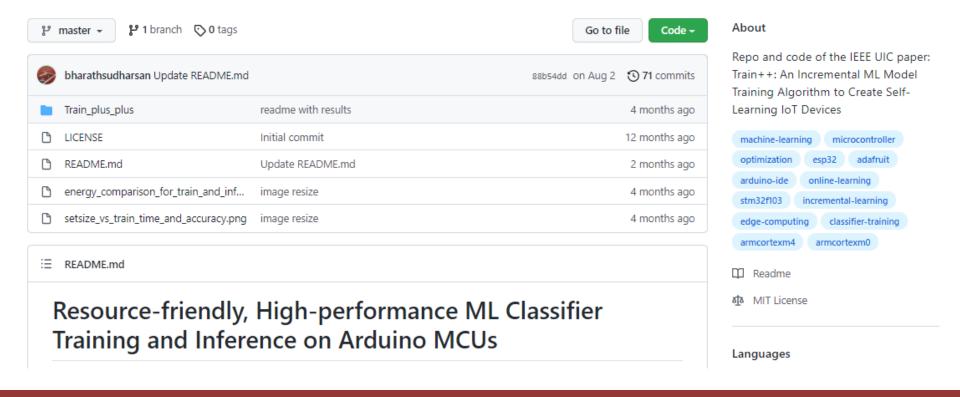
Edge2Train: Iris Flowers Handwritten Digits Train++: Iris Flowers Handwritten Digits

- From the shown Figure (y-axis in base-10 log scale) it is apparent that Train++ consumed
  - √ 34000 65000x times less energy to train
  - ✓ 34 66x times less energy for unit inference
- For a given task that needs to be completed by using the same datasets on the same MCUs, Train++ achieved such significant energy conservations due to its high-performance characteristics (i.e., it trained and inferred at much higher speeds)
- When Train++ is used, MCUs can perform onboard model training and inference at the lowest power costs, thus enabling offline learning and model inference without affecting the IoT edge application routine and operating time of battery-powered devices

### Demo



Incremental ultra-fast @ Train++: <a href="https://github.com/bharathsudharsan/Train\_plus\_plus\_plus">https://github.com/bharathsudharsan/Train\_plus\_plus\_plus\_plus</a>



### **Train++ Summary**



- Summary of Train++ benefits
  - ✓ The proposed method reduces the onboard binary classifier training time by  $\approx$  10 226 sec across various commodity MCUs
  - ✓ Train++ can infer on MCUs for the entire test set in real-time of 1 ms
  - ✓ The accuracy improved by 5.15 7.3 % since the incremental characteristic of Train++ enabled loading of full n-samples of high-dimensional datasets even on MCUs with only a few hundred kBs of memory
  - ✓ Train++ is compatible with various MCU boards and multiple datasets
  - ✓ Across various MCUs, Train++ consumed ≈ 34000 65000x times less energy to train and consumed ≈ 34 - 66x times less energy for unit inference







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