



# UMT

Estd. 1990

**Subject:** DSA

**Submitted To:** Dr Saima Waseem

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**Section:** A1

**UNIVERSITY OF MANAGEMENT AND TECHNOLOGY  
LAHORE**

**Course:** CS 305 - Database Systems Management

**Instructor:** Dr. Saima Waseem

**Topic:** Binary Trees, Binary Search Trees (BSTs), and AVL Trees

**Due Date:** 12-9-2025

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### **Learning Objectives**

Upon completing this assignment, you will be able to:

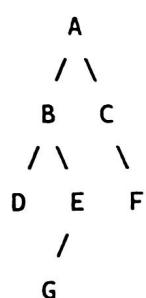
1. Traverse trees using different algorithms.
  2. Implement and reason about the properties of Binary Search Trees.
  3. Understand the rotation operations used to maintain an AVL tree.
  4. Implement the core functionality of an AVL tree, including insertion and balancing.
  5. Analyze the time complexity of operations on various tree structures.
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## **Part 1: Theoretical Concepts**

### **Question 1: Tree Traversal**

Consider the following binary tree:

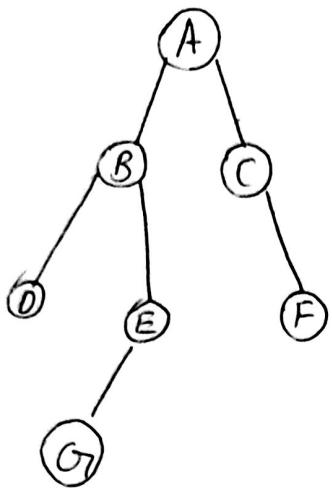
text



- a) Provide the node sequence for an **In-order** traversal.
- b) Provide the node sequence for a **Pre-order** traversal.
- c) Provide the node sequence for a **Post-order** traversal.
- d) Provide the node sequence for a Breadth-First traversal.

Answer 2

Tree



- a) In order (L, Root, R): D, B, G<sub>r</sub>, E, A, C, F
- b) Pre-Order (Root, L, R): A, B, D, E , G<sub>r</sub>, C, F
- c) Post Order (L, R, Root): D, G<sub>r</sub>, E, B, F, C, A
- d) Breath-First (Level-Order): A, B, C, D, E, F, G<sub>r</sub>

### Question 2: BST Properties

a) From the following sequences, which one(s) could represent a valid **in-order traversal** of a Binary Search Tree? Explain your reasoning.

- i) 5, 10, 15, 20, 25, 30, 35
- ii) 10, 5, 20, 15, 30, 25, 35
- iii) 35, 30, 25, 20, 15, 10, 5

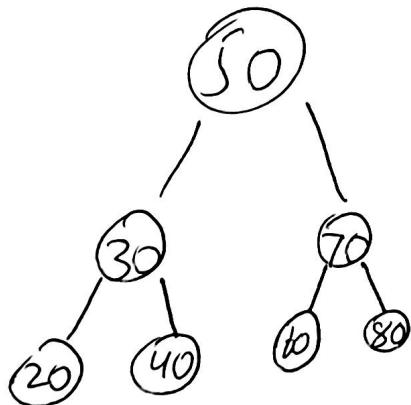
b) Draw the **BST** that would be formed by inserting the following keys in the given order: 50, 30, 70, 20, 40, 60, 80.

Answer

a) Which sequences can be BST in-order

- i) 5, 10, 15, 20, 25, 30, 35  ascending order
- ii) 10, 5, 20, 15, 30, 25, 35
- iii) 35, 30, 25, 20, 15, 10, 5

b)



### Question 3: AVL Tree Concepts

a) Calculate the **balance factor** for each node in the following tree. Is this tree an AVL tree? Justify your answer.

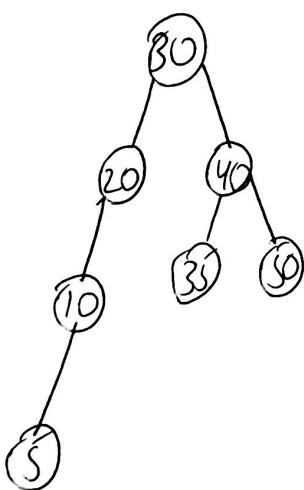
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```
30
 / \
20  40
 /   / \
10  35 50
/
5
```

c) Apply AVL on the above tree where required and, provide a simple diagram showing the tree structure before and after the necessary rotation(s).

---

a)



Balance Factor ( $BF = \text{height}(\text{left}) - \text{height}(\text{right})$ )

- Node 5  $\rightarrow 0$
- Node 10  $\rightarrow +1$
- Node 20  $\rightarrow +2$  X (unbalance)
- Node 35  $\rightarrow 0$
- Node 50  $\rightarrow 0$
- Node 40  $\rightarrow 0$
- Node 30  $\rightarrow 0$

Not an AVL Tree because Node 20  $BF = +2$

## Part 2: Implementation and Analysis

### Question 4: AVL Tree Insertion and Rotation

**Instructions:** For this question, you will **draw the trees**. Show the state of the AVL tree after each insertion. You must show the balance factor of each node next to it (e.g., **Node (BF)**). If an insertion causes the tree to become unbalanced, **identify the imbalance case** and perform the correct rotation to restore the AVL property.

**Starting Tree:** Begin with an empty AVL tree.

**Insert the following keys in sequence:** 10, 20, 30, 40, 50, 25

Show the tree after each insertion. If a rotation is needed, show the tree *immediately before* the rotation and then *immediately after* the rotation.

*Example format for your answer:*

### Question 5: Programming Task - AVL Tree Implementation

Implement an AVL Tree in the programming language of your choice (e.g., Python, Java, C++). Your implementation must include the following core functionalities:

1. A **Node** class with at least the following attributes: **key**, **left**, **right**, **height**.
2. A function/method to get the **height** of a node (handle **null/None** pointers correctly).
3. A function/method to get the **balanceFactor** of a node.
4. Functions/methods to perform the four rotations:
  - o **rotateLeft(node)**
  - o **rotateRight(node)**
  - o (Alternatively, you can integrate the logic for **leftLeft**, **leftRight**, etc., into your insertion method.)
5. A function/method **insert(root, key)** that inserts a new key into the tree and balances it using the necessary rotations. This method should return the new root of the subtree.
6. A function/method for **in-order traversal** to print the keys in sorted order.

#### Task:

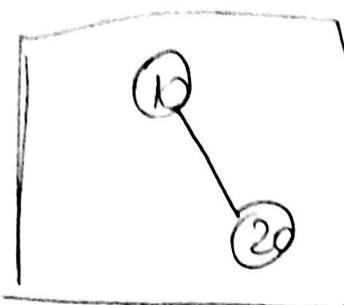
- a) Implement the AVL tree as described above.
- b) Test your implementation by building the tree from **Question 4**. Insert the keys 10, 20, 30, 40, 50, 25 in that order.
- c) **Output:**
  - \* Print the **in-order traversal** of your final tree. (This should confirm the tree is a valid BST).

Answer no 4

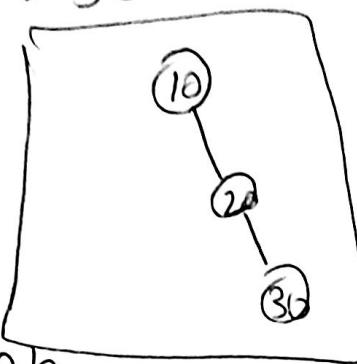
• Insert 1 → 10



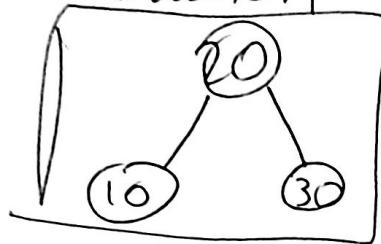
• Insert 2 → 20



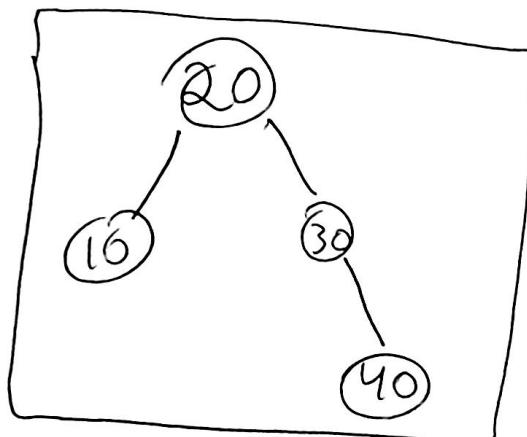
• Insert 3 → 30



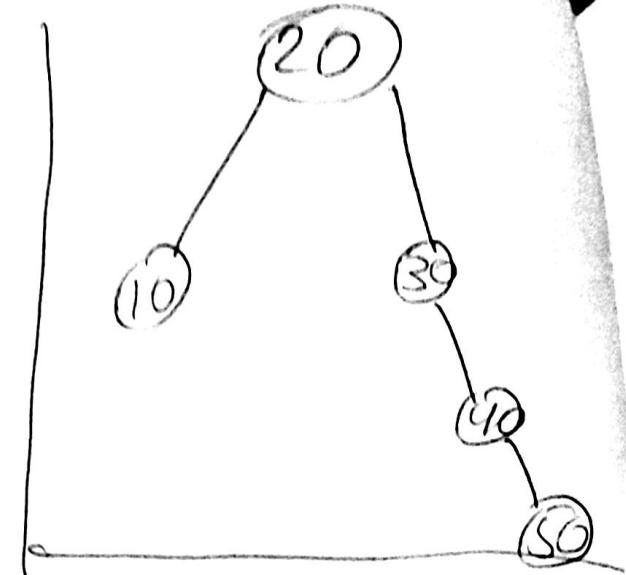
After rotation



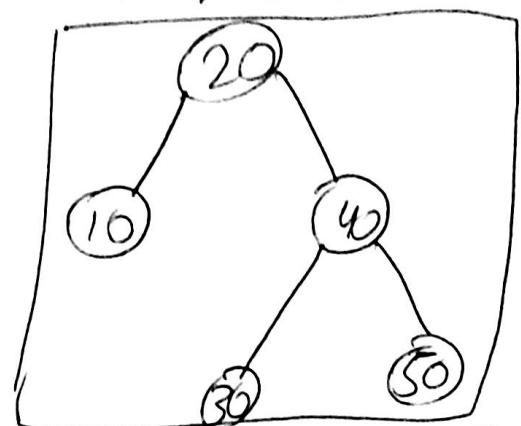
• Insert 4 → 40



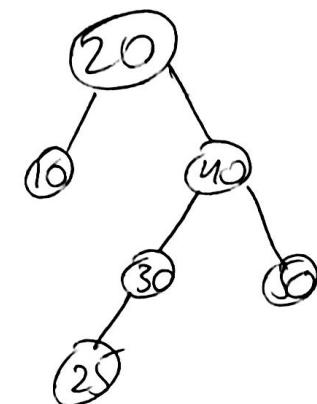
Insert 5 → 50



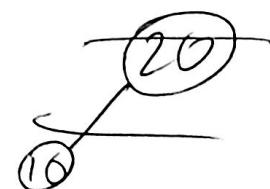
After rotation



Insert 6 → 25

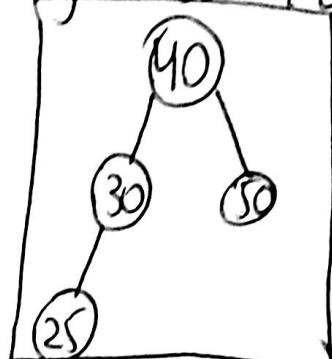


After rotation

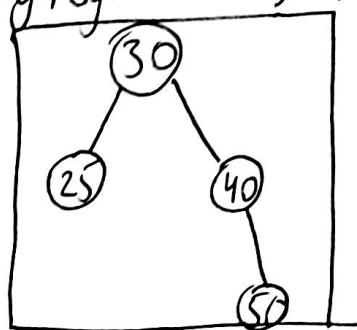


## Step B

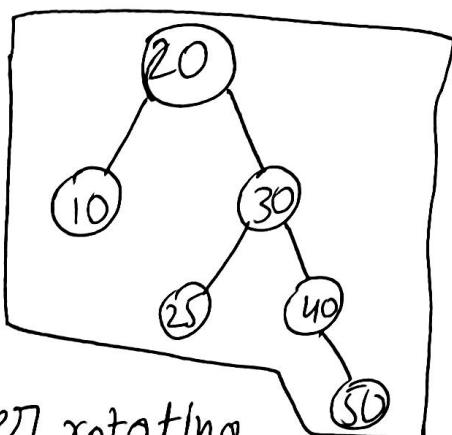
1) Rotate Right at 40 (first step)



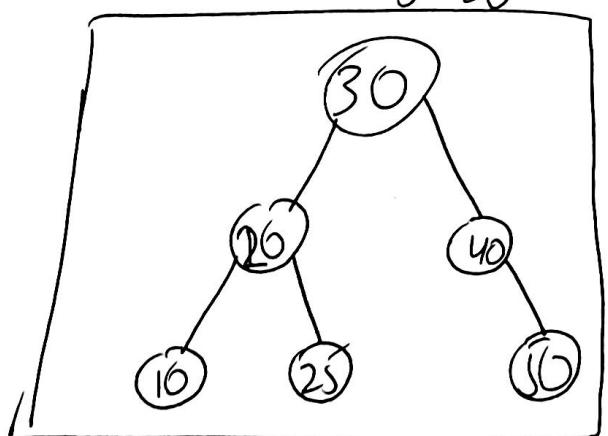
After Rotating Right (40) -> become



2) Rotate left at 20



After rotating  
20



$30 \rightarrow 0$   
 $20 \rightarrow 0$   
 $40 \rightarrow -1$

Final  
Ans  
of  
AVL Tree

Answer 5 :-

```
#include <iostream>
#include <algorithm>
#include <queue>
using namespace std;
class Node {
public:
    int Key height;
    Node *left *right;
    Node (int K) {
        Key = K
        height = 1;
        left = right = NULL;
    }
};

class AVLTree {
public:
    int get Height (Node* root) {
        return root ? root->height : 0;
    }

    int get Balance (Node* root) {
        return root ? get Height (root->left) - get Height (root->right)
                    : 0;
    }

    Node* rotate Right (Node* y) {
        Node* x = y->left;
        Node* T2 = x->right;
        x->left = T2->left;
        T2->left = y;
        T2->right = y->right;
        y->left = x;
        y->right = T2;
        if (y == root)
            root = x;
        else
            y->parent->right = x;
        x->parent = y;
        if (x != null)
            x->parent->right = x;
        return x;
    }
};
```

$x \rightarrow \text{right} = y$   
 $y \rightarrow \text{left} = T_2$

$y \rightarrow \text{height} = 1 + \max(\text{getHeight}(y \rightarrow \text{left}), \text{getHeight}(y \rightarrow \text{right}))$ ;  
 $x \rightarrow \text{height} = 1 + \max(\text{getHeight}(x \rightarrow \text{left}), \text{getHeight}(x \rightarrow \text{right}))$ ;  
return  $x$ ;

```
Node* insert (Node* root, int Key){  
    if (!root) return new Node(Key);  
    if (Key < root->key)  
        root->left = insert (root->left, Key);  
    else if (Key > root->key)  
        root->right = insert (root->right, Key);  
    root->height = 1 + max (getHeight (root->left), getHeight (root->  
        right));  
    int balance = getBalance (root);  
    if (balance > 1 && key < root->left->key)  
        return rotateRight (root);  
    if (balance < -1 && key > root->right->key)  
        return rotateLeft (root);  
    if (balance > 1 && key > root->left->key)  
        return rotateRight (root);  
    if (balance < -1 && key < root->right->key){  
        return rotateLeft (root);  
    }  
    return root;}
```

```
void inorder (Node* root) {  
    if (root){  
        inorder (root->left);  
        cout << root->key << " ",  
        inorder (root->right); } }
```

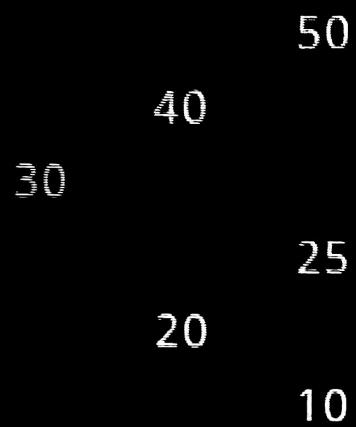
```
Void levelorder (Node *root) {
    if (!root) return;
    queue<Node *> q;
    q.push(root);
    while (!q.empty()) {
        Node *node = q.front(); q.pop();
        cout << node->key << " ";
        if (node->left) q.push(node->left);
        if (node->right) q.push(node->right);
    }
}

int main() {
    AVLTree tree;
    Node *root = NULL;
    int key[] = {10, 20, 30, 40, 50, 25};
    for (int k : keys)
        root = tree.insert(root, k);
    cout << "In order Traversal";
    tree.inorder(root);
    cout << "In level-order Traversal";
    tree.levelorder(root);
    return 0;
}
```

In-order Traversal: 10 20 25 30 40 50

Level-order Traversal: 30 20 40 10 25 50

Visual Tree (rotated):



- \* Print the **level-order traversal** of your final tree. (This helps visualize the structure). You may need to implement a helper function for this.
- \* **Bonus:** Write a function to print the tree in a visual way (e.g., with indentation).

#### Question 6: Complexity Analysis

- What is the worst-case time complexity for insertion, deletion, and search in:
- What is the space complexity for both tree implementations?

### a) Worst case time Complexity of Insertion, Deletion, Search

Operation	Unbalanced BST	AVL Tree Balance
Insertion	$O(n)$	$O(\log n)$
Deletion	$O(n)$	$O(\log n)$
Search	$O(n)$	$O(\log n)$

### Explanation

- In an unbalanced BST, if elements are inserted in sorted order, the tree becomes skewed (like a linked list)  $\rightarrow$  height =  $n \rightarrow$  operations take linear time ( $O(n)$ ).
- In an AVL Tree rotations ensure the tree is always balanced, so height =  $O(\log n)$ . Therefore, operations take logarithmic time  $O(\log n)$ .

### c) Space Complexity

- BST:  $O(n)$  → Each node is stored once. No extra balancing info.
- AVL Tree:  $O(n)$  → Store all nodes, plus each node has a height/balance factor ( $O(1)$  extra per node). Still  $O(n)$  overall.