

Company: ABC Fintech Ltd.

Report Title: Q1 2025 Compliance and Legal Overview

Date: April 15, 2025

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### Section 1 – Regulatory Findings

The company was found to have delayed disclosures under Clause 49 of the SEBI LODR Regulations.

A fine was imposed under Section 15 of the SEBI Act.

### Section 2 – Penalty Justification

The tribunal stated that repeated violations warranted a monetary penalty.

Penalty issued: ₹50,000

Order issued on: March 10, 2025

### Section 3 – Corrective Actions

- Updated compliance calendar
- Appointed a new regulatory officer
- Internal audit scheduled for Q2

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