

Q1. Prove that:

"Let  $L$  be a language accepted by a Non-deterministic Finite Automata (NFA). Then there exists a Deterministic Finite Automata (DFA) that accepts  $L$ ."

OR

Prove equivalence of NFA and DFA.

Ans: Let  $L(D)$  be the language accepted by DFA and  $L(N)$  be that of NFA. If  $D = (\Omega_D, \Sigma, \delta_D, \{q_0\}, f_D)$  is the DFA constructed from  $N = (\Omega_N, \Sigma, \delta_N, q_0^*, f_N)$  by subset construction, then  $L(D) = L(N)$ . This is what we have to prove. i.e.,  $\hat{\delta}_D(\{q_0\}, \omega) = \hat{\delta}_N(q_0, \omega)$ .

Basis: Let  $|\omega| = 0$ ; i.e.,  $\omega = \epsilon$ . By the basis definitions of  $\hat{\delta}$  for DFA and NFA, both  $\hat{\delta}_D(\{q_0\}, \epsilon)$  and  $\hat{\delta}_N(q_0, \epsilon)$  are  $\{q_0\}$ .  
[ $\delta$  function returns a set of states from  $\Omega_N$ , but  $\hat{\delta}_D$  interprets this set as one of these states of  $\Omega_D$  (which is the power set of  $\Omega_N$ ), while  $\hat{\delta}_N$  interprets this set as a subset of  $\Omega_N$ ]

Induction: Let  $\omega$  be of length  $n+1$  and assume the statement for length  $n$ . Break  $\omega$  up as  $\omega = \alpha a$ , where  $a$  is the final symbol of  $\omega$ . By the inductive hypothesis  $\hat{\delta}_D(\{q_0\}, \alpha) = \hat{\delta}_N(q_0, \alpha)$ . Let both these sets of N's state be  $\{p_1, p_2, \dots, p_k\}$ . The inductive part of the definition of  $\hat{\delta}$  for NFA's tell us

$$\hat{\delta}_N(q_0, \omega) = \bigcup_{i=1}^k \hat{\delta}_N(p_i, a) \quad \text{--- (1)}$$

The subset construction, on the other hand, tells us that

$$\hat{\delta}_D(\{p_1, p_2, \dots, p_k\}, a) = \bigcup_{i=1}^k \hat{\delta}_D(p_i, a) \quad \text{--- (2)}$$

Using the fact,  $\hat{\delta}_D(\{q_0\}, \alpha) = \{p_1, p_2, \dots, p_k\}$  in the inductive part of the definition of  $\hat{\delta}$  of DFA's:

$$\hat{\delta}_D(\{q_0\}, \omega) = \hat{\delta}_D(\hat{\delta}_D(\{q_0\}, \alpha), a)$$

$$= \delta_D(\{p_1, p_2, \dots, p_n\}, a)$$

$$\hat{\delta}_D(\{q_0\}, a) = \bigcup_{i=1}^n \delta_N(p_i, a) \quad \text{--- (3)}$$

$$\text{From equation (1) and (3), } \hat{\delta}_D(\{q_0\}, a) = \hat{\delta}_N(q_0, a)$$

we observe that D and N both accept  $w$  iff  $\hat{\delta}_D(\{q_0\}, w) = \hat{\delta}_N(q_0, w)$

$$\Rightarrow L(D) = L(N).$$

Hence proved.

Q2. Prove that: "If  $L$  is a language accepted by an ordinary NFA, then there exist an equivalent  $\epsilon$ -NFA that also accepts  $L$ ".

Ans: Let the NFA be  $N = (\mathbb{Q}, \Sigma, \delta, q_0, F)$ . Construct an  $\epsilon$ -NFA  $E = (\mathbb{Q}, \Sigma, \delta_E, q_0, E)$  by defining

- for every  $q \in \mathbb{Q}$  and every input symbol  $a \in \Sigma$ :

$$\delta_E(q, a) = \delta(q, a)$$

- for every  $q \in \mathbb{Q}$ :  $\delta_E(q, \epsilon) = \emptyset$

So  $E$  has exactly the same states, start state and accepting states as  $N$ ; and it has the same transitions on real symbols, while it has no  $\epsilon$ -moves.

We show that for every state  $q \in \mathbb{Q}$  and every string  $w \in \Sigma^*$ ,

$$\hat{\delta}_E(q, w) = \hat{\delta}(q, w)$$

Prove by induction on  $|w|$ .

Base: If  $w = \epsilon$ , then

$$\hat{\delta}_E(q, \epsilon) = \epsilon\text{-closure}(q)$$

But since  $\delta_E(q, \epsilon) = \emptyset$  for all  $q$ , the  $\epsilon$ -closure of  $q$  is just  $q$ . Thus  $\hat{\delta}_E(q, \epsilon) = q = \hat{\delta}(q, \epsilon)$ .

Induction: Suppose the equality holds for all strings of length  $n$ . Let  $w$  be a string of length  $n+1$ , say  $w = wa$  with  $a \in \Sigma^*$  and  $a \in \Sigma$ . Then by definition of the extended transition function for NFAs, and  $\hat{\delta}$ -NFAs.

$$\hat{\delta}_E(q, xa) = \bigcup_{p \in \hat{\delta}_E(q, x)} \delta_E(p, a).$$

$$\hat{\delta}_E(q, xa) = \bigcup_{p \in \hat{\delta}(q, x)} \delta(p, a) = \hat{\delta}(q, xa)$$

This completes the induction. Hence

$$\hat{\delta}_E(q_0, w) = \hat{\delta}(q_0, w)$$

$\forall w$ , so  $w$  is accepted by  $E$  iff it is accepted by  $N$

$$\therefore L(E) = \underline{L(N)}$$

Hence proved.

Q3. Prove the closure properties of regular languages.

Ans: Let  $L$  and  $M$  be regular languages.

(a) Closure under Union:

Since  $L$  and  $M$  are regular, they have regular expressions, say

$$L = L(E) \text{ and } M = L(F)$$

Then  $LUM = L(E+F)$  by the definition of  $+$  operator

For any regular languages  $L$  and  $M$ ,  
 $LUM$  is regular

(b) Closure under Intersection:

Let  $L$  be recognized by the DFA

$$A_L = (\Omega_L, \Sigma, \delta_L, q_L, F_L)$$

and  $M$  by the DFA

$$A_M = (\Omega_M, \Sigma, \delta_M, q_M, F_M)$$

We assume that the alphabets of both automata are same; i.e.,  $\Sigma$  is the union of the alphabets of

$L$  and  $M$  if they are different.  
 We also assume both automata are deterministic.  
 We shall construct an automaton  $A$  that simulates both  $A_L$  and  $A_M$ . If  $A_L$  goes from state  $p$  to state  $s$  on reading  $a$  and  $A_M$  goes from state  $q$  to state  $t$  on reading  $a$ , then  $A_{L \cap M}$  will go from state  $(p, q)$  to state  $(s, t)$  on reading  $a$ . The start state of  $A$  is the pair of start states of  $A_L$  and  $A_M$ .

$\therefore$  We want to accept iff both automata accept, we select as accepting states of  $A$  all pairs  $(p, q)$  such that  $p$  is an accepting state of  $A_L$  and  $q$  is an accepting state of  $A_M$ .

Formally,

$$A_{L \cap M} = (\mathcal{Q}_L \times \mathcal{Q}_M, \leq, \delta_{L \cap M}(q_L, q_M), F_L \times F_M)$$

where

$$\delta_{(P, Q)}(a) = (\delta_L(p, a), \delta_M(q, a))$$

By induction on  $|w|$  it is possible to prove that

$$\hat{\delta}_{L \cap M}((q_L, q_M), \omega) = (\hat{\delta}_L(q_L, \omega), \hat{\delta}_M(q_M, \omega))$$

But  $A$  accepts  $\omega$  iff  $\hat{\delta}_{L \cap M}(q_L, q_M), \omega)$  is a pair of accepting states. i.e.,  $\hat{\delta}_L(q_L, \omega)$  must be in  $F_L$  and  $\hat{\delta}_M(q_M, \omega)$  must be in  $F_M$ .

$\therefore \omega$  is accepted by  $A$  iff  $\omega$  is accepted by  $A_L$  and by  $A_M$ .  
 $\Rightarrow A$  accepts the intersection of  $L$  and  $M$ .

If  $L$  and  $M$  are regular languages, then  
 $\omega$  is  $L \cap M$ .

(c) Closure under complementation:

Let  $L$  be recognized by a DFA

$$A = (\mathcal{Q}, \leq, \delta, q_0, F)$$

Then  $\bar{L} = L(B)$  where  $B$  is the DFA  $B = (\mathcal{Q}, \leq, \delta, q_0, \bar{F})$

i.e.,  $B$  is exactly like  $A$ , but the accepting states of  $A$  have become the nonaccepting states of  $B$  & vice-versa.

Then  $w$  is in  $L(B)$  iff  $\delta(q_0, w)$  is in  $Q \setminus F$ , which occurs iff  $w$  is not in  $L(A)$ .

$\therefore$  If  $L$  is a regular language over alphabet  $\Sigma$  then  $\overline{L} = \Sigma^* \setminus L$  is also regular.

#### (d) Closure under Difference:

Observe that  $L \setminus M$  (Difference) =  $L \cap \overline{M}$ . We know that regular languages are closed under complementation and intersection.

$\therefore$  If  $L$  and  $M$  are regular languages, then so is  $L \setminus M$ .

#### (e) Closure under reversal:

Assume  $L$  is defined by a regular expression  $E$ . We show that there is another regular expression  $E^R$  such that  $L(E^R) = (L(E))^R$ .

i.e. Language of  $E^R$  is reversal of language of  $E$ .

Basis: If  $E$  is  $\epsilon, \phi$  or  $a$  then  $E^R = E$ .

Induction: There are 3 cases based on the form of  $E$ .

1)  $E = F + G$ . Then  $E^R = F^R + G^R$

2)  $E = F \cdot G$  then  $E^R = G^R \cdot F^R$

3)  $E = F^*$  Then  $E^R = (F^R)^*$

Justifications for these:

1) The reversal of the union of two languages is obtained by computing the reversal of the two languages & taking the union of those languages.  $\therefore E^R = F^R + G^R$ .

2) If  $L(F) = \{01, 111\}$  and  $L(G) = \{00, 10\}$

then  $L(FG) = \{000, 0110, 11100, 11110\}$ . The reversal of latter is

In general, if a word  $w \in L(E)$  is the concatenation of  $w_1 \in L(F)$  and  $w_2 \in L(G)$ , then  $w^R = w_2^R \cdot w_1^R$

(d) Any string  $w \in L(E)$  can be written as  $w_1 w_2 \dots w_n$ , where each  $w_i \in L(F)$ . But  $w^R = w_n^R \dots w_2^R w_1^R$  and each  $w_i^R$  is in  $L(F^R)$  so  $w^R$  is in  $L((F^R)^*)$

Conversely, any string in  $L((F^R)^*)$  is of the form  $w_1 w_2 \dots w_n$  where each  $w_i^R$  is the reversal of a string in  $L(F)$ . The reversal of this string is in  $L(F^*)$  which is in  $L(F)$ . We have shown that a string is in  $L(E)$  iff its reversal is in  $L((F^R)^*)$

$\therefore$  If  $L$  is a regular language, then so is  $L^R$ .

#### (e) Closure under Concatenation:

Let  $E_1$  and  $E_2$  be the regular expressions accepting  $L_1$  and  $L_2$ .

Let  $E = E_1 \cdot E_2$  that accepts  $L_1 \cdot L_2$  i.e.

$$L(E_1 \cdot E_2) = L(E_1) \cdot L(E_2)$$

[Proof of correctness: trivial by definition of regular expression]  
If  $L_1$  and  $L_2$  are regular languages  
 $L_1 \cdot L_2$  is regular since there is a regular expression  $E_1 \cdot E_2$  accepting this language.

$\Rightarrow$  If  $L_1$  and  $L_2$  are regular languages, so is  $L_1 \cdot L_2$ .

#### (f) The closure (star) of a regular language is regular.

Let  $L$  be a regular language represented by regular expression  $R$ .  $R^*$  is a regular expression whose language is  $L^*$ .  $\therefore L^*$  can be represented with a regular expression, it is also a regular language.

#### (g) Closure under Homomorphism:

Let  $L = L(R)$  for some regular expression  $R$ .

We claim that  $h(R)$  defines the language  $h(L)$ .

In general, if  $E$  is the regular expression with symbols in  $\Sigma$ , let  $h(E)$  be the expression obtained by replacing each symbol  $a$  of  $\Sigma$  by  $h(a)$ .

The proof is a structural induction that says whenever we take a subexpression  $E$  of  $R$  and apply  $h$  to it to get  $h(E)$ , the language  $h(E)$  is the same we get if we apply  $h$  to the language  $L(E)$ .

Formally,  $L(h(E)) \stackrel{?}{=} h(L(E))$

Basis: If  $E$  is  $\phi$  or  $\epsilon$ , then  $h(E) = E$  and  $h(h(E)) = L(E) = h(L(E))$

If  $E$  is  $a$  then  $L(E) = \{a\}$  and

$$L(h(E)) = L(h(a)) = \{h(a)\} = h(L(E))$$

Induction: There are 3 cases:

Case 1:  $E = F + G$

$$h(E) = h(F + G) = h(F) + h(G)$$

$$\text{We know that, } L(E) = L(F) \cup L(G)$$

$$L(h(E)) = L(h(F + G)) = L(h(F) + h(G)) = L(h(F)) \cup L(h(G))$$

by the definition of what  $+$  means in regular expressions.  
 $h(L(E)) = h(L(F) \cup L(G)) = h(L(F)) \cup h(L(G))$  because  $h$  is applied to a language, by application to each of its strings individually.

By the induction hypothesis,

$$(L(h(F)) = h(L(F)) \text{ and } L(h(G)) = h(L(G)))$$

$$\text{Then } L(h(F)) \cup h(h(G)) = h(L(F)) \cup h(L(G))$$

Case 2:  $E = F \cdot G$

$$h(E) = h(F \cdot G) = h(F) \cdot h(G) \cdot \text{We also know that, } L(E) = L(F) \cdot L(G)$$

$$L(h(E)) = L(h(F \cdot G)) = L(h(F) \cdot h(G)) = L(h(F)) \cdot L(h(G))$$

$$h(L(E)) = h(L(F \cdot G)) = h(L(F)) \cdot h(L(G))$$

By induction hypothesis,  $L(h(F)) = h(L(F))$  and  $L(h(G)) = h(L(G))$

$$\text{Then } L(h(F)) \cdot L(h(G)) = h(L(F)) \cdot h(L(G))$$

Case 3:  $E = F^*$

$$h(E) = h(F^*)$$

$$L(h(E)) = L(h(F^*)) = L(h(F)^*) = L(h(F))^*$$

$$h(L(E)) = h(L(F^*)) = h(L(F))^*$$

By induction hypothesis,  $L(h(F)) = h(L(F))$

$$\text{Then } L(h(F))^* = h(L(F))^*$$

$\Rightarrow$  If  $L$  is a regular language over alphabet  $\Sigma$  and  $h$  is a homomorphism on  $\Sigma$ , then  $h(L)$  is also regular.

#### (ii) Closure under inverse homomorphisms:

Let  $L$  be the language of the DFA

$$A = (\mathbb{Q}, \Sigma, \delta, q_0, F)$$

We construct from  $A$  and homomorphism  $h$  from alphabet  $\Sigma$  to alphabet  $\Theta(h)$ , a DFA for  $h^{-1}(L)$ . This automaton uses the states of  $A$  but translates the input symbols according to  $h$  before deciding on the next state.

Formally,

$$B = (\mathbb{Q}, \Sigma, \gamma, q_0, F)$$

where transition function  $\gamma$  is constructed by the rule

$$\gamma(q, a) = \hat{\delta}(q, h(a))$$

i.e., the transition  $B$  makes on input  $a$  is the result of the sequence of transitions that  $A$  makes on string of symbols  $h(a)$ .

By an induction on  $|\omega|$  it is possible to show that

$$\gamma(q_0, \omega) = \hat{\delta}(q_0, h(\omega))$$

$\therefore$  the accepting states of  $A \& B$  are same,  $B$  accepts  $\omega$  iff  $A$  accepts  $h(\omega)$ .

Put another way,  $B$  accepts exactly those strings  $\omega$  that are in  $h^{-1}(L)$ .

$\Rightarrow$  If  $h$  is a homomorphism from alphabet  $\Sigma$  to alphabet  $\Theta$  and  $L$  is a regular language over alphabet  $\Theta$ , then  $h^{-1}(L)$  is also a regular language.

Q4. Prove that :

" Every <sup>regular</sup> language is ultimately periodic."

Ans: To prove that every regular language is ultimately periodic, we can use the pumping lemma for regular languages. An ultimately periodic language is one for which there exist integers  $N$  and  $p \geq 0$  such that for any string  $w$  in the language with length  $|w| \geq N$ , there is a decomposition  $w = xyz$  (where  $|xy| \leq N$ ,  $|y| \geq 1$ , and for all  $i \geq 0$  the string  $xy^i z$  is also in the language).

Let  $L$  be a regular language. By the definition of a regular language, there exists a DFA, say  $M = (Q, \Sigma, \delta, q_0, F)$  that accepts  $L$ . Let  $k = |Q|$  be the no. of states in the DFA.

Now, we can apply the pumping lemma for regular languages to  $L$ . The pumping lemma states that there exists a pumping length  $p$  such that for any string  $w \in L$  with  $|w| \geq p$ , there is a decomposition  $w = xyz$  satisfying the following conditions:

- \*  $|xy| \leq p$

- \*  $|y| \geq 1$

- \* for all  $i \geq 0$ , the string  $xy^i z$  is also in  $L$ .

This is precisely the definition of an ultimately periodic language. We have an integer  $N$  (the pumping length) and period  $p$  (the length of the pumped substring). Existence of  $N$ : We have chosen  $N = k$  (the no. of states in the DFA).

Existence of  $p$ : For a given string  $w \in L$  with  $|w| \geq N$  the pumping lemma guarantees the existence of a substring  $y$  with  $|y| \geq 1$ . The length of this specific  $y$  (lets call it  $p_w = |y|$ ) acts as the period for this particular string  $w$ . The definition of an ultimately periodic language requires that for every string  $w$  with  $|w| \geq N$  such a period exists. The pumping lemma guarantees this for every such string!

Hence proved.