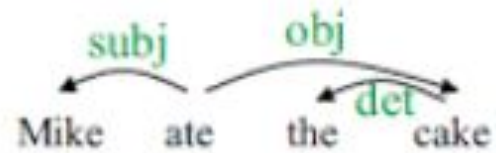
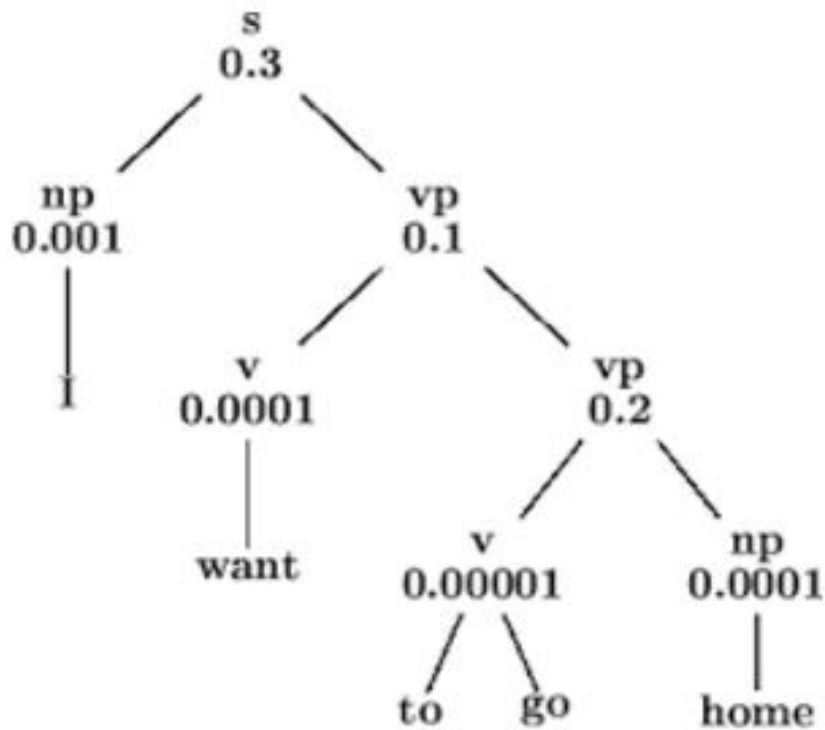


# Topic 9 (Pt 2) :

## Statistical Parsing



Dependency structure

# Statistical Parsing

- Uses a probabilistic model of syntax in order to assign probabilities to each parse tree
- Provides principled approach to resolving syntactic ambiguity
- Allows supervised learning of parsers from tree-banks of parse trees provided by human linguists
- Also allows unsupervised learning of parsers from unannotated text, but the accuracy of such parsers has been limited

# Probabilistic Context Free Grammar (PCFG)

- A probabilistic version of a CFG where each production rule is assigned a probability
- Probabilities of **all productions rewriting a given non-terminal must add to 1**, defining a distribution for each non-terminal
- The **generations of string** is now **probabilistic** where production probabilities are used to non-deterministically select a production for rewriting a given non-terminal

# PCFG for ATIS English (Air Travel Information System Corpus)

## Grammar

$S \rightarrow NP VP$	0.8	+ 1.0
$S \rightarrow Aux NP VP$	0.1	
$S \rightarrow VP$	0.1	
$NP \rightarrow Pronoun$	0.2	+ 1.0
$NP \rightarrow Proper-Noun$	0.2	
$NP \rightarrow Det Nominal$	0.6	
$Nominal \rightarrow Noun$	0.3	+ 1.0
$Nominal \rightarrow Nominal Noun$	0.2	
$Nominal \rightarrow Nominal PP$	0.5	
$VP \rightarrow Verb$	0.2	+ 1.0
$VP \rightarrow Verb NP$	0.5	
$VP \rightarrow VP PP$	0.3	
$PP \rightarrow Prep NP$	1.0	

## Prob

## Lexicon

Det $\rightarrow$ the   a   that   this	0.6	0.2	0.1	0.1
Noun $\rightarrow$ book   flight   meal   money	0.1	0.5	0.2	0.2
Verb $\rightarrow$ book   include   prefer	0.5	0.2	0.3	
Pronoun $\rightarrow$ I   he   she   me	0.5	0.1	0.1	0.3
Proper-Noun $\rightarrow$ Houston   NWA	0.8	0.2		
Aux $\rightarrow$ does	1.0			
Prep $\rightarrow$ from   to   on   near   through	0.25	0.25	0.1	0.2
	0.25			0.2

# Treebanks

- Treebanks are corpora in which each sentence has been paired with a parse tree (with the assumption that it is the right one).
- Example: Penn Treebank
- Most well known is the *Wall Street Journal* with 1 million words between 1987-1989.

# Penn Treebank Example

- Sentence :

*“We would have to wait until we have collected on those assets”, he said.*

```
( (S ( ' ' ' ' )
  (S-TPC-2
    (NP-SBJ-1 (PRP We) )
    (VP (MD would)
      (VP (VB have)
        (S
          (NP-SBJ (-NONE- *-1) )
          (VP (TO to)
            (VP (VB wait)
              (SBAR-TMP (IN until)
                (S
                  (NP-SBJ (PRP we) )
                  (VP (VBP have)
                    (VP (VBN collected)
                      (PP-CLR (IN on)
                        (NP (DT those)(NNS assets))))))))))
                ( , , ) ( ' ' ' ' )
                (NP-SBJ (PRP he) )
                (VP (VBD said)
                  (S (-NONE- *T*-2) ))
                ( . . ) ) )
```

# Probabilistic Parsed Trees with NLTK Chart Parser

*Sentence: “Book the flight through Houston”*

- Define the grammar with probabilities (PCFG):

```
>>> grammar = nltk.PCFG.fromstring("""
S -> NP VP [0.8] | Aux NP VP [0.1] | VP[0.1]
NP -> Det Nominal [0.6] | Pronoun [0.2] | Proper-Noun [0.2]
Nominal -> Noun [0.3] | Nominal Noun [0.2] | Nominal PP [0.5]
VP -> Verb [0.3] | Verb NP [0.2] | VP PP [0.5]
PP -> Prep NP [1.0]
Det -> 'the' [0.6] | 'a' [0.2] | 'that' [0.1] | 'is' [0.1]
Verb -> 'book' [0.5] | 'include' [0.2] | 'prefer' [0.3]
Noun -> 'book' [0.1] | 'flight' [0.5] | 'meal' [0.2] | 'money' [0.2]
Proper-Noun -> 'Houston' [0.8] | 'NWA' [0.2]
Prep -> 'from' [0.25] | 'to' [0.25] | 'near' [0.1] | 'through' [0.2] | 'on'
[0.2]
""")
```

# Probabilistic Parsed Trees with NLTK Chart Parser

- Re-display grammar with production rules

```
>>> print(grammar)
```

- Parse grammar using pchart (chart with prob.)

```
>>> from nltk.parse import pchart
```

```
>>> parser = pchart.InsideChartParser(grammar)
```

- Define sentence

```
>>> sent = "book the flight through Houston"
```

- Generate all possible trees based on sentence

```
>>> trees = parser.parse(sent.split())
```

- Print all possible trees

```
>>> for t in trees:  
    print(t)
```



# PCFG Grammar & Bracketed Trees

Grammar with 31 productions (start state = S)

```

S -> NP VP [0.8]
S -> Aux NP VP [0.1]
S -> VP [0.1]
NP -> Det Nominal [0.6]
NP -> Pronoun [0.2]
NP -> Proper-Noun [0.2]
Nominal -> Noun [0.3]
Nominal -> Nominal Noun [0.2]
Nominal -> Nominal PP [0.5]
VP -> Verb [0.3]
VP -> Verb NP [0.2]
VP -> VP PP [0.5]
PP -> Prep NP [1.0]
Det -> 'the' [0.6]
Det -> 'a' [0.2]
Det -> 'that' [0.1]
Det -> 'is' [0.1]
Verb -> 'book' [0.5]
Verb -> 'include' [0.2]
Verb -> 'prefer' [0.3]
Noun -> 'book' [0.1]
Noun -> 'flight' [0.5]
Noun -> 'meal' [0.2]
Noun -> 'money' [0.2]
Proper-Noun -> 'Houston' [0.8]
Proper-Noun -> 'NWA' [0.2]
Prep -> 'from' [0.25]
Prep -> 'to' [0.25]
Prep -> 'near' [0.1]
Prep -> 'through' [0.2]
Prep -> 'on' [0.2]

```

```

(s
  (VP
    (Verb book)
    (NP
      (Det the)
      (Nominal
        (Nominal (Noun flight))
        (PP (Prep through) (NP (Proper-Noun Houston))))))
    (p=8.64e-06)
  (s
    (VP
      (VP (Verb book) (NP (Det the) (Nominal (Noun flight))))
      (PP (Prep through) (NP (Proper-Noun Houston))))
    (p=8.64e-06)
  )
)

```

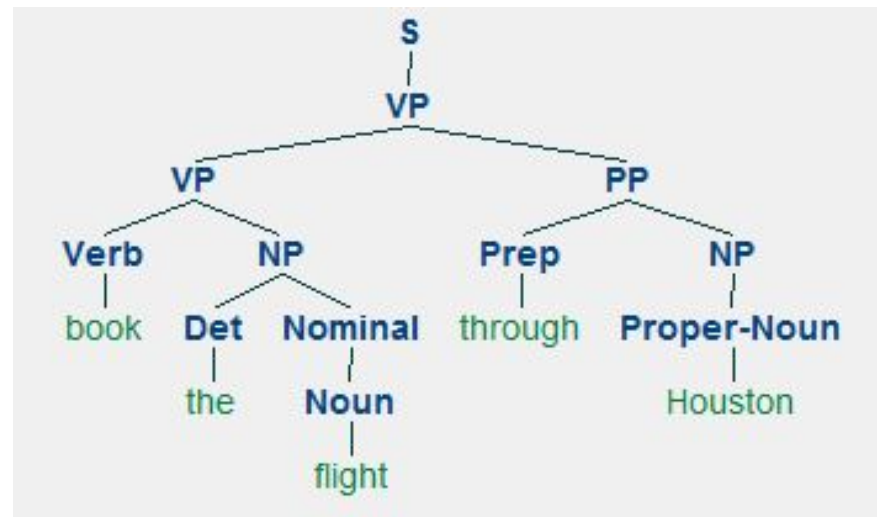
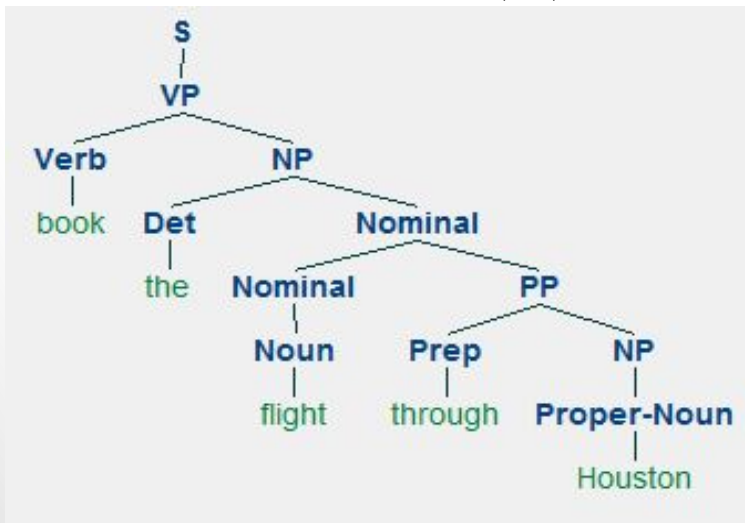
# Draw PCFG Parsed Trees

- Import draw\_tree package

```
>> from nltk.draw.tree import draw_trees
>> from nltk.parse import pchart
```

- Draw all possible trees

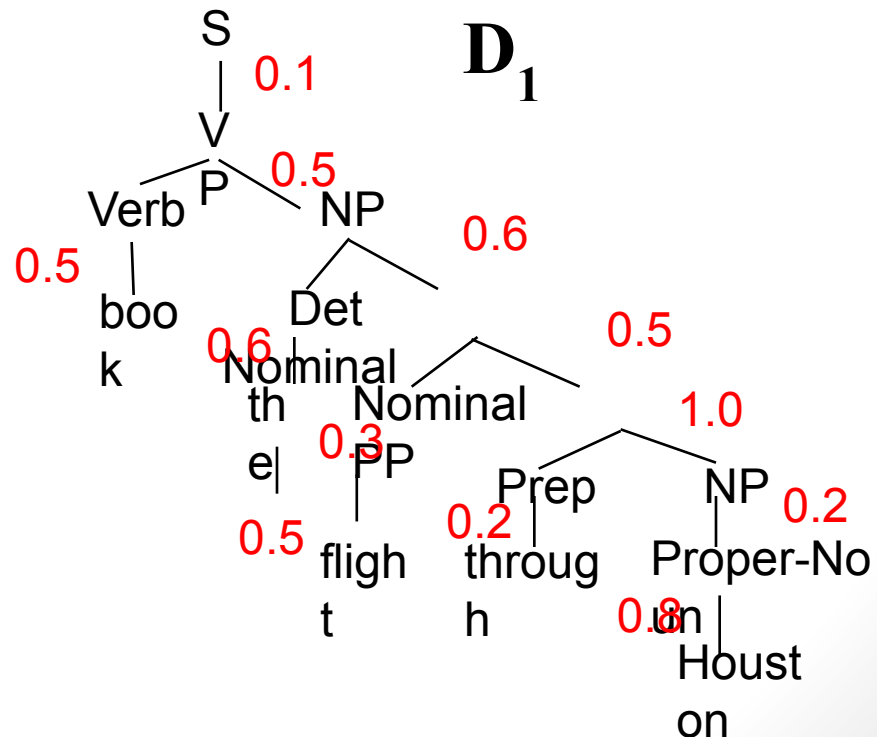
```
>> for t in trees:
    draw_trees(t)
```



# Sentence Probability

- Assume that the productions for each node are chosen independently
- Probability of derivation is the product of the probabilities of its productions

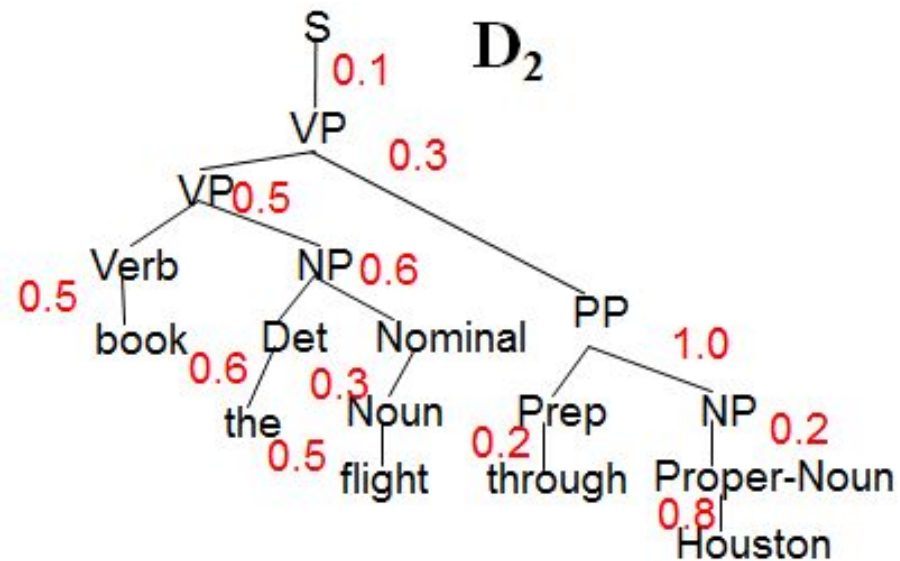
$$\begin{aligned}
 P(D_1) &= 0.1 \times 0.5 \times 0.5 \times \\
 &\quad 0.6 \times 0.6 \times 0.5 \times \\
 &\quad 0.3 \times 1.0 \times 0.2 \times \\
 &\quad 0.2 \times 0.5 \times 0.8 \\
 &= \mathbf{0.0000216}
 \end{aligned}$$



# Syntactic Disambiguation

- Resolve ambiguity by picking most probable parse tree

$$\begin{aligned} P(D_2) &= 0.1 \times 0.3 \times 0.5 \times \\ &\quad 0.6 \times 0.5 \times 0.6 \times \\ &\quad 0.3 \times 1.0 \times 0.5 \times \\ &\quad 0.2 \times 0.2 \times 0.8 \\ &= \mathbf{0.00001296} \end{aligned}$$



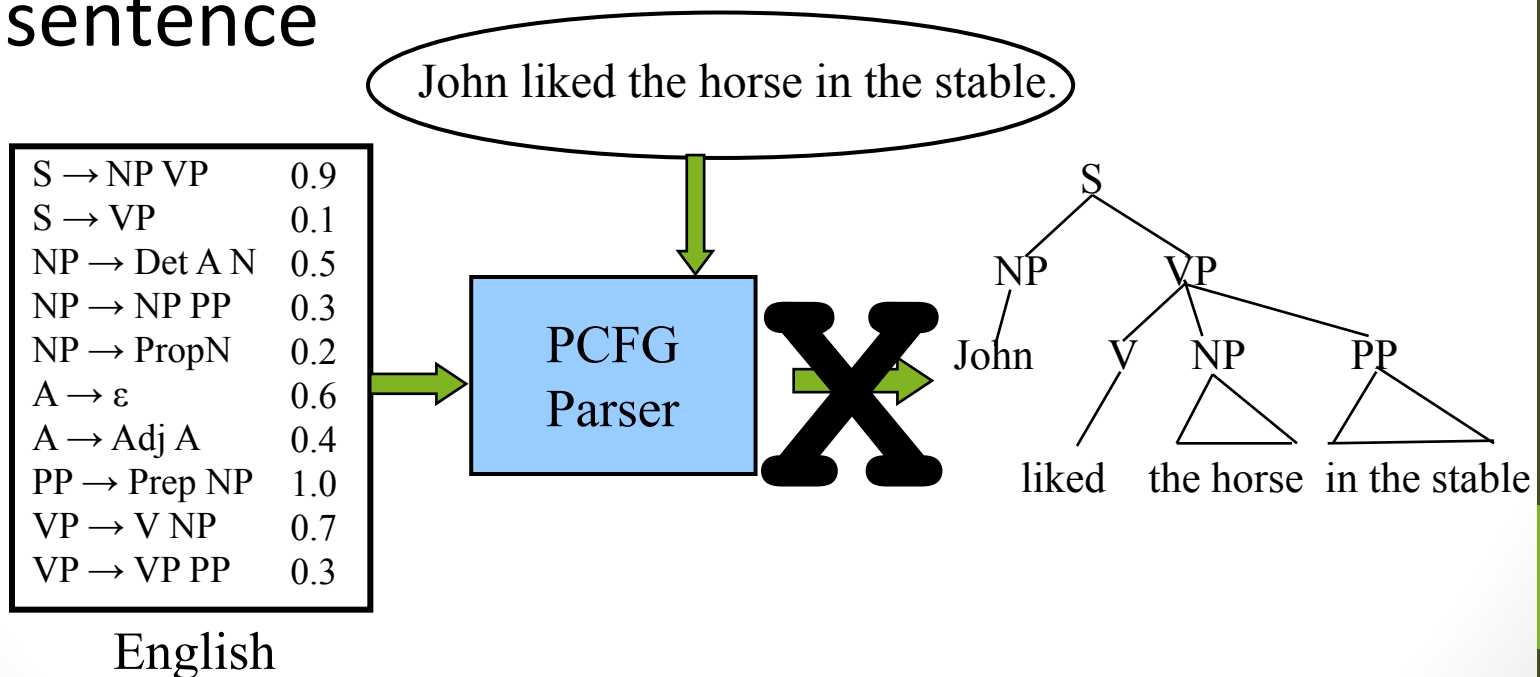
# Sentence Probability

- Probability of a sentence is the **sum of the probabilities of all of its derivations**

$$\begin{aligned} P(\text{"book the flight through Houston"}) &= \\ P(D_1) + P(D_2) &= 0.0000216 + 0.00001296 \\ &= \mathbf{0.00003456} \end{aligned}$$

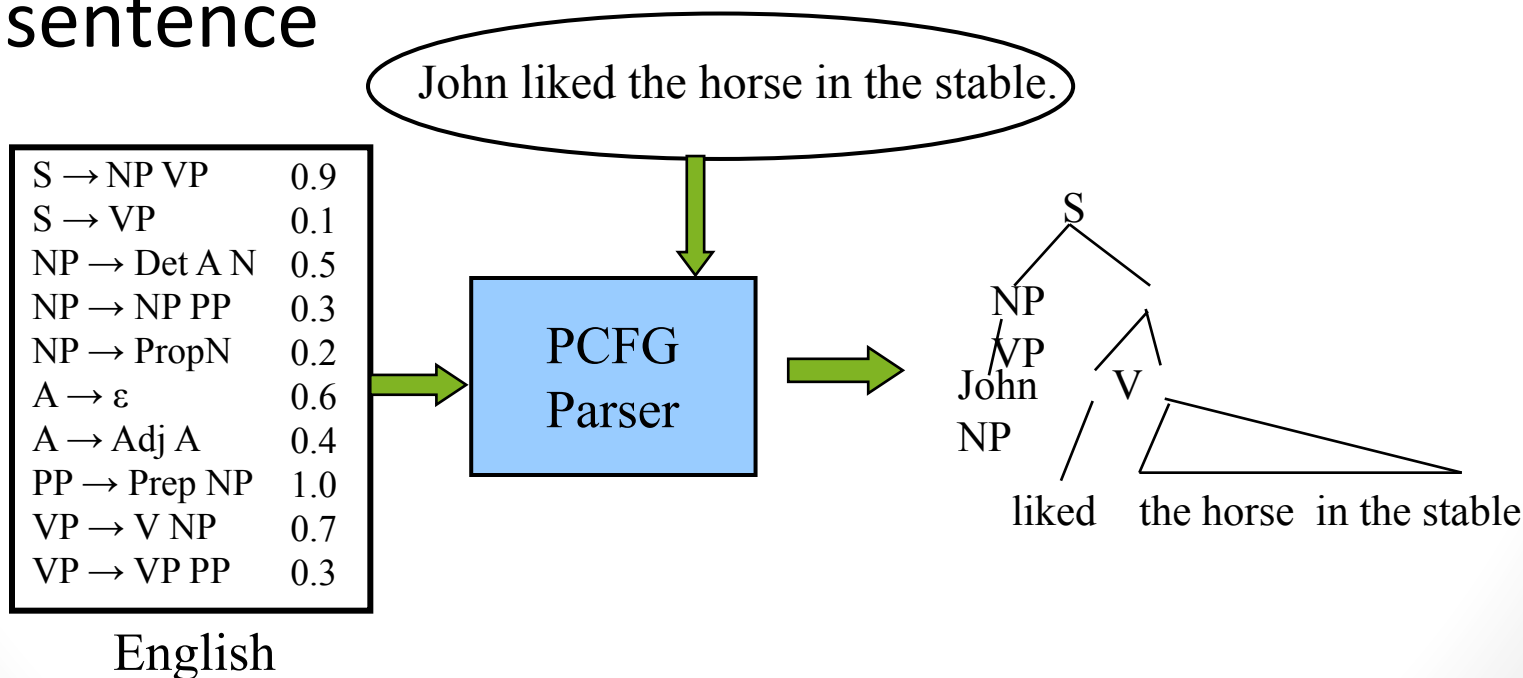
# PCFG: Most Likely Derivation (Viterbi)

- There is an analog(referent) to the **Viterbi algorithm** to efficiently **determine the most probable derivation (parse tree)** for a sentence



# PCFG: Most Likely Derivation

- There is an analog(referent) to the **Viterbi algorithm** to efficiently **determine the most probable derivation (parse tree)** for a sentence



# Probabilistic Parsed Trees with NLTK Viterbi Parser

*Sentence: “John liked the horse in the stable”*

- Repeat the steps in Slide 7.
- Replace the InsideChartParser in Slide 8 with Viterbi parser

```
>> parser = nltk.ViterbiParser(grammar)
```

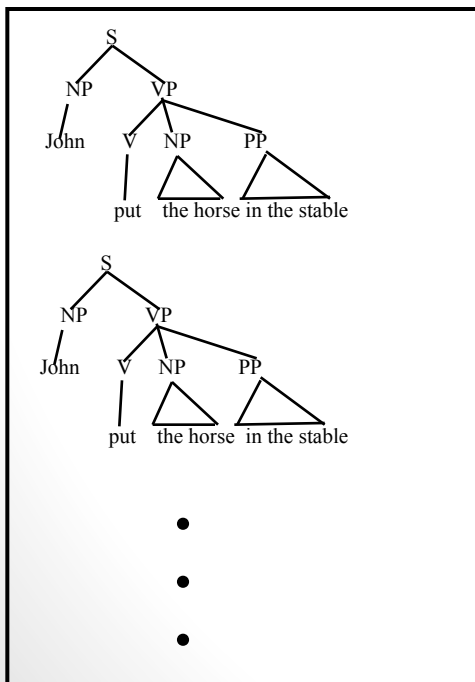
- Repeat the rest of the steps in Slide 7-10
- Find the probability of the most likely derivation of the parsed tree



# PCFG: Supervised Training

- If parse trees are provided for training sentences, a grammar and its parameters can be estimated directly from counts accumulated from the **tree-bank** (with appropriate smoothing).

Tree Bank



Supervised  
PCFG  
Training

$S \rightarrow NP VP$	0.9
$S \rightarrow VP$	0.1
$NP \rightarrow Det A N$	0.5
$NP \rightarrow NP PP$	0.3
$NP \rightarrow PropN$	0.2
$A \rightarrow \epsilon$	0.6
$A \rightarrow Adj A$	0.4
$PP \rightarrow Prep NP$	1.0
$VP \rightarrow V NP$	0.7
$VP \rightarrow VP PP$	0.3

English

# Estimating Production Probabilities

- Set of production rules can be taken directly from the set of rewrites in the treebank.
- Parameters can be directly estimated from frequency counts in the treebank.

$$P(\alpha \rightarrow \beta \mid \alpha) = \frac{\text{count}(\alpha \rightarrow \beta)}{\sum_{\gamma} \text{count}(\alpha \rightarrow \gamma)} = \frac{\text{count}(\alpha \rightarrow \beta)}{\text{count}(\alpha)}$$

# PCFG: Maximum Likelihood Training

- Given a set of sentences, induce a grammar that maximizes the probability that this data was generated from this grammar.
- Assume the number of non-terminals in the grammar is specified.
- Only need to have an unannotated set of sequences generated from the model. Does not need correct parse trees for these sentences. In this sense, it is **unsupervised**.

# PCFG: Maximum Likelihood Training

## Training Sentences

John ate the apple  
A cat bit Mary  
Mary hit the cat  
John gave Mary the cat.  
•  
•  
•

PCFG  
Training

$S \rightarrow NP VP$	0.9
$S \rightarrow VP$	0.1
$NP \rightarrow Det A N$	0.5
$NP \rightarrow NP PP$	0.3
$NP \rightarrow PropN$	0.2
$A \rightarrow \epsilon$	0.6
$A \rightarrow Adj A$	0.4
$PP \rightarrow Prep NP$	1.0
$VP \rightarrow V NP$	0.7
$VP \rightarrow VP PP$	0.3

English

# Head Words

- Syntactic phrases usually have a word in them that is most “important” to the phrase.
- Linguists have defined the concept of a lexical **head** of a phrase.
- Simple rules can identify the head of any phrase by percolating head words up the parse tree.
  - Head of a VP is the main verb
  - Head of an NP is the main noun
  - Head of a PP is the preposition
  - Head of a sentence is the head of its VP

# Parser Evaluation

- PARSEVAL metrics measure the fraction of the constituents that match between the computed and human parse trees. If  $P$  is the system's parse tree and  $T$  is the human parse tree (the “gold standard”):

- $\text{Recall} = \frac{(\# \text{ correct constituents in } P)}{(\# \text{ constituents in } T)}$

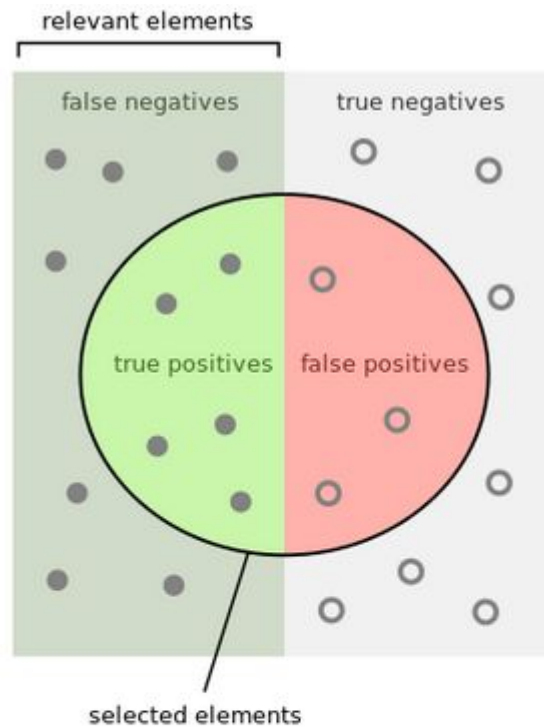
- $\text{Precision} = \frac{(\# \text{ correct constituents in } P)}{(\# \text{ constituents in } P)}$

# Parser Evaluation

- **Labeled Precision** and **labeled recall** require getting the non-terminal label on the constituent node correct to count as correct.
- **$F_1$**  (F-measure) is the harmonic mean of precision and recall.

$$F = 2 \cdot \frac{\text{precision} \cdot \text{recall}}{\text{precision} + \text{recall}}$$

# Precision vs Recall



How many selected items are relevant?

$$\text{Precision} = \frac{\text{true positives}}{\text{true positives} + \text{false positives}}$$

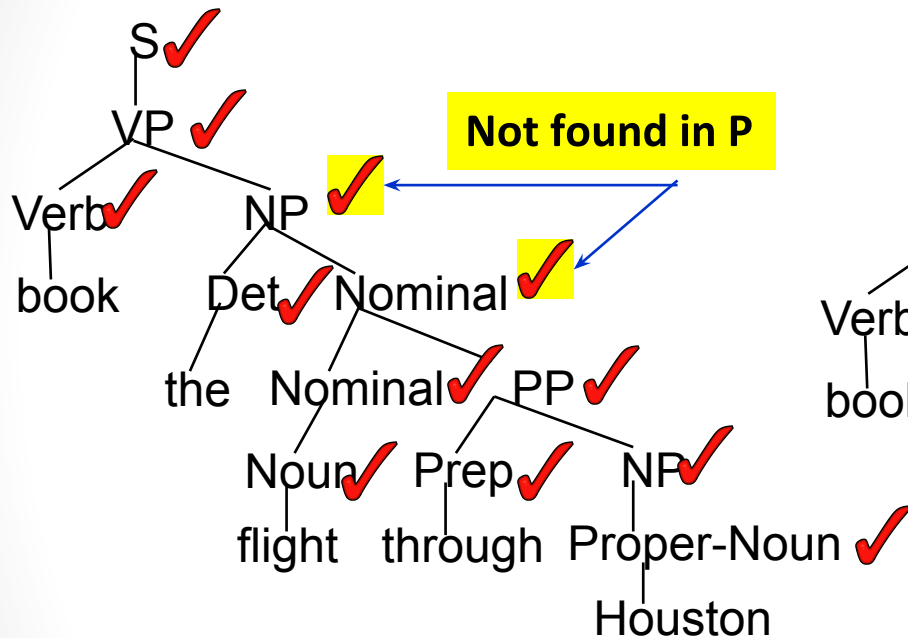
How many relevant items are selected?

$$\text{Recall} = \frac{\text{true positives}}{\text{true positives} + \text{false negatives}}$$



# Parser Evaluation Example

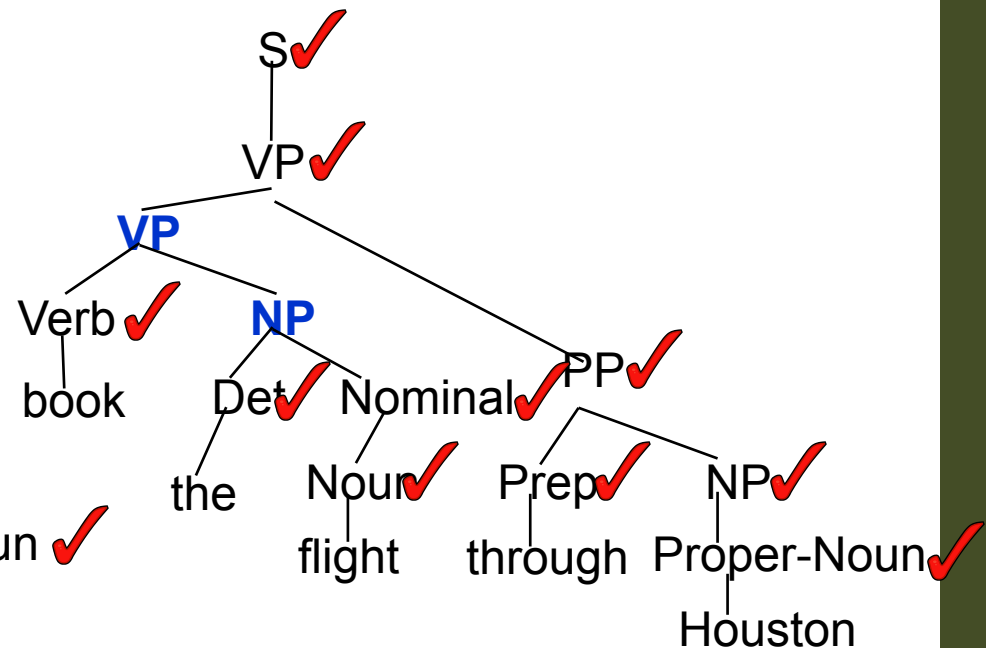
## Correct Tree T



# Constituents: 12

# Correct Constituents: 10

## Computed Tree P



# Constituents: 12

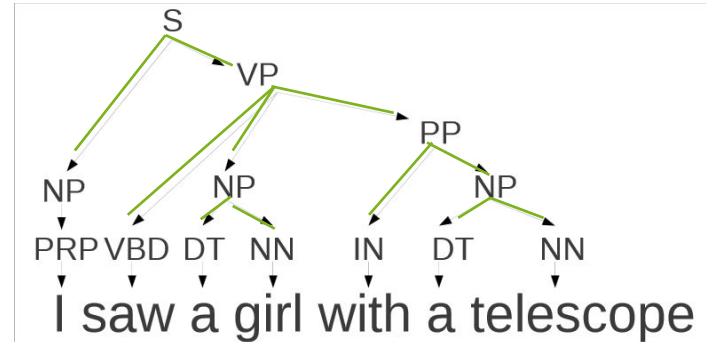
Recall =  $10/12 = 83.3\%$

Precision =  $10/12 = 83.3\%$

$F_1 = 83.3\%$

# Syntactic Parsing (Revisit)

- Two types of parsing:
  - Phrase structure:
    - focuses on identifying phrases and their recursive structure



- **Dependency**

- focuses on relations between words

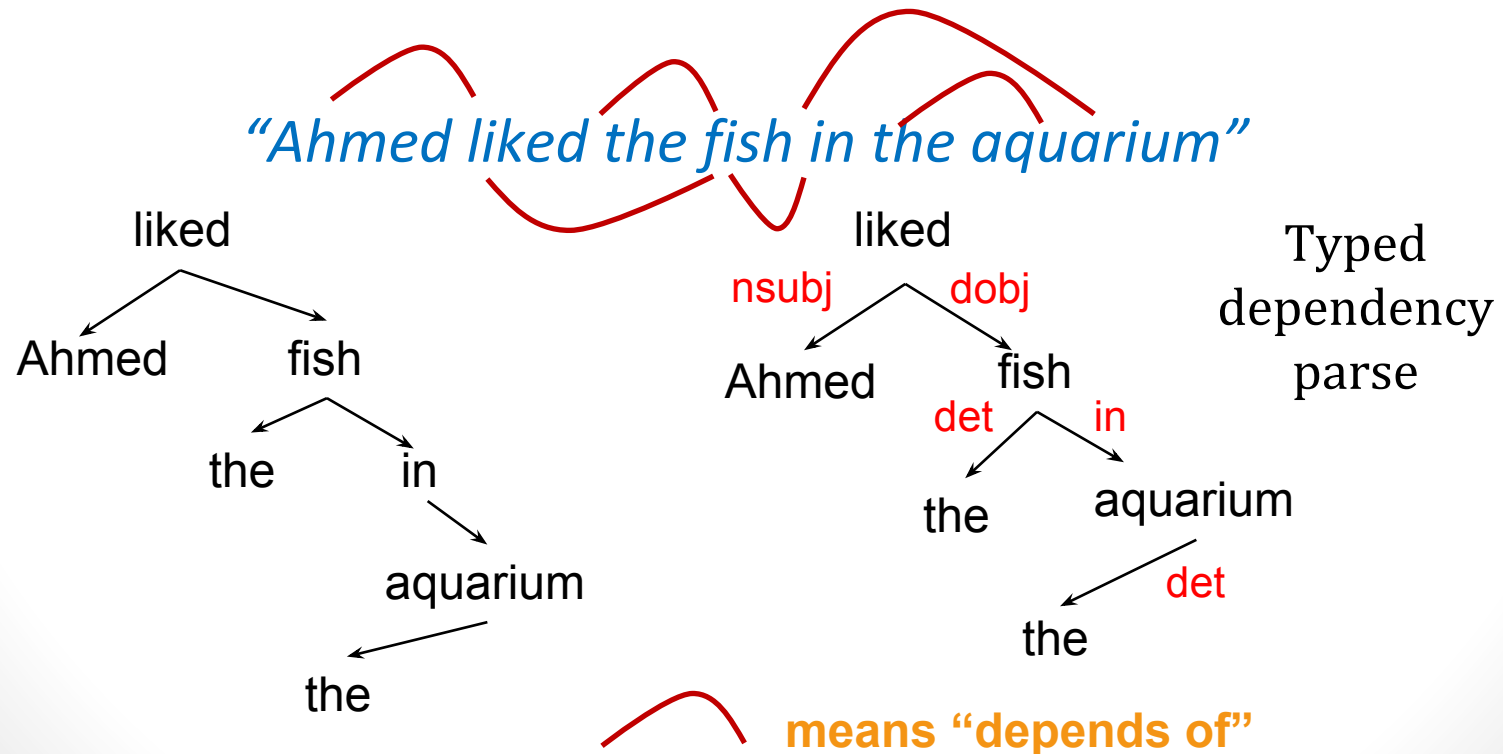


# Dependency Grammar

- Dependency grammar **assumes** that **syntactic structure consists only of dependencies**
- DG is often used for **free word order** languages
- Dependencies are (labeled) asymmetrical **binary relations** between two lexical items (words).
- Dependencies **form a graph over the words** in a sentence.
- This **graph is connected** (every word is a node) and (typically) acyclic (no loops)
- Dependency trees **do not specify the order of words** in a sentence

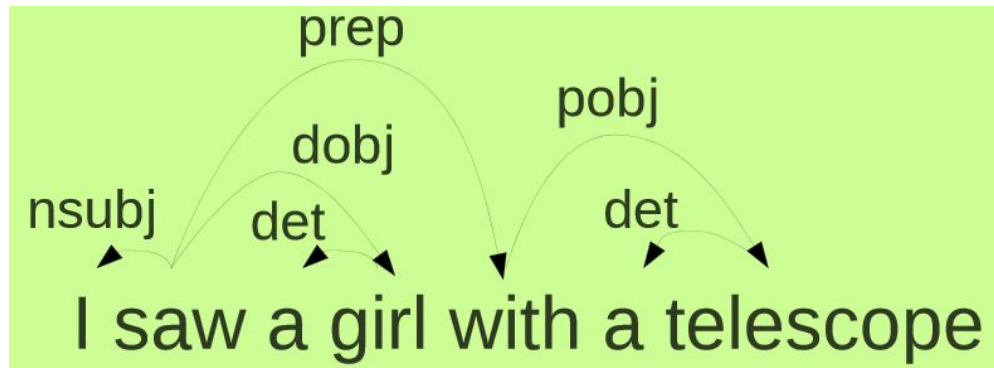
# Dependency Grammar

- An alternative to Phrase-Structure Grammar is to **define a parse as a directed graph between the words of a sentence representing dependencies** between the words.



# Dependencies

- Typed : Labels indicate relationship between words



- Untyped: Only which words depend



# From Parse Tree to Dependency Grammar

- Convert a phrase structure parse to a dependency tree by **making the head of each non-head child of a node depend on the head of the head child.**

