

What Explains the Electoral Success of the AfD?

An Analysis of the March 2016 State Elections in Germany

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1 Introduction

Within less than three years of being founded by discontented members of the incumbent Christian Democratic Union (CDU), the newly-formed party “Alternative for Germany” (AfD) has already achieved extraordinary vote share in the General election of 2013, the European Parliament election of 2014, (Arzheimer 2015) and lately in the three state elections in Baden-Württemberg, Rhineland-Palatinate, and Saxony-Anhalt held on March 2016. The rise of a party that stands against European Integration, argues for a re-arrangement of Germany’s liberal foreign and economic policies, and vows for taking strong measure against immigrants, has presented a novel scenario into understanding factors that drive electorate to vote for a party that seemingly stands against the ‘norm of the land’. Thus this research project endeavors to understand the factors that explain the success and rise of AfD in Germany’s political landscape. In the following, we present current literature, the selected datasets, our applied methodology, and our findings. All work is done using the software R (R Core Team 2015).

1.1 The Research Question

On March 13, 2016, Germany’s political landscape experienced “a landslide”(Pausch 2016). At the three state elections in Baden-Württemberg, Rhineland-Palatinate, and Saxony-Anhalt, the AfD received double digit results from scratch, meaning that it has not been present in the state parliaments before. In Baden-Württemberg (BW), the party received 15.1%, in Rhineland-Palatinate (RP) 12.6%, and in Saxony-Anhalt (SA) even 24.3% (Baden-Wuerttemberg 2016; Rheinland-Pfalz 2016; Sachsen-Anhalt 2016), becoming the third strongest party in BW and RP, and the second strongest in SA. Not only does the tremendous success of this new political player harden the setup of the future governments in the respective states, it is also a clear sign that the party has arrived in German mainstream politics (Gathmann and Wittrock 2016).

Our research question is:

“What explains the recent electoral success of the AfD in the different administrative districts of Baden-Württemberg, Rhineland-Palatinate, and Saxony-Anhalt?”

We want to understand which structural factors affect the electoral success of the AfD and whether the characteristics of its voters identified in post-election analyses are reflected in the district level data. Also, we want to find out whether it follows the same patterns as the electoral success of radical right populist parties in the rest of Western Europe.

1.2 About the AfD

Having been founded in February 2013 initially as a eurosceptic party, the AfD just missed the entry into the German national parliament at the federal elections in September 2013 by only 125,000 votes (Schmitt-Beck 2014). Not a single party in the post-war era was that successful in national elections being only established seven months before (Niedermayer 2015). This emergence is attributed to the Euro crisis and chancellor Merkels strong state interventionist austerity politics that opened political potential to the right of the

established right wing parties CDU/CSU and FDP. The AfD recognized that potential and positioned itself initially as an expert knowledge based eurosceptic party. But over the last years, it became a new host for now unrepresented national conservatives as well as right wing populists. The unifying factors for these different groups are the anti-establishment attitude of the AfD and the image of being the political underdog who is “honest” in face of political and medial lies. In this regard, the AfD shares similarities to other European right wing populist parties (Haeusler and Roeser 2015).

The recent refugee crisis that has hit Europe and especially Germany is seen as a major factor that led to new and increased support for the AfD. Chancellor Angela Merkels slogan “Wir schaffen das”, expressing optimism with regards to the capability of government and society to handle the refugee crisis, was mostly criticized by members of the AfD and to some extent from the CDU itself. As long as the refugee crisis persists, it is assumed that the AfD is going to continue to gain more support (Gathmann and Wittrock 2016). After the March elections, a large debate arose within the CDU/CSU whether the refugee crisis response by chancellor Merkel was responsible for the loss in votes for the CDU. Interestingly, leaders of other parties who supported Merkels “Wir schaffen das” experienced less loss of votes. However, left parties such as “Die Linke” seemed to be especially vulnerable to the emergence of the AfD (Gathmann and Wittrock 2016). Also, the social democrats (SPD) seem to have lost many voters to the AfD (Pausch 2016).

2 Literature review

Early analysis of the elections has shown that the AfD was especially successful in mobilizing former non-voters (Gathmann and Wittrock 2016). It was also very successful amongst laborers and unemployed people. In Saxony-Anhalt, almost one third of this group voted for the AfD. But the analysis also showed that more people with medium education and income voted for the AfD. It is suggested that they are increasingly afraid of change, see the refugee crisis as a culmination of external threat, and are skeptical in the governments capacity to solve it (Pausch 2016).

In a mixed-methods study focusing on the AfD’s history, self-description, positioning in the public discourse, and its supporters, Berbuir, Lewandowsky, and Siri (2015) found that the party can be described as a “functional equivalent for a right-wing populist party in a country where right-wing politics are strongly stigmatized” (Berbuir, Lewandowsky, and Siri 2015). For our research this means that the AfD can be located in the tradition of new radical right wing populist (RRWP) parties in Western Europe.

When it comes to the understanding of the rise of RRWP parties, Ivarsflaten (2008) and Swank and Betz (2003) offer important insights. In her large scale study comparing the electoral success of RRWP parties, Ivarsflaten (2008) found that successful RRWP parties almost always used anti-immigration rhetorics in their electoral campaigns. The other two focus areas of RRWP parties - opposing political elitism and complains about the economic development - were not always instrumentalized by successful RRWP parties and seem to be more context specific. Swank and Betz (2003) analyzed the impact of globalization on the electoral success of RRWP parties in national elections in 16 Western European nations between 1981 and 1998. Their core finding was that the generosity of the welfare state was an important factor that mitigated the impact of economic globalizations on the rise of RRWP parties. Besides that, they found that the volume of refugees and asylum seekers, the level of international immigration, the height of domestic tax burden, previous electoral success of left libertarian parties, and the past vote share of the RRWP parties all systematically and positively influenced their electoral success. In some occasions, previously declining strength of established right-wing parties was another factor that explained RRWP party success. Interestingly, slow economic growth and the rate of people employed in manufacturing jobs did not have a significant impact (Swank and Betz 2003, 230).

3 Hypotheses

Based on the insights from previous research on the success of RRWP parties in Western Europe and the findings from post-election analysis for the AfD, we aim to test the following hypotheses for the three state elections.

Demographic hypotheses

H1a: The average level of education in a district has a negative impact on the electoral success of the AfD.

H1b: The unemployment rate in a district has a positive impact on the electoral success of the AfD.

Foreign exposure hypothesis

H2: The volume of asylum seekers in a district has a positive impact on the electoral success of the AfD.

Economic hypothesis

H3: Local GDP per capita has a negative impact on the electoral success of the AfD.

Political hypotheses

H4a: The rate of non-voters in previous state elections in a district has a positive impact on the electoral success of the AfD.

H4b: The success of left wing parties in previous state elections in a district has a positive impact on the electoral success of the AfD.

4 Data Sources and Methodology

4.1 Data set

In order to test these hypotheses, we combined two main data types in order to generate our variables.

The first data type is the electoral data provided by the State Offices for Statistics on the last state elections in Baden-Württemberg (Baden-Wuerttemberg 2016), Rhineland-Palatinate (Rheinland-Pfalz 2016), and Saxony-Anhalt (Sachsen-Anhalt 2016). The variables retrieved from these datasets are:

Variable	Name in dataset	Description
Dependent Variable (DV)	vote.AfD	The vote share of the Alternative for Germany in the current state election
Independent Variable 1 (IV1)	lag.turnout	Overall voter turnout of the previous state election
IV2, IV3, IV4, IV5, IV6	lag.CDU, lag.Greens, lag.SPD, lag.FDP, lag.Linke	The vote share of political parties in the previous state election (CDU, SPD, Greens, FDP, and Linke)

The second data type is on the structural characteristics on the administrative districts. We retrieve this data from the regional data base of the Federal Statistical Office DESTATIS (Bundesamt 2015). As this data is available on district level, we chose the districts as our level of analysis. The variables retrieved from these datasets are:

Variable	Name in dataset	Description
IV7	abitur.ratio	Ratio of school leavers per district with general qualification for university entrance (Abitur)
IV8	nodegree.ratio	Ratio of school leavers per district with no school degree
IV9	GDP.cap	Gross domestic product (GDP) per capita (by 1000)
IV10	unemp.rate	Unemployment rate in the district
IV11	n.refugees	Number of asylum seekers per district
IV12	public.debt	Aggregated debt of the municipalities in a district (by 1000)
IV13	district.type	Categorical variable, 1 for urban districts, 0 for country districts

Election Data - Gathering the election data was complicated due to the independence of the Statistical State Offices. Every German state office chooses its own format to provide data online. While we thought about collecting data on all state elections in Germany where the AfD has won seats, we had to limit our efforts to the three states Baden-Württemberg (BW), Rhineland-Palatinate (RP), and Saxony Anhalt (SA) in order to provide a working dataset in the given time frame. We retrieved district level data on the 2016 and 2011 state elections from the respective websites. While the data was available in separate files for RP and SA, district level data was only available for BW in a combined table that had to be divided in following steps. In total, we gathered five different datasets on the elections.

Structural Data - The website of the DESTATIS online database “Genesis-Online” was the source of the structural data files. As DESTATIS does not offer an API, the data had to be manually downloaded from the database. We saved four datasets as csv files that were subsequently loaded into our project.

The biggest challenge during the data cleaning was the generation of a common identifier for the different dataset. Except for the SA data, the election datasets is not provided with the district key which is the main identifier for the DESTATIS data. In order to add the identifier to the election data, we converted the district names that were available in both the election and the structural DESTATIS data into the same format and structure. Then, we sorted the data frames in the same way to add the identifier to the election data.

Finally, we merged all datasets into a single one which contains the variables mentioned above, the election year, and a state indicator on 94 observations.

4.2 Methodology

This paper employs two empirical methods i.e., multivariate Ordinary Least Square (OLS) regression and Beta regression to examine factors that explain why the AfD has been very successful in certain districts. The selection of the method is also theoretically driven. Since our response variable is continuous, we have chosen the OLS method. The class of OLS regression models is commonly used by researchers to model variables that take interval values, which is the case for our dependent variable. The reason, on the other hand, to choose the beta regression method is for precision and robustness purposes. Beta regression assumes that the dependent variable is beta-distributed and that its mean is related to a set of regressors through a linear predictor with unknown coefficients and a link function. Thus the beta regression is highly pertinent for our study since we are going to analyze the vote share of the AfD which is scaled from 0 to 1, inclusive, although

1 (complete vote received by the AfD) is virtually impossible, and in our data, we observe values only up to .2944 (29.440/100). One of the merits of this method is that it includes a precision parameter which may be constant or rely on a (potentially different) set of regressors through a link function as well. Thus, this approach naturally incorporates features such as heteroskedasticity or skewness which are commonly observed in data taking values in the standard unit interval, such as rates or proportions (Cribari-Neto and Zeileis 2009).

5 Empirical Findings

5.1 Descriptive Statistics

Table 3 shows general descriptive statistics of our variables. Except for the variables “GDP per capita” and “Public Debt” (both divided by 1000) and “number of refugees” (absolute numbers), they are all in percent.

The standard deviations for “GDP per capita” and “vote share of Linke” are particularly large in comparison to the respective means. GDP per capita differs substantially between rural districts and heavily urbanized ones and while the Linke is very strong in Saxony Anhalt, it is barely present in BW and RP.

Table 3: Summary statistics of the covariates

Statistic	N	Mean	St. Dev.	Min	Max
Vote share of AfD in 2016	94	15.825	4.856	8.200	29.440
Vote turnout in 2011	94	61.587	6.176	47.060	73.400
Vote share of CDU in 2011	94	36.675	6.350	21.500	51.200
Vote share of Greens in 2011	94	17.303	8.288	2.830	43.000
Vote share of SPD in 2011	94	27.731	7.606	16.600	46.300
Vote share of FDP in 2011	94	4.107	1.987	0.090	8.400
Vote share of Linke in 2011	94	5.986	7.283	2.000	25.180
Abitur ratio	94	29.379	8.755	12.830	56.610
No degree ratio	94	5.884	2.465	1.290	14.450
GDP per capita / 1000	94	32.900	11.747	14.473	72.554
Unemployment rate	94	5.644	2.793	2.600	13.800
Number of refugees	94	423.266	283.213	97	1,487
Public debt / 1000	94	145.256	80.996	9.740	449.370

5.2 Correlations among variables

Table 4 provides a correlation matrix of a selection of our variables.

It is important to note that the AfD vote share is negatively correlated with the SPD vote share, but only slightly with the CDU vote share. GDP per capita and high school degree ratio are negatively correlated with AfD vote share, while the unemployment rate and the number of refugees are positively correlated with it.

When it comes to IV/IV correlations, the large positive correlation between GDP per capita and the high school degree ratio is not surprising. However, it is interesting to see that the high school degree ratio is negatively correlated with the previous vote share of the CDU, and positively with the unemployment rate.

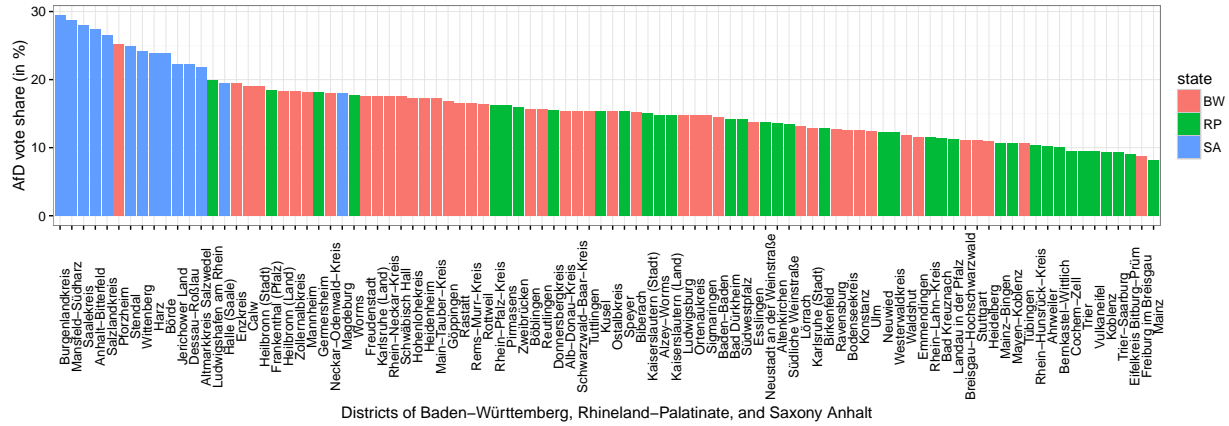
5.3 Distribution of AfD Vote Share

When lining up the districts according to the vote share of the AfD, it becomes clear that the party achieved an overall stable and even vote share. The only real outliers are a couple of districts in Saxony Anhalt where

Table 4: Correlation matrix of some variables

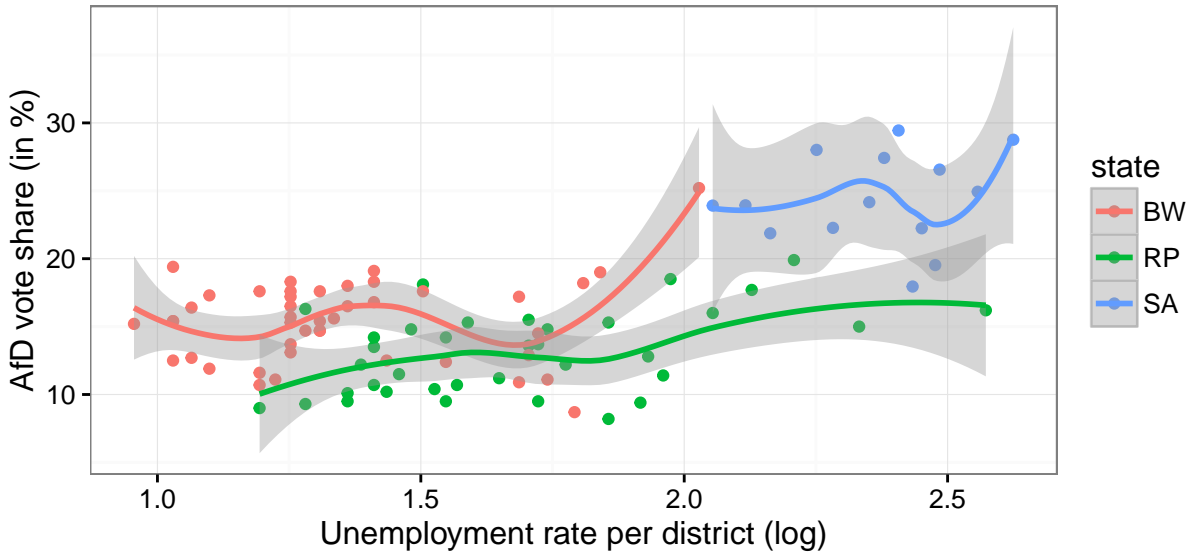
	vote.AfD	lag.CDU	lag.SPD	GDP.capita	unempl.rate	abitur.ratio
vote.AfD	1	-0.08	-0.34	-0.24	0.62	-0.29
lag.CDU	-0.08	1	-0.41	-0.07	-0.53	-0.48
lag.SPD	-0.34	-0.41	1	-0.11	0.03	0.17
GDP.capita	-0.24	-0.07	-0.11	1	-0.11	0.49
unempl.rate	0.62	-0.53	0.03	-0.11	1	0.24
abitur.ratio	-0.29	-0.48	0.17	0.49	0.24	1

the AfD achieved its by far greatest successes.



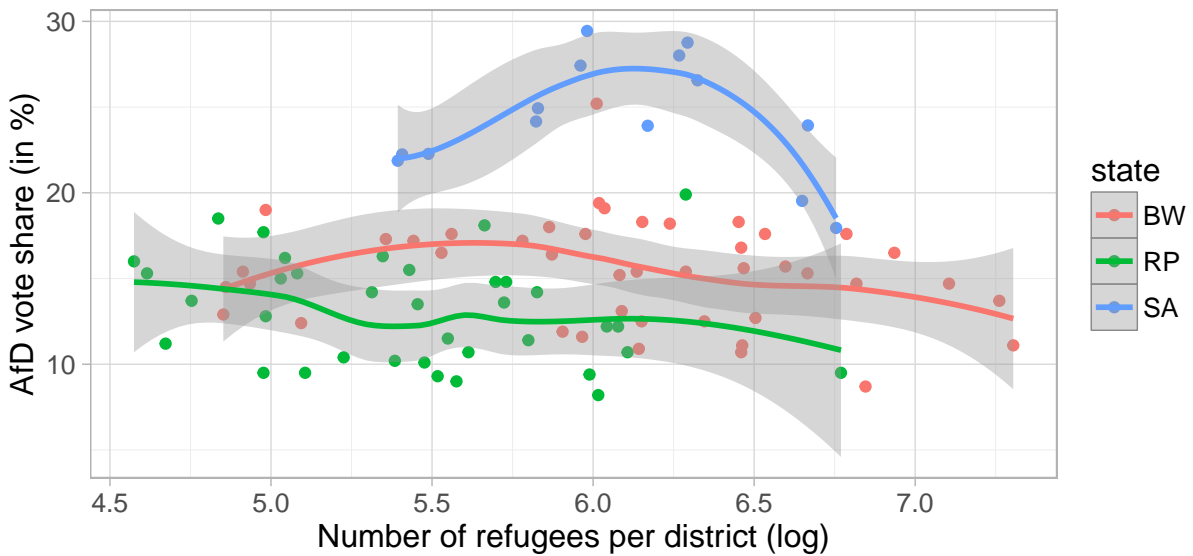
5.4 Correlation of AfD vote share and unemployment rate

The following graph shows a statistically significant (the t value from the Pearson's product-moment correlation test is 6.27) positive correlation of the logged unemployment rate in a district with the AfD vote share. However, the correlation seems to be negative for districts with lower unemployment rates but becomes strongly positive for districts with high unemployment. The positive correlation of the abitur ratio with the unemployment rate and its negative correlation with the AfD vote share visible in the correlation matrix could offer a possible explanation for this. We will test this later by controlling for urbanization.



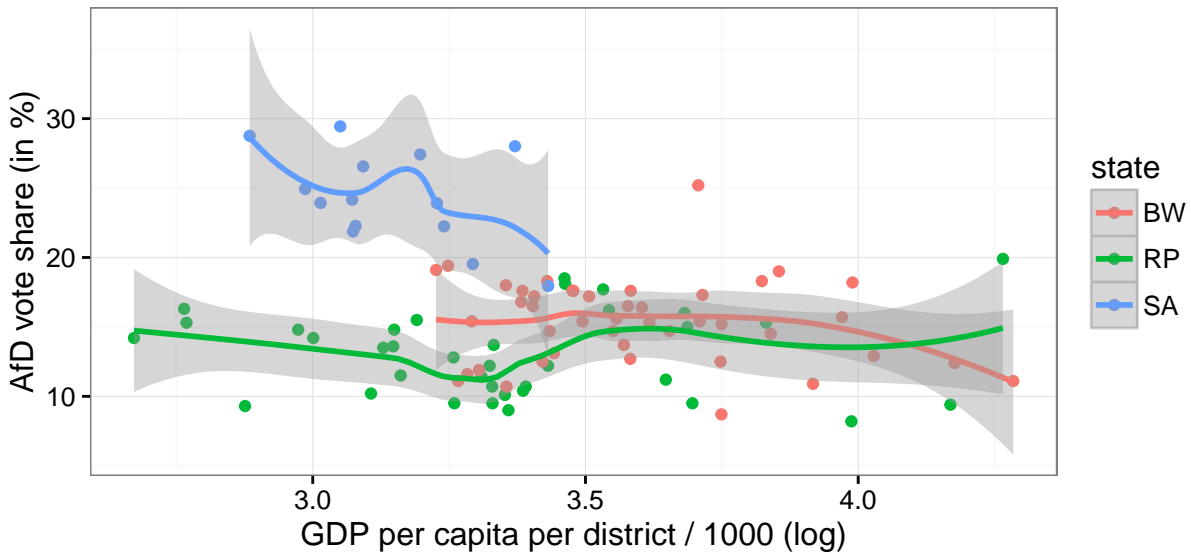
5.5 Correlation of AfD vote share and number of refugees

The result shows a positive correlation of the number of refugees per district with the AfD vote share. But it is not statistically significant since the t value is 0.13 (from the Pearson's product-moment correlation test). Since the data on the refugees per district is from 2013, it is highly outdated. We were not able to acquire more recent data (for 2014 or even for 2015) in order to conduct a meaningful analysis here.



5.6 Correlation of AfD vote share and GDP per capita

The following graph shows a slightly statistically significant (t value is -2.04) negative correlation of GDP per capita with AfD vote share. The effect seems to be mostly influenced by a small group of outliers (high vote share, low GDP per capita) and the variance is not equal across the independent variable (posing a problem with heteroscedasticity).



6 Inferential Statistics

Since the initial descriptive statistics and graphs indicate certain relations and interactions, we built several regression models which we ran as OLS regression analysis first and then as beta regression.

6.1 Empirical findings from ordinary multivariate OLS regression analysis

The results from the base model (1) show that an increase in GDP per capita reduces the vote share of AfD by .08 while one percentage increase in unemployment rate increases the vote share of AfD by 1.05 percentage point. These results are statistically significant. Surprisingly, the findings show that an increase in the number of refugees does not have a statistically significant effect on AfD's vote share. However, our base model does not consider many important variables and thus there is a high possibility of omitted variable bias. Thus, to check the robustness of the findings, this paper adds other covariates in different models shown in the table below. The final model (2) controls for election results variables of other parties in the previous elections and it shows that the vote share of the SPD in 2011 elections and overall voter turnout in the 2011 election significantly reduce the vote share of the AfD by .20 and .24 percentage points respectively. In model (3), we added the abitur ratio (high school degree) and no degree ratio to the base model and the results show that a one percentage point increase in high school degree reduces the AfD's vote share by .28 percentage point. In model (4), we controlled for public debt and the state variable and the result shows that relative to Rhineland-Palatinate, Baden-Württemberg has negative effects on AfD's vote share by 4.91 units. Finally, in model (5), we added all the controls to the base model and the result shows that the effect of unemployment on increasing AfD's vote share is consistent across all models. Besides, it shows that one percentage increase in CDU and SPD's vote share in the past election also increases the vote share of AfD though this was not the case in previous models. The result also shows that relative to Rhineland-Palatinate state, Saxony-Anhalt has positive effects on AfD's vote share. Thus based on the findings from the OLS model, we can say that electoral success of AfD is significantly influenced by high unemployment rates. Also, it shows that the effect of Saxony-Anhalt is very strong on AfD's vote share. This model seems to be strong since we see that, with a parsimonious sample size with few controls, the adjusted R² is .78 which means about 78 percent of the variation in AfD's vote share is explained by our model. The findings of model (6) and (7) are described in the following figures.

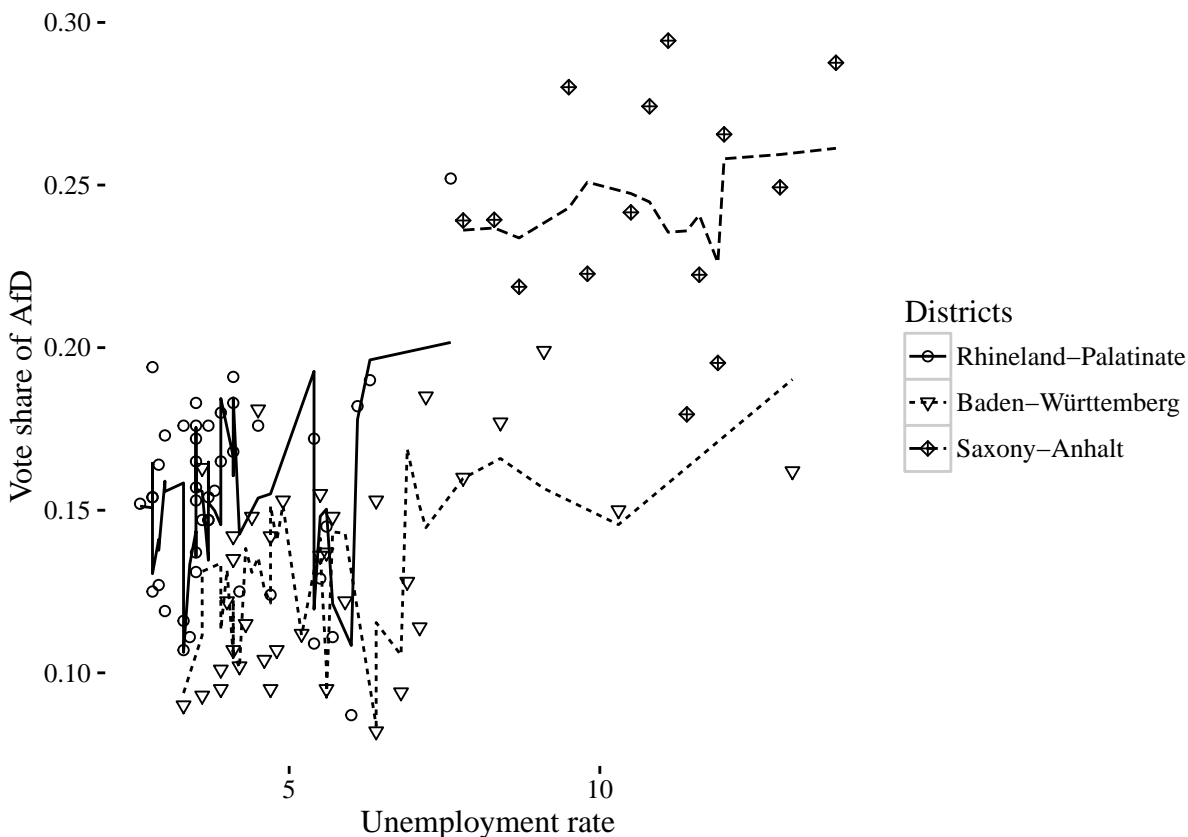
Dependent variable:							
	Vote share of AfD						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
GDP per capita / 1000	-0.08** (0.03)	-0.07** (0.03)	0.03 (0.03)	-0.07** (0.03)	0.02 (0.03)	0.02 (0.03)	0.01 (0.03)
Unemployment rate	1.05*** (0.14)	0.73*** (0.25)	1.29*** (0.19)	0.75*** (0.20)	0.67*** (0.25)	0.95** (0.42)	0.72*** (0.24)
Number of refugees	0.002 (0.001)	0.001 (0.002)	0.001 (0.001)	-0.003** (0.001)	-0.001 (0.001)	-0.001 (0.001)	-0.001 (0.001)
Vote share of CDU in 2011 election		0.08 (0.08)			0.23*** (0.08)	0.25*** (0.08)	0.51*** (0.17)
Vote share of SPD in 2011 election		-0.20*** (0.06)			0.40*** (0.11)	0.41*** (0.12)	0.74*** (0.22)
Voter turnout in 2011 election		-0.24** (0.11)			-0.09 (0.09)	-0.10 (0.10)	-0.06 (0.09)
Abitur ratio			-0.28*** (0.05)		-0.09* (0.05)	-0.09* (0.05)	-0.09* (0.05)
No degree ratio			0.02 (0.21)		-0.21 (0.17)	-0.22 (0.18)	-0.22 (0.17)
Public debt				0.01 (0.004)	0.004 (0.004)	0.004 (0.004)	0.01 (0.004)
stateBW						-0.39 (0.40)	
unempl.rate:stateSA						-0.21 (0.58)	
lag.CDU:lag.SPD							-0.01* (0.01)
stateRP				-4.91*** (0.87)	-7.64*** (1.30)	-5.93*** (2.22)	-7.15*** (1.31)
stateSA				2.38 (1.88)	6.76*** (2.28)	7.26 (4.90)	7.10*** (2.26)
Constant	11.80*** (1.52)	31.03*** (8.85)	15.13*** (1.68)	15.98*** (1.29)	3.13 (9.58)	1.90 (9.76)	-8.06 (11.23)
District FE	NO	NO	NO	NO	NO	NO	NO
Observations	94	94	94	94	94	94	94
R ²	0.42	0.59	0.59	0.67	0.78	0.78	0.79
Adjusted R ²	0.40	0.56	0.57	0.65	0.75	0.74	0.75

Note:

*p<0.1; **p<0.05; ***p<0.01

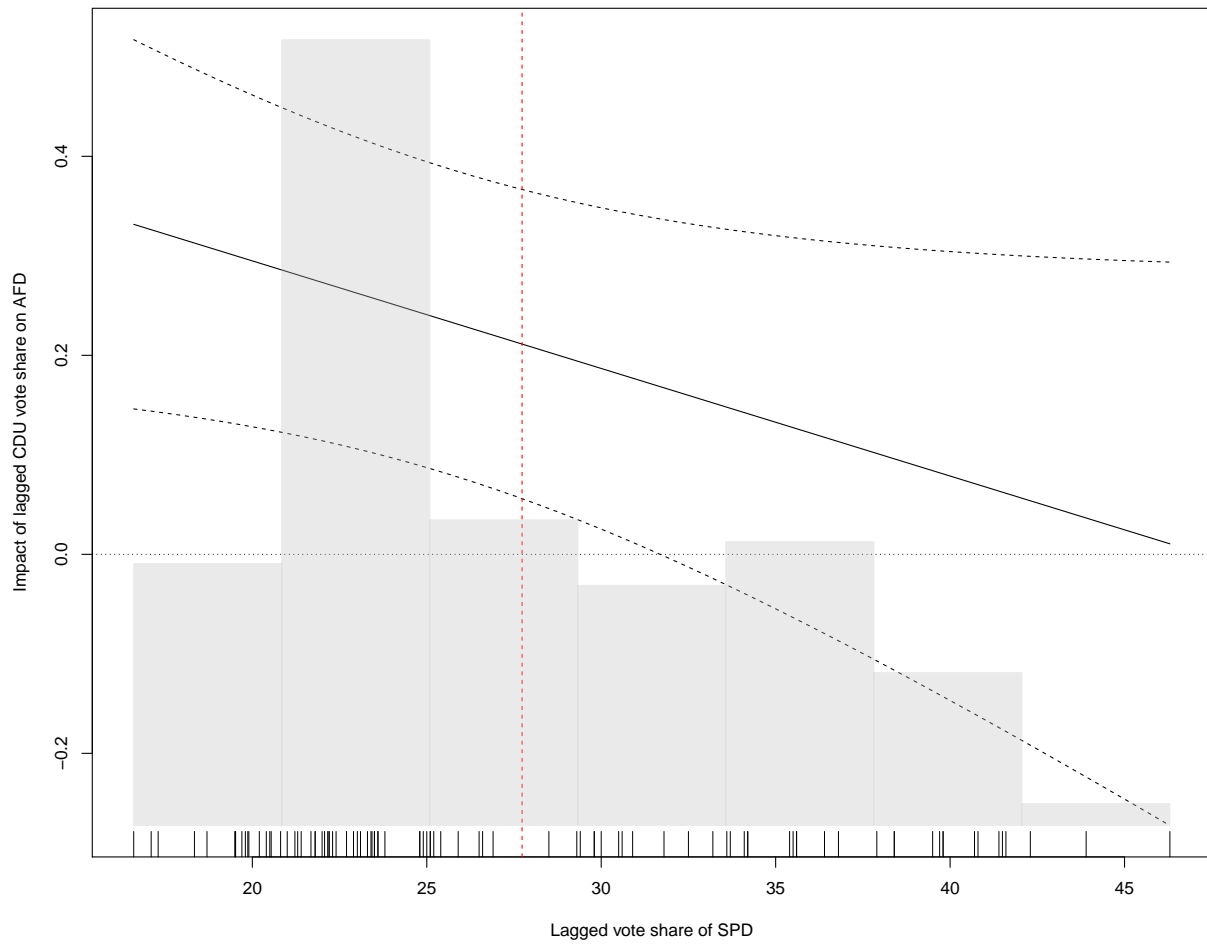
The result from the OLS analysis shows that unemployment has strong and consistent effects on increasing

AfD's vote share. Thus this paper further more runs an interaction model unemployment rate and districts level to see whether the effects of unemployment rate on AfD's vote share varies depending on districts. The result shows that most of the effects of unemployment is driven by Saxony-Anhalt. The increase in unemployment rate up to approximately 7 percentage points does not have significant effect on AfD's vote share in Rhineland-Palatinate and Baden-Württemberg. However, the figure shows that increase in unemployment rate has a statistically significant effect on AfD vote share in Saxony-Anhalt. Thus we find evidence that unemployment rate has state based effect on increasing AfD's vote share.



In model (7) of the OLS regression, we create an interaction model of CDU with SPD's vote share to see whether the effects on CDU's lagged vote share on AfD's electoral success varies in different levels of SPD's vote share. The result from the following figure shows that the impact of 1 extra (percentage) vote of CDU on AfD's vote share reduces as the vote share of SPD rises. In other words, which means that if SPD gets more vote, any extra vote to CDU has a lower effect on AfD vote. The result also shows that up to 30 % of the vote share of the SPD, the marginal impact of CDU vote share on AfD's vote share significantly decreases. Thus this interaction model provides evidence that the effects of CDU's vote share on AfD's vote share is not constant. It rather varies on the SPD's vote share.

Marginal impact of CDU vote share on AFD's vote share



6.2 Empirical findings from Beta regression analysis

We ran the beta regression analysis since our dependent variable is bounded within 0 to 1. The results are almost the same as with the OLS findings expect the effect size. The final model (5) of the beta regression shows that a one percentage increase in unemployment leads to .05 percentage increase in AfD's vote share (which was .67 in the OLS model). However, the result from the beta regression provide strong evidence that unemployment, previous vote share of CDU and SPD, and Saxony Anhalt relative to RP, have positive and statistically significant effects on the AfD's vote share though the magnitude of the effects is smaller in comparison to the OLS findings. This model also explains about 76 percent of the total variation of AfD's vote share.

	<i>Dependent variable:</i>				
	Vote share of AfD				
	(1)	(2)	(3)	(4)	(5)
GDP per capita / 1000	−0.01** (0.003)	−0.005** (0.002)	0.003 (0.003)	0.002 (0.002)	0.002 (0.002)
Unemployment rate	0.07*** (0.01)	0.05*** (0.02)	0.09*** (0.01)	0.08*** (0.02)	0.05*** (0.02)
Number of refugees	0.0001 (0.0001)	0.0001 (0.0001)	0.0001 (0.0001)	−0.0000 (0.0001)	−0.0000 (0.0001)
Vote share of CDU in 2011 election		0.01 (0.01)		−0.003 (0.01)	0.02*** (0.01)
Vote share of SPD in 2011 election		−0.01*** (0.004)		−0.01*** (0.004)	0.03*** (0.01)
Voter turnout in 2011 election		−0.02** (0.01)		−0.01 (0.01)	−0.01 (0.01)
Abitur ratio			−0.02*** (0.004)	−0.02*** (0.004)	−0.01** (0.004)
No degree ratio			−0.003 (0.01)	−0.02 (0.01)	−0.02 (0.01)
stateBW					−0.63*** (0.09)
stateSA					0.45*** (0.15)
Constant	−1.94*** (0.11)	−0.67 (0.63)	−1.67*** (0.12)	−0.29 (0.59)	−2.47*** (0.65)
District FE	NO	NO	NO	NO	NO
Observations	94	94	94	94	94
R ²	0.35	0.51	0.55	0.61	0.76
Log Likelihood	179.34	193.78	195.98	205.45	225.17

Note:

*p<0.1; **p<0.05; ***p<0.01

7 Limitations and Future Research

There are certain limitations we had to face during our research. Extending the analysis on all state elections in Germany where the AfD has participated would be an improvement for the analysis as it would increase the number of observations. We also could not access the most recent data on the number of refugees that are allocated into the districts in Germany within the last years. When comparing the electoral success of the AfD before the March 2016 state elections with the elections, it becomes clear that external factors such as the refugee crisis have strongly pushed the AfD. Further research on the results of the coming state elections could lead to a better understanding of the trends we observed.

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