

# Fast arithmetics for Artin-Schreier extensions

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## Definition (Artin-Schreier polynomial)

$\mathbb{K}$  a field of characteristic  $p$ ,  $\alpha \in \mathbb{K}$

$$X^p - X - \alpha$$

is an Artin-Schreier polynomial.

## Theorem

$\mathbb{K}$  finite.  $X^p - X - \alpha$  irreducible  $\Leftrightarrow \text{Tr}_{\mathbb{K}/\mathbb{F}_p}(\alpha) \neq 0$ .

If  $\eta \in \mathbb{K}$  is a root, then  $\eta + 1, \dots, \eta + (p-1)$  are roots.

## Definition (Artin-Schreier extension)

$\mathcal{P}$  an irreducible Artin-Schreier polynomial.

$$\mathbb{L} = \mathbb{K}[X]/\mathcal{P}(X).$$

$\mathbb{L}/\mathbb{K}$  is called an Artin-Schreier extension.

# Our context

$$\mathbb{U}_k = \frac{\mathbb{U}_{k-1}[X_k]}{P_{k-1}(X_k)}$$

$\left| \begin{array}{c} p \end{array} \right.$

$$\mathbb{U}_{k-1}$$

$\vdots$

$$\mathbb{U}_1 = \frac{\mathbb{U}_0[X_1]}{P_0(X_1)}$$

$\left| \begin{array}{c} p \end{array} \right.$

$$\mathbb{U}_0 = \mathbb{F}_{p^d} = \frac{\mathbb{F}_p[X_0]}{Q(X_0)}$$

## Towers over finite fields

$$P_i = X^p - X - \alpha_i$$

We say that  $(\mathbb{U}_0, \dots, \mathbb{U}_k)$  is defined by  $(\alpha_0, \dots, \alpha_{k-1})$  over  $\mathbb{U}_0$ .

**ANY** extension of degree  $p$  can be expressed this way

## Motivations

- $p$ -torsion points of abelian varieties;
- Isogeny computation [Couveignes '96].

# Size, complexities

$$\#\mathbb{U}_i = p^{p^i d}$$



## Optimal representation

All common representations achieve it:  $O(p^i d \log p)$

## Complexities in $\mathbb{F}_p$ -operations

optimal:	$O(p^i d)$	addition
quasi-optimal:	$\tilde{O}(i^a p^i d)$	FFT multiplication
almost-optimal:	$\tilde{O}(i^a p^{i+b} d)$	
suboptimal:	$\tilde{O}(i^a p^{i+b} d^c)$	
too bad:	$\tilde{O}(i^a (p^{i+b})^e d^c)$	naive multiplication

## Multiplication function $M(n)$

FFT:  $M(n) = O(n \log n \log \log n)$ ,      Naive:  $M(n) = O(n^2)$ .

# Representation matters!



## Multivariate representation of $v \in \mathbb{U}_i$

$$v = X_0^{d-1} X_1^{p-1} \cdots X_i^{p-1} + 2X_0^{d-1} X_1^{p-1} \cdots X_i^{p-2} + \cdots$$

## Univariate representation of $v \in \mathbb{U}_i$

- $\mathbb{U}_i = \mathbb{F}_p[x_i]$ ,
- $v = c_0 + c_1 x_i + c_2 x_i^2 + \cdots + c_{p^i d-1} x_i^{p^i d-1}$  with  $c_i \in \mathbb{F}_p$ .

## How much does it cost to...

- Multiply?
- Express the embedding  $\mathbb{U}_{i-1} \subset \mathbb{U}_i$  ?
- Express the vector space isomorphism  $\mathbb{U}_i = \mathbb{U}_{i-1}^p$  ?
- Switch between the representations?

# A primitive tower

## Definition (Primitive tower)

A tower is primitive if  $\mathbb{U}_i = \mathbb{F}_p[X_i]$ .

In general this is not the case. Think of  $P_0 = X^p - X - 1$ .

## Theorem (extends a result in [Cantor '89])

Let  $x_0 = X_0$  such that  $\text{Tr}_{\mathbb{U}_0/\mathbb{F}_p}(x_0) \neq 0$ , let

$$P_0 = X^p - X - x_0$$

$$P_i = X^p - X - x_i^{2^{p-1}}$$

with  $x_{i+1}$  a root of  $P_i$  in  $\mathbb{U}_{i+1}$ .

Then, the tower defined by  $(P_0, \dots, P_{k-1})$  is primitive.

Some tricks to play when  $p = 2$ .

# Computing the minimal polynomials

We look for  $Q_i$ , the minimal polynomial of  $x_i$  over  $\mathbb{F}_p$

$U_k$   
|  
 $U_{k-1}$   
|  
...  
|  
 $U_1$   
|  
 $U_0$

## Algorithm [Cantor '89]

- $Q_0 = Q$  easy,
- $Q_1 = Q_0(X^p - X)$  easy,

Let  $\omega$  be a  $2p - 1$ -th root of unity,

- $q_{i+1}(X^{2p-1}) = \prod_{j=0}^{2p-2} Q_i(\omega^j X)$  not too hard<sup>a</sup>,
- $Q_{i+1} = q_{i+1}(X^p - X)$  easy.

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<sup>a</sup>No need to factor  $\Phi_{2p-1}$ , one can simply work modulo it. (Proof by Chinese remaindering)

## Complexity

$$O(M(p^{i+2}d) \log p)$$

# Level embedding



## Push-down

**Input**  $v \dashv \mathbb{U}_i$ ,

**Output**  $v_0, \dots, v_{p-1} \dashv \mathbb{U}_{i-1}$  such that  $v = v_0 + \dots + v_{p-1}x_i^{p-1}$ .

## Lift-up

**Input**  $v_0, \dots, v_{p-1} \dashv \mathbb{U}_{i-1}$ ,

**Output**  $v \dashv \mathbb{U}_i$  such that  $v = v_0 + \dots + v_{p-1}x_i^{p-1}$ .

## Complexity function $L(i)$

It turns out that the two operations lie in the same complexity class, we note  $L(i)$  for it:

$$L(i) = O(pM(p^i d) + p^{i+1} d \log_p(p^i d)^2)$$



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## Push-down

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**Input**  $v \vdash \mathbb{U}_i,$

**Output**  $v_0, \dots, v_{p-1} \vdash \mathbb{U}_{i-1}$  s.t.  $v = v_0 + \dots + v_{p-1}x_i^{p-1}.$

- ① Reduce  $v$  modulo  $x_i^p - x_i - T^{2p-1}$  by a divide-and-conquer approach,
- ② each of the coefficients of  $x_i$  has degree in  $x_{i-1}$  less than  $2 \deg(v),$
- ③ reduce each of the coefficients.

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Change of basis univariate  $\rightarrow$  bivariate.

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## Lift-up

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**Input**  $v_0, \dots, v_{p-1} \vdash \mathbb{U}_{i-1}$

**Output**  $v \vdash \mathbb{U}_i$  s.t.  $v = v_0 + \dots + v_{p-1}x_i^{p-1}$

- ① Compute the linear form  $\text{Tr} \in \mathbb{U}_i^{D*}$ ,
- ② compute  $\ell = (v_0 + \dots + v_{p-1}x_i^{p-1}) \cdot \text{Tr}$ ,
- ③ compute  $P_v = \text{Push-down}^T(\ell)$ ,
- ④ compute  $N_v(Z) = P_v(Z) \cdot \text{rev}(Q_i)(Z) \bmod Z^{p^i d-1}$ ,
- ⑤ return  $\text{rev}(N_v)/Q'_i \bmod Q_i$ .

---

Inverse change of basis. Using transposition principle.

# Speeding up some arithmetics



## Divide and conquer

We improve some operations in  $\mathbb{U}_i$   $\text{op}(v)$

## Where it works

- traces,
- $p$ -th roots,
- pseudotraces,
- inversion,
- iterated frobenius,
- ...

# Speeding up some arithmetics



## Divide and conquer

We improve some operations in  $\mathbb{U}_i$

- push-down the operands;

$$\begin{array}{c} \text{op}(v) \\ \downarrow \\ v_0, \quad \cdots, \quad v_{p-1} \end{array}$$

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# Speeding up some arithmetics



## Divide and conquer

We improve some operations in  $\mathbb{U}_i$

- push-down the operands;
- recursively solve  $p$  instances in  $\mathbb{U}_{i-1}$ ;

$$\text{op}(v) \\ \downarrow \\ \text{op}(v_0), \quad \dots, \quad \text{op}(v_{p-1})$$

## Where it works

- traces,
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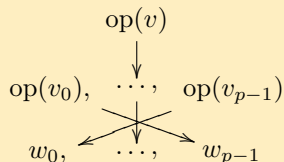
# Speeding up some arithmetics



## Divide and conquer

We improve some operations in  $\mathbb{U}_i$

- push-down the operands;
- recursively solve  $p$  instances in  $\mathbb{U}_{i-1}$ ;
- combine the results;



## Where it works

- traces,
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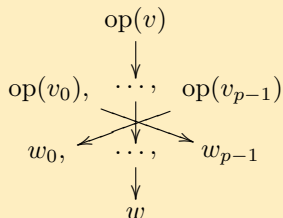
# Speeding up some arithmetics



## Divide and conquer

We improve some operations in  $\mathbb{U}_i$

- push-down the operands;
- recursively solve  $p$  instances in  $\mathbb{U}_{i-1}$ ;
- combine the results;
- lift-up.



## Where it works

- traces,
- $p$ -th roots,
- pseudotraces,
- inversion,
- iterated frobenius,
- ...

# Example: Iterated frobenius

## Truisms

- $x_i^{p^{j d}} = x_i + \beta_{i-1,j}$  where  $\beta_{i-1,j} = \sum_{h=0}^{p^j d - 1} (x_{i-1}^{2^{p-1}})^{p^h}$ ,
- $v \in \mathbb{U}_i \Rightarrow v^{p^{p^i d}} = v$ ,
- $v^{p^{p^j d}} = \sum_{h=0}^{p-1} v_h^{p^{p^j d}} (x_i + \beta_{i-1,j})^h$

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## IterFrobenius

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**Input**  $v, i, j$  with  $v \dashv \mathbb{U}_i$  and  $j \geq 0$ .

**Output**  $v^{p^{p^j d}} \dashv \mathbb{U}_i$ .

- 1 If  $i \leq j$ , return  $v$ .
- 2 Let  $v_0 + v_1 x_i + \dots + v_{p-1} x_i^{p-1} = \text{Push-down}(v)$ ,
- 3 for  $h \in [0, \dots, p-1]$ , let  $t_h = \text{IterFrobenius}(v_h, i-1, j)$ ,
- 4 let  $w = \sum_{h=0}^{p-1} t_h (x_i + \beta_{i-1,j})^h$ ,
- 5 return  $\text{Lift-up}(w)$ .



## Truisms

- $x_i^{p^{j_d}} = x_i + \beta_{i-1,j}$  where  $\beta_{i-1,j} = \sum_{h=0}^{p^{j_d}-1} (x_{i-1}^{2^{p-1}})^{p^h}$ ,
- $v \in \mathbb{U}_i \Rightarrow v^{p^{j_d}} = v$ ,
- $v^{p^{j_d}} = \sum_{h=0}^{p-1} v_h^{p^{j_d}} (x_i + \beta_{i-1,j})^h$

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  - 4 let  $w = \sum_{h=0}^{p-1} t_h (x_i + \beta_{i-1,j})^h$ ,
  - 5 return  $\text{Lift-up}(w)$ .
-

# Important example : Generic towers

## Generic towers

- Let  $(\alpha_0, \dots, \alpha_{k-1})$  define a generic tower over  $\mathbb{U}_0$ ,
- if we find an isomorphism we can bring fast arithmetics to it.

## Computing the isomorphism [Couveignes '00]

**Goal:** factor  $X^p - X - \alpha_i$  in  $U_{i+1}$ .

- Change of variables  $X' = X - \mu$  s.t.
- $X'^p - X' - \alpha_i$  has a root in  $\mathbb{U}_i$ ,
- Push-down, solve recursively, result is  $\Delta$ ,
- Lift-up  $\Delta$ ,
- return  $\Delta + \mu$ .

$\mathbb{U}_k$   
|  
 $\mathbb{U}_{k-1}$   
|  
|  
|  
 $\mathbb{U}_1$   
|  
 $\mathbb{U}_0$

$\mathbb{U}'_k$   
|  
 $\mathbb{U}'_{k-1}$   
|  
|  
|  
 $\mathbb{U}'_1$   
|  
 $\mathbb{U}_0$

# Minimal polynomials

## Minimal polynomials

- Given  $v \mapsto \mathbb{U}_i$ , find its minimal polynomials over  $\mathbb{U}_0, \dots, \mathbb{U}_{i-1}$ .
- Push-down, frobenius and multiply.

## Affine minimal polynomials

- Given  $v, a \mapsto \mathbb{U}_i$  and  $\mathbb{U}_j \subset \mathbb{U}_i$ , find, if it exists, the polynomial  $P \in \mathbb{U}_j[X]$  of minimal degree such that

$$P(v) = a.$$

- Equivalent to interpolate the polynomial that sends the conjugates of  $v$  in  $\mathbb{U}_i/\mathbb{U}_j$  over the conjugates of  $a$ .
- Compute the minimal polynomials, push-down, frobenius and multiply.

Application to isogeny computation.

# Implementation

## Implementation in NTL

Three types

- GF2:  $p = 2$ , no FFT, bit optimisation,
- zz\_p:  $p < 2^{|\text{long}|}$ , FFT, no bit-tricks,
- ZZ\_p: generic  $p$ , like zz\_p but slower.

## Comparison to Magma

Three ways of handling field extensions

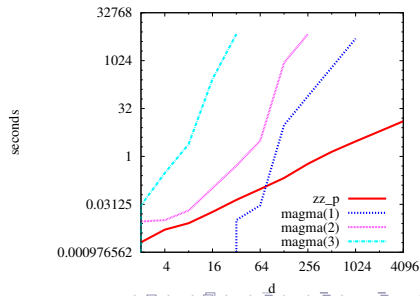
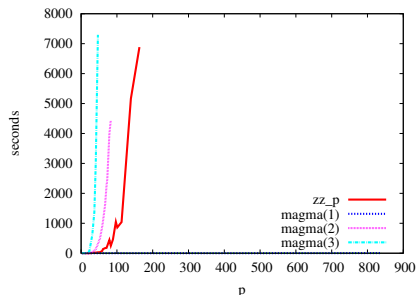
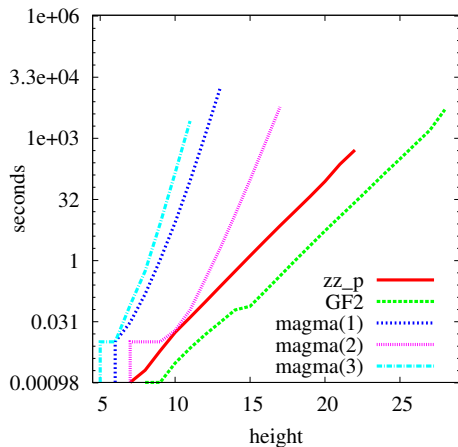
- ① quo<U|P>: quotient of multivariate polynomial ring + Gröbner bases
- ② ext<k|P>: field extension by  $X^p - X - \alpha$ , precomputed bases + multivariate
- ③ ext<k|p>: field extension of degree  $p$ , precomputed bases + multivariate

## Benchmarks (on 14 AMD Opteron 2500)

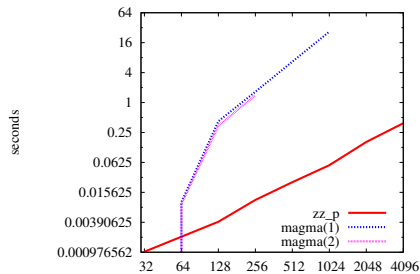
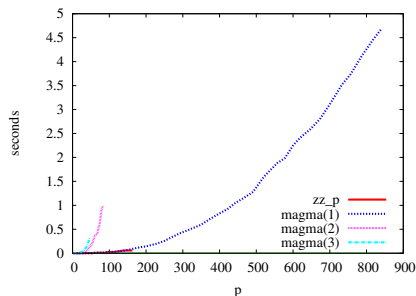
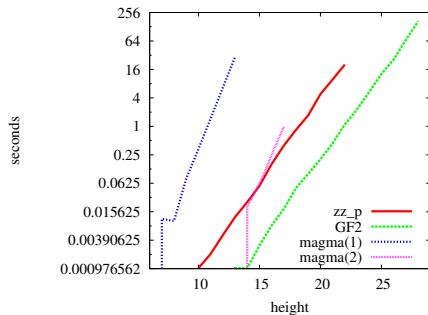
Three modes

- $p = 2$ ,  $d = 1$ , height varying,
- $p$  varying,  $d = 1$ , height = 2,
- $p = 5$ ,  $d$  varying, height = 2.

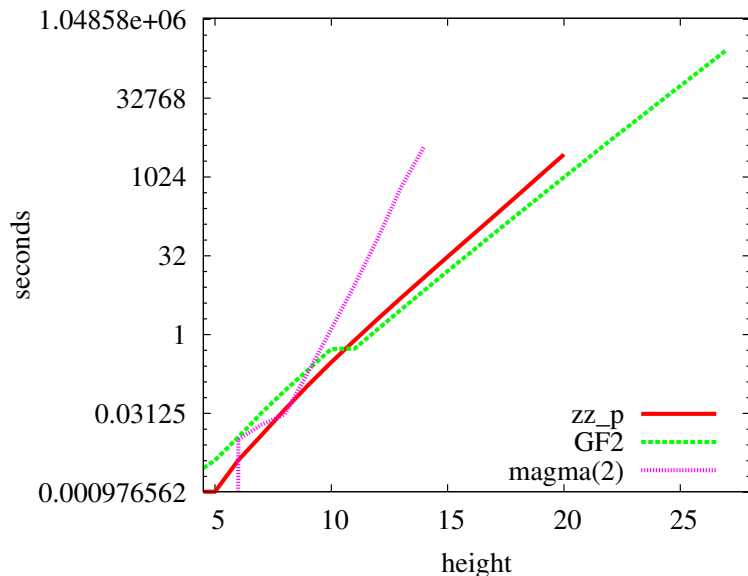
# Construction of the tower + precomputations



# Multiplication

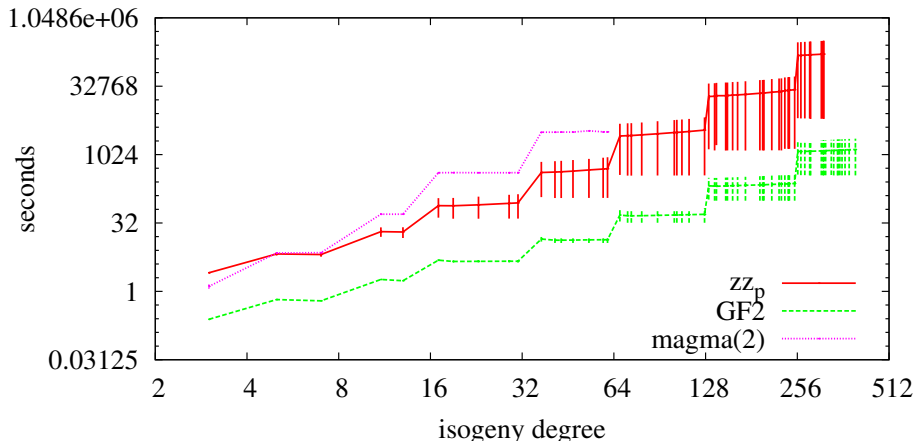


# Isomorphism ([Couveignes '00] vs Magma)



# Benchmarks on isogenies ([Couveignes '96])

Over  $\mathbb{F}_{2^{101}}$ , on an Intel Xeon E5430 Quad Core Processor 2.66GHz, 64GB ram





These algorithms are packaged in a library

Download FAAST at

<http://www.lix.polytechnique.fr/Labo/Luca.De-Feo/FAAST>

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