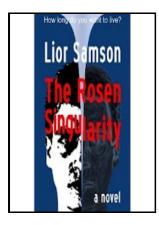
Spear, Leeds & Kellogg, the first fifty years - as told by the partners to Everett Mattlin.

Spear, Leeds & Kellogg - Goldman Sachs Agrees to Acquire Market Maker Spear Leeds & Kellogg



Description: -

Phonograph -- Maintenance and repair.

Phonograph -- Collectors and collecting.

Spear, Leeds & Kellogg -- History. Spear, Leeds & Kellogg, the first

fifty years - as told by the partners to Everett Mattlin.

-Spear, Leeds & Kellogg, the first fifty years - as told by the partners to Everett Mattlin.

Notes: Cover title: Spear, Leeds & Kellogg, 1931-1981.

This edition was published in 1981



Filesize: 31.29 MB

Tags: #SEC #institutes #enforcement #action #against #20 #former #New #York #Stock #Exchange #Specialists #alleging #pervasive #course #of #fraudulent #trading #(2005)

Respondents Include Two Former CEOs Of Spear Leeds & Kellogg Speciali...

Only when an agreement to arbitrate is found to exist does a court proceed to decide whether a given dispute falls within the scope of that agreement.

Spear, Leeds & Kellogg

Olin Graduate School of Business 1990 — 1992 Master of Business Administration, Finance Lehigh University 1984 — 1988 Master of Science, Finance Great Neck South H. The Johns Hopkins University 1971 — 1975 B.

Goldman buys Spear Leeds for \$6.5B

SLK received commissions on every trade and would split the interest in Goodman's account on a monthly basis. How these changes would affect the business of SLK remained to be seen. Because of his expanding commodity trading business, Goodman set out in 1991 to augment this life insurance plan.

Goldman buys Spear Leeds for \$6.5B

We will hold the individuals who engaged in such fraudulent proprietary trading accountable for their actions. In June, :, made a similar move, in stock for Herzog Heine Geduld, the third-largest U. Accordingly, SLKS willfully violated Section 11 b of the Exchange Act and Rule 11b-1 thereunder.

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