

# Regulation of the marketing of investment products and services - a policy statement.

## Securities and Investments Board - Business Regulations in Indonesia



Description: -

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Notes: Cover title.

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### Gramm

Rules that apply to other levels, such as investors, or to other products or parts of the market can also impact the ability or willingness to engage in long-term investment. Sales through a money desk or dealer desk are not considered retail sales and, therefore, are not covered. Also, since the trades have not actually been executed, the results may have under or over-compensated for the impact, if any, of certain market factors, such as lack of liquidity.

### Government Regulations That Affect Marketing in Retail

For any of the three exceptions to the definition of marketing, the activity must otherwise be permissible under the Privacy Rule, and a covered entity may use a business associate to make the communication. It is a sound practice for an institution's management to fully understand the market risks associated with investment securities and derivative instruments prior to acquisition and on an ongoing basis. That results in four categories of impact: direct and indirect positive impact and direct and indirect negative impact.

### Publications for Sale

This is not an easy job but one that must be undertaken to successfully encourage long-term investment. Institutions should clearly define documentation requirements for securities transactions, saving and safeguarding important documents, as well as maintaining possession and control of instruments purchased.

### regulation and promotion of investments

This extended implementation period recognises the operational challenges of implementing new rules in the current circumstances. To properly discharge its oversight responsibilities, the board should review portfolio activity and risk levels, and require management to demonstrate compliance with approved risk limits. Failure to comply exposes your business to penalties and consumer legal action.

### Marketing

No information or material contained in the Sites is or should be construed as amounting to an offer to enter into any transaction or investment whatsoever.

## **Marketing**

The FCA is proposing that firms offer customers who do not take advice a range of investment solutions that broadly meet their objectives, otherwise known as 'investment pathways'. Because our mandate is to fund the retirements of workers who may not be leaving the workforce for decades, we are able and willing to make very long-term investments.

## **Regulation**

Second, they make sure the bank has adequate capital. Ad campaigns that geographically target embargoed countries or territories Ad campaigns that are run on behalf of businesses that are located in embargoed countries or regions, even if the account owner is not located in an embargoed country or region Ad campaigns that are run by or on behalf of entities or individuals that are restricted under applicable trade sanctions and regulations Note: Google must comply with sanctions imposed by OFAC. Changes which will cover making the cost of drawdown products clearer and comparison easier will come into force on 6 April 2020.

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