

Financial services - authorization, supervision, and enforcement : a litigators guide

Oxford University Press - Financial Services



Description: -

- Financial services industry -- Great Britain -- State supervision
- Financial services industry -- Law and legislation -- Great Britain
- Financial Services Authority (Great Britain) -- Rules and practice
- Financial services - authorization, supervision, and enforcement : a litigators guide
- Financial services - authorization, supervision, and enforcement : a litigators guide

Notes: Includes index.

This edition was published in 2006



Filesize: 15.22 MB

Tags: #The #PRA's #statutory #powers #and #enforcement

Financial Services Litigation

Our multidisciplinary approach enables our team to readily anticipate, recognize, and address challenges that our clients may face with respect to blockchain and digital asset development or utilization. She is an English qualified solicitor and a US attorney State of Texas. We regularly advise and defend global, national, regional, and local banks; venture capital and private equity firms; mortgage lenders; credit card companies; consumer finance companies; and other financial institutions.

Supervision

Inspections Review meetings Aim Confirm compliance with our regulatory requirements, assess corporate governance structures, internal controls and risk management systems. The ICB has developed a risk-based supervisory methodology, and a principles-based approach which allows flexibility. Complex consumer and financial services litigation poses unique risks and challenges for businesses.

FCA Investigations and Enforcement: A Guide to Managing Regulatory Action

The feedback we received during the consultation was supportive of our approach and we have used it to inform our Approach to Supervision and feedback statement. You can find FSA on the National Archives website. The long answer is that there are grey areas, particularly if you are operating onshore.

Contentious Regulatory

Hannah helps international and regional financial institutions with contentious regulatory cases and cross-border investigations involving, for example, systems and controls breaches, anti-money laundering failures and insider dealing. Hannah is a co-chair of the firm's Global Bank Sector Group, sits on the Advisory Committee of Women in Finance Asia and regularly speaks on industry panels. Schnader was a founding member of the Philadelphia Diversity Law Group, which aims to increase the number of diverse attorneys in-house and in private practice.

Related Books

- [Quantitative comparison of automatic contouring algorithms.](#)
- [Sint-Baafsabdij te Gent en haar grondbezit \(7e-14e eeuw\) - bijdrage tot de kennis van de structuur en](#)
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