

# Insider trading - the laws of Europe, the United States and Japan

Kluwer Law and Taxation Publishers - Trading: The Laws of Europe, the United States and by James D. Yellen



Description: -

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Insider trading in securities -- Law and legislation -- Japan.

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Notes: Errata slip laid in.

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## Insider trading

The statistics tell an important story about regulation in Europe, where stock-market bloodhounds are kept on short leashes.

## Insider Trading

Officers of the had used inside information about the discovery of the to make profits by buying shares and call options on company stock. In the United States in addition to civil penalties, the trader may also be subject to criminal prosecution for fraud or where SEC regulations have been broken, the U.

## Insider Trading

PDF from the original on 29 August 2005. This means that first-time offenders are eligible to receive probation rather than incarceration. Even though, in general, ordinary relations between directors and shareholders in a business corporation are not of such a fiduciary nature as to make it the duty of a director to disclose to a shareholder general knowledge regarding the value of the shares of the company before he purchases any from a shareholder, some cases involve special facts that impose such duty.

## Insider Trading

But an investment banker or lawyer helping a firm prepare a merger would clearly be breaking the law by trading on the information. To provide more detail on specific actions taken by local jurisdictions, Global Legal Insights outlining the current regulatory framework by country. He had been tipped off by a consultant to a company that the company was about to make a negative announcement regarding its for a drug.

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