

Department Rules

Accounts & Finance

- Daily reconciliation must be done by **6 PM**.
- Settlement reports uploaded on **NSDL CDSL portals**.

Compliance

- Follow **SEBI** guidelines strictly.
- KYC documents required:
 - PAN
 - Aadhaar
 - Bank Proof
 - Signature
 - Photograph

Risk Management (RMS)

- Auto-square-off at **40% margin shortfall**.
- RMS can cancel pending orders during volatility spikes.