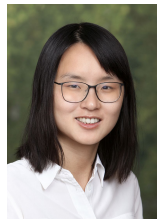




# A Frustratingly Easy Approach for Entity and Relation Extraction



Zexuan Zhong



Danqi Chen

Princeton University

# Entity and Relation Extraction: Problem Definition

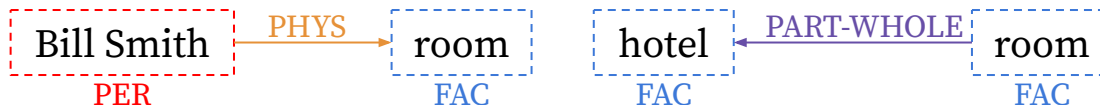
## Input

Bill Smith was in the hotel room

## Named Entity Recognition



## Relation Extraction



# Entity and Relation Extraction: Problem Definition

Input: a piece of unstructured text

- A sequence of tokens  $X = x_1, \dots, x_n$ 
  - a set of spans  $S = \{s_1, \dots, s_m\}$

Output:

- A set of entities:  $Y_e = \{(s_i, e) : s_i \in S, e \in \mathcal{E}\}$ 
  - $s$ : span,  $e$ : entity type
- A set of relations:  $Y_r = \{(s_i, s_j, r) : s_i, s_j \in S, r \in \mathcal{R}\}$ 
  - $s$ : subject/object span,  $r$ : relation type

# Existing Approaches (2014+)

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	Edward	Thomas	is	from	Minnesota	,	United	States
Edward	B-PER	⊥	⊥	⊥	live_in	⊥	live_in	live_in
Thomas	⊥	I-PER	⊥	⊥	live_in	⊥	live_in	live_in
is	⊥	⊥	O	⊥	⊥	⊥	⊥	⊥
from	⊥	⊥	⊥	O	⊥	⊥	⊥	⊥
Minnesota	live_in	live_in	⊥	⊥	B-LOC	⊥	loc_in	loc_in
,	⊥	⊥	⊥	⊥	⊥	O	⊥	⊥
United	live_in	live_in	⊥	⊥	loc_in	⊥	B-LOC	⊥
States	live_in	live_in	⊥	⊥	loc_in	⊥	⊥	I-LOC

## Structured Prediction

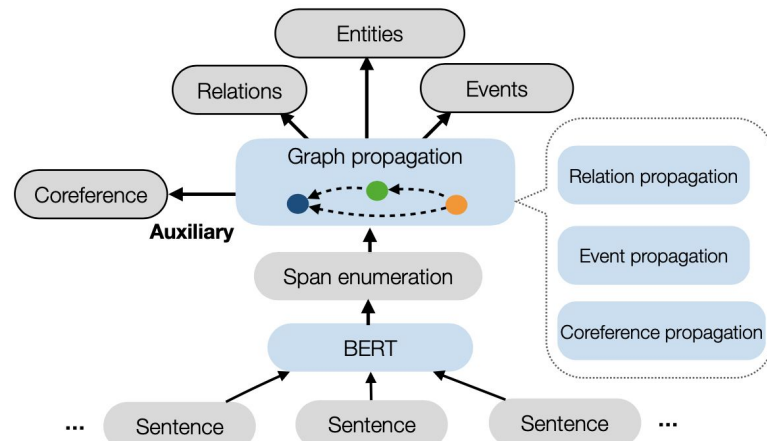
Li and Ji, 2014; Zhang et al., 2017; Katiyar and Cardie, 2017;  
Li et al., 2019; Wang and Lu, 2020

# Existing Approaches (2014+)

	Edward	Thomas	is	from	Minnesota	,	United	States
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Thomas	⊥	I-PER	⊥	⊥	live_in	⊥	live_in	live_in
is	⊥	⊥	O	⊥	⊥	⊥	⊥	⊥
from	⊥	⊥	⊥	O	⊥	⊥	⊥	⊥
Minnesota	live_in	live_in	⊥	⊥	B-LOC	⊥	loc_in	loc_in
,	⊥	⊥	⊥	⊥	⊥	O	⊥	⊥
United	live_in	live_in	⊥	⊥	loc_in	⊥	B-LOC	⊥
States	live_in	live_in	⊥	⊥	loc_in	⊥	⊥	I-LOC

## Structured Prediction

Li and Ji, 2014; Zhang et al., 2017; Katiyar and Cardie, 2017;  
Li et al., 2019; Wang and Lu, 2020



## Multi-task Learning

Miwa and Bansal, 2016; Bekoulis et al., 2018; Luan et al., 2019;  
Wadden et al., 2019; Lin et al., 2020

# This Work

## 1. Our model: **PURE**

- A **pipelined** approach outperforming all previous joint models!

## 2. **Why** does it work well?

- **Understanding** modeling choices between entity and relation extraction

## 3. An **efficient** approximation model w/ large speedup

# This Work

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# Entity Model

**Input**

Bill Smith was in the hotel room

**Output**

Bill Smith

PER

hotel

FAC

room

FAC

# Entity Model

**Input**

Bill

Smith

was

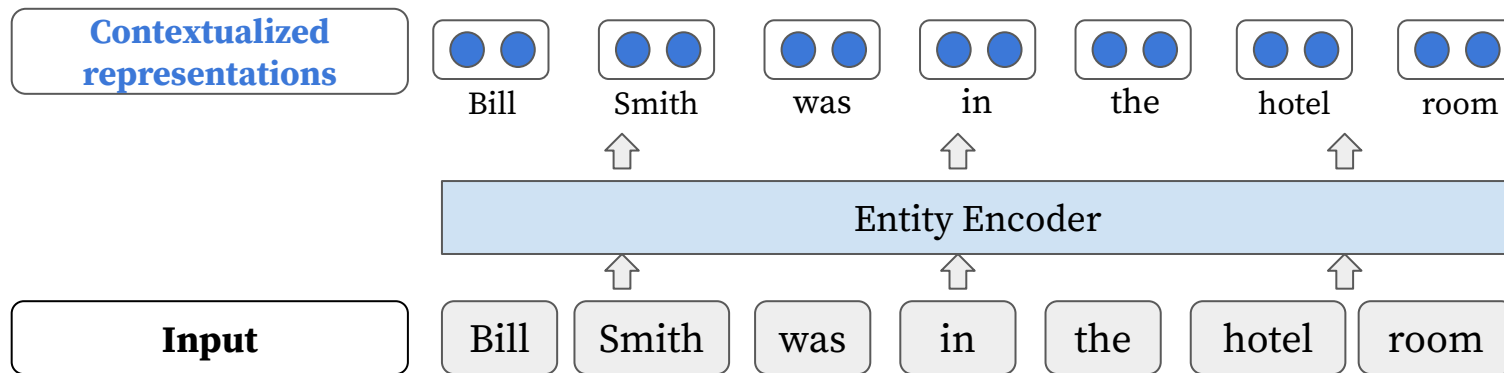
in

the

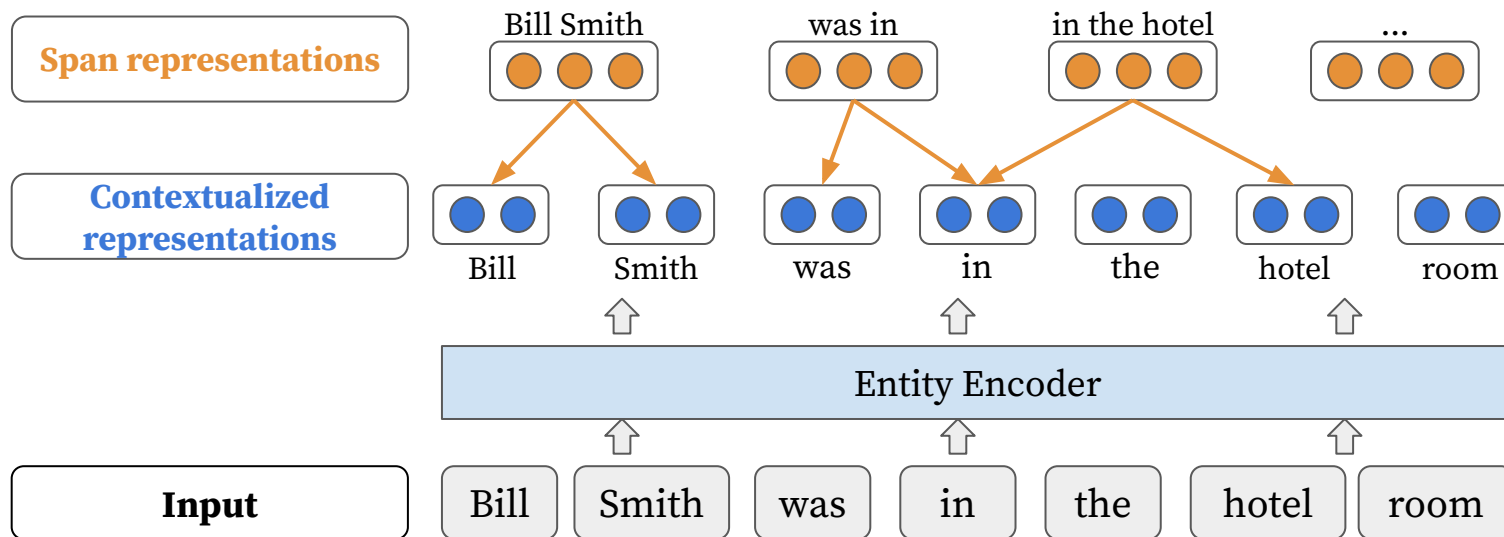
hotel

room

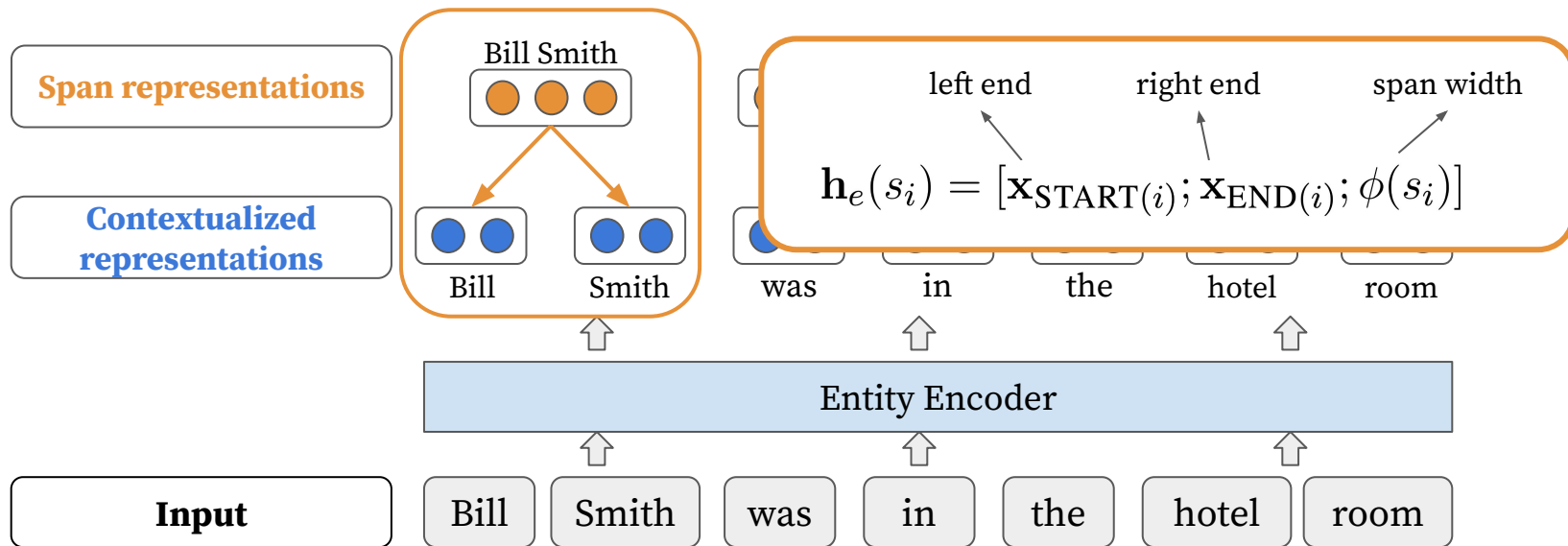
# Entity Model



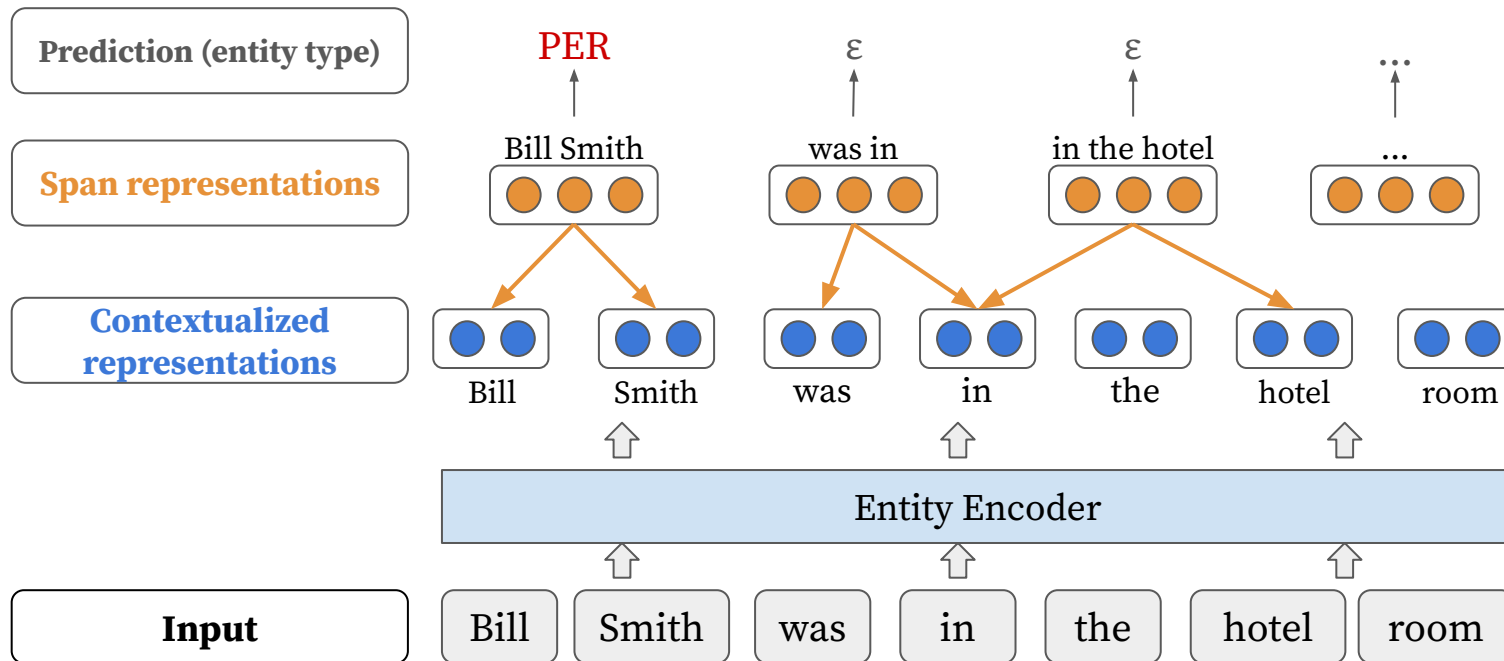
# Entity Model



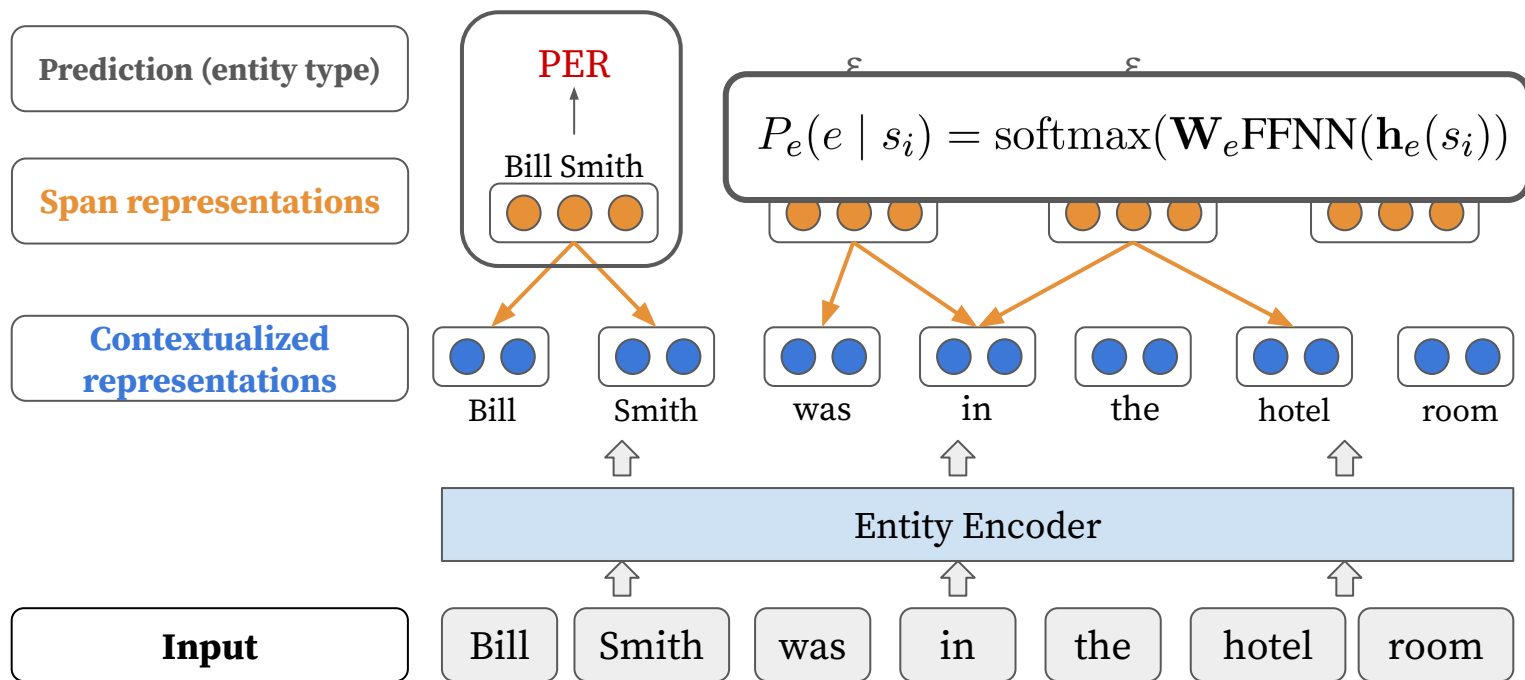
# Entity Model



# Entity Model



# Entity Model



# Relation Model

## Input

Bill Smith was in the hotel room

Bill Smith

PER

hotel

FAC

room

FAC

## Output

Bill Smith

PER

PHYS

room

FAC

hotel

FAC

PART-WHOLE

room

FAC



# Relation Model: Inserting Markers

Bill Smith was in the hotel room

PER FAC FAC

---

Bill Smith hotel

PER FAC

# Relation Model: Inserting Markers

Bill Smith was in the hotel room

PER FAC FAC

---

Bill Smith hotel

PER FAC

[S:PER] Bill Smith [/S:PER] was in [O:FAC] hotel [/O:FAC] room

# Relation Model: Inserting Markers

Bill Smith was in the hotel room

PER FAC FAC

Bill Smith hotel

PER FAC

[S:PER] Bill Smith [/S:PER] was in [O:FAC] hotel [/O:FAC] room

Bill Smith room

PER FAC

[S:PER] Bill Smith [/S:PER] was in hotel [O:FAC] room [/O:FAC]

hotel room

FAC FAC

Bill Smith was in [S:FAC] hotel [/S:FAC] [O:FAC] room [/O:FAC]

...

...

# Relation Model

**Modified input**

[S:PER]

Bill

Smith

[/S:PER]

was

in

the

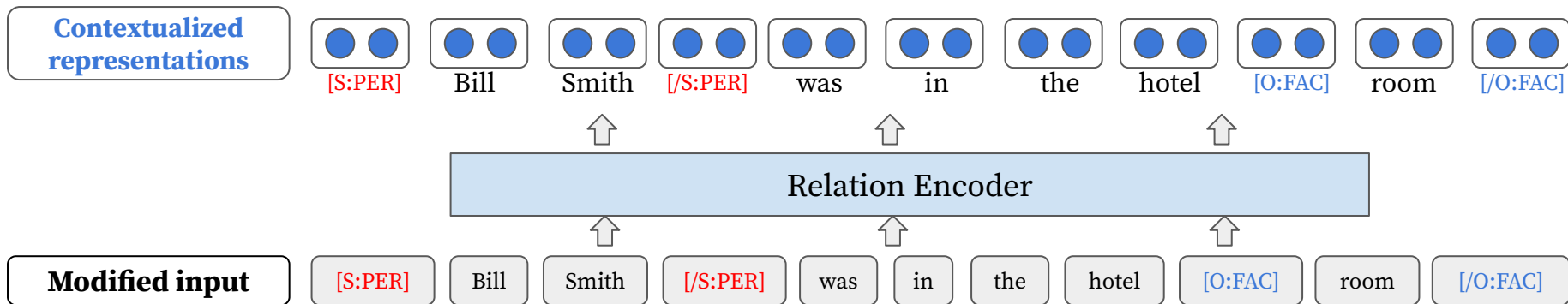
hotel

[O:FAC]

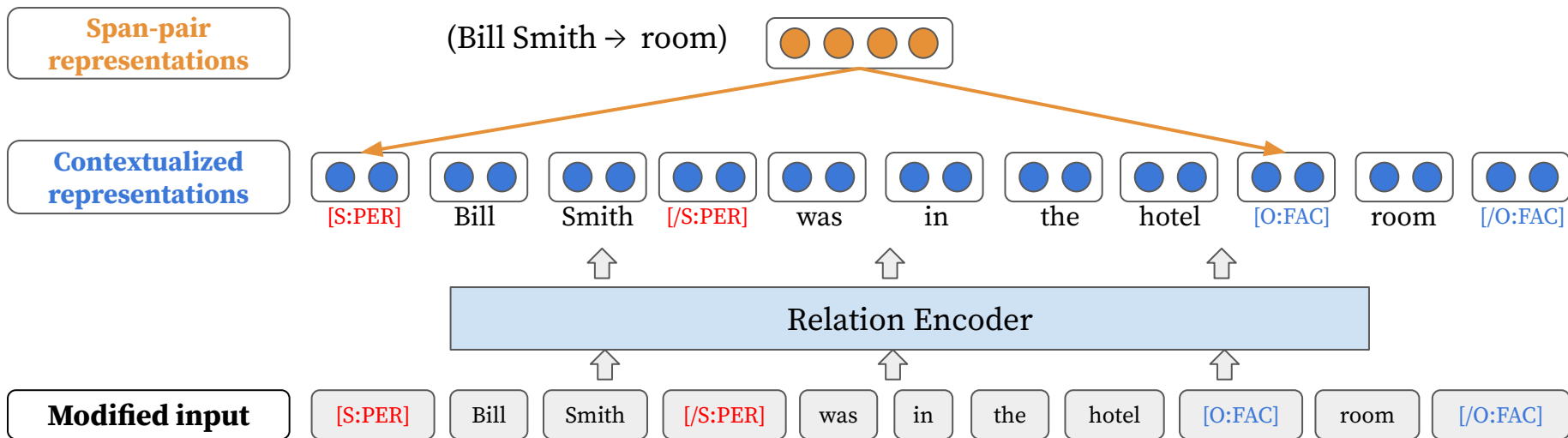
room

[/O:FAC]

# Relation Model



# Relation Model



# Relation Model

$$\mathbf{h}_r(s_i, s_j) = [\hat{\mathbf{x}}_{\widehat{\text{START}}(i)}; \hat{\mathbf{x}}_{\widehat{\text{START}}(j)}]$$

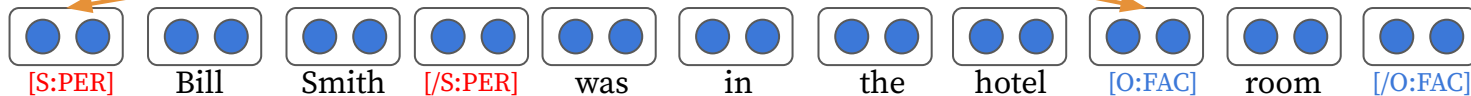
Rep. of [S:e<sub>i</sub>]      Rep. of [O:e<sub>j</sub>]

**Span-pair  
representations**

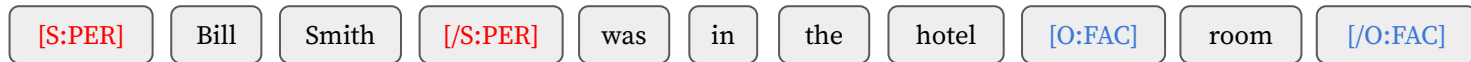
(Bill Smith → room)



**Contextualized  
representations**



**Modified input**



Relation Encoder

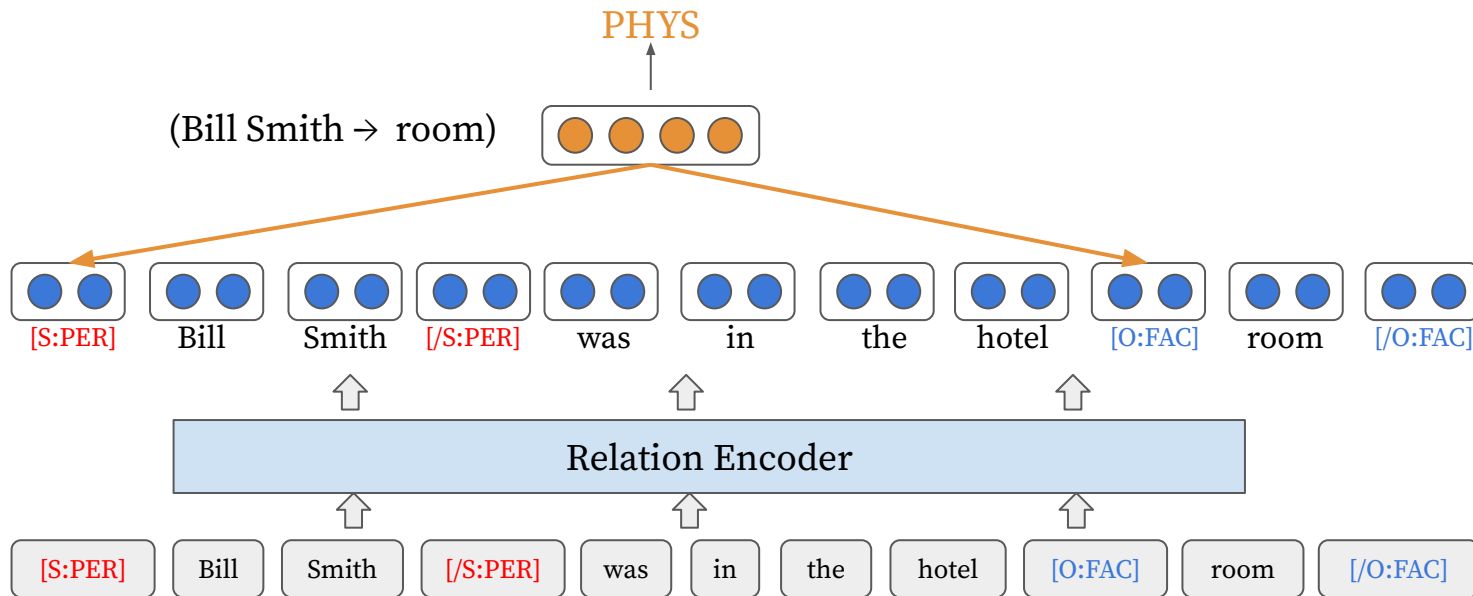
# Relation Model

Prediction  
(relation type)

Span-pair  
representations

Contextualized  
representations

Modified input





# Relation Model

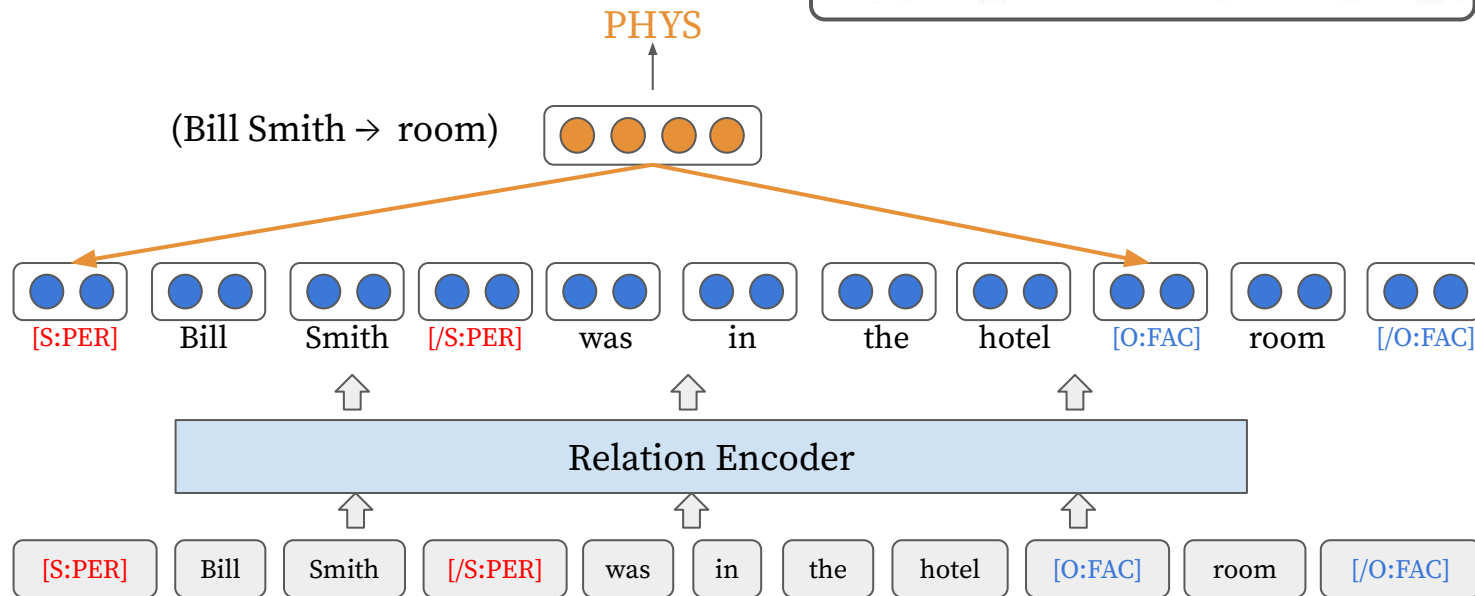
Prediction  
(relation type)

Span-pair  
representations

Contextualized  
representations

Modified input

$$P_r(r|s_i, s_j) = \text{softmax}(\mathbf{W}_r \mathbf{h}_r(s_i, s_j))$$



# Experimental Settings

## Datasets

- ACE04, ACE05: newswire, online forums
- SciERC: scientific articles



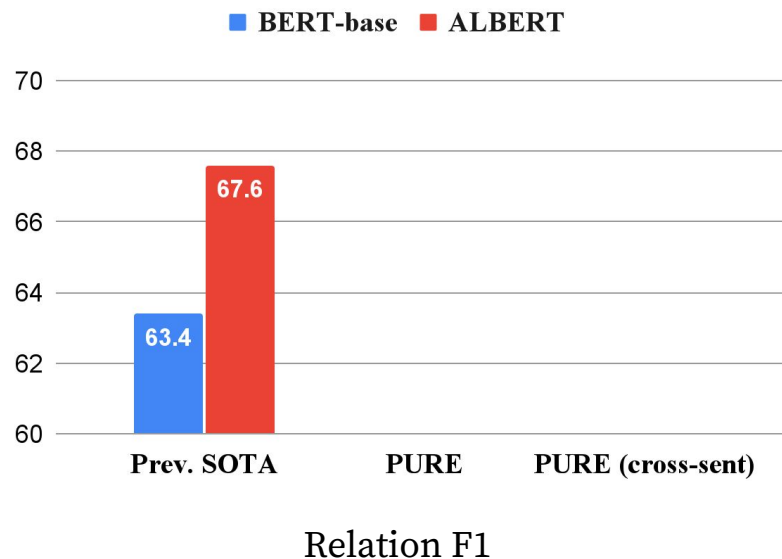
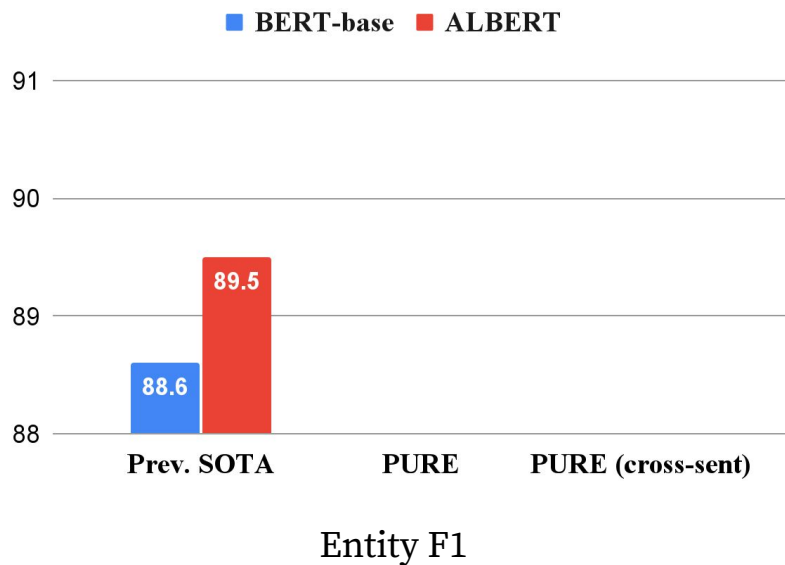
## Evaluation metrics

- Entity F1
- Relation F1

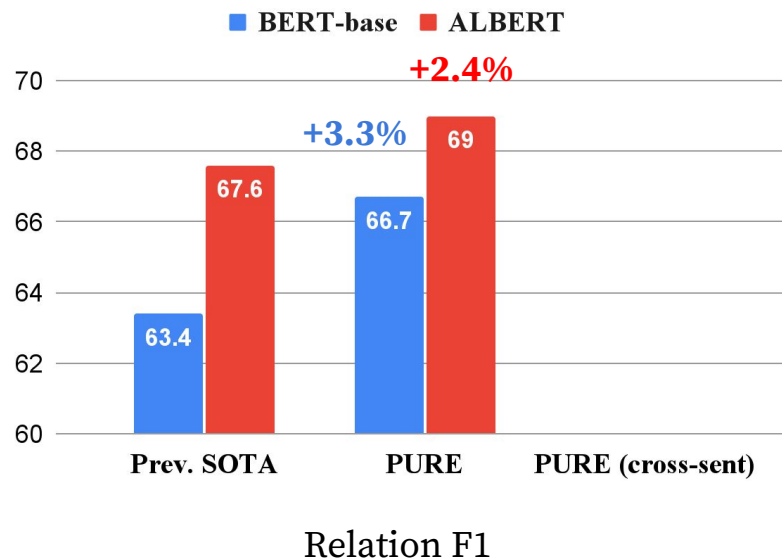
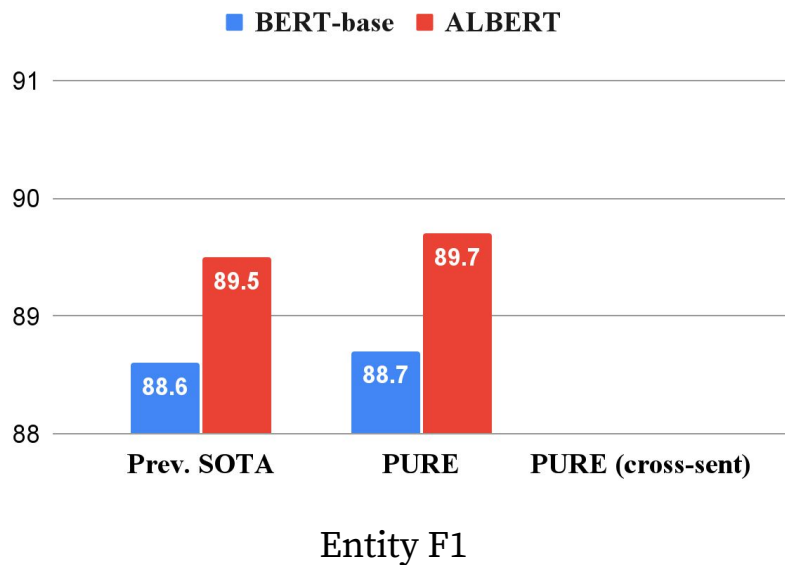
## Context information

- Single-sentence
- Cross-sentence

# Results on ACE05

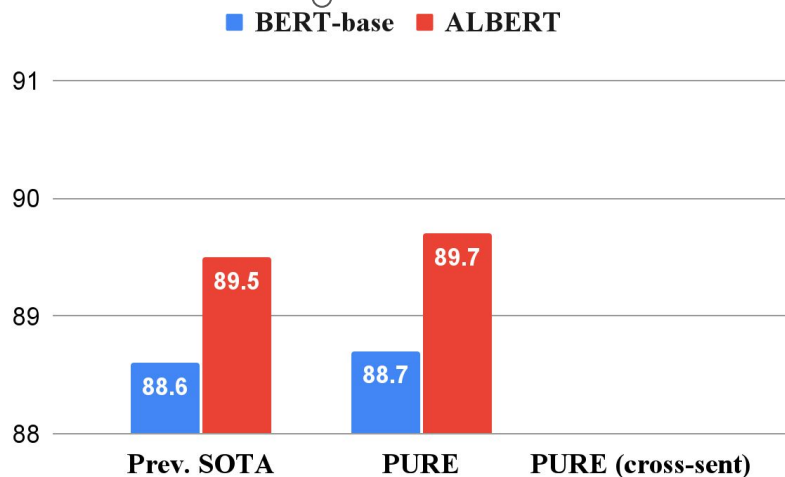


# Results on ACE05

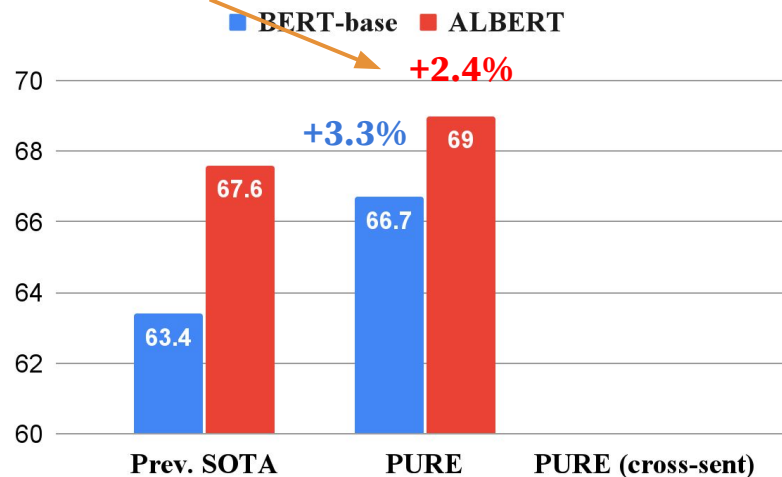


# Results on ACE05

With the same pre-trained encoder, PURE significantly outperforms previous SOTA.

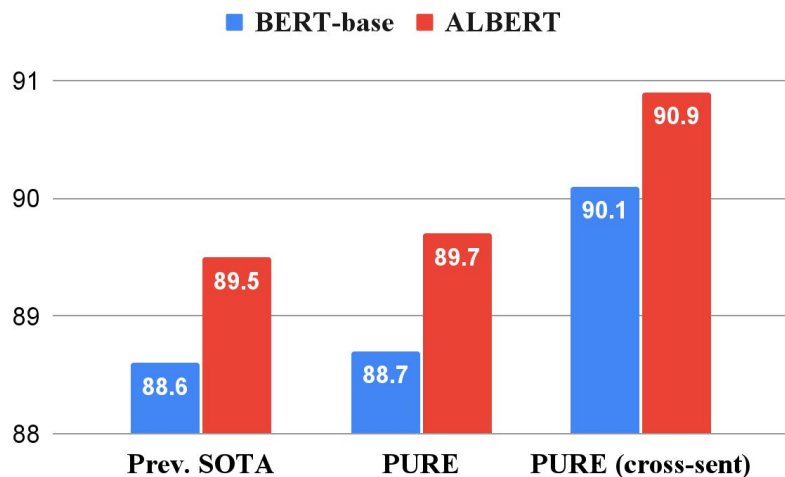


Entity F1

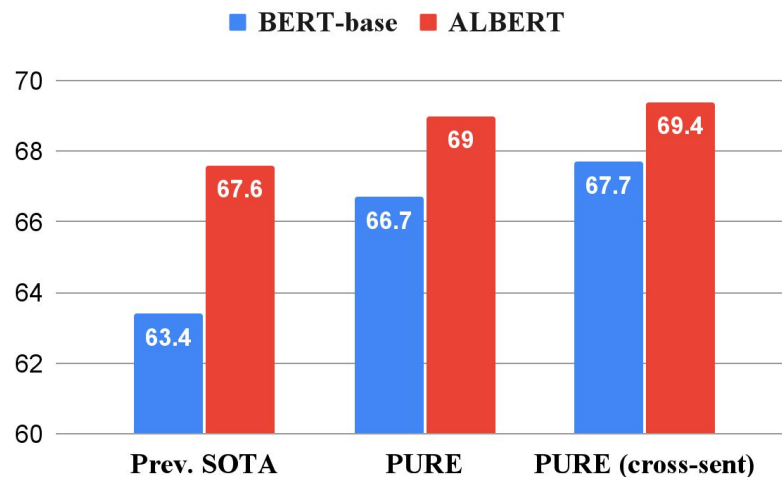


Relation F1

# Results on ACE05



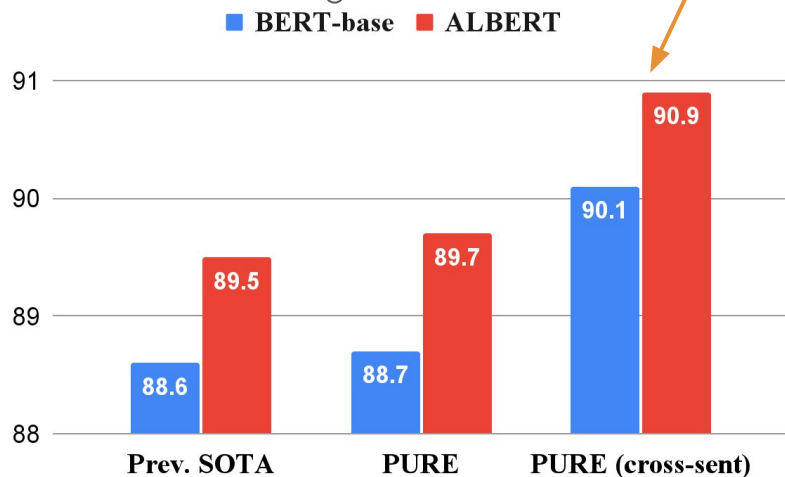
Entity F1



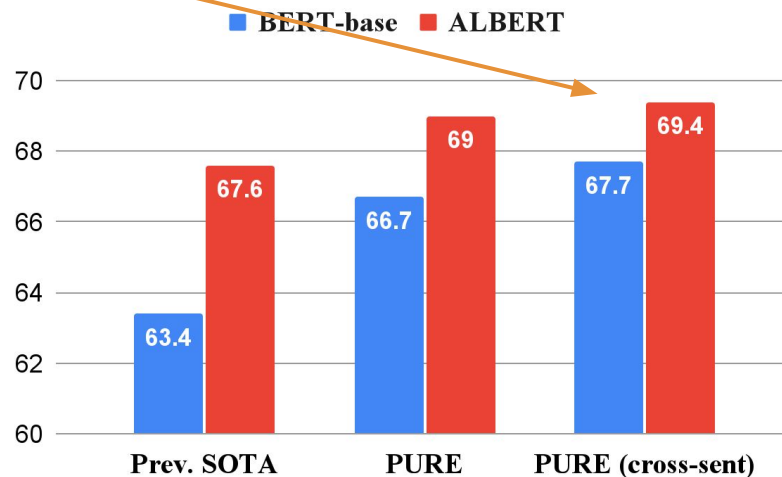
Relation F1

# Results on ACE05

Incorporating cross-sentence information can further improve the performance.

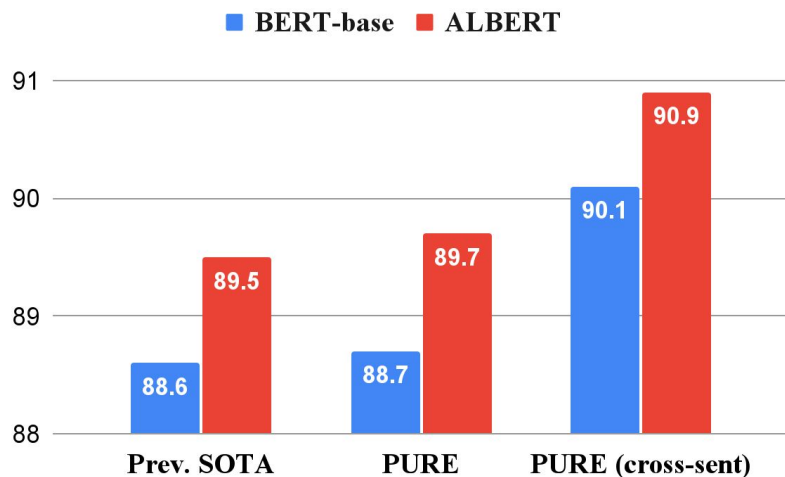


Entity F1

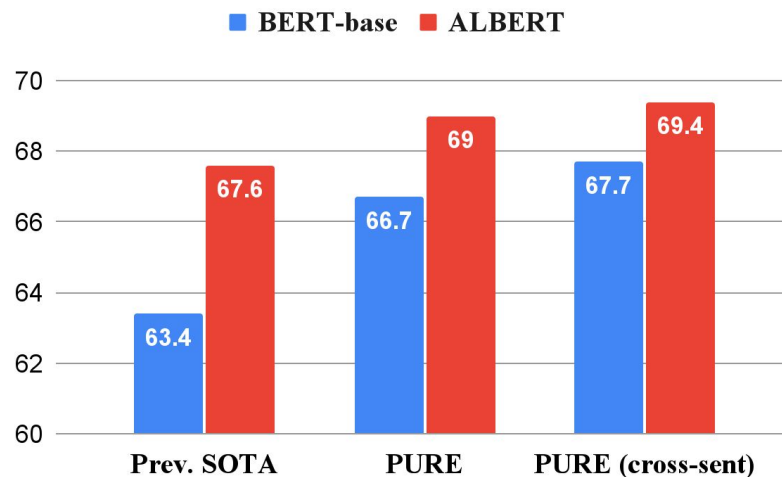


Relation F1

# Results on ACE05



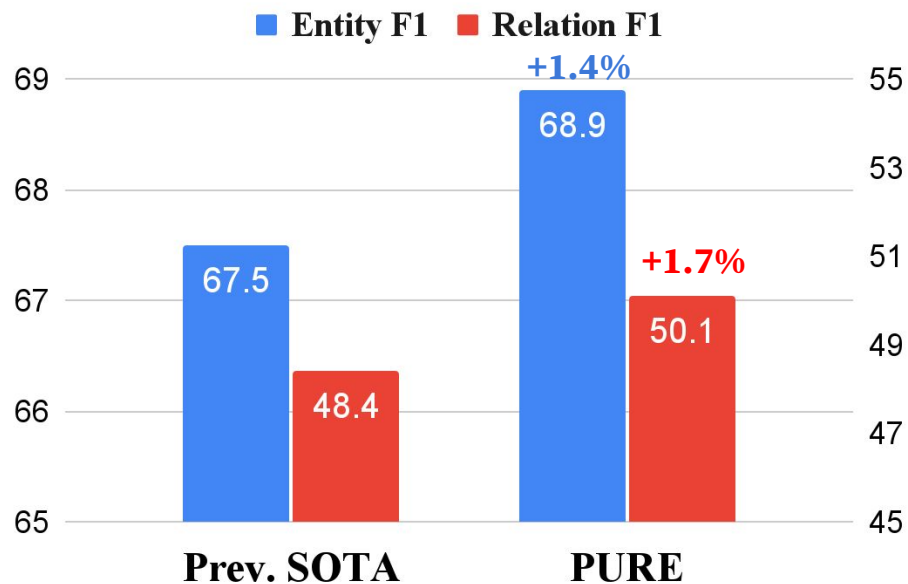
Entity F1



Relation F1



# Results on SciERC



# This Work

## 1. Our model: PURE

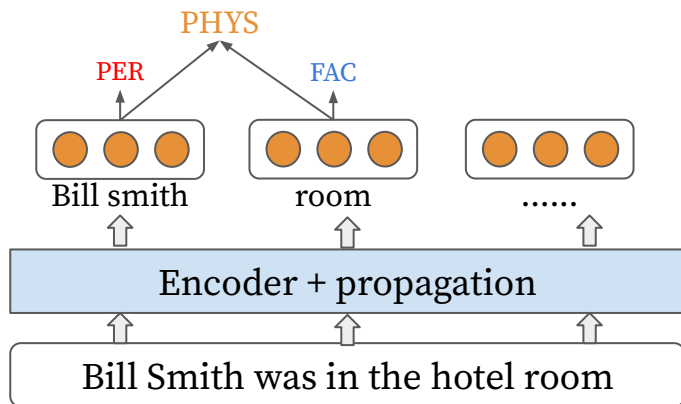
- A **pipelined** approach outperforming all previous joint models!

## 2. **Why** does it work well?

- **Understanding** modeling choices between entity and relation extraction

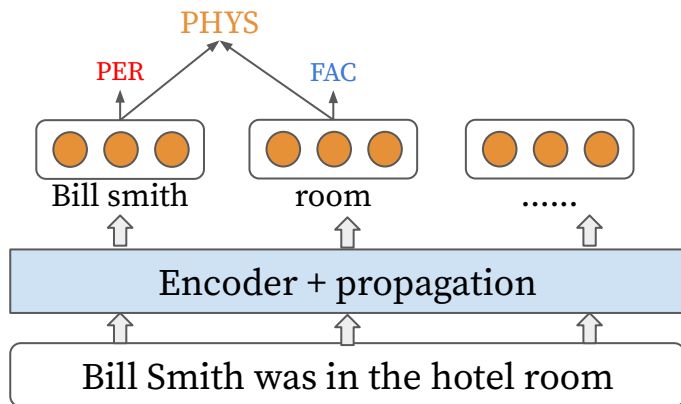
## 3. An efficient approximation model w/ large speedup

# Comparison: Our Approach vs Joint Models

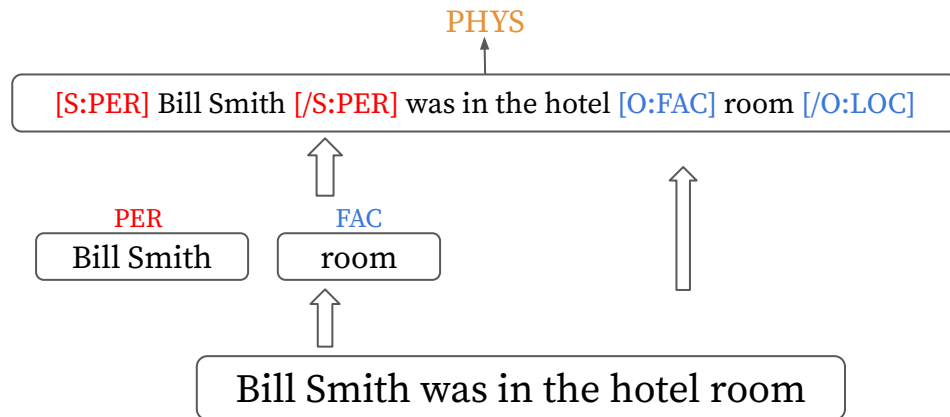


DYIE++  
(Wadden et al. 2019)

# Comparison: Our Approach vs Joint Models



DYIE++  
(Wadden et al. 2019)

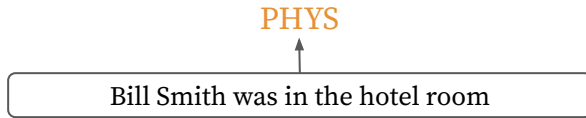


PURE (ours)

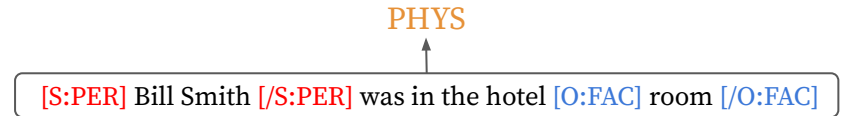
# Importance of Typed Markers

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## No marker

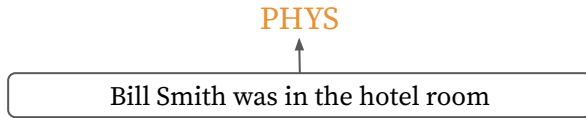


## Typed markers

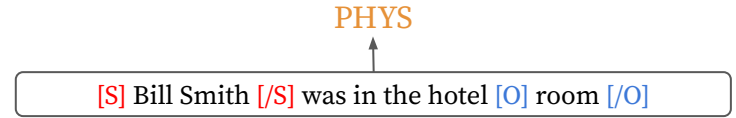


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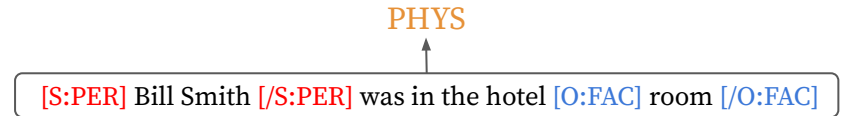
## No marker



## Untyped markers

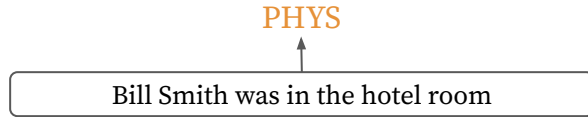


## Typed markers

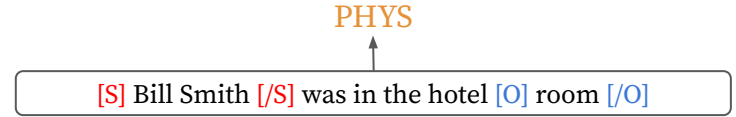


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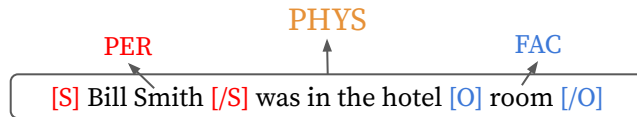
## No marker



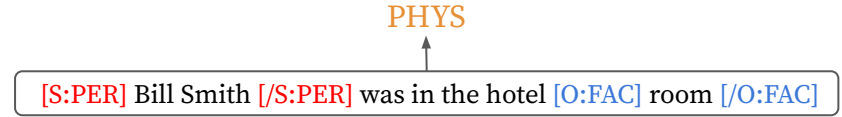
## Untyped markers



## Markers + entity auxiliary loss



## Typed markers

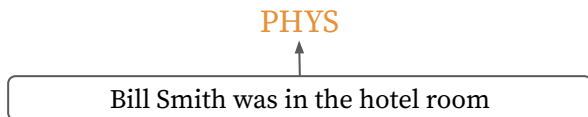




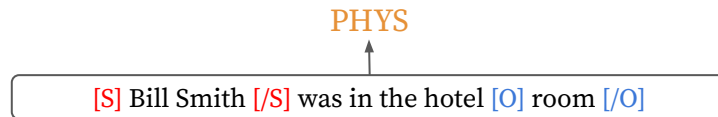
# Importance of Typed Markers

Relation F1

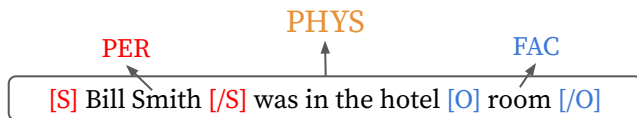
**No marker** 67.6%



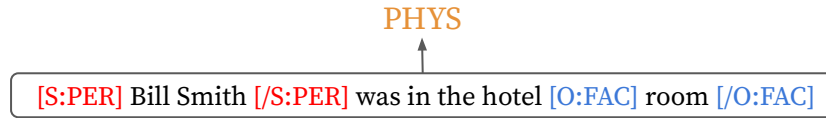
**Untyped markers** 70.5%



**Markers + entity auxiliary loss** 70.7%



**Typed markers** 72.6%



# Why Pipelined Model?

# Why Pipelined Model?

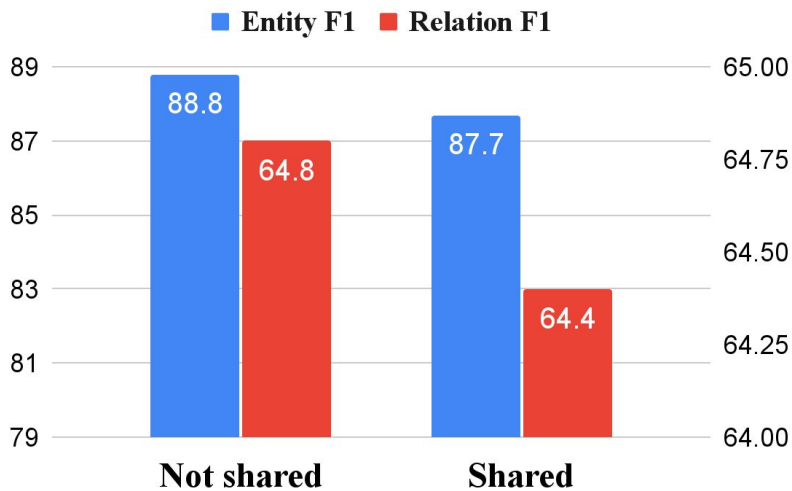
1. Two encoders capture distinct information!

Does **sharing encoders** help?

# Why Pipelined Model?

1. Two encoders capture distinct information!

Does **sharing encoders** help? **No!**



# Why Pipelined Model?

2. Modeling entity-relation interaction

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## 2. Modeling entity-relation interaction

**Entity → Relation?** 😊

- Use typed markers!

# Why Pipelined Model?

## 2. Modeling entity-relation interaction

**Entity → Relation?** 😊

- Use typed markers!

**Relation → Entity?** 😞

- Adding a relation auxiliary in the entity model does not help!

# Why Pipelined Model?

## 3. Error propagation?



# Why Pipelined Model?

## 3. Error propagation?

- Both **jackknifing** and **beam pruning** (Lee et al., 2017; Luan et al., 2018) didn't improve performance!

# This Work

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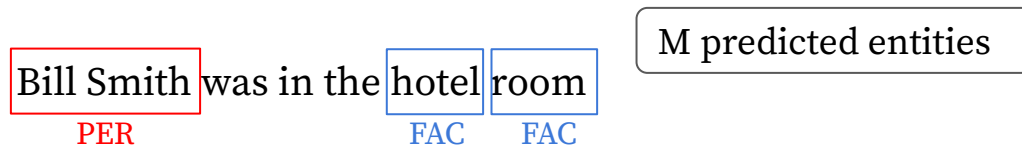
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# Improving Runtime Efficiency of PURE



# Improving Runtime Efficiency of PURE

Bill Smith was in the hotel room

PER FAC FAC

M predicted entities

$O(M^2)$  entity pairs!

[S:PER] Bill Smith [/S:PER] was in [O:FAC] hotel [/O:FAC] room

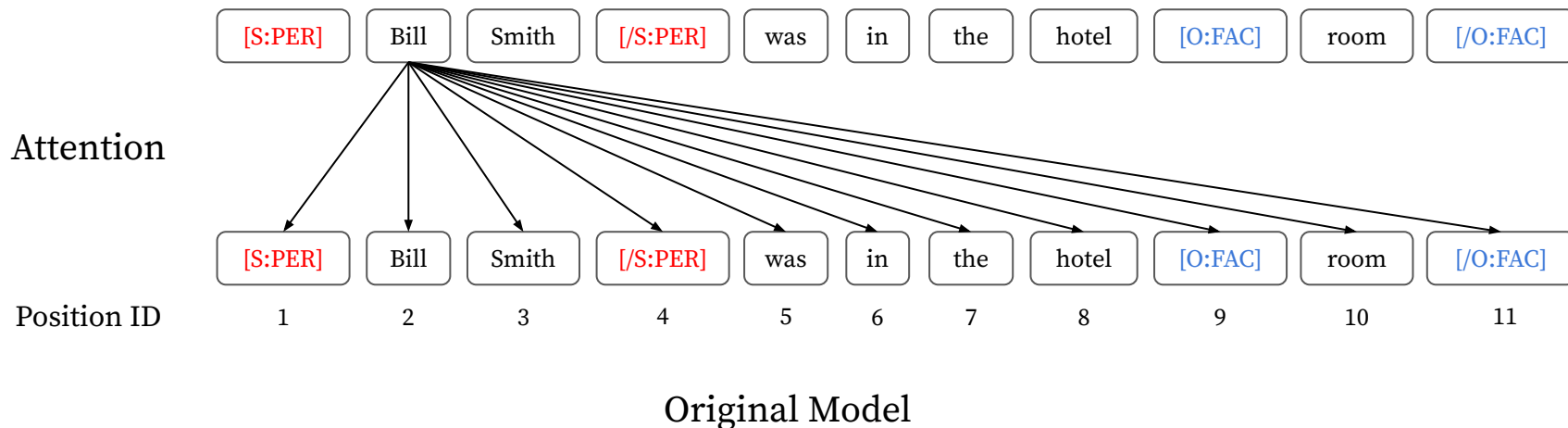
[S:PER] Bill Smith [/S:PER] was in hotel [O:FAC] room [/O:FAC]

Bill Smith was in [S:FAC] hotel [/S:FAC] [O:FAC] room [/O:FAC]

...

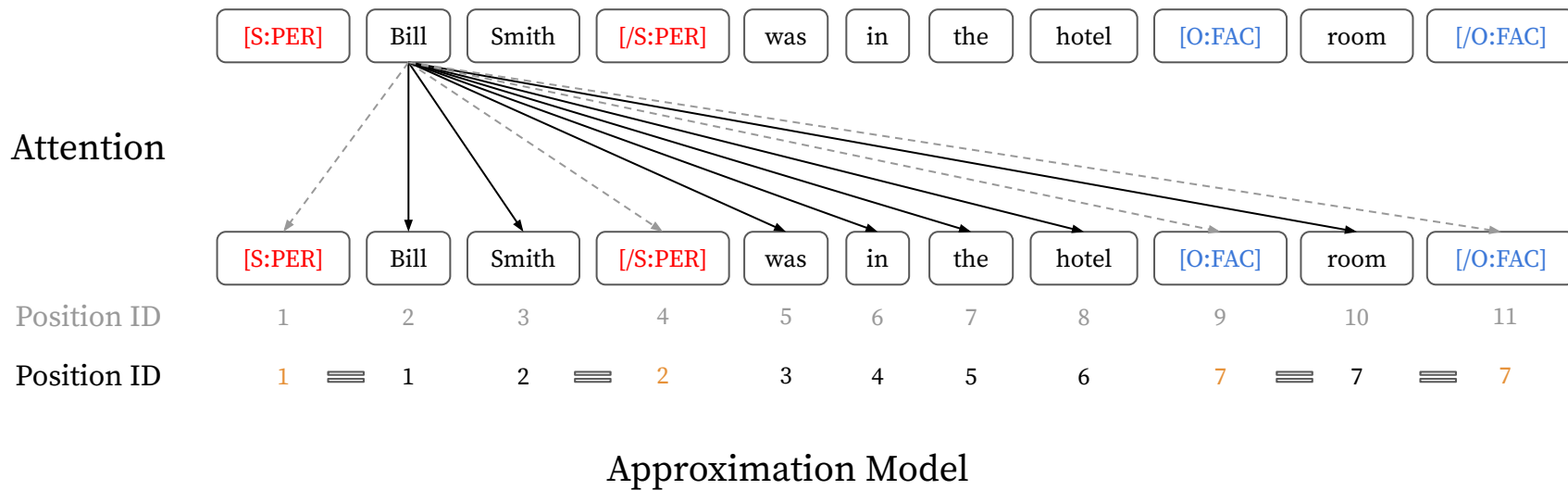
# Addressing the Efficiency Issue

Key idea: re-use computations for different pairs of spans in the same sentence



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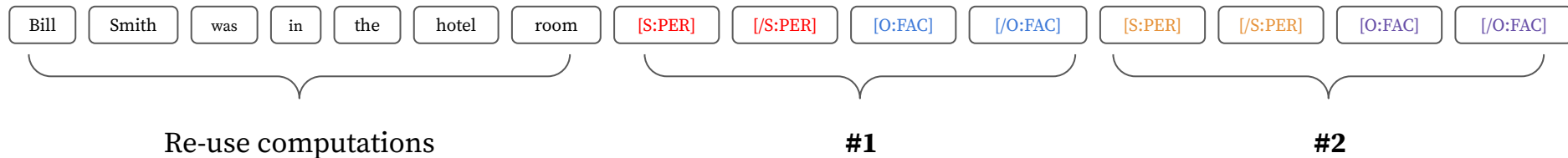
Key idea: re-use computations for different pairs of spans in the same sentence



# Approximation Model with Batch Computations

#1: [S:PER] Bill Smith [/S:PER] was in [O:FAC] hotel [/O:FAC] room

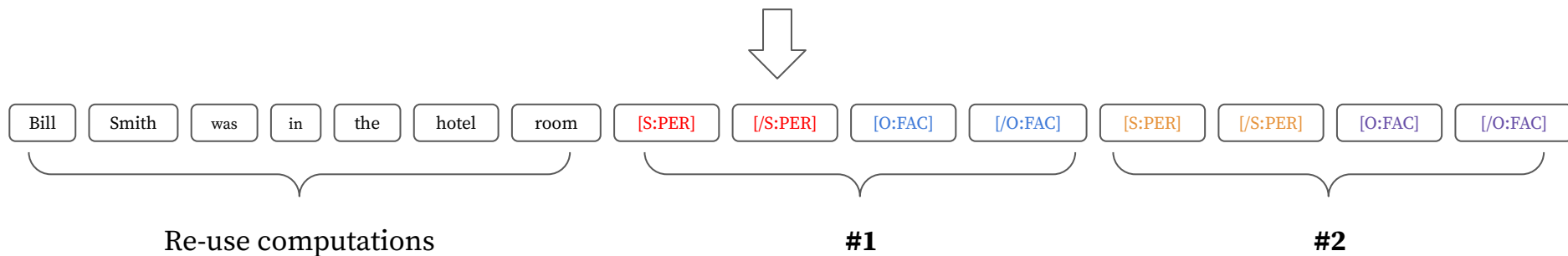
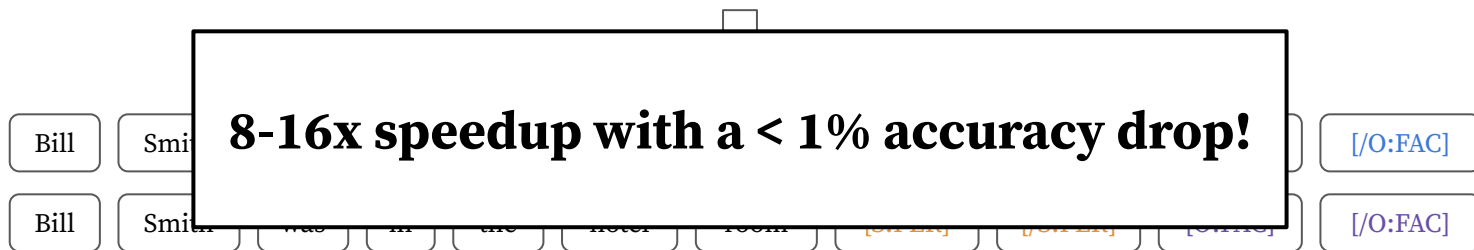
#2: [S:PER] Bill Smith [/S:PER] was in hotel [O:FAC] room [/O:FAC]



# Approximation Model with Batch Computations

#1: [S:PER] Bill Smith [/S:PER] was in [O:FAC] hotel [/O:FAC] room

#2: [S:PER] Bill Smith [/S:PER] was in hotel [O:FAC] room [/O:FAC]





# Conclusions

**PURE:** A simple and effective approach for entity and relation extraction

- Learns two independent encoders
- Outperforms all previous joint model on three datasets
- An efficient approximation: 8-16x speedup with a small accuracy drop

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- Outperforms all previous joint model on three datasets
- An efficient approximation: 8-16x speedup with a small accuracy drop

*Let's rethink the value of joint training in entity and relation extraction!*



# Thank You!

Paper: <https://arxiv.org/pdf/2010.12812.pdf>

Code: <https://github.com/princeton-nlp/PURE>