

## Homework 5

Fall 2019  
(Due: Dec. 6th, 2019)

### Introduction

This assignment is on recurrent and recursive neural networks, which is covered in Chapter 10 of the recommended text.

### Exercises

1. (Time Delay Neural Networks vs. Recurrent Neural Networks)

Please discuss the difference between a recurrent neural network and using convolutional layers to capture temporal patterns in time-domain signals, as in Time-Delay Networks.

2. (Backpropagation through Time)

Consider the simple RNN structure with loss in Figure 1. The network's formal description is as follows:

$$\mathbf{h}^{(t)} = \tanh(\mathbf{W}\mathbf{h}^{(t-1)} + \mathbf{U}\mathbf{x}^{(t)} + \mathbf{b}) \quad (1)$$

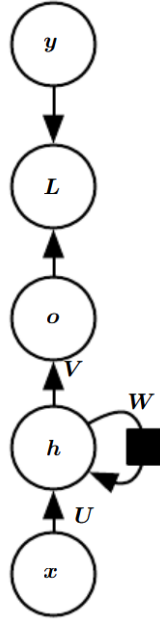
$$\mathbf{o}^{(t)} = \mathbf{V}\mathbf{h}^{(t)} + \mathbf{c} \quad (2)$$

where  $\mathbf{U}$ ,  $\mathbf{V}$ ,  $\mathbf{W}$  are weight matrices for input-to-hidden, hidden-to-output and hidden-to-hidden connections, and the vectors  $\mathbf{b}$  and  $\mathbf{c}$  are biases. Before feeding the output  $\mathbf{o}^{(t)}$  to the loss, we first need to compute the network's output vector:

$$\hat{\mathbf{y}}^{(t)} = \text{Softmax}(\mathbf{o}^{(t)}) \in \mathbb{R}^\tau \quad (3)$$

Given a sequence of input-target value pairs  $\{(\mathbf{x}_1, \mathbf{y}_1), \dots, (\mathbf{x}_\tau, \mathbf{y}_\tau)\}$ , we have the loss  $\mathcal{L}(\{(\mathbf{x}_t, \mathbf{y}_t)\}_{t=1}^\tau, \{\hat{\mathbf{y}}_t\}_{t=1}^\tau)$ .

Your task is to explain how to compute the gradient of the loss with respect to the weight matrices, following the method of backpropagation through time (BPTT).



**Figure 1:** RNN with hidden-to-hidden recurrence.

3. (Training with Teacher Forcing)

- (i) Please explain the difference between the method's training and test setups. Why should the two be different? Feel free to use diagrams to illustrate your points.
- (ii) What problem could one encounter when we need to later use the network in an open-loop mode (network output fed back as input)? Can you provide an example solution for the problem?
- (iii) How is teacher forcing related to the maximum likelihood criterion?

4. (RNN Design)

- (i) What assumptions does an RNN make on the conditional distribution over the hidden units (regarded as random variables) at time step  $t + 1$  given the hidden units at  $t$ ?  
**Hint:** Parameter sharing over time.
- (ii) Discuss three mechanisms for the RNN to determine the length of the sequence of output samples from the model.

5. (Long Short-Term Memory)

Please describe the LSTM unit with a block diagram, along with rigorous descriptions of the forget, input and output gates. You can introduce notation for needed equations.

6. (Bidirectional RNN and Recursive Nets)

- (a) Describe the main motivation for studying and using bidirectional RNNs.
- (b) Describe an example architecture of a bidirectional RNN. Feel free to use drawings to aid your description.
- (c) Give an example of a recursive neural network. Feel free to use drawings to aid your description.
- (d) What are the advantages that recursive neural networks have over RNNs?