## LetzEIPhC Documentation

#### Muralidhar Nalabothula

November 4, 2024

## **Contents**

1	About the Code			
	1.1	Main Features	2	
2	Installing the Code			
	2.1	Mandatory Requirements	3	
	2.2	Installation Process	3	
3	Running the Code			
	3.1	Running DFT and DFPT (Step 0)	6	
	3.2	Running the Preprocessor (Step 1)	7	
		Performing the ELPH Calculation (Final Step)		
Bi	blioa	raphy	9	

### **Chapter 1**

### **About the Code**

LetzEIPhC is a C code designed to compute electron-phonon coupling matrix elements from the outputs of standard Density Functional Theory (DFT) and Density Functional Perturbation Theory (DFPT) calculations. Currently, it only supports the Quantum Espresso code, with long plans to support the Abinit code. The main objective of this project is to facilitate electron-phonon related calculations within the YAMBO code (version 5.2 and above), and it works only with norm-conserving pseudo-potentials. The code is released under the MIT license and hosted on GitHub [link].

#### 1.1 Main Features

- Utilizes full crystal symmetries, ensuring compatibility with the YAMBO code, without encountering phase issues.
- Implements multiple levels of parallelization, including OpenMP, plane-wave, k-point, and q-point parallelization.
- Utilizes fully parallel IO via parallel NetCDF-4/HDF5 libraries.
- Highly portable. The code can be compiled on various CPU architectures and operating systems with minimal to no changes in the source code.

### **Chapter 2**

### **Installing the Code**

#### 2.1 Mandatory Requirements

- GNU Make
- C99 compiler with complex number support, such as GCC, Clang, ICC, AMD C-Compiler, MinGW (for Windows), PGI, or Arm C compilers.
- MPI implementation supporting at least MPI-standard 2.1 standard, such as Open-MPI, MPICH and its variants, Intel MPI compiler, or Microsoft MPI (for Windows).
- FFTW-3 or Intel-MKL.
- HDF5 and NETCDF-4 libraries with Parallel IO support (compiled with MPI).
- A BLAS library, such as OpenBLAS, BLIS, Intel-MKL, or Atlas.

#### 2.2 Installation Process

LetzEIPhC employs a standard make build system. Sample make files are available in the *sample\_config* directory. Copy one to the *src* directory and rename it as *make.inc*. Navigate to the *src* directory and edit *make.inc* according to your requirements. Then, in the same directory, execute the following commands:

```
1 $ make
2 #### To compile the code in parallel, use the -j option
3 $ make -j n
4 #### where n is the number of processes.
```

Upon successful compilation, you should find the *lelphc* executable located in the *src* directory. If you encounter difficulties in locating the required libraries, go to the YAMBO code installation directory and open the *report* file in the *config* directory, which lists all necessary libraries and include paths.

Below are the list of variables in the *make.inc* file with explanations.

```
:= mpicc
2 #### MPI C compiler mpicc/mpiicc (for intel),
                      := -03
4 #### -03 is to activate compiler optimizations
5 LD FLAGS
                     :=
6 #### use this to pass any flags to linker
8 #### **** OPENMP BUILD ***
9 #### If you wish to build the code with openmp support
10 #### uncomment the below line
                 := -DELPH_OMP_PARALLEL BUILD
11 # OPENMP FLAGS
12 #### Aditionally, you need to add openmp compiler flag to
13 #### CFLAGS and LD_FLAGS.
14 #### Also uncomment the below two lines
15 # CFLAGS += -fopenmp ## use -qopenmp for intel
                     += -fopenmp ## use -qopenmp for intel
16 # LD FLAGS
18 #### FFTW3 include and libs (see FFT flag in yambo config/report)
19 FFTW_INC
                     := -I/opt/homebrew/include
                     := -L/opt/homebrew/lib -lfftw3_threads -
20 FFTW3 LIB
     lfftw3f -lfftw3f_omp -lfftw3_omp -lfftw3
21 #### Note if using FFTW
22 #### Yambo uses double precision FFTW regardless of the precision
     with which Yambo is built. In contrast, you need to link single
     (double) precision FFTW for single (double) precision LetzElPhC.
      please refer to https://www.fftw.org/fftw3_doc/Precision.html .
      Also you refer to https://www.fftw.org/fftw3_doc/
     Multi_002dthreaded-FFTW.html if compiling with openmp support.
24 #### If you want to compile the code in double precession,
    uncomment the below
25 #CFLAGS
                      += -DCOMPILE_ELPH_DOUBLE
27 #### Blas and lapack libs (see BLAS and LAPACK flag in yambo config
     /report)
                      := -L/opt/homebrew/opt/openblas/lib -lopenblas
28 BLAS_LIB
29 #### you need to add both blas and lapack libs for ex : -lblas -
     llapack
31 #### netcdf libs and include
32 #### (see NETCDF flag in yambo config/report)
                := -I/Users/murali/softwares/core/include
33 NETCDF INC
                     := -L/Users/murali/softwares/core/lib -lnetcdf
34 NETCDF_LIB
36 #### hdf5 lib (see HDF5 flag in yambo config/report)
                     := -L/opt/homebrew/lib -lhdf5
39 #### incase if you want to add additional include dir and libs
```

5

```
40 INC_DIRS :=
41 LIBS :=
42
43
44 #### Notes Extra CFLAGS
45 ### add -DCOMPILE_ELPH_DOUBLE if you want to compile the code in double precession
46 ### if you are using yambo <= 5.1.2, you need to add "-DYAMBO_LT_5_1" to cflags
47 ### for openmp use -DELPH_OMP_PARALLEL_BUILD in CFLAGS and set -fopenmp in LD_FLAGS and CFLAGS</pre>
```

### **Chapter 3**

### **Running the Code**

#### 3.1 Running DFT and DFPT (Step 0)

Before using LetzEIPhC, ensure you have obtained the following quantities:

- Kohn-Sham wavefunctions (obtained from a non-self-consistent calculation after obtaining the ground state density of the system).
- Phonon eigenvectors and perturbed Hatree and Exchange potentials due to phonon modes (obtained from a DFPT run after finding the ground state).

With the Quantum Espresso code, follow these steps (an example is provided in **examples/ge/silicon**):

- Perform a self-consistent field (SCF) calculation to obtain the ground state.
- Perform a DFPT calculation using the ph.x executable to obtain dynamical matrices and changes in potentials on a uniform q-point grid.
- Perform a non-self-consistent field (NSCF) calculation to obtain the wavefunctions on a uniform k-point grid. The k-grid and q-grid of phonons must be commensurate.

**Note**: Set the *dvscf* flag in the **ph.x** input to save the change in potentials. If you forget to set this varaible, you have to rerun the entire calculation. Additionally, make sure that the q-grid is commensurate with the k-grid used in the NSCF calculation (Although, the choice of kgrid to converge the SCF calculation is irrelevant). Once these steps are completed successfully, go to the NSCF folder and enter the *prefix.save* directory, where the wavefunctions are stored. Then, execute *p2y* followed by *yambo* to generate the **SAVE** folder:

```
1 $ p2y
2 #### Generates the YAMBO SAVE directory
3 $ yambo
4 #### Further processing creates additional files
```

Upon successful completion of these steps, we are ready to use LetzEIPhC.

#### 3.2 Running the Preprocessor (Step 1)

Once the **SAVE** directory is obtained, we need to create the **ph\_save** folder. Navigate to the phonon calculation directory and run the preprocessor with the *-pp* flag:

```
1 $ cd /path/to/phonon calculation directory
2 #### Run the preprocessor
3 $ lelphc -pp --code=qe -F PH.X_input_file
4 #### Where PH.X_input_file is the input file of ph.x code used for computing phonons
```

Upon successful execution, the **ph\_save** directory will be created, containing all necessary files. If you wish to change the name of the **ph\_save** directory, you can set the following environment variable:

```
$ export ELPH_PH_SAVE_DIR=ph_save_name_you_want
```

#### Remarks:

• The new format XML dynamical matrix files are currently not supported.

#### 3.3 Performing the ELPH Calculation (Final Step)

Once both the **SAVE** and **ph\_save** folders are created, the ELPH calculation can be executed. Run the following command with the LetzElPhC input file in any directory where you wish to perform the calculation:

```
$ mpirun -n 4 lelphc -F LetzElPhC_input_file ## Here, we are using 4 MPI processes.
```

A detailed description of the input file is provided below:

```
nkpool = 1
    # k point parallelization (number of kpools)

nqpool = 1
```

8 Running the Code

```
# q point parallelization (number of qpools)
      ## note (nkpool*nqpool) must divide total number of cpus.
8
      ## For example, if you run the code on 12 processess,
9
      ## and set nkpool = 3 and nqpool = 2
10
      ## then, we have 2 sets of cpus working subset of qpoints
11
      ## with each group having 3 sub groups which that work on
12
      ## subset of kpoints. So in total, we have 6 subgroups, each
13
      ## having 2 cpus that distribute plane waves
15
      ## {1,2,3,4,5,6,7,8,9,10,11,12} (total cpus)
16
      ##
      17
18
      ## (qpool 1) {1,2,3,4,5,6} (qpool 2) {7,8,9,10,11,12}
19
      ## _____| ____| _____| _____| ____| ____| ## |
20
21
                      kp3 kp1
      ## kp1 kp2
                                    kp2
      ## where kp1 are kpools each containg 2
23
      ## cpus work on subset of plane waves
24
      start bnd
26
      # starting band to consider in elph calculation
27
                     = 40
      end_bnd
      # last band to consider in elph calculation
30
31
      save_dir
                     = SAVE
32
      # SAVE dir you created with yambo
33
34
      ph_save_dir
                     = ph_save
35
      # ph_save directory that was created with preprocessor
37
     kernel
                      = dfpt
38
39
     ## 1) dfpt (default):
                               Uses the total change in the Kohn-
40
     Sham potential (DFPT screening).
      ## 2) dfpt_local
                       : Excludes the contribution from the
41
     non-local part of the pseudopotentials (p.p.).
     ## 3) bare : No screening; includes only
42
     contributions from the local and non-local parts of the
     pseudopotentials.
                        :
     ## 4) bare_local
                               Includes only the contribution from
     the local part of the pseudopotential.
     convention
                    = standard
45
      # standard/yambo, If standard (default)
46
      \# \langle k+q|dV|k \rangle is computed. if yambo, \langle k|dV|k-q \rangle is outputed
48
      ### ##, !, ; are considered as comments
49
```

# **Bibliography**