

# LAB 6

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**Deadline: 2021/8/31(Tue) 12:00**

**No Demo**

In this lab,

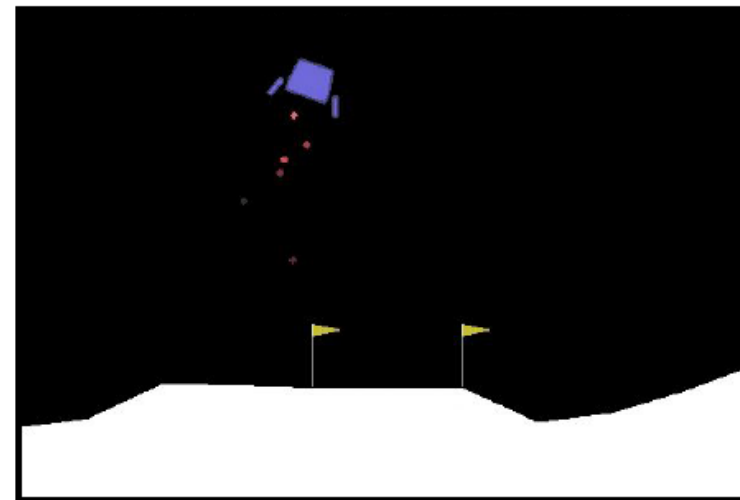
**Must use sample code,  
otherwise no credit.**

# Outline

1. Solve **LunarLander-v2** using **DQN**
2. Solve **LunarLanderContinuous-v2** using **DDPG**
3. Modify and Run Sample Code
4. Scoring Criteria
5. Reminders

# LunarLander-v2

- Observation [8]
  1. Horizontal Coordinate
  2. Vertical Coordinate
  3. Horizontal Speed
  4. Vertical Speed
  5. Angle
  6. Angle Speed
  7. If first leg has contact
  8. If second leg has contact
- Action [4]
  1. No-op
  2. Fire left engine
  3. Fire main engine
  4. Fire right engine



- Action [2] (Continuous)
  - Main engine: -1 to 0 off, 0 to +1 throttle from 50% to 100% power. Engine can't work with less than 50% power
  - Left-right: -1.0 to -0.5 fire left engine, +0.5 to +1.0 fire right engine, -0.5 to 0.5 off

# Deep Q-Network (DQN)

## Algorithm 1 – Deep Q-learning with experience replay:

Initialize replay memory  $D$  to capacity  $N$

Initialize action-value function  $Q$  with random weights  $\theta$

Initialize target action-value function  $\hat{Q}$  with weights  $\theta^- = \theta$

**For** episode = 1,  $M$  **do**

Initialize sequence  $s_1 = \{x_1\}$  and preprocessed sequence  $\phi_1 = \phi(s_1)$

**For**  $t = 1, T$  **do**

With probability  $\varepsilon$  select a random action  $a_t$   
otherwise select  $a_t = \operatorname{argmax}_a Q(\phi(s_t), a; \theta)$

Execute action  $a_t$  in emulator and observe reward  $r_t$  and image  $x_{t+1}$

Set  $s_{t+1} = s_t, a_t, x_{t+1}$  and preprocess  $\phi_{t+1} = \phi(s_{t+1})$

Store transition  $(\phi_t, a_t, r_t, \phi_{t+1})$  in  $D$

Sample random minibatch of transitions  $(\phi_j, a_j, r_j, \phi_{j+1})$  from  $D$

Set  $y_j = \begin{cases} r_j & \text{if episode terminates at step } j+1 \\ r_j + \gamma \max_{a'} \hat{Q}(\phi_{j+1}, a'; \theta^-) & \text{otherwise} \end{cases}$

Perform a gradient descent step on  $(y_j - Q(\phi_j, a_j; \theta))^2$  with respect to the network parameters  $\theta$

Every  $C$  steps reset  $\hat{Q} = Q$

**End For**

**End For**

## TODO:

- Construct the neural network
- Select action according to epsilon-greedy
- Construct Q-values and target Q-values
- Calculate loss function
- Update behavior and target network
- Understand deep Q-learning mechanisms

# Deep Deterministic Policy Gradient (DDPG)

## Algorithm 1 – Deep Q-learning with experience replay:

```
Randomly initialize critic network  $Q(s, a|\theta^Q)$  and actor  $\mu(s|\theta^\mu)$  with weights  $\theta^Q$  and  $\theta^\mu$ 
Initialize target network  $Q'$  and  $\mu'$  with weights  $\theta^{Q'} \leftarrow \theta^Q, \theta^{\mu'} \leftarrow \theta^\mu$ 
Initialize replay buffer  $R$ 
for  $episode = 1, M$  do
    Initialize a random process  $N$  for action exploration
    Receive initial observation state  $s_1$ 
    for  $t = 1, T$  do
        Select action  $a_t = \mu(s_t|\theta^\mu) + N_t$  according to the current policy and exploration noise
        Execute action  $a_t$  and observe reward  $r_t$  and observe new state  $s_{t+1}$ 
        Store transition  $(s_t, a_t, r_t, s_{t+1})$  in  $R$ 
        Sample random minibatch of  $N$  transitions  $(s_j, a_j, r_j, s_{j+1})$  from  $R$ 
        Set  $y_i = r_i + \gamma Q'(s_{t+1}, \mu'(s_{t+1}|\theta^{\mu'})|\theta^{Q'})$ 
        Update critic by minimizing the loss:  $L = \frac{1}{N} \sum_i (y_i - Q(s_i, a_i|\theta^Q))^2$ 
        Update the actor policy using the sampled gradient:
            
$$\nabla_{\theta^\mu} \mu|s_i \approx \frac{1}{N} \sum_i \nabla_a Q(s, a|\theta^Q)|_{s=s_i, a=\mu(s_i)} \nabla_{\theta^\mu} \mu(s|\theta^\mu)|s_i$$

        Update the target networks:
            
$$\theta^{Q'} \leftarrow \tau \theta^Q + (1 - \tau) \theta^{Q'}$$

            
$$\theta^{\mu'} \leftarrow \tau \theta^\mu + (1 - \tau) \theta^{\mu'}$$

    end for
end for
```

## TODO:

- Construct the neural network
- Select action according to epsilon-greedy
- Construct Q-values and target Q-values
- Calculate loss function
- Update behavior and target network
- Understand deep Q-learning mechanisms

### 3. Modify Sample Code

1. Find a #TODO comment with hints
2. remove the raise NotImplementedError



### 3. Run Sample Code

- Simply train and test: `python dqn.py`
- Only test and render: `python dqn.py --test_only --render`
- Help message: `python dqn.py --help`

## 4. Scoring Criteria

Show your work, otherwise no credit will be granted.

- Report (80%)
  - (DO explain; do not only copy and paste your codes.)
- Report Bonus (20%)
  - Implement and Experiment on Double-DQN (10%)
  - Extra hyperparameter tuning, e.g., Population Based Training. (10%)
- Performance (20%)
  - [LunarLander-v2] Average reward of 10 testing episodes:  $\text{Average} \div 30$
  - [LunarLanderContinuous-v2] Average reward of 10 testing episodes:  $\text{Average} \div 30$

## 5. Reminders

- Your network architecture and hyper-parameters **can** differ from the defaults.
- Ensure the **shape** of tensors all the time especially when calculating the **loss**.
- **with no\_grad()** : scope is the same as **xxx.detach()**
- Be aware of the **indentation** of hints.
- When testing DDPG, action selection need **NOT** include the noise.

# References

1. Mnih, Volodymyr et al. “Playing Atari with Deep Reinforcement Learning.” ArXiv abs/1312.5602 (2013).
2. Mnih, Volodymyr et al. “Human-level control through deep reinforcement learning.” Nature 518 (2015):529-533.
3. Van Hasselt, Hado, Arthur Guez, and David Silver. “Deep Reinforcement Learning with DoubleQ-Learning.” AAAI. 2016.
4. Lillicrap, Timothy P. et al. “Continuous control with deep reinforcement learning.” CoRRabs/1509.02971 (2015).
5. Silver, David et al. “Deterministic Policy Gradient Algorithms.” ICML (2014).
6. OpenAI. “OpenAI Gym Documentation.” Retrieved from Getting Started with Gym: <https://gym.openai.com/docs/> .
7. OpenAI. “OpenAI Wiki for Pendulum v0.” Retrieved from Github: <https://github.com/openai/gym/wiki/Pendulum-v0> .
8. PyTorch. “Reinforcement Learning (DQN) Tutorial.” Retrieved from PyTorch Tutorials: [https://pytorch.org/tutorials/intermediate/reinforcement\\_q\\_learning.html](https://pytorch.org/tutorials/intermediate/reinforcement_q_learning.html) .