**Progress Report**

CNN-Based Defect Detection in Manufacturing Images

# **Introduction**

Automated defect detection in manufacturing is essential for quality control, as well as reducing production costs. Traditional methods require extensive human intervention, which is subjective, prone to errors, and time-consuming. This project implements a Convolutional Neural Network (CNN) approach to classify and detect defects in steel surface images. The NEU-DET dataset contains images of six different types of steel surface defects: crazing, patches, inclusion, pitted surface, rolled-in scale, and scratches. Through the application of deep learning techniques, specifically CNNs, this project aims to develop a model that can accurately identify these defects with minimal human intervention.

# **Approach**

### Model Architecture

This project utilizes a transfer approach with a pre-trained ResNet18 model as the backbone. After evaluating several potential architectures, ResNet18 was selected for its balance of effectiveness in image classification tasks and computational efficiency. The architecture consists of:

1. Base Network: Pre-trained ResNet model with weights from ImageNet
2. Modifications:
   1. The final fully connected layer has been replaced to output 6 classes (corresponding to the 6 defect types)
   2. The input expects RGB images of size 224x224 pixels
3. Implementation Details:
   1. The model preserves the feature extraction capabilities of ResNet18
   2. Only the final classification layer is fully retrained, while earlier layers maintain their pre-trained weights

An interesting observation during implementation was the effectiveness of transfer learning from ImageNet weights to steel defect detection, despite the significant domain difference. This suggests that low-level features learned from natural images remain valuable for industrial surface defect detection.

## Dataset

The NEU-DET (Northeastern University Defect Detection) dataset serves as the foundation for this project. Initial exploration of the dataset revealed various levels of visual distinctiveness among defect types, with some categories like scratches being more visually apparent than others, such as, inclusions.

The dataset contains:

1. Content: Steel surface defect images with six different defect types
2. Structure:
   1. Training set: Located in NEU-DET/train/images
   2. Validation set: Located in NEU-DET/validation/images
   3. Each image is accompanied by XML annotation files

The XML annotations provide valuable information about defect locations, though for the current classification task, only the class labels are utilized.

## Preprocessing and Data Augmentation

Several preprocessing techniques were implemented to improve model performance. Initial experiments without augmentation demonstrated clear signs of overfitting, with diverging training and validation accuracy curves after approximately 7-8 epochs.

The preprocessing pipeline includes:

1. Image Resizing: All images are resized to 224x224 pixels to match the input requirements of the ResNet18 model
2. Data Augmentation (only applied to training data):
   1. Random horizontal flipping
   2. Random rotation (up to 10 degrees)
3. Normalization: Images are normalized using ImageNet mean and standard deviation values (mean=[0.485, 0.456, 0.406], std=[0.229, 0.224, 0.225])

Rotation angles were deliberately limited to 10 degrees based on domain knowledge that excessive rotation could distort the natural appearance of defects, potentially creating unrealistic patterns.

## **Evaluation Plan**

## Dataset Splitting

The dataset is organized as follows:

1. Training Set: Used for model training
2. Validation Set: Split into:
   1. Validation subset: used for hyperparameter tuning and early stopping
   2. Test subset: used for final model evaluation
3. Split Ratio: The validation set is further split with a configurable ratio (0.5 by default), meaning 50% for validation and 50% for testing

## Evaluation Metrics

While accuracy provides a high-level performance indicator, the class imbalance present in the NEU-DET dataset requires more distanced evaluation metrics. The evaluation strategy includes:

1. Accuracy: overall classification accuracy
2. Classification Report: precision, recall, and F1-score for each defect class
3. Loss Curves: training and validation loss over epochs to analyze convergence and potential overfitting

The per-class metrics are particularly important for this application, as certain defect types may have more significant implications for product quality and safety than others.

## Hyperparameters

Hyperparameter tuning has been approached systematically, with initial experiments revealing sensitivity to learning rate selection. The hyperparameters being tuned include:

1. Learning Rate: default is 0.001, with experiments planned for 0.0005 and 0.0001
2. Batch Size: default is 32, with experiments for 16 and 64
3. Number of Epochs: default is 15, with early stopping based on validation accuracy
4. Optimizer: Adam optimizer is currently used, with potential experiments using SGD

Initial experiments with a learning rate of 0.001 showed instability in the loss function after several epochs, suggesting that a lower learning rate might provide more stable convergence.

## Comparison with Traditional Methods

To establish a comprehensive evaluation framework, the CNN approach is being compared with traditional machine learning methods:

1. Feature Extraction:
   1. HOG (Histogram of Oriented Gradients)
   2. LBP (Local Binary Patterns)
2. Classifiers:
   1. SVM (Support Vector Machine)
   2. Random Forest

Preliminary results show traditional methods struggle with similar defects and are computationally slower for future extraction.

## Current Progress and Challenges

Progress: CNN pipeline (data loading, model, training, evaluation) is implemented. Initial training runs completed, fine-tuning underway. Traditional ML comparison pipeline also implemented.

Challenges Addressed: CUDA memory management, handling class imbalance in evaluation, noting computational cost of traditional feature extraction.

## **Next Steps**

1. Complete hyperparameter optimization
2. Perform final evaluation on the test set (focus on per-class metrics)
3. Finalize CNN vs. traditional methods comparison
4. Analyze failure cases for potential improvements
5. Document findings and prepare for final report and presentation