

# M3P17 Algebraic Combinatorics

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## 0 Introduction

Combinatorics in the study of discrete structures. These include:

- (1) codes (subsets of  $\mathbb{Z}_2^n$ , where  $\mathbb{Z}_2 = \{0, 1\}$ ),
- (2) graphs (vertices and edges),
- (3) designs (collection of subsets of a given set).

### Codes

Aims of coding theory: To find codes  $C$  such that:

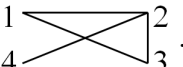
- (1)  $C$  has many codewords,
- (2)  $C$  corrects enough errors,
- (3) the length of  $C$  is not too big.

### Graphs

#### Definition:

A graph is a pair  $(V, E)$  where  $V$  is a set of vertices, and  $E$  is a collection of pairs  $\{x, y\}$  (where  $x, y \in V$ ) called edges.



#### Example:

If  $V = \{1, 2, 3, 4\}$ ,  $E = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{2, 4\}\}$ , then the graph is .

#### Definition:

For a vertex  $x$ , call the other vertices joined to  $x$  by an edge the neighbours of  $x$ . Call  $\Gamma$  a regular graph if every vertex has the same number of neighbours (say,  $k$ ), and call  $k$  the valency of  $\Gamma$ .

#### Example:

- (1)  is regular with valency 2.
- (2)  is regular with valency 3.


#### Definition:

A graph  $\Gamma$  is strongly regular if:

- (1)  $\Gamma$  is regular with valency  $k$ ,

- (2) any pair of joined vertices has the same number of common neighbours  $a$ ,
- (3) any pair of non-joined vertices has the same number of common neighbours  $b$ .


**Example:**

- (1)  $\square$  is strongly regular, with  $k = 2$ ,  $a = 0$ ,  $b = 2$ .
- (2) The Petersen graph  is strongly regular, with  $k = 3$ ,  $a = 0$ ,  $b = 1$ .

**Proposition 0.1 (Friendship Theorem):**

In a community where any 2 people have exactly 1 common acquaintance, there is someone who knows everyone.

**Proof of Proposition 0.1:**

Let vertices = people, and join 2 vertices iff they know each other. Since every 2 vertices have exactly 1 common neighbour, the graph must look like  ie. a windmill (all known proofs use linear algebra).

**Designs**

Suppose we have  $v$  varieties of chocolate to be tested by consumers. We want each customer to test  $k$  varieties, and each variety to be tested by  $r$  consumers.

**Example:**

Let  $v = 8$ ,  $k = 4$ ,  $r = 3$ , then number of consumers  $= \frac{vr}{k} = 6$ . Call the consumers  $c_1, \dots, c_6$ , then  $c_1$  tests 1234,  $c_2$  tests 5678,  $c_3$  tests 1357,  $c_4$  tests 2468,  $c_5$  tests 1247,  $c_6$  tests 3568.

**Definition:**

Let  $X$  be a set,  $v = |X|$ ,  $\mathcal{B}$  be a collection of subsets of  $X$ . Call  $(X, \mathcal{B})$  (or just  $\mathcal{B}$ ) a design if:

- (1) every set in  $\mathcal{B}$  has size  $k$ ,
- (2) every element of  $X$  lies in  $r$  subsets of  $\mathcal{B}$ .

The subsets in  $\mathcal{B}$  are called the blocks of the design, and the parameters of the design are  $(v, k, r)$ .

**Example:**

The example  $(8, 4, 3)$  above is a design.

**Definition:**

A design  $(X, \mathcal{B})$  is a 2-design if any 2 points (elements of  $X$ ) lie in the same number of blocks.

**Example:**

The example  $(8, 4, 3)$  above is not a 2-design.

In general, for  $t \geq 1$ , call  $\mathcal{B}$  a  $t$ -design if any  $t$  points lie in the same number of blocks.

The larger  $t$  is, the stronger the condition is. For large  $t$ , non-trivial  $t$ -designs are rare (in fact, the 1st non-trivial 6-design was found only in the 1980s).

**Example:**

Let  $p$  be a prime, then  $\mathbb{Z}_p$  is a field. Call  $\mathbb{Z}_p^2 = \{(x_1, x_2) : x_i \in \mathbb{Z}_p\}$  the affine plane over  $\mathbb{Z}_p$ . Define a line in  $\mathbb{Z}_p^2$  to be a subset of the form  $\{a + \lambda b : \lambda \in \mathbb{Z}_p\}$ , where  $a$  and  $b$  are fixed vectors in  $\mathbb{Z}_p^2$ , then any 2 vectors in  $\mathbb{Z}_p^2$  lie on a unique line. Now let  $X = \mathbb{Z}_p^2$ ,  $\mathcal{B}$  = collection of lines, then  $(X, \mathcal{B})$  is a 2-design with parameters  $(p^2, p, p + 1)$  (because there are  $p + 1$  choices for  $b$  and  $p$  choices for the corresponding  $a \Rightarrow r = \frac{kp(p + 1)}{v} = p + 1$ ).

# 1 Error-correcting Codes

Define  $\mathbb{Z}_2 = \{0, 1\}$ , with addition and multiplication mod 2, and  $\mathbb{Z}_2^n = \{(x_1, \dots, x_n) : x_i \in \mathbb{Z}_2\}$ . With the usual addition and scalar multiplication,  $\mathbb{Z}_2^n$  is a vector space over  $\mathbb{Z}_2$ , with standard basis  $e_1, \dots, e_n$  (where  $e_k = \underbrace{0 \cdots 0}_k 1 0 \cdots 0$ ) and dimension  $n$ .

## Definition:

A code  $C$  of length  $n$  is a subset of  $\mathbb{Z}_2^n$ . The vectors in  $C$  are called codewords, and the distance between 2 vectors in  $\mathbb{Z}_2^n$  is  $d(x, y) = \text{number of coordinates where } x \text{ and } y \text{ differ}$ .

## Example:

$$d(10111, 01110) = 3.$$

## Proposition 1.1 (Triangle Inequality):

$$d(x, y) + d(y, z) \geq d(x, z).$$

## Proof of Proposition 1.1:

Let  $A = \{i : x_i \neq z_i\}$ ,  $B = \{i : x_i = y_i, x_i \neq z_i\}$ ,  $C = \{i : x_i \neq y_i, x_i = z_i\}$ , then  $|A| = |B| + |C|$ ,  $d(x, z) = |A|$ ,  $d(x, y) \geq |C|$  and  $d(y, z) \geq |B| \Rightarrow d(x, y) + d(y, z) \geq |C| + |B| = |A| = d(x, z)$ .

## Definition:

Let  $C \subseteq \mathbb{Z}_2^n$  be a code. The minimum distance of  $C$  is  $d(C) = \min \{d(x, y) : x, y \in C, x \neq y\}$ .

## Remark:

Let  $C \subseteq \mathbb{Z}_2^n$ ,  $e \in \mathbb{N}$ , then we say  $C$  corrects  $e$  errors if whenever a codeword  $c \in C$  is sent, and  $\leq e$  errors are made such that the vector  $w$  is received, the closest codeword to  $w$  is  $c$ .

## Definition:

$C \subseteq \mathbb{Z}_2^n$  corrects  $e$  errors if  $\forall c_1, c_2 \in C$  and  $w \in \mathbb{Z}_2^n$ ,  $d(c_1, w), d(c_2, w) \leq e \Rightarrow c_1 = c_2$ .

## Remark:

Equivalently, for  $c \in C$ , define a sphere  $S_e(c) = \{w \in \mathbb{Z}_2^n : d(c, w) \leq e\}$ , then  $C$  corrects  $e$  errors if  $S_e(c_1) \cap S_e(c_2) = \emptyset \forall c_1, c_2 \in C, c_1 \neq c_2$ .

## Proposition 1.2:

Code  $C$  corrects  $e$  errors iff  $d(C) \geq 2e + 1$ .

## Proof of Proposition 1.2:

Suppose  $d(C) \geq 2e + 1$ . Pick  $x, y \in C$ , then if  $w \in \mathbb{Z}_2^n$  satisfies  $d(x, w), d(y, w) \leq e$ , by Proposition 1.1,  $d(x, y) \leq d(x, w) + d(y, w) \leq 2e \Rightarrow x = y \Rightarrow C$  corrects  $e$  errors.

Conversely, pick  $x, y \in C$  such that  $x \neq y$ ,  $d(x, y) \leq 2e$ . Let  $x, y$  possibly differ at bits  $b_1, \dots, b_{2e}$ . Pick  $w \in \mathbb{Z}_2^n$ , such that  $w_{b_i} = x_{b_i}$  for  $1 \leq i \leq e$ ,  $w_{b_i} = y_{b_i}$  for  $e + 1 \leq i \leq 2e$ , and  $w_i = x_i = y_i$  everywhere else, then  $d(x, w), d(y, w) \leq e$  but  $x \neq y \Rightarrow C$  does not correct  $e$  errors.

## Linear codes

### Definition:

A linear code is a code  $C \subseteq \mathbb{Z}_2^n$  which is a subspace of  $\mathbb{Z}_2^n$  ie.  $0 \in C$  and  $x, y \in C \Rightarrow x + y \in C$ .

### Proposition 1.3:

Let  $A$  be a  $m \times n$  matrix over  $\mathbb{Z}_2$ . Then  $C = \{x \in \mathbb{Z}_2^n : Ax = 0\}$  is a linear code, and  $\dim C = n - \text{rank } A$ .

### Proof of Proposition 1.3:

Easy peasy.

### Example:

$C_3 = \{abcxyz \in \mathbb{Z}_2^6 : x = a + b, y = b + c, z = c + a\} = \left\{ x \in \mathbb{Z}_2^6 : \begin{pmatrix} 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix} x = 0 \right\}$   
is a linear code of dimension 3, with basis  $\{100101, 010110, 001011\}$ .

### Proposition 1.4:

If  $C$  is a linear code with  $\dim C = k$ , then  $|C| = 2^k$ .

### Proof of Proposition 1.4:

Let  $c_1, \dots, c_k$  be a basis of  $C$ , then every  $c \in C$  is a unique linear combination  $c = \lambda_1 c_1 + \dots + \lambda_k c_k$  where  $\lambda_i \in \mathbb{Z}_2 \Rightarrow |C| = \prod_i (\text{number of choices for } \lambda_i) = 2^k$ .

## Minimum distance

### Definition:

For  $x \in \mathbb{Z}_2^n$ , the weight of  $x$  is  $\text{wt}(x) = \text{number of coordinates of } x \text{ equal to } 1$ .

**Remark:**

$\text{wt}(x) = d(x, 0)$ , and  $\text{wt}(x + y) = d(x, y)$ .

**Proposition 1.5:**

Let  $C$  be a linear code, then  $d(C) = \min \{\text{wt}(c) : c \in C \setminus \{0\}\}$ .

**Proof of Proposition 1.5:**

Let  $c \in C \setminus \{0\}$  have minimal weight  $r$ . Since  $C$  is linear,  $0 \in C$  and  $d(c, 0) = \text{wt}(c) = r \Rightarrow d(C) \leq r$ . Now let  $x, y \in C$  and  $x \neq y$ , then  $x + y \in C \setminus \{0\} \Rightarrow d(x, y) = \text{wt}(x + y) \geq r \Rightarrow d(C) \geq r$ . Hence  $d(C) = r$ .

**Example:**

Consider  $C_3 \subseteq \mathbb{Z}_2^6$ . Check that  $\min \{\text{wt}(c) : c \in C \setminus \{0\}\} = 3$ , hence  $d(C_3) = 3 \Rightarrow C_3$  corrects 1 error by Proposition 1.2.

**Check matrices****Definition:**

Suppose  $A$  is a  $m \times n$  matrix over  $\mathbb{Z}_2$  and  $C = \{x \in \mathbb{Z}_2^n : Ax = 0\}$ . Then we call  $A$  a check matrix of the linear code  $C$ .

**Proposition 1.6:**

Suppose the check matrix  $A$  of the linear code  $C$  satisfies:

- (1)  $A$  has no zero column,
- (2)  $A$  does not have 2 equal columns.

Then  $C$  corrects 1 error.

**Proof of Proposition 1.6:**

Suppose  $C$  does not correct 1 error, then  $d(C) \leq 2$  by Proposition 1.2  $\Rightarrow$  by Proposition 1.5,  $\exists c \in C \setminus \{0\}$  such that  $\text{wt}(c) = 1$  or  $2$ . If  $\text{wt}(c) = 1$ , then  $c = e_i \Rightarrow$  if  $Ac = 0$ , then the  $i$ -th column of  $A$  is  $0$  ( $\Rightarrow \Leftarrow$ ). If  $\text{wt}(c) = 2$ , then  $c = e_i + e_j \Rightarrow$  if  $Ac = 0$ , then  $Ae_i + Ae_j = 0 \Rightarrow$  the  $i$ -th and  $j$ -th column of  $A$  are equal ( $\Rightarrow \Leftarrow$ )  $\Rightarrow C$  corrects 1 error.

**Example:**

$$(1) \ C_3 = \left\{ x \in \mathbb{Z}_2^6 : \begin{pmatrix} 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix} x = 0 \right\} \text{ corrects 1 error by Proposition 1.6.}$$



- (2) Suppose a code  $C$  corrects 1 error and has a  $3 \times n$  check matrix. By Proposition 1.6, to find the maximum dimension of  $C$ , we need to find the largest  $n$  such that  $\exists 3 \times n$  matrix with distinct non-zero columns in  $\mathbb{Z}_2^3 \Rightarrow n = 2^3 - 1 = 7$ . Pick  $A = \begin{pmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 1 \end{pmatrix}$ , then  $C$  has dimension 4, and can send 16 messages  $abcd$  using codewords  $abcdxyz$ , where  $x = a + b + c$ ,  $y = a + b + d$  and  $z = a + c + d$ . This is called a Hamming code, denoted  $\text{Ham}(3)$ .

## Hamming codes

### Definition:

Let  $k \geq 3$ , then a Hamming code  $\text{Ham}(k)$  is a code for which the check matrix has all the non-zero vectors in  $\mathbb{Z}_2^k$  as columns.

### Proposition 1.7:

- (1)  $\text{Ham}(k)$  has length  $2^k - 1$  and dimension  $2^k - 1 - k$ .
- (2)  $\text{Ham}(k)$  corrects 1 error.

### Proof of Proposition 1.7:

- (1) Since there are  $2^k - 1$  non-zero vectors in  $\mathbb{Z}_2^k$ , the check matrix of  $\text{Ham}(k)$  is  $k \times (2^k - 1)$  and has rank  $k \Rightarrow$  the result follows.
- (2) Follows easily from Proposition 1.6.

### Definition:

Let  $C, C' \subseteq \mathbb{Z}_2^n$  be codes. Call  $C$  and  $C'$  equivalent codes if there is a permutation of their coordinates which sends the codewords in  $C$  bijectively to those in  $C'$ .

### Example:

All Hamming codes  $\text{Ham}(k)$  are equivalent.

## Correcting 1 error

Suppose we have a code  $C$  correcting 1 error, with check matrix  $A$ . A codeword  $c$  is sent, and 1 error is made, so that  $c'$  is received. Since  $c' = c + e_i$  for some  $i$ ,  $Ac' = A(c + e_i) = Ac + Ae_i = 0 + Ae_i = i$ -th column of  $A \Rightarrow$  the error occurred in the  $i$ -th entry of  $c$ .

**Example:**

Let  $C = \text{Ham}(3)$ . Suppose we receive  $c' = (1 \ 1 \ 0 \ 1 \ 0 \ 0 \ 0)^\top$ , then  $Ac' = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = 6\text{th column}$  of  $A \Rightarrow$  the corrected codeword is  $c = 1101010$ .

**Correcting  $> 1$  error****Proposition 1.8:**

Let  $d \geq 2$ ,  $C$  be a code with check matrix  $A$ . Then:

- (1)  $d(C) \geq d$  if every set of  $d - 1$  columns of  $A$  is linearly independent,
- (2)  $d(C) = d$  if, in addition,  $\exists$  a set of  $d$  columns of  $A$  that are linearly dependent.

**Proof of Proposition 1.8:**

- (1) Suppose  $d(C) \leq d - 1$ , then  $\exists c \in C \setminus \{0\}$  with  $\text{wt}(c) = r \leq d - 1 \Rightarrow c = e_{i_1} + \dots + e_{i_r} \Rightarrow Ac = Ae_{i_1} + \dots + Ae_{i_r} = (\text{sum of columns } i_1, \dots, i_r \text{ of } A) = 0 \Rightarrow$  these columns are linearly dependent  $(\Rightarrow \Leftarrow) \Rightarrow d(C) \geq d$ .
- (2) Suppose columns  $i_1, \dots, i_d$  of  $A$  are linearly dependent, in addition to (1). Let  $\lambda_1 A_{i_1} + \dots + \lambda_d A_{i_d} = 0$  for some  $\lambda_r \in \mathbb{Z}_2$ . Since any  $d - 1$  columns of  $A$  are linearly independent, we must have  $\lambda_r = 1 \ \forall r \Rightarrow A(e_{i_1} + \dots + e_{i_d}) = 0 \Rightarrow$  write  $c = e_{i_1} + \dots + e_{i_d}$ , then  $c \in C$  and  $\text{wt}(c) = d \Rightarrow$  since  $d(C) \geq d$  by (1), we must have  $d(C) = d$ .

**Example:**

If we want a linear code of length 9 and dimension 2 which corrects 2 errors, the check matrix  $A$  should be  $7 \times 9$  (of rank 7), and we also need  $C = \{x \in \mathbb{Z}_2^9 : Ax = 0\}$ . By Proposition 1.8, to have  $d(C) \geq 5$ , we need every set of 4 columns of  $A$  to be linearly independent. Take  $A = (c_1 \ c_2 \ I_7)$ , then we need  $\text{wt}(c_1), \text{wt}(c_2) \geq 4$ , and  $\text{wt}(c_1 + c_2) \geq 3 \Rightarrow$  let  $c_1 = (1 \ 1 \ 1 \ 1 \ 0 \ 0 \ 0)^\top$ ,  $c_2 = (0 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1)^\top$ , then they define the code  $C = \{abaaa(a + b)bbb : a, b \in \mathbb{Z}_2\} = \{0^9, 101111000, 010001111, 111110111\}$ .

**Hamming bound****Proposition 1.9:**

$$|S_e(v)| = 1 + \binom{n}{1} + \dots + \binom{n}{e}.$$

**Proof of Proposition 1.9:**

Let  $d_i$  = number of  $x \in \mathbb{Z}_2^n$  such that  $d(v, x) = i$ , then  $|S_e(v)| = d_0 + d_1 + \cdots + d_e$ . The vectors with distance  $i$  from  $v$  are precisely those differing from  $v$  at exactly  $i$  coordinates  $\Rightarrow d_i = \binom{n}{i} \Rightarrow$  the result follows.

**Theorem 1.10 (Hamming bound):**

Let  $C$  be a code of length  $n$ , correcting  $e$  errors. Then  $|C| \leq \frac{2^n}{1 + \binom{n}{1} + \cdots + \binom{n}{e}}$ .

**Proof of Theorem 1.10:**

Since  $C$  corrects  $e$  errors, the spheres  $S_e(c)$  for  $c \in C$  are all disjoint  $\Rightarrow \left| \bigcup_{c \in C} S_e(c) \right| = |C| |S_e(c)|$ . But  $\bigcup_{c \in C} S_e(c) \subseteq \mathbb{Z}_2^n$ , so  $2^n \geq \left| \bigcup_{c \in C} S_e(c) \right| = |C| \left[ 1 + \binom{n}{1} + \cdots + \binom{n}{e} \right] \Rightarrow |C| \leq \frac{2^n}{1 + \binom{n}{1} + \cdots + \binom{n}{e}}$ .

**Example:**

Let  $C$  be a linear code of length 9 that corrects 2 errors, then by Theorem 1.10,  $|C| \leq \frac{2^9}{1 + \binom{9}{1} + \binom{9}{2}} = \frac{2^9}{46} < 2^4 \Rightarrow \dim C \leq 3$ . From the previous example,  $\exists C$  with  $\dim C = 2$ . To find if  $\exists C$  with  $\dim C = 3$ , we need a  $6 \times 9$  check matrix  $A$  with any 4 columns linearly independent. Take  $A = (c_1 \ c_2 \ c_3 \ I_6)$ , then  $c_1, c_2, c_3$  satisfy  $\text{wt}(c_i) \geq 4 \ \forall i$ ,  $\text{wt}(c_i + c_j) \geq 3 \ \forall i \neq j$ , and  $\text{wt}(c_1 + c_2 + c_3) \geq 2$ . After a tedious exercise, it can be shown that  $\nexists c_i \Rightarrow \nexists C$ .

## Perfect codes

**Definition:**

A code  $C \subseteq \mathbb{Z}_2^n$  is  $e$ -perfect ( $e \geq 1$ ) if it corrects  $e$  errors, and  $|C| = \frac{2^n}{1 + \binom{n}{1} + \cdots + \binom{n}{e}}$ .

**Remark:**

Equivalently, the union of all the (disjoint) spheres  $S_e(c)$  for  $c \in C$  is the whole of  $\mathbb{Z}_2^n$ .

**Proposition 1.11:**

Let  $C = \mathbb{Z}_2^n$ , then  $|C| = \frac{2^n}{1+n} \Leftrightarrow n = 2^k - 1, |C| = 2^{n-k}$  for some  $k$ .

**Proof of Proposition 1.11:**

If  $|C| = \frac{2^n}{1+n}$ , then  $1+n = 2^k$  for some  $k$ .

Conversely, if  $n = 2^k - 1$  and  $|C| = 2^{n-k}$ , then obviously  $|C| = \frac{2^n}{1+n}$ .

**Proposition 1.12:**

$\text{Ham}(k)$  is a 1-perfect code.

**Proof of Proposition 1.12:**

$\text{Ham}(k)$  has length  $n = 2^k - 1$ , dimension  $n - k$  and corrects 1 error  $\Rightarrow |\text{Ham}(k)| = 2^{n-k} = \frac{2^n}{1+n} \Rightarrow$  the result follows.

**Remark:**

The only  $e$ -perfect codes are:

- (1)  $\text{Ham}(k)$ , with  $e = 1$ ,
- (2)  $C = \{0 \cdots 0, 1 \cdots 1\}$ , with length  $n = 2e + 1$  and dimension 1,
- (3) the Golay code  $G_{23}$ , with  $n = 23$ ,  $e = 3$ ,  $\dim G_{23} = 12$ .

## Gilbert-Varshamov bound

**Example:**

Let  $C$  be a linear code of length 15, correcting 2 errors. Then the Hamming bound gives  $|C| \leq \frac{2^{15}}{1 + \binom{15}{1} + \binom{15}{2}} = \frac{2^{15}}{121} < 2^9 \Rightarrow \dim C \leq 8$ .

**Theorem 1.13 (GV bound):**

Let  $n, k, d \in \mathbb{Z}^+$  such that  $1 + \binom{n-1}{1} + \cdots + \binom{n-1}{d-2} < 2^{n-k}$ , then  $\exists$  a linear code of length  $n$  and dimension  $k$ , such that  $d(C) \geq d$ .

**Example:**

Let  $n = 15$  and  $d = 5$ , then we have  $1 + \binom{14}{1} + \binom{14}{2} + \binom{14}{3} = 470 < 2^9 = 2^{15-6} \Rightarrow \exists$  a code of dimension 6, but we still do not know if  $\exists$  codes of dimension 7 or 8.

**Proof of Theorem 1.13:**

Assume  $1 + \binom{n-1}{1} + \cdots + \binom{n-1}{d-2} < 2^{n-k}$ . We want to construct a check matrix  $A$  such that  $A$  is  $(n-k) \times n$  (of rank  $n-k$ ), and any  $d-1$  columns of  $A$  are linearly independent. Choose the 1st  $n-k$  columns of  $A$  to be  $e_1, \dots, e_{n-k}$ , then clearly they are linearly independent. Now suppose inductively that there are  $i$  columns  $c_1, \dots, c_i \in \mathbb{Z}_2^{n-k}$  where  $n-k \leq i \leq n-1$ , such that any  $d-1$  of these are linearly independent. The number of vectors in  $\mathbb{Z}_2^{n-k}$  which are the sum of  $\leq d-2$  of  $c_1, \dots, c_i$  is  $\leq 1 + \binom{i}{1} + \cdots + \binom{i}{d-2} \leq 1 + \binom{n-1}{1} + \cdots + \binom{n-1}{d-2} < 2^{n-k}$ , so  $\exists c_{i+1} \in \mathbb{Z}_2^{n-k}$  which is not the sum of  $\leq d-2$  of  $c_1, \dots, c_i \Rightarrow$  if we have  $A_i = (c_1 \cdots c_i)$ , we can extend it to get  $A_{i+1} = (c_1 \cdots c_{i+1}) \Rightarrow$

repeat until we get  $A = A_n$  that satisfies all the required properties.

## The Golay code

The Golay code is a code of length 23, dimension 12, which corrects 3 errors and is perfect. To construct it, we first construct the extended Golay code  $G_{24}$ . Start with  $H = \text{Ham}(3)$ , with check matrix  $\begin{pmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 1 \end{pmatrix}$ , and its reverse  $K$ , with check matrix  $\begin{pmatrix} 0 & 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$ . Add the parity check bit (= sum of bits) to  $H$  and  $K$  to obtain  $H'$  and  $K'$  respectively, then we get

$$H' = \begin{pmatrix} 00000000 & 11111111 \\ 10001110 & 01110001 \\ 01001101 & 10110010 \\ 00101011 & 11010100 \\ 00010111 & 11101000 \\ 11000011 & 00111100 \\ 10100101 & 01011010 \\ 10011001 & 01100110 \end{pmatrix}, K' = \begin{pmatrix} 00000000 & 11111111 \\ 11100010 & 00011101 \\ 01100101 & 10011010 \\ 10101001 & 01010110 \\ 11010001 & 00101110 \\ 10000111 & 01111000 \\ 01001011 & 10110100 \\ 00110011 & 11001100 \end{pmatrix}, \text{ both of which are linear codes of}$$

length 8 and dimension 4, with codewords of weight 0, 4 or 8. Also, the 14 codewords in  $H'$  of weight 4 form a design with parameters  $(16, 8, 7)$  ( $v = \text{number of bits} \times \text{number of choices per bit} = 8 \times 2 = 16$ ).

### Proposition 1.14:

$$H \cap K = \{0^7, 1^7\}, \text{ and } H' \cap K' = \{0^8, 1^8\}.$$

### Proof of Proposition 1.14:

Let  $v \in H \cap K$ , then  $v = abcd(a+b+c)(a+b+d)(a+c+d)$  since  $v \in H \Rightarrow$  since  $v \in K$  too, we have  $c + (a+b+c) + (a+b+d) + (a+c+d) = b+d + (a+b+d) + (a+c+d) = a+d + (a+b+c) + (a+c+d) = 0 \Rightarrow a+c = c+d = a+b = 0 \Rightarrow a=b=c=d = 0 \text{ or } 1 \Rightarrow v = 0^7 \text{ or } 1^7$ . Also, by considering parity check bits,  $H' \cap K' = \{0^8, 1^8\}$ .

### Definition:

The extended Golay code  $G_{24}$  consists of all vectors in  $\mathbb{Z}_2^{24}$  of the form  $(a+x, b+x, a+b+x)$ , where  $a, b \in H', x \in K'$ .

### Example:

- (1)  $a = b = x = 0^8 \Rightarrow v = 0^{24}$ .
- (2)  $a = b = x = 1^8 \Rightarrow v = (0^8, 0^8, 1^8)$ .
- (3)  $a = x = 1^8, b = 0^8 \Rightarrow v = (0^8, 1^8, 0^8)$ .

$$(4) \ a = b = 0^8, \ x = 1^8 \Rightarrow v = 1^{24}.$$

$$(5) \ a = 10001110, \ b = 10011001, \ x = 01001011 \Rightarrow v = 110001011101001001011100.$$

**Proposition 1.15:**

$G_{24}$  is a linear code of dimension 12.

**Proof of Proposition 1.15:**

Clearly  $0^{24} \in G_{24}$ . Now suppose  $a_1, a_2, b_1, b_2 \in H'$ ,  $x_1, x_2 \in K'$ , then  $(a_1 + x_1, b_1 + x_1, a_1 + b_1 + x_1) + (a_2 + x_2, b_2 + x_2, a_2 + b_2 + x_2) = (a_1 + a_2 + x_1 + x_2, b_1 + b_2 + x_1 + x_2, a_1 + a_2 + b_1 + b_2 + x_1 + x_2) \in G_{24}$  since  $a_1 + a_2, b_1 + b_2 \in H'$  and  $x_1 + x_2 \in K' \Rightarrow G_{24}$  is a linear code.

Moreover,  $(a_1 + x_1, b_1 + x_1, a_1 + b_1 + x_1) = (a_2 + x_2, b_2 + x_2, a_2 + b_2 + x_2) \Rightarrow a_1 = a_2, b_1 = b_2, x_1 = x_2 \Rightarrow$  distinct choices of  $(a, b, x)$  gives distinct elements of  $G_{24} \Rightarrow |G_{24}| = \text{number of triples } (a, b, x) = |H'|^2 |K'| = 2^{12} \Rightarrow \dim |G_{24}| = 12.$

**Remark:**

$(a + x, b + x, a + b + x) = (a, 0, a) + (0, b, b) + (x, x, x) \Rightarrow$  if  $a_i, b_i$  and  $x_i$  ( $1 \leq i \leq 4$ ) are bases for  $H', H'$  and  $K'$  respectively, then  $\{(a_i, 0, a_i), (0, b_i, b_i), (x_i, x_i, x_i)\}$  form a basis for  $G_{24}$ .

**Definition:**

For  $v, w \in \mathbb{Z}_2^n$ , let  $[v, w] = \text{number of places where both } v \text{ and } w \text{ are } 1.$

**Proposition 1.16:**

Let  $v, w \in \mathbb{Z}_2^n$ , then:

- (1)  $\text{wt}(v + w) = \text{wt}(v) + \text{wt}(w) - 2[v, w],$
- (2) if  $4 \mid \text{wt}(v)$  and  $4 \mid \text{wt}(w)$ , then  $4 \mid \text{wt}(v + w)$  iff  $[v, w]$  is even.

**Proof of Proposition 1.16:**

- (1) Let  $r = \text{wt}(v)$ ,  $s = \text{wt}(w)$  and  $t = [v, w]$ , then we have (reordering coordinates if required)  $v = \underbrace{1 \cdots 1}_t \underbrace{1 \cdots 1}_{r-t} \underbrace{0 \cdots 0}_{s-t} 0 \cdots 0$ ,  $w = \underbrace{1 \cdots 1}_t \underbrace{0 \cdots 0}_{r-t} \underbrace{1 \cdots 1}_{s-t} 0 \cdots 0 \Rightarrow v + w = \underbrace{0 \cdots 0}_t \underbrace{1 \cdots 1}_{r-t} \underbrace{1 \cdots 1}_{s-t} 0 \cdots 0 \Rightarrow \text{wt}(v + w) = r + s - 2t = \text{wt}(v) + \text{wt}(w) - 2[v, w].$
- (2) Follows easily from (1).

**Proposition 1.17:**

If  $a, b, x \in \mathbb{Z}_2^n$ , then  $[a, x] + [b, x] + [a + b, x]$  is even.

**Proof of Proposition 1.17:**

Let  $r = [a, x]$ ,  $s = [b, x]$ ,  $u = \text{number of places where } a, b, x \text{ are all } 1.$  Then (reordering

coordinates if needed)  $x = \underbrace{1\cdots 1}_u \underbrace{1\cdots 1}_{r-u} \underbrace{1\cdots 1}_{s-u} 0\cdots 0$ ,  $a = \underbrace{1\cdots 1}_u \underbrace{1\cdots 1}_{r-u} \underbrace{1\cdots 1}_{s-u} 0\cdots 0$ ,  $b = \underbrace{1\cdots 1}_u \underbrace{1\cdots 1}_{r-u} \underbrace{1\cdots 1}_{s-u} 0\cdots 0$ .  
 $\underbrace{1\cdots 1}_u \underbrace{0\cdots 0}_{r-u} \underbrace{1\cdots 1}_{s-u} 0\cdots 0 \Rightarrow a + b = \underbrace{0\cdots 0}_u \underbrace{1\cdots 1}_{r-u} \underbrace{1\cdots 1}_{s-u} 0\cdots 0 \Rightarrow [a, x] + [b, x] + [a + b, x] = r + s + (r + s - 2u) = 2(r + s - u)$ , which is even.

**Proposition 1.18:**

If  $c \in G_{24}$ , then  $4 \mid \text{wt}(c)$ .

**Proof of Proposition 1.18:**

Let  $c = (a + x, b + x, a + b + x)$  for some  $a, b \in H'$ ,  $x \in K'$ , then  $c = (a, b, a + b) + (x, x, x)$ . Let  $v = (a, b, a + b)$ ,  $w = (x, x, x)$ , then  $4 \mid \text{wt}(v), \text{wt}(w)$  since  $4 \mid \text{wt}(a), \text{wt}(b), \text{wt}(a + b), \text{wt}(x)$ , and  $[v, w] = [a, x] + [b, x] + [a + b, x]$  is even by Proposition 1.17  $\Rightarrow 4 \mid \text{wt}(v + w) = \text{wt}(c)$  by Proposition 1.16(2).

**Theorem 1.19:**

$d(G_{24}) = 8$ .

**Proof of Theorem 1.19:**

Suppose  $d(G_{24}) < 8$ , then by Proposition 1.18,  $\exists c \in G_{24} \setminus \{0\}$  such that  $\text{wt}(c) = 4$ . Let  $c = (a + x, b + x, a + b + x)$  for some  $a, b \in H'$ ,  $x \in K'$ , then  $\text{wt}(a + x) = \text{wt}(a) + \text{wt}(x) - 2[a, x]$  is even. Similarly,  $\text{wt}(b + x)$  and  $\text{wt}(a + b + x)$  are all even  $\Rightarrow \geq 1$  of  $a + x$ ,  $b + x$ ,  $a + b + x$  must be 0  $\Rightarrow x = a, b$  or  $a + b \Rightarrow x \in H' \cap K' = \{0^8, 1^8\}$  by Proposition 1.14  $\Rightarrow a + x, b + x, a + b + x \in H' \Rightarrow a + x, b + x, a + b + x$  have weight 0, 4 or 8  $\Rightarrow 2$  of these are  $0^8$ . If  $a + x = b + x = 0^8$ , then  $a = x = b \Rightarrow c = (0^8, 0^8, x)$ . If  $a + x = a + b + x = 0^8$ , then  $a = x$ ,  $b = 0^8 \Rightarrow c = (0^8, x, 0^8)$ . If  $b + x = a + b + x = 0^8$ , then  $b = x$ ,  $a = 0^8 \Rightarrow c = (x, 0^8, 0^8)$ . Either way,  $\text{wt}(c) = 0$  or 8 ( $\Rightarrow \Leftarrow$ )  $\Rightarrow d(G_{24}) \geq 8 \Rightarrow$  since  $(1^8, 0^8, 0^8) \in G_{24}$ ,  $d(G_{24}) = 8$ .

## The 3-perfect code $G_{23}$

**Definition:**

The Golay code  $G_{23}$  is the code of length 23 consisting of codewords in  $G_{24}$  with the last bit deleted.

**Remark:**

$G_{23}$  is linear, and  $|G_{23}| = |G_{24}| = 2^{12} \Rightarrow \dim G_{23} = 12$ .

**Theorem 1.20:**

$G_{23}$  is 3-perfect.

**Proof of Theorem 1.20:**

$d(G_{24}) = 8 \Rightarrow d(G_{23}) \geq 7$ , and  $(0^8, 0^8, 1^8) \in G_{24} \Rightarrow d(G_{23}) = 7 \Rightarrow G_{23}$  corrects 3 errors.  
Also,  $|G_{23}| = \frac{2^{23}}{1 + \binom{23}{1} + \binom{23}{2} + \binom{23}{3}} = \frac{2^{23}}{2048} = 2^{12} \Rightarrow G_{23}$  is 3-perfect.

**Remark:**

Codewords in  $G_{24}$  are those in  $G_{23}$  with parity check bit added.

## A 5-design from $G_{24}$

Define  $X$  = set of 24 coordinate positions in  $G_{24}$ , and a block  $B_c$  = set of 8 coordinate positions of the 1's in each codeword  $c \in G_{24}$  of weight 8. Call the blocks the octads of  $G_{24}$ .

**Theorem 1.21:**

The octads of  $G_{24}$  form the blocks of a 5-design, where every set of 5 points lies in a unique octad.

**Proof of Theorem 1.21:**

There is a correspondence  $\mathbb{Z}_2^{24} \leftrightarrow$  subsets of  $X$ ,  $v \leftrightarrow P_v$  = set of positions of 1's in  $v$ . Let  $v \in \mathbb{Z}_2^{24}$  have weight 5, and delete the last bit of  $v$  to get  $v' \in \mathbb{Z}_2^{23}$ , with  $\text{wt}(v') = 4$  or 5,  $P_{v'} \subseteq \{1, \dots, 23\}$ . Since  $G_{23}$  is 3-perfect,  $\exists! c' \in G_{23}$  such that  $v' \in S_3(c')$  i.e.  $d(v', c') \leq 3$ . If  $\text{wt}(v') = 4$ , then  $\text{wt}(c') = 7$ , and  $P_{v'} \subseteq P_{c'}$ . Add the parity check bit of  $c'$  to get  $c \in G_{24}$ , with  $\text{wt}(c) = 8 \Rightarrow P_v = P_{v'} \cup \{24\} \subseteq P_{c'} \cup \{24\} = P_c$ .

Otherwise, if  $\text{wt}(v') = 5$ , then  $\text{wt}(c') = 7$  or 8, and  $P_{v'} \subseteq P_{c'}$  too. Again, add the parity check bit of  $c'$  to get  $c \in G_{24}$ , with  $\text{wt}(c) = 8 \Rightarrow P_v = P_{v'} \subseteq P_{c'} \subseteq P_c$ .

Either way,  $\exists! c \in G_{24}$  where  $\text{wt}(c) = 8$ , with  $P_v \subseteq P_c = B_c \Rightarrow$  the result follows.

**Proposition 1.22:**

- (1) Codewords in  $G_{24}$  have weight 0, 8, 12, 16 or 24, and  $N_i = N_{24-i}$ , where  $N_i$  is the number of codewords in  $G_{24}$  with weight  $i$ .
- (2) Codewords in  $G_{23}$  have weight 0, 7, 8, 11, 12, 15, 16 or 23, and  $M_i = M_{23-i}$ , where  $M_i$  is the number of codewords in  $G_{23}$  with weight  $i$ .

**Proof of Proposition 1.22:**

- (1) The map  $G_{24} \rightarrow G_{24}$ ,  $c \mapsto c + 1^{24}$  is a bijection that sends codewords of weight  $i$  to



codewords of weight  $24 - i \Rightarrow N_i = N_{24-i}$ . Also, pick  $c \in G_{24} \setminus \{0\}$ , then  $4 \mid \text{wt}(c)$  by Proposition 1.18 and  $\text{wt}(c) \geq 8$  by Theorem 1.19  $\Rightarrow \text{wt}(c) = 8, 12, 16$  or  $24$ .

(2) Similar to (1).

**Proposition 1.23:**

Let  $X$  be a set of  $v$  points,  $\mathcal{B}$  be a  $t$ -design with blocks of size  $k$ , in which any  $t$  points lie in  $r_t$  blocks. Then  $\mathcal{B}$  is a  $(t-1)$ -design, and  $r_{t-1} = \left( \frac{v-t+1}{k-t+1} \right) r_t$ .

**Proof of Proposition 1.23:**

Pick  $S \subseteq X$ ,  $|S| = t-1$ ,  $r(S)$  = number of blocks containing  $S$ . Consider pairs  $(x, B)$ , where  $x \in X \setminus S$  and  $B$  is a block containing  $S \cup \{x\}$ , then the number of such pairs = ways to choose  $x \times$  ways to choose  $B$  given  $x = (v - (t-1)) \times r_t$ .

On the other hand, the number of such pairs is also = ways to choose  $B \times$  ways to choose  $x$  given  $B = r(S) \times (k - (t-1)) \Rightarrow r(S) = \left( \frac{v-t+1}{k-t+1} \right) r_t \Rightarrow$  the result follows.

**Corollary 1.24:**

A  $t$ -design is also an  $s$ -design  $\forall 1 \leq s \leq t$ , and  $r_{t-2} = \left( \frac{v-t+2}{k-t+2} \right) r_{t-1}, \dots, r = r_1 = \left( \frac{v-1}{k-1} \right) r_2, b = r_0 = \frac{vr}{k}$ .

**Proof of Corollary 1.24:**

Follows easily from Proposition 1.23.

**Proposition 1.25:**

(1) In  $G_{24}$ ,  $N_{16} = N_8$  = number of octads = 759.

(2) In  $G_{23}$ ,  $M_7 = 253$ ,  $M_8 = 506$ .

**Proof of Proposition 1.25:**

- (1) Applying Corollary 1.24 to the 5-design formed by the octads of  $G_{24}$  gives  $r_5 = 1$ ,  
 $r_4 = \left( \frac{24-5+1}{8-5+1} \right) r_5 = 5$ ,  $r_3 = \left( \frac{24-4+1}{8-4+1} \right) r_4 = 21$ ,  $r_2 = \left( \frac{24-3+1}{8-3+1} \right) r_3 = 77$ ,  
 $r_1 = \left( \frac{24-2+1}{8-2+1} \right) r_2 = 253$ ,  $N_{16} = N_8 = r_0 = \left( \frac{24-1+1}{8-1+1} \right) r_1 = 759$ .
- (2)  $M_7 = (\text{number of octads containing point } 24) = r_1 = 253 \Rightarrow M_8 = N_8 - M_7 = 506$ .

## Error correction in $G_{24}$

**Proposition 1.26:**

$\forall c, d \in G_{24}, c \cdot d = c^\top d = 0 \in \mathbb{Z}_2$ .

**Proof of Proposition 1.26:**

By Proposition 1.18,  $4 \mid \text{wt}(c), \text{wt}(d), \text{wt}(c+d) \forall c, d \in G_{24} \Rightarrow$  by Proposition 1.17, since  $\text{wt}(c+d) = \text{wt}(c) + \text{wt}(d) - 2[c, d]$ ,  $[c, d]$  is even  $\Rightarrow c^\top d = 0$ .

**Remark:**

With a basis  $\{c_i : 1 \leq i \leq 12\}$  of  $G_{24}$ , let  $A = (c_1 \cdots c_{12})^\top$  with size  $12 \times 24$ , then  $Ac = (c_1 \cdot c \cdots c_{12} \cdot c)^\top = 0 \forall c \in G_{24}$ . Moreover, since  $\dim G_{24} = 12$ ,  $G_{24}$  is the solution space for  $Ax = 0 \Rightarrow A$  is a check matrix for  $G_{24}$ .

Suppose  $c \in G_{24}$  is sent and  $t \leq 3$  errors are made, such that the received vector is  $x = c + e_{i_1} + \cdots + e_{i_t}$ . Let the 253 codewords in  $G_{24}$  with weight 8 and a 1 in the 1st coordinate be  $c_1, \dots, c_{253}$ , with corresponding octads  $B_1, \dots, B_{253}$ , then  $c_i \cdot x = 0$  for  $1 \leq i \leq 253$  if  $x \in G_{24}$ , else we can count how many  $c_i \cdot x = 1$  there are.

**Proposition 1.27:**

The number of  $i$  such that  $c_i \cdot x = 1$  is:

$t$	1	2	3	4
$x_1$ correct	77	112	125	128
$x_1$ wrong	253	176	141	128

**Proof of Proposition 1.27:**

When  $t = 1$ ,  $x = c + e_j$  for some  $c \in G_{24} \Rightarrow c_i \cdot x = c_i \cdot (c + e_j) = c_i \cdot e_j = 1$  iff  $j \in B_i$ . If  $x_1$  is correct, then  $j \neq 1 \Rightarrow (\text{number of } c_i \cdot x = 1) = (\text{number of } B_i \text{ containing } j) = (\text{number of octads containing 1 and } j) = r_2 = 77$ . Otherwise, if  $x_1$  is wrong, then  $k = 1 \Rightarrow (\text{number of } c_i \cdot x = 1) = (\text{number of } B_i \text{ containing 1}) = r_1 = 253$ .

When  $t = 2$ ,  $x = c + e_j + e_k$  for some  $c \in G_{24} \Rightarrow c_i \cdot x = c_i \cdot e_j + c_i \cdot e_k = 1$  iff exactly 1 of  $j, k \in B_i$ . If  $x_1$  is correct, then  $j, k \neq 1 \Rightarrow (\text{number of } c_i \cdot x = 1) = (\text{number of octads containing 1 and } j \text{ but not } k, \text{ or } 1 \text{ and } k \text{ but not } j) = 2(r_2 - r_3) = 2(77 - 21) = 112$ . Otherwise, if  $x_1$  is wrong, let  $j = 1$  WLOG  $\Rightarrow (\text{number of } c_i \cdot x = 1) = (\text{number of } B_i \text{ containing 1 but not } k) = r_1 - r_2 = 253 - 77 = 176$ .

When  $t = 3$ ,  $x = c + e_j + e_k + e_l$  for some  $c \in G_{24} \Rightarrow c_i \cdot x = 1$  iff exactly 1 or 3 of  $j, k, l \in B_i$ . If  $x_1$  is correct, then  $j, k, l \neq 1 \Rightarrow (\text{number of } c_i \cdot x = 1) = 3(r_2 - 2r_3 + r_4) + r_4 = 3(77 - 42 + 5) + 5 = 125$ . Otherwise, if  $x_1$  is wrong, let  $j = 1$  WLOG  $\Rightarrow (\text{number of } c_i \cdot x = 1) = (r_1 - 2r_2 + r_3) + r_3 = (253 - 154 + 21) + 21 = 141$ .

When  $t = 4$ ,  $x = c + e_j + e_k + e_l + e_m$  for some  $c \in G_{24} \Rightarrow c_i \cdot x = 1$  iff exactly 1 or 3 of  $j, k, l, m \in B_i$ . If  $x_1$  is correct, then  $j, k, l, m \neq 1 \Rightarrow (\text{number of } c_i \cdot x = 1) =$

$4(r_2 - 3r_3 + 3r_4 - r_5) + 4(r_4 - r_5) = 4(77 - 63 + 15 - 1) + 4(5 - 1) = 128$ . Otherwise, if  $x_1$  is wrong, let  $j = 1$  WLOG  $\Rightarrow$  (number of  $c_i \cdot x = 1$ )  $= (r_1 - 3r_2 + 3r_3 - r_4) + 3(r_3 - r_4) = (253 - 231 + 63 - 5) + 3(21 - 5) = 128$ .

## Cyclic codes

### Definition:

A linear code  $C \in \mathbb{Z}_2^n$  is cyclic if  $(c_1, \dots, c_n) \in C \Rightarrow (c_n, c_1, \dots, c_{n-1}) \in C$ .

### Remark:

The definition implies that all other cyclic shifts are also  $\in C$ .

### Example:

- (1)  $C = \{000, 110, 101, 011\} \subseteq \mathbb{Z}_2^3$  is cyclic.
- (2) Ham(3), with check matrix  $A = \begin{pmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 1 \end{pmatrix}$  is cyclic, because the shifted check matrix  $A' = \begin{pmatrix} 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & 1 & 0 & 0 \end{pmatrix}$  is in fact  $\begin{pmatrix} A_1 + A_2 \\ A_3 \\ A_1 \end{pmatrix}$ , where  $A_i = i$ -th row of  $A$ .
- (3)  $G_{23}$  is equivalent to a cyclic code.

## Ideals

### Definition:

A commutative ring  $(R, +, \times)$  is a set  $R$  with  $+, \times$  such that:

- (1)  $(R, +)$  is an abelian group with identity 0,
- (2)  $(R, \times)$  is commutative and associative,
- (3)  $\forall a, b, c \in R, a \times (b + c) = (a \times b) + (a \times c)$ .

### Example:

$\mathbb{Z}_2[x]$  is the ring of polynomials  $a_0 + a_1x + \dots + a_nx^n$  with  $a_i \in \mathbb{Z}_2$  and normal  $+, \times$  for polynomials.

### Definition:

Let  $R$  be a commutative ring, then a subset  $I \subseteq R$  is an ideal if:

- (1)  $I$  is (am!) a subgroup of  $(R, +)$ ,

(2)  $IR = \{ir : i \in I, r \in R\} \subseteq I$ .

### Example:

Let  $a \in R$ , and define  $(a) = \{ar : r \in R\}$ , then  $(a)$  is an ideal, called the principal ideal generated by  $a$ .

## Quotient rings

Let  $I$  be an ideal of  $R$ . For  $x \in R$ , define the coset  $x + I = \{x + i : i \in I\}$ , and call the set of all cosets  $\frac{R}{I}$ . Define  $+, \times$  on  $\frac{R}{I}$  by  $(x + I) + (y + I) = (x + y) + I$ ,  $(x + I)(y + I) = xy + I$ , then they are well-defined, and make  $\frac{R}{I}$  into a (commutative) ring, called the quotient ring.

### Example:

Consider  $\frac{\mathbb{Z}_2[x]}{I}$ , where  $I = (x^2 - 1)$ , then  $\{I, 1 + I, x + I, 1 + x + I\} \subseteq \frac{\mathbb{Z}_2[x]}{I}$ . Now let  $f(x) + I \in \frac{\mathbb{Z}_2[x]}{I}$ , then  $f(x) = (x^2 - 1)q(x) + r(x)$ , where  $\deg r < 2 \Rightarrow f(x) + I = r(x) + (x^2 - 1)q(x) + I = r(x) + I$  since  $(x^2 - 1)q(x) \in I \Rightarrow r(x)$  is either  $1, x, 1 + x$  or  $0 \Rightarrow \frac{\mathbb{Z}_2[x]}{I} = \{I, 1 + I, x + I, 1 + x + I\}$ .

### Notation:

Write  $x + I = \bar{x}$ , then  $\frac{\mathbb{Z}_2[x]}{I} = \{0, 1, \bar{x}, 1 + \bar{x}\}$ .

### Proposition 1.28:

Let  $R = \frac{\mathbb{Z}_2[x]}{I}$  where  $I = (x^n - 1)$ ,  $\bar{x} = x + I \in R$ , then  $R = \{a_0 + \cdots + a_{n-1}\bar{x}^{n-1} : a_i \in \mathbb{Z}_2\}$ , with the usual addition and multiplication determined by the relation  $\bar{x}^n = 1$ .

### Proof of Proposition 1.28:

Let  $S = \{a_0 + \cdots + a_{n-1}\bar{x}^{n-1} : a_i \in \mathbb{Z}_2\}$ , then clearly  $S \subseteq R$ . Now let  $f(\bar{x}) \in R$ , then  $f(x) = (x^n - 1)q(x) + r(x)$ , where  $\deg r < n \Rightarrow f(\bar{x}) = r(\bar{x}) + (\bar{x}^n - 1)q(\bar{x}) = r(\bar{x}) \in S \Rightarrow R \subseteq S \Rightarrow R = S$ .

### Example:

Let  $R = \frac{\mathbb{Z}_2[x]}{(x^3 - 1)}$ , then  $(1 + \bar{x})(1 + \bar{x}^2) = 1 + \bar{x} + \bar{x}^2 + \bar{x}^3 = \bar{x} + \bar{x}^2$ .

### Remark:

By Proposition 1.28,  $\exists$  a bijection  $\pi : \mathbb{Z}_2^n \rightarrow \frac{\mathbb{Z}_2[x]}{(x^n - 1)}$ ,  $(a_0, \dots, a_{n-1}) \mapsto a_0 + \cdots + a_{n-1}\bar{x}^{n-1}$ , which is also an isomorphism of groups under  $+$ .

**Example:**

Let  $C = \{000, 110, 011, 101\} \subseteq \mathbb{Z}_2^3$ , then  $\pi(C) = \{0, 1 + \bar{x}, \bar{x} + \bar{x}^2, 1 + \bar{x}^2\} \subseteq \frac{\mathbb{Z}_2[x]}{(x^3 - 1)}$ .

**Proposition 1.29:**

$C \subseteq \mathbb{Z}_2^n$  is a cyclic (linear) code iff  $\pi(C)$  is an ideal of  $\frac{\mathbb{Z}_2[x]}{(x^n - 1)}$ .

**Proof of Proposition 1.29:**

Suppose  $\pi(C) = I$  is an ideal. Let  $c, d \in C$ , then  $\pi(c), \pi(d) \in I \Rightarrow \pi(c + d) = \pi(c) + \pi(d) \in I \Rightarrow c + d \in C \Rightarrow C$  is a linear code. Now write  $c = (c_0, \dots, c_{n-1}) \in C$ , then  $\pi(c) = c_0 + \dots + c_{n-1}\bar{x}^{n-1} \in I \Rightarrow c_{n-1} + c_0\bar{x} + \dots + c_{n-1}\bar{x}^{n-1} = c_{n-1}\bar{x}^n + c_0\bar{x} + \dots + c_{n-1}\bar{x}^{n-1} = \bar{x}\pi(c) \in I \Rightarrow (c_{n-1}, c_0, \dots, c_{n-2}) \in C \Rightarrow C$  is a cyclic code.

Conversely, suppose  $C$  is a cyclic code, then  $I = \pi(C)$  is a subgroup of  $\frac{\mathbb{Z}_2[x]}{(x^n - 1)}$  since  $C$  is linear and  $0 = \pi(0^n) \in I$ . Let  $f(\bar{x}) = f_0 + \dots + f_{n-1}\bar{x}^{n-1} \in I$ , then  $\pi^{-1}(f(\bar{x})) = (f_0, \dots, f_{n-1}) \in C \Rightarrow (f_{n-1}, f_0, \dots, f_{n-2}) \in C \Rightarrow \bar{x}f(\bar{x}) = f_0\bar{x} + \dots + f_{n-1}\bar{x}^n = f_{n-1} + f_0\bar{x} + \dots + f_{n-2}\bar{x}^{n-1} \in I$ . Similarly,  $\bar{x}^i f(\bar{x}) \in I \forall i \Rightarrow g(\bar{x})f(\bar{x}) \in I \forall g(\bar{x}) \in \frac{\mathbb{Z}_2[x]}{(x^n - 1)} \Rightarrow I = \pi(C)$  is an ideal.

**Basic construction of cyclic codes****Definition:**

Fix  $n \in \mathbb{N}$ , let  $p(x) \in \mathbb{Z}_2[x]$ ,  $p(x) \mid x^n - 1$ ,  $I$  be the ideal of  $\frac{\mathbb{Z}_2[x]}{(x^n - 1)}$  defined by  $I = (p(\bar{x})) = \left\{ p(\bar{x})f(\bar{x}) : f(\bar{x}) \in \frac{\mathbb{Z}_2[x]}{(x^n - 1)} \right\}$ . Then  $p(x)$  is called a generator polynomial for the cyclic code  $C = \pi^{-1}(I) \subseteq \mathbb{Z}_2^n$ .

**Example:**

- (1) Let  $n = 3$ ,  $p(x) = x + 1$ , then  $p(x) \mid x^3 - 1 \Rightarrow I = (p(\bar{x})) = \{0, 1 + \bar{x}, 1 + \bar{x}^2, \bar{x} + \bar{x}^2\} \Rightarrow$  the corresponding cyclic code is  $C = \{000, 110, 101, 011\}$ .
- (2) Let  $n = 6$ , then  $x^6 - 1 = (x^3 + 1)^2 = (x + 1)^2(x^2 + x + 1)^2$  in  $\mathbb{Z}_2[x] \Rightarrow$  number of  $p(x)$  dividing  $x^6 - 1 = (\text{number of choices for } (x + 1)^i(x^2 + x + 1)^j \text{ where } 0 \leq i, j \leq 2) = (2 + 1)(2 + 1) = 9$ .
- (3) From (2), let  $p(x) = (x^2 + x + 1)^2 = x^4 + x^2 + 1$ , then  $C = \pi^{-1}((\bar{x}^4 + \bar{x}^2 + 1)) = \{000000, 101010, 010101, 111111\}$ .

**Proposition 1.30:**

If  $\deg p = n - k$ , then  $\dim C = k$ .

**Proof of Proposition 1.30:**

It suffices to show that  $S = \{p(\bar{x}), \dots, \bar{x}^{k-1}p(\bar{x})\}$  is a basis for  $(p(\bar{x})) = \pi(C)$  as a subspace of  $\frac{\mathbb{Z}_2[x]}{(x^n - 1)}$  over  $\mathbb{Z}_2$ . Suppose  $f(\bar{x}) = \sum_{i=0}^{k-1} \lambda_i \bar{x}^i p(\bar{x}) = 0$  in  $\frac{\mathbb{Z}_2[x]}{(x^n - 1)}$  for some  $\lambda_i \in \mathbb{Z}_2$ , then  $x^n - 1 \mid f(x) \Rightarrow f(x) = 0$  in  $\mathbb{Z}_2[x]$  since  $\deg f \leq (n - k) + (k - 1) = n - 1 \Rightarrow$  by comparing coefficients,  $\lambda_i = 0 \forall i \Rightarrow S$  is a linearly independent set.

Now pick  $h(\bar{x}) \in (p(\bar{x}))$ , then  $h(\bar{x}) = g(\bar{x})p(\bar{x})$  for some  $g(\bar{x}) \in \frac{\mathbb{Z}_2[x]}{(x^n - 1)}$ . Long division gives  $g(x)p(x) = q(x)(x^n - 1) + r(x)$  where  $\deg r < n \Rightarrow p(x) \mid q(x)(x^n - 1) + r(x) \Rightarrow p(x) \mid r(x)$ . Let  $r(x) = p(x)s(x)$ , then  $\deg s \leq n - (n - k) = k \Rightarrow$  since  $g(x)p(x) = q(x)(x^n - 1) + p(x)s(x)$ ,  $h(\bar{x}) = g(\bar{x})p(\bar{x}) = 0 + p(\bar{x})s(\bar{x}) \Rightarrow h(\bar{x})$  is a linear combination of elements in  $S \Rightarrow (p(\bar{x})) \subseteq \text{Sp}(S) \Rightarrow S$  is a basis for  $(p(\bar{x})) = \pi(C)$ .

**Example:**

Let  $n = 7$ , then  $x^7 - 1 = (x + 1)(x^3 + x + 1)(x^3 + x^2 + 1)$  in  $\mathbb{Z}_2[x]$ . Pick  $p(x) = x^3 + x + 1$  and let  $C$  be its corresponding cyclic code, then  $\dim C = 4$ , and a basis of  $C$  is  $\{1101000, 0110100, 0011010, 0001101\}$ .

**Check matrices for cyclic codes**

Let  $p(x) = p_0 + \dots + p_{n-k}x^{n-k} \mid x^n - 1$  be the generator polynomial for a cyclic code  $C$ , and call  $G = \begin{pmatrix} p_0 & \dots & p_{n-k} & 0 \\ & \ddots & & \ddots \\ 0 & & p_0 & \dots & p_{n-k} \end{pmatrix}$  (a  $k \times n$  matrix) the generator matrix of  $C$ .

**Proposition 1.31:**

Let  $q(x) = q_0 + \dots + q_k x^k = \frac{x^n - 1}{p(x)}$  in  $\mathbb{Z}_2[x]$ , and  $H = \begin{pmatrix} 0 & q_k & \dots & q_0 \\ & \ddots & & \ddots \\ q_k & \dots & q_0 & 0 \end{pmatrix}$  (a  $(n - k) \times n$  matrix), then  $H$  is a check matrix for  $C$ .

**Proof of Proposition 1.31:**

Let  $q(x)p(x) = \sum_{d=0}^n f_d x^d$ , then  $f_d = \sum q_i p_{d-i} = 0$  for  $1 \leq d \leq n - 1$  since  $q(x)p(x) = x^n - 1$ .

$$x^n - 1 \Rightarrow HG^\top = \begin{pmatrix} 0 & q_k & \dots & q_0 \\ & \ddots & & \ddots \\ q_k & \dots & q_0 & 0 \end{pmatrix} \begin{pmatrix} p_0 & 0 \\ \vdots & \ddots \\ p_{n-k} & p_0 \\ & \ddots & \vdots \\ 0 & p_{n-k} \end{pmatrix} = \begin{pmatrix} f_{n-1} & \dots & f_{n-k} \\ \vdots & \ddots & \vdots \\ f_k & \dots & f_1 \end{pmatrix} = 0. \text{ Pick}$$

$c \in C$ , then  $c$  can be written as a linear combination of the rows of  $G \Rightarrow Hc = \sum H(\text{some columns of } G^\top) = \sum 0 = 0 \Rightarrow H$  is a check matrix for  $C$ .

**Example:**

Let  $n = 7$ , then  $x^7 - 1 = (x + 1)(x^3 + x + 1)(x^3 + x^2 + 1)$ . Pick  $p(x) = x^3 + x + 1$ , then

$$G = \begin{pmatrix} 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}.$$

Also,  $q(x) = (x + 1)(x^3 + x^2 + 1) = x^4 + x^2 + x + 1 \Rightarrow H =$

$$\begin{pmatrix} 0 & 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 & 1 & 0 & 0 \end{pmatrix}$$

is a check matrix for the cyclic code  $C$  generated by  $p(x) \Rightarrow C = \text{Ham}(3)$ .

## BCH codes

It is usually hard to tell what  $d(C)$  of a cyclic code  $C$  is, but some special cyclic codes allow  $d(C)$  to be computed.

**Definition:**

A polynomial  $f(x) \in \mathbb{Z}_2[x]$  with  $\deg f \geq 1$  is irreducible if it cannot be factorized as a product of polynomials in  $\mathbb{Z}_2[x]$  of smaller degree.

**Example:**

- (1)  $x, x + 1$  are irreducible.
- (2)  $x^2 + 1 = (x + 1)^2$  is reducible but  $x^2 + x + 1$  is irreducible (no root in  $\mathbb{Z}_2$ ).
- (3) The irreducible polynomials of degree 3 are  $x^3 + x + 1$  and  $x^3 + x^2 + 1$ .
- (4) The irreducible polynomials of degree 4 are  $x^4 + x + 1$  and  $x^4 + x^3 + 1$  (note that  $x^4 + x^2 + 1 = (x^2 + x + 1)^2$  is reducible).

**Remark:**

- (1) Every polynomial in  $\mathbb{Z}_2[x]$  is a unique product of irreducible polynomials (using the Euclidean algorithm for polynomials).
- (2) For  $k \geq 1$ ,  $\exists$  a finite field  $\mathbb{F}_{2^k} = \frac{\mathbb{Z}_2[x]}{(p_k(x))}$  of order  $2^k$ , where  $p_k(x) \in \mathbb{Z}_2[x]$  has degree  $k$  and is irreducible.
- (3) The multiplicative group  $\mathbb{F}_{2^k}^* = (\mathbb{F}_{2^k} \setminus \{0\}, \times)$  is cyclic. If  $\mathbb{F}_{2^k}^* = \langle \beta \rangle$ , then  $\beta$  is called a primitive element of  $\mathbb{F}_{2^k}$ .
- (4) Every  $\gamma \in \mathbb{F}_{2^k}$  has a minimum polynomial, which is the unique irreducible polynomial

$m(x) \in \mathbb{Z}_2[x]$  satisfying  $m(\gamma) = 0$ . Also,  $\deg m \leq k$ , and  $m(x) \mid x^{2^k-1} - 1$ .

**Example:**

- (1) Let  $I = (x^2 + x + 1)$ , then  $\mathbb{F}_4 = \frac{\mathbb{Z}_2[x]}{I} = \{0 + I, 1 + I, x + I, 1 + x + I\}$ . Write  $\alpha = x + I$ , then  $\mathbb{F}_4 = \{0, 1, \alpha, 1 + \alpha\}$ , with  $\alpha^2 + \alpha + 1 = 0$ .
- (2)  $\mathbb{F}_8 = \frac{\mathbb{Z}_2[x]}{(x^3 + x + 1)} = \{0, 1, \alpha, 1 + \alpha, \alpha^2, 1 + \alpha^2, \alpha + \alpha^2, 1 + \alpha + \alpha^2\}$ , where  $\alpha = x + I$ ,  $\alpha^3 + \alpha + 1 = 0$ .
- (3)  $\mathbb{F}_4^* = \langle \alpha \rangle = \langle 1 + \alpha \rangle$  has primitive elements  $\alpha$  and  $1 + \alpha$ .
- (4)  $|\mathbb{F}_8^*| = 7 \Rightarrow$  all its elements (except 1) are primitive.
- (5) Let  $I = (x^4 + x + 1)$ ,  $\mathbb{F}_{16} = \frac{\mathbb{Z}_2[x]}{I}$ ,  $\alpha = x + I$ , then  $\alpha^4 + \alpha + 1 = 0$ . Also, since  $\text{ord}(\alpha) \mid |\mathbb{F}_{16}^*| = 15$ ,  $\alpha^3 \neq 1$  and  $\alpha^5 = \alpha^2 + \alpha \neq 1$ ,  $\text{ord}(\alpha) = 15 \Rightarrow \mathbb{F}_{16}^* = \langle \alpha \rangle$ .
- (6) In  $\mathbb{F}_8$ ,  $\alpha$  and  $\alpha^2$  have minimum polynomial  $x^3 + x + 1$  (note that  $\alpha^6 + \alpha^2 + 1 = (\alpha^3 + \alpha + 1)^2 = 0$ ), and  $\alpha^3$  has minimum polynomial  $x^3 + x^2 + 1$ .

**Definition:**

Let  $k, d \in \mathbb{Z}_{\geq 2}$ ,  $\beta$  be a primitive element of  $\mathbb{F}_{2^k}$ ,  $m_i(x)$  be the minimum polynomial of  $\beta^i$ ,  $p(x) = \text{lcm}\{m_1(x), \dots, m_{d-1}(x)\}$  and  $n = 2^k - 1$ , then  $p(x) \mid x^n - 1$ , and the cyclic code of length  $n$  generated by  $p(x)$  is called the BCH code of length  $n$  and designed distance  $d$ .

**Example:**

- (1) Let  $k = 3, d = 3$ . In  $\mathbb{F}_8$ , pick a primitive element  $\alpha$ , then  $m_1(x) = m_2(x) = x^3 + x + 1 \Rightarrow$  the BCH code is  $\text{Ham}(3)$ .
- (2) Let  $d = 4$ , then  $m_3(x) = x^3 + x^2 + 1 \Rightarrow p(x) = (x^3 + x + 1)(x^3 + x^2 + 1) = x^6 + \dots + 1 \Rightarrow$  the BCH code is  $\{0^7, 1^7\}$ .

**Theorem 1.32:**

Let  $n = 2^k - 1$ ,  $C$  be the BCH code of length  $n$  and designed distance  $d$ . Then:

- (1)  $d(C) \geq d$ ,
- (2)  $\dim C \geq n - \left\lfloor \frac{d}{2} \right\rfloor k$ .

**Proof of Theorem 1.32:**

Too hard.

**Remark:**

$\deg p \leq (d-1)k \Rightarrow \dim C = n - \deg p \geq n - (d-1)k \Rightarrow$  the bound in Theorem 1.32 is much better than expected.



**Example:**

- (1) Let  $k = 4$ , then  $\exists$  a primitive element  $\alpha \in \mathbb{F}_{16}$  with minimum polynomial  $x^4 + x + 1 \Rightarrow m_1(x) = m_2(x) = m_4(x) = x^4 + x + 1$ , and  $m_3(x) \mid x^5 - 1$  since  $\text{ord}(\alpha^3) = 5 \Rightarrow m_3(x) = x^4 + x^3 + x^2 + x + 1$ .
- (2) Let  $d = 3$ , then  $p(x) = \text{lcm}\{m_1, m_2\} = x^4 + x + 1$  from (1)  $\Rightarrow$  the BCH code  $C$  has dimension  $15 - \deg p = 11$  and  $d(C) \geq d = 3$ .
- (3) Let  $d = 5$ , then  $p(x) = \text{lcm}\{m_1, m_2, m_3, m_4\} = (x^4 + x + 1)(x^4 + x^3 + x^2 + x + 1)$  from (1)  $\Rightarrow$  the BCH code  $C$  has dimension  $15 - \deg p = 7$  and  $d(C) \geq d = 5$ .

**Remark:**





Since  $1 + \binom{14}{1} + \binom{14}{2} + \binom{14}{3} = 1 + 14 + 91 + 364 = 470 \geq 2^{15-7}$ , the GV bound cannot prove that  $\exists$  a linear code of length 15 and dimension 7 which corrects 2 errors  $\Rightarrow$  BCH beats GV.

## 2 Strongly Regular Graphs

### Definition:

- (1) A graph  $\Gamma = (V, E)$  is a set of vertices  $V$  and a set of edges  $E$ .
- (2)  $\Gamma$  is regular with valency  $k$  if every vertex has  $k$  neighbours.
- (3) A path in  $\Gamma$  of length  $r$  is a sequence of vertices  $v_0, \dots, v_r$  where  $v_i$  is joined to  $v_{i+1} \forall i$ .
- (4)  $\Gamma$  is connected if  $\exists$  a path from  $v$  to  $w \forall v, w \in V$ .
- (5) If  $\Gamma$  is connected, the distance between  $v$  and  $w$  is  $d(v, w)$  = length of shortest path from  $v$  to  $w$ , and the diameter of  $\Gamma$  is  $\text{diam}(\Gamma) = \max \{d(v, w) : v, w \in V\}$ .
- (6) 2 graphs  $(V, E)$  and  $(V', E')$  are isomorphic if  $\exists$  a bijection  $V \rightarrow V'$  which sends  $E$  to  $E'$ .

### Example:

- (1)  $\times$  is a disconnected graph.
- (2)  is connected, with regular valency 2 and diameter 3.
- (3)  is the Petersen graph, connected with regular valency 3 and diameter 2.
- (4)  $\text{diam}(\Gamma) = 1 \Rightarrow$  any 2 vertices are joined by an edge. Such a graph with  $v$  vertices is called the complete graph  $K_v$ .
- (5)   $\cong$  .

### Proposition 2.1:

Suppose  $\Gamma$  is a connected graph that is regular with valency  $k$  and diameter  $d$ . Then

$$|V(\Gamma)| \leq N(k, d) = 1 + \sum_{i=1}^d k(k-1)^{i-1}.$$

### Proof of Proposition 2.1:

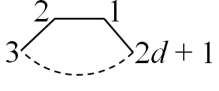
Pick  $x \in V(\Gamma)$ . For  $i \geq 1$ , let  $D_i = \{y \in V(\Gamma) : d(x, y) = i\}$ , then  $|D_1| = k \Rightarrow |D_2| \leq (k-1)|D_1| = k(k-1) \Rightarrow |D_3| \leq (k-1)|D_2| = k(k-1)^2 \Rightarrow \dots \Rightarrow$  since  $\text{diam}(\Gamma) = d$ ,

$$V(\Gamma) = \{x\} \cup D_1 \cup \dots \cup D_d \Rightarrow |V(\Gamma)| = 1 + \sum_{i=1}^d |D_i| \leq 1 + \sum_{i=1}^d k(k-1)^{i-1}.$$

### Definition:

Call  $\Gamma$  a Moore graph if  $\Gamma$  is connected with regular valency  $k$  and diameter  $d$ , with  $|V(\Gamma)| = N(k, d)$ .

### Example:

- (1) Let  $k = 2$ , then  $|V(\Gamma)| = 1 + \sum_{i=1}^d 2 = 2d + 1$ . Indeed,  is a Moore graph.
- (2) Let  $k = 3$ ,  $d = 2$ , then  $|V(\Gamma)| = 1 + 3 + 6 = 10$ . The Petersen graph is such a graph, and is the only such Moore graph up to isomorphism.
- (3) Let  $d = 2$ , then  $|V(\Gamma)| = 1 + k + k(k - 1) = k^2 + 1$ , and there are no  $\triangle$ s nor  $\square$ s in  $\Gamma$ . Let  $k = 4$ , and pick 2 joined vertices  $v, w \in V(\Gamma)$ , with neighbours  $a, b, c$  and  $x, y, z$  respectively. Since  $\text{diam}(\Gamma) = 2$ ,  $a$  and  $x$  must have a common neighbour  $(a, x)$  which is a new vertex. Similarly, there are new vertices  $(a, y), \dots, (c, z)$ . Also, there are 2 neighbours of  $(a, x)$  among the 9 new vertices that are not of the form  $(a, ?)$  nor  $(?, x)$  (else there will be a  $\triangle$ ), so the possibilities are  $(b, y), (b, z), (c, y), (c, z)$ . WLOG, if  $(a, x)$  and  $(b, y)$  are joined, then  $(a, x)$  cannot be joined to  $(b, z)$  nor  $(c, y)$  (else there will be a  $\square$ )  $\Rightarrow (a, x)$  and  $(c, z)$  are joined. Similarly,  $(b, y)$  is joined to  $(a, x)$  and  $(c, z)$ , and  $(c, z)$  is joined to  $(a, x)$  and  $(b, y)$  ( $\Rightarrow \Leftarrow$  since there is a  $\triangle$ )  $\Rightarrow \nexists \Gamma$ .

### Definition:

A graph  $\Gamma$  is strongly regular with parameters  $(v, k, a, b)$  if:

- (1)  $\Gamma$  has  $v$  vertices,
- (2)  $\Gamma$  is regular with valency  $k$ ,
- (3) any 2 joined vertices of  $\Gamma$  have  $a$  common neighbours,
- (4) any 2 non-joined vertices of  $\Gamma$  have  $b$  common neighbours.

### Proposition 2.2:

If  $\Gamma$  is strongly regular with parameters  $(v, k, a, b)$ , then:

- (1)  $\Gamma$  is connected and  $\text{diam}(\Gamma) = 2$  if  $b > 0$ ,
- (2)  $\Gamma$  is a disjoint union of complete graphs  $K_{k+1}$  if  $b = 0$ .

### Proof of Proposition 2.2:

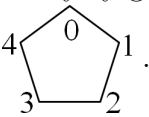
- (1) If  $b > 0$ , then  $\exists$  a path of length 2 between any 2 non-joined vertices of  $\Gamma \Rightarrow \text{diam}(\Gamma) = 2$ .
- (2) If  $b = 0$ , let the neighbours of a vertex  $x \in V(\Gamma)$  be  $x_1, \dots, x_k$ , then  $x_i, x_j$  are joined  $\forall i \neq j$  (else  $b > 0$ )  $\Rightarrow x, x_1, \dots, x_k$  form a complete graph  $K_{k+1}$ . Any other vertex  $y \in V(\Gamma)$  is not joined to  $x \Rightarrow y$  is not joined to  $x_1, \dots, x_k$  too  $\Rightarrow y$  and its neighbours form another  $K_{k+1}$ .

### Example:

- (1) Moore graphs of diameter 2 are strongly regular, with parameters  $(k^2 + 1, k, 0, 1)$ , since

there are no  $\triangle$ s and  $\square$ s.

- (2) For  $n \geq 4$ , let the  $\binom{n}{2}$  pairs from  $\{1, \dots, n\}$  be vertices of  $\Gamma$ , and join  $\{i, j\}, \{k, l\}$  iff  $|\{i, j\} \cap \{k, l\}| = 1$ . Then  $\Gamma$  is strongly regular with parameters  $v = \binom{n}{2}$ ,  $k = 2n - 4$ ,  $a = n - 2$ ,  $b = 4$ , called the triangular graph  $T(n)$ .
- (3) Let the ordered pairs  $(i, j)$  (where  $i, j \in \{1, \dots, n\}$ ) be vertices of  $\Gamma$ , and join  $(i, j), (k, l)$  iff  $i = k$  or  $j = l$ . Then  $\Gamma$  is strongly regular with parameters  $v = n^2$ ,  $k = 2n - 2$ ,  $a = n - 2$ ,  $b = 2$ , called the lattice graph  $L(n)$ .
- (4) Let  $p > 2$ ,  $p \equiv 1 \pmod{4}$  be a prime, such that  $\mathbb{Z}_p = \{0, \dots, p-1\}$  (with addition and multiplication mod  $p$ ) is a field. Let  $Q = \{x^2 : x \in \mathbb{Z}_p^*\}$ ,  $\psi : \mathbb{Z}_p^* \rightarrow Q$ ,  $x \mapsto x^2$ , then  $\psi$  is a homomorphism with  $\text{Ker } \psi = \{x : x^2 = 1\} = \{x : (x+1)(x-1) = 0\} = \{\pm 1\} \Rightarrow |Q| = |\text{Im } \psi| = \frac{|\mathbb{Z}_p^*|}{|\text{Ker } \psi|} = \frac{p-1}{2} \equiv 0 \pmod{2} \Rightarrow -1 \in Q$ , since  $Q$  must contain an element of order 2. Let  $V(\Gamma) = \mathbb{Z}_p$ , and join  $x, y$  iff  $x - y \in Q$  (iff  $y - x \in Q$ ), then  $\Gamma$  is called the Paley graph  $P(p)$ .

- (5)  $P(5)$  is .

### Proposition 2.3:

$P(p)$  is strongly regular, with parameters  $v = p$ ,  $k = \frac{p-1}{2}$ ,  $a = \frac{p-5}{4}$ ,  $b = \frac{p-1}{4}$ .

### Proof of Proposition 2.3:

Clearly  $k = |Q| = \frac{p-1}{2}$ . Now pick  $x, y \in V(P(p))$  where  $x \neq y$ , and we aim to find the number of  $z \in V(P(p))$  such that  $(x, z), (y, z) \in E(P(p))$  ie.  $z - x = n^2 \pmod{p}$ ,  $z - y = m^2 \pmod{p} \Rightarrow x - y = m^2 - n^2 = (m+n)(m-n) \pmod{p}$ . Since  $\mathbb{Z}_p$  is a field, number of distinct solutions  $(m+n, m-n) \in \mathbb{Z}_p^2 = (\text{number of distinct divisors of } q) = p-1 \Rightarrow$  number of distinct solutions  $(m, n) \in \mathbb{Z}_p^2 = p-1$ . But  $x - y \in Q \Rightarrow (\pm m, 0), (0, \pm n)$  should be excluded (else  $z = x$  or  $z = y$ ). Also, note that  $(c, d), (m, n)$  give the same value of  $z \Leftrightarrow c^2 - m^2 \equiv (c+m)(c-m) \equiv d^2 - n^2 \equiv (d+n)(d-n) \equiv 0 \pmod{p} \Leftrightarrow c = \pm m, d = \pm n$ . Hence  $x, y$  have  $\frac{(p-1)-4}{2^2} = \frac{p-5}{4}$  common neighbours  $z$  if they are joined,  $\frac{p-1}{2^2} = \frac{p-1}{4}$  common neighbours otherwise  $\Rightarrow P(p)$  is strongly regular, with  $a = \frac{p-5}{4}$ ,  $b = \frac{p-1}{4}$ .

### Proposition 2.4:

If  $\Gamma$  is strongly regular with parameters  $(v, k, a, b)$ , then  $k(k-a-1) = b(v-k-1)$ .

### Proof of Proposition 2.4:

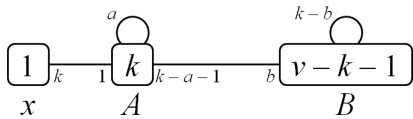
Pick  $x \in V(\Gamma)$ , and let  $A$  be the set of  $k$  neighbours of  $x$ ,  $B$  be the set of  $v-k-1$  non-

neighbours of  $x$ ,  $N$  be the number of edges joining a vertex in  $A$  to a vertex in  $B$ . Each vertex in  $A$  is joined to  $k - a - 1$  vertices in  $B$ , and each vertex in  $B$  is joined to  $b$  vertices in  $A \Rightarrow (k - a - 1)|A| = N = b|B| \Rightarrow k(k - a - 1) = b(v - k - 1)$ .

### Example:

Moore graphs of diameter 2 are strongly regular, with parameters  $(v, k, 0, 1) \Rightarrow k(k - 1) = v - k - 1 \Rightarrow v = k^2 + 1$  indeed.

### Remark:

We can draw the “balloon” picture  if  $\Gamma$  is strongly regular.

### Definition:

Replace all edges of  $\Gamma$  with non-edges and vice-versa but keep the same vertex set, then the new graph obtained is  $\Gamma^c$ , called the complement of  $\Gamma$ .

### Proposition 2.5:

If  $\Gamma$  is strongly regular with parameters  $(v, k, a, b)$ , then  $\Gamma^c$  is also strongly regular, with parameters  $(v, v - k - 1, v - 2k + b - 2, v - 2k + a)$ .

### Proof of Proposition 2.5:

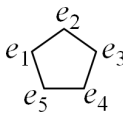
Pick  $x \in \Gamma^c$ , and let  $B$  be the set of neighbours of  $x$  in  $\Gamma^c$  (ie. the set of non-neighbours of  $x$  in  $\Gamma$ ),  $A$  be the set of non-neighbours of  $x$  in  $\Gamma^c$  (ie. the set of neighbours of  $x$  in  $\Gamma$ ), then clearly  $|B| = v - k - 1$ . Also, in  $\Gamma$ , any vertex  $v \in A$  is joined to  $k - a - 1$  vertices in  $B \Rightarrow$  in  $\Gamma^c$ ,  $v$  is joined to  $|B| - (k - a - 1) = v - k - 1 - k + a + 1 = v - 2k + a$  vertices in  $B$ . Moreover, in  $\Gamma$ , any vertex  $w \in B$  is joined to  $k - b$  other vertices in  $B \Rightarrow$  in  $\Gamma^c$ ,  $w$  is joined to  $|B| - (k - b) - 1 = v - k - 1 - k + b - 1 = v - 2k + b - 2$  vertices in  $B$ . Hence  $\Gamma^c$  is strongly regular, with parameters  $(v, v - k - 1, v - 2k + b - 2, v - 2k + a)$ .

## Adjacency matrices

### Definition:

Let  $\Gamma$  be a graph with vertex set  $\{e_1, \dots, e_v\}$ . The adjacency matrix of  $\Gamma$  is the  $v \times v$  matrix  $A = (a_{ij})$ , with  $a_{ij} = 1$  if  $e_i$  is joined to  $e_j$ , 0 otherwise.

### Example:

The adjacency matrix for  is  $A = \begin{pmatrix} 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 \end{pmatrix}$ .

**Remark:**

- (1)  $A$  is symmetric, with all entries 0 or 1.
- (2)  $A$  has 0's on its main diagonal.

**Proposition 2.6:**

Let  $\Gamma$  be a strongly regular graph with parameters  $(v, k, a, b)$ ,  $A$  be its adjacency matrix, and  $J$  be the  $v \times v$  matrix consisting of all 1's. Then:

- (1)  $AJ = kJ$ ,
- (2)  $A^2 = (a - b)A + (k - b)I + bJ$ .

**Proof of Proposition 2.6:**

- (1)  $\Gamma$  is regular with valency  $k \Rightarrow$  each row of  $A$  has exactly  $k$  1's  $\Rightarrow AJ = kJ$ .
- (2) Since  $A$  is symmetric,  $(A^2)_{ij} = (AA^T)_{ij} = (\text{row } i \text{ of } A) \cdot (\text{column } j \text{ of } A^T) = (\text{row } i \text{ of } A) \cdot (\text{row } j \text{ of } A) = k$  if  $i = j$ ,  $a$  if  $i \neq j$  and  $e_i, e_j$  are joined,  $b$  otherwise  $\Rightarrow A^2$  has  $k$ 's on its main diagonal,  $a$ 's where  $A$  has 1's, and  $b$ 's where  $A$  has 0's  $\Rightarrow A^2 = kI + aA + b(J - A - I) = (a - b)A + (k - b)I + bJ$ .

## Eigenvalues of adjacency matrices

The adjacency matrix  $A$  of a graph  $\Gamma$  is real and symmetric, so it has real eigenvalues and is diagonalizable.

**Definition:**

The multiplicity of an eigenvalue  $\lambda$  is the number of times it appears in  $\begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_v \end{pmatrix} = P^{-1}AP$  for some  $P$ .

**Lemma 2.7:**

Let  $A$  be a real  $v \times v$  matrix with eigenvalues  $\lambda_1, \dots, \lambda_v$ , then  $\text{Trace}(A) = \sum_{i=1}^v \lambda_i$ .

**Proof of Lemma 2.7:**

$\lambda_1, \dots, \lambda_v$  are the roots of  $\det(xI - A) = (x - a_{11}) \cdots (x - a_{vv}) + (\text{terms of degree } \leq v - 2) = x^v - (a_{11} + \cdots + a_{vv})x^{v-1} + \cdots$ . Since  $\det(xI - A)$  is also  $= (x - \lambda_1) \cdots (x - \lambda_v)$ , comparing coefficients of  $x^{v-1}$  gives  $\sum_{i=1}^v \lambda_i = \sum_{i=1}^v a_{ii} = \text{Trace}(A)$ .

**Theorem 2.8:**

Let  $\Gamma$  be a strongly regular graph with parameters  $(v, k, a, b)$  and adjacency matrix  $A$ . Assume WLOG that  $v > 2k$  (else pick  $\Gamma^c$ ), and suppose  $\Gamma$  is connected (ie.  $b > 0$ ). Then:

- (1)  $A$  has exactly 3 distinct eigenvalues  $k, r_1, r_2$ , where  $r_1, r_2$  satisfy  $x^2 - (a - b)x - (k - b) = 0$ ,
- (2) eigenvalue  $k$  has multiplicity 1, and if  $m_1, m_2$  are the multiplicities of  $r_1, r_2$  respectively, then  $m_1 + m_2 = v - 1$  and  $m_1 r_1 + m_2 r_2 = -k$ ,
- (3)  $r_1, r_2 \in \mathbb{Z}$  unless  $(v, k, a, b)$  has the form  $(4b + 1, 2b, b - 1, b)$ .

**Proof of Theorem 2.8:**

By Proposition 2.6(1),  $AJ = kJ \Rightarrow$  let  $\mathbf{j} = (1 \cdots 1)^\top$ , then  $A\mathbf{j} = k\mathbf{j} \Rightarrow k$  is an eigenvalue of  $A$ .

Now let  $\mathbf{w}$  be an eigenvector of  $A$  with  $\mathbf{w} \notin \text{Sp}(\mathbf{j})$  such that  $A\mathbf{w} = \lambda\mathbf{w}$ , then by Proposition 2.6(2),  $A^2\mathbf{w} = (a - b)A\mathbf{w} + (k - b)I\mathbf{w} + bJ\mathbf{w} \Rightarrow \lambda^2\mathbf{w} = (a - b)\lambda\mathbf{w} + (k - b)\mathbf{w} + b(c\mathbf{j})$  (where  $c = \text{sum of coordinates of } \mathbf{w}$ )  $\Rightarrow (\lambda^2 - (a - b)\lambda - (k - b))\mathbf{w} = bc\mathbf{j} \in \text{Sp}(\mathbf{j}) \Rightarrow$  since  $\mathbf{w} \notin \text{Sp}(\mathbf{j})$ ,  $\lambda^2 - (a - b)\lambda - (k - b) = 0$  ie.  $\lambda$  satisfies  $x^2 - (a - b)x - (k - b) = 0$ .

Let the roots of  $x^2 - (a - b)x - (k - b) = 0$  be  $r_1$  and  $r_2$ , and suppose  $k = r_1$  or  $r_2 \Rightarrow k^2 - (a - b)k - (k - b) = 0$ . But by Proposition 2.4,  $k(k - a - 1) = b(v - k - 1) \Rightarrow k^2 - (a - b)k - (k - b) = bv \Rightarrow bv = 0 \Rightarrow b = 0 (\Rightarrow \Leftarrow) \Rightarrow k \neq r_1, r_2 \Rightarrow$  the eigenspace for  $k$  is  $\text{Sp}(\mathbf{j}) \Rightarrow k$  has multiplicity 1. Moreover, if  $r_1 = r_2$ , then  $(a - b)^2 + 4(k - b) = 0 \Rightarrow$  since  $k \geq b$ , we must have  $(a - b)^2 = 4(k - b) = 0 \Rightarrow a = b = k (\Rightarrow \Leftarrow \text{ as } k - 1 \geq a) \Rightarrow r_1 \neq r_2$ . Hence  $k, r_1, r_2$  are all distinct.

Let the multiplicities of  $r_1$  and  $r_2$  be  $m_1$  and  $m_2$  respectively, then  $m_1 + m_2 = v - 1$  since  $A$  is a  $v \times v$  matrix, and  $m_1 r_1 + m_2 r_2 + k = \text{Trace}(A) = 0 \Rightarrow m_1 r_1 + m_2 r_2 = -k$ .

Now let  $D = (a - b)^2 + 4(k - b)$ , then  $r_1, r_2 = \frac{1}{2}((a - b) \pm \sqrt{D}) \Rightarrow 2(m_1 r_1 + m_2 r_2) = (m_1 + m_2)(a - b) + (m_1 - m_2)\sqrt{D} = -2k \Rightarrow$  if  $m_1 \neq m_2$ , then  $\sqrt{D} \in \mathbb{Q} \Rightarrow \sqrt{D} \in \mathbb{Z} \Rightarrow r_1, r_2$  are either both  $\in \mathbb{Z}$  or both of the form  $z + \frac{1}{2}$  for some  $z \in \mathbb{Z}$ . If the latter is true, then  $r_1 r_2 = -(k - b) \notin \mathbb{Z} (\Rightarrow \Leftarrow) \Rightarrow r_1, r_2 \in \mathbb{Z}$ . In particular, if  $m_2 = 0$ , then  $m_1 = v - 1$  and  $m_1 r_1 = -k \Rightarrow v - 1 \mid k \Rightarrow v - 1 \leq k (\Rightarrow \Leftarrow \text{ since } v > 2k) \Rightarrow m_1, m_2 > 0 \Rightarrow A$  has exactly 3 eigenvalues.

Otherwise, if  $m_1 = m_2 = m$ , then  $2m = v - 1$  and  $2m(a - b) = -2k \Rightarrow (v - 1)(a - b) = -2k \Rightarrow v - 1 \leq 2k$ . Since  $v > 2k$  by assumption, we must have  $v = 2k + 1 \Rightarrow a - b = -1 \Rightarrow$  by Proposition 2.4,  $k(k - a - 1) = b(v - k - 1) = bk \Rightarrow b = k - a - 1 = k - b \Rightarrow k = 2b \Rightarrow (v, k, a, b) = (4b + 1, 2b, b - 1, b)$ .

**Remark:**

If  $v \leq 2k$ , then  $\Gamma^c$  is strongly regular, and  $v' = v > v + (v - 2k - 2) = 2(v - k - 1) = 2k' \Rightarrow$  Theorem 2.8 applies to  $\Gamma^c$  if it is connected. Otherwise,  $\Gamma^c$  is a disjoint union of complete graphs  $\Rightarrow$  we know what  $\Gamma^c$  is.

**Theorem 2.9:**

If  $\exists$  a Moore graph of valency  $k$  and diameter 2, then  $k = 2, 3, 7$  or  $57$ .

**Proof of Theorem 2.9:**

Let  $\Gamma$  be such a Moore graph, then  $\Gamma$  is strongly regular with parameters  $(k^2 + 1, k, 0, 1)$ . Let  $A$  be the adjacency matrix of  $\Gamma$ , then since  $b > 0$  and  $k^2 + 1 > 2k$  for  $k > 1$ , by Theorem 2.8(1),  $A$  has 3 eigenvalues  $k, r_1, r_2$  where  $r_1, r_2$  are the roots of  $x^2 + x - (k - 1) = 0 \Rightarrow r_1, r_2 = \frac{1}{2}(-1 \pm \sqrt{4k - 3})$ . Also, by Theorem 2.8(2), the multiplicities of  $r_1, r_2$  satisfy  $m_1 + m_2 = k^2$  and  $m_1 r_1 + m_2 r_2 = -k \Rightarrow \frac{1}{2}(-m_1 - m_2) + \frac{1}{2}(m_1 - m_2)\sqrt{4k - 3} = -k \Rightarrow (m_1 - m_2)\sqrt{4k - 3} = k^2 - 2k$ .

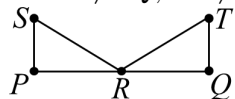
If  $k = 2b = 2$ , then  $\Gamma = \text{pentagon}$ . Otherwise, if  $k > 2$ ,  $r_1, r_2 \in \mathbb{Z} \Rightarrow \sqrt{4k - 3} \in \mathbb{Z}$  by Theorem 2.8(3). Let  $n = \sqrt{4k - 3}$ , then  $k = \frac{n^2 + 3}{4} \Rightarrow n(m_1 - m_2) = k(k - 2) = \frac{n^2 + 3}{4} \times \frac{n^2 - 5}{4} \Rightarrow m_1 - m_2 = \frac{(n^2 + 3)(n^2 - 5)}{16n} \in \mathbb{Z} \Rightarrow n \mid (n^2 + 3)(n^2 - 5) \Rightarrow n \mid 15 \Rightarrow n = 1, 3, 5$  or  $15 \Rightarrow k = 1 (\Rightarrow \Leftarrow), 3, 7$  or  $57$ . Hence  $k = 2, 3, 7$  or  $57$ .

**Theorem 2.10 (Friendship Theorem):**

If  $\Gamma$  is a graph in which any 2 vertices have exactly 1 common neighbour, then  $\exists$  a vertex that is joined to all the other vertices.

**Proof of Theorem 2.10:**

Such  $\exists$  such a  $\Gamma$  but no vertex is joined to all the other vertices. Let  $v(P)$  be the number of neighbours of  $P$ , and  $R$  be the common neighbour of  $P$  and  $Q$ , where  $P$  and  $Q$  are not joined. Let  $S$  be the common neighbour of  $P$  and  $R$ , and  $T$  be the common neighbour of  $Q$  and  $R$ , then  $S \neq Q$ ,  $T \neq P$  and  $S \neq T$  (else  $P$  and  $Q$  have 2 common neighbours) ie. we have



Let the remaining neighbours of  $P$  be  $u_1, \dots, u_r$ , then the



common neighbour of  $u_1$  and  $Q$  cannot be  $T$  (else  $P$  and  $T$  have 2 common neighbours) nor  $R$  (else  $P$  and  $R$  have 2 common neighbours)  $\Rightarrow$  it is a new vertex  $v_1$ . Similarly, the common neighbour of  $u_2$  and  $Q$  cannot be  $T, R$  nor  $v_1$  (else  $P$  and  $v_1$  have 2 common neighbours)  $\Rightarrow$  it is a new vertex  $v_2 \Rightarrow$  repeating  $\forall u_i$  gives  $v(P) = r + 2 \leq v(Q)$ . Likewise,  $v(Q) \leq v(P) \Rightarrow v(P) = v(Q) \Rightarrow$  any 2 non-joined vertices have the same number of neighbours.

Now let  $B$  be a vertex that is not  $P, Q$  nor  $R$ , then  $v(B) = v(P) = v(Q)$  since  $B$  is not joined to either  $P$  or  $Q$  (or both). Also, by assumption, let  $C$  be a vertex that is not joined to  $R$ , then  $v(Q) = v(C) = v(R)$  too  $\Rightarrow$  every vertex has the same number of neighbours as  $Q \Rightarrow \Gamma$  is regular  $\Rightarrow \Gamma$  is strongly regular with parameters  $(v, k, 1, 1)$ .

By Proposition 2.4,  $k(k-2) = v - k - 1 \Rightarrow v = k^2 - k + 1 \Rightarrow v > 2k$  iff  $k \geq 3$ . If  $k = 2$ , then  $\Gamma = \Delta (\Rightarrow \Leftarrow) \Rightarrow v > 2k \Rightarrow$  let  $A$  be the adjacency matrix of  $\Gamma$ , then by Theorem 2.8(1),  $A$  has 3 eigenvalues  $k, r_1, r_2$  where  $r_1, r_2$  are the roots of  $x^2 - (k-1)x = 0 \Rightarrow r_1 = \sqrt{k-1}, r_2 = -\sqrt{k-1}$ . Also, by Theorem 2.8(2),  $m_1 + m_2 = v - 1 = k^2 - k$  and  $m_1 r_1 + m_2 r_2 = -k \Rightarrow (m_1 - m_2)\sqrt{k-1} = -k \Rightarrow (m_1 - m_2)^2(k-1) = k^2 \Rightarrow k-1 \mid k^2 \Rightarrow k-1 \mid 1 \Rightarrow k = 0$  or  $2 (\Rightarrow \Leftarrow) \Rightarrow \nexists \Gamma$ .

## Strongly regular graphs with small $v$

### Example:

- (1)  $T(6)$  has parameters  $(15, 8, 4, 4)$ .
- (2)  $T(6)^c$  has parameters  $(15, 6, 1, 3)$ .
- (3)  $(K_3)^5$  has parameters  $(15, 2, 1, 0)$ , and  $(K_5)^3$  has parameters  $(15, 4, 3, 0)$ .
- (4)  $[(K_3)^5]^c$  has parameters  $(15, 12, 9, 12)$ , and  $[(K_5)^3]^c$  has parameters  $(15, 10, 5, 10)$ .

### Proposition 2.11:

If  $\Gamma$  is strongly regular with  $v = 15$ , then  $\Gamma = T(6), (K_3)^5, (K_5)^3$  or their complements.

### Proof of Proposition 2.11:

Let  $\Gamma$  have parameters  $(15, k, a, b)$ . If  $15 \leq 2k$ , replace  $\Gamma$  by  $\Gamma^c \Rightarrow$  assume WLOG that  $15 > 2k$ . If  $b = 0$ , then  $\Gamma = (K_3)^5$  or  $(K_5)^3$  by Proposition 2.2. If  $b > 0$ , then  $2 \leq k \leq 7$ .

If  $k = 2$ , then  $\Gamma$  is a 15-gon ( $\Rightarrow \Leftarrow$  since  $\Gamma$  is not strongly regular).

If  $k = 3$ , by Proposition 2.4,  $3(2-a) = 11b \Rightarrow 11 \mid 2-a \Rightarrow a = 2 \Rightarrow b = 0 (\Rightarrow \Leftarrow)$ .

If  $k = 4$ , by Proposition 2.4,  $4(3-a) = 10b \Rightarrow 5 \mid 3-a \Rightarrow a = 3 \Rightarrow b = 0 (\Rightarrow \Leftarrow)$ .

If  $k = 5$ , by Proposition 2.4,  $5(4 - a) = 9b \Rightarrow 9 \mid 4 - a \Rightarrow a = 4 \Rightarrow b = 0 \ (\Rightarrow \Leftarrow)$ .

If  $k = 6$ , by Proposition 2.4,  $6(5 - a) = 8b \Rightarrow 8 \mid 5 - a \Rightarrow a = 1 \Rightarrow b = 3 \Rightarrow \Gamma = T(6)^c$ .

If  $k = 7$ , by Proposition 2.4,  $7(6 - a) = 7b \Rightarrow b = 6 - a$ . Also, by Theorem 2.8, the eigenvalues of the adjacency matrix of  $\Gamma$  are  $7, r_1, r_2$  where  $r_1, r_2$  are the roots of  $x^2 - (a - b)x - (k - b) = x^2 - (2a - 6)x - (1 + a) = 0 \Rightarrow r_1, r_2 = a - 3 \pm \sqrt{(a - 3)^2 + (1 + a)} = a - 3 \pm \sqrt{a^2 - 5a + 10}$ .

Since  $r_1, r_2 \in \mathbb{Z}$ ,  $a^2 - 5a + 10$  is a perfect square, and  $0 \leq a \leq k - 1 = 5 \Rightarrow a = 2$  or  $3$ . If  $a = 2$ , then  $r_1 = 1, r_2 = -3 \Rightarrow m_1 + m_2 = 14$  and  $m_1 - 3m_2 = -7 \Rightarrow 4m_2 = 21 \ (\Rightarrow \Leftarrow)$ . If  $a = 3$ , then  $r_1 = 2, r_2 = -2 \Rightarrow m_1 + m_2 = 14$  and  $2m_1 - 2m_2 = -7 \Rightarrow 4m_1 = 21 \ (\Rightarrow \Leftarrow)$ .

Hence  $\Gamma = T(6)^c, (K_3)^5$  or  $(K_5)^3 \Rightarrow \Gamma = T(6), (K_3)^5, (K_5)^3$  or their complements.

## 2-weight codes & strongly regular graphs

### Definition:

A linear code  $C \subseteq \mathbb{Z}_2^n$  is a 2-weight code if  $\exists w_1, w_2 > 0, w_1 \neq w_2$ , such that  $\text{wt}(c) = w_1$  or  $w_2 \ \forall c \in C \setminus \{0\}$ , and both occur.

### Example:

- (1)  $H' \subseteq \mathbb{Z}_2^8$  has weights 0, 4 or 8  $\Rightarrow$  it is a 2-weight code.
- (2)  $C = \{v \in \mathbb{Z}_2^5 : \text{wt}(v) \text{ even}\}$  is a 2-weight code.
- (3)  $C = \{c \in G_{24} : c_{16} = \dots = c_{24} = 0\}$  has weights 0, 8 or 12  $\Rightarrow C$  is a 2-weight code.

### Definition:

A linear code is projective if it has a generator matrix whose columns are distinct and non-zero.

### Example:

$H'$  is projective, with generator matrix 
$$\begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 0 & 1 & 1 \end{pmatrix}.$$

### Theorem 2.12:

Let  $C \subseteq \mathbb{Z}_2^n$  be a projective 2-weight linear code, with weights  $w_1, w_2 > 0, w_1 \neq w_2$ . Define  $\Gamma$  with  $V(\Gamma) = C$ , and join  $a, b$  iff  $d(a, b) = \text{wt}(a + b) = w_1$ , then  $\Gamma$  is strongly regular.

### Proof of Theorem 2.12:

Let  $\dim C = k$  and  $b_i$  be the number of codewords of weight  $w_i$  for  $i = 1, 2$ , then clearly  $\Gamma$

is regular with valency  $b_1$ . Define  $A_i$  to be the  $b_i \times n$  matrix whose rows are the codewords with weight  $w_i$ ,  $A = \begin{pmatrix} A_1 \\ A_2 \end{pmatrix}$ , and  $\phi_t : C \rightarrow \mathbb{Z}_2$  by  $\phi_t(x_1, \dots, x_n) = x_t$ . Since every column of  $A$  has a non-zero element,  $\phi_t$  is surjective  $\Rightarrow \dim \text{Ker } \phi_t = k - 1 \Rightarrow$  each column of  $A$  has  $2^{k-1} - 1$  0's and  $2^{k-1}$  1's  $\Rightarrow$  number of 1's in  $A = n \times 2^{k-1} = b_1 w_1 + b_2 w_2 \Rightarrow$  we can solve for  $b_i$  as  $b_1 + b_2 = 2^k - 1$ .

For any fixed  $j$ , let  $r_i$  be the number of 0's in column  $j$  of  $A_i$ . Since codewords  $c \in C$  with  $c_j = 0$  form a 2-weight projective subcode of  $C$ , we can calculate  $r_i$  in the same way we did for  $b_i$  (ie.  $r_1 + r_2 = 2^{k-1} - 1$  and  $r_1 w_1 + r_2 w_2 = (n - 1) \times 2^{k-2}$ )  $\Rightarrow$  every column of  $A_i$  has  $r_i$  0's. Let  $A_1$  have rows  $a_1, \dots, a_{b_1}$ ,  $s_p$  = number of  $a_m$  such that  $d(a_j, a_m) = w_p$ , then  $s_1 + s_2 = b_1 - 1$  and  $s_1 w_1 + s_2 w_2 = \sum_{m=1}^{b_1} d(a_j, a_m) = w_1 r_1 + (n - w_1)(b_1 - r_1) \Rightarrow$  we can solve for  $s_p$ .

It follows that  $a_j$  and  $0^n$  are joined and have  $s_1$  common neighbours  $\forall j$ . Moreover, for any edge  $(x, y)$  with  $\text{wt}(x + y) = w_1$ ,  $z$  is a common neighbour of  $x$  and  $y$  iff  $x + z$  is a common neighbour of  $0^n$  and  $x + y \Rightarrow$  any pair of joined vertices have  $s_1$  common neighbours. Likewise for  $A_2$ , any pair of non-joined vertices has a constant number of common neighbours  $\Rightarrow \Gamma$  is strongly regular.

### Example:

Let  $C = H'$ ,  $w_1 = 8$ ,  $w_2 = 4$ , and join  $a, b \in \Gamma^c$  iff  $d(a, b) = 8$  ie.  $a = b + 1^8$ , then  $\Gamma^c$  has valency 1, and in fact  $\Gamma^c = (K_2)^8 \Rightarrow \Gamma$  is also strongly regular.

### 3 Designs

#### Definition:

Let  $X$  be a set of  $v$  points, then a  $t$ -design with parameters  $(v, k, r_t)$  is a collection  $\mathcal{B}$  of subsets of  $X$ , all of which have size  $k$  (called blocks), such that any  $t$  points of  $X$  lie in  $r_t$  blocks.

#### Remark:

$\mathcal{B}$  is trivial if every set of size  $k$  is a block.

#### Example:

- (1) Octads in  $G_{24}$  form a 5-design with parameters  $(24, 8, 1)$ .
- (2) Let  $X = \mathbb{Z}_2^n \setminus \{0\}$  with blocks  $\{x, y, x + y\}$ , then  $\mathcal{B}$  is a 2-design with parameters  $(2^k - 1, 3, 1)$ .

#### Proposition 3.1:

A  $t$ -design is also an  $s$ -design  $\forall 1 \leq s \leq t$ , and  $r_s = \frac{(v - t + 1) \cdots (v - s)}{(k - t + 1) \cdots (k - s)} r_t$ .

#### Proof of Proposition 3.1:

Follows from Corollary 1.24.

#### Notation:

Write  $r = r_1$ ,  $b = r_0$  = number of blocks, then  $bk = vr$  by Proposition 3.1.

#### Example:

- (1)  $\nexists$  a 2-design with parameters  $(56, 11, 1)$  since  $r = r_1 = \frac{56 - 2 + 1}{11 - 2 + 1} \times 1 = \frac{55}{10} \notin \mathbb{Z}$ .
- (2) Consider a 2-design with parameters  $(46, 10, 1)$ , then  $r = \frac{45}{9} = 5 \Rightarrow b = \frac{vr}{k} = \frac{46 \times 5}{10} = 23 \Rightarrow$  we do not know if it exists.

### Some theory of 2-designs

#### Notation:

Write  $r_2 = \lambda$ , such that the parameters of a 2-design become  $(v, k, \lambda)$ .

#### Proposition 3.2:

For a 2-design,  $r(k - 1) = \lambda(v - 1)$ .

#### Proof of Proposition 3.2:

Consider pairs  $(ij, B)$  where  $B \in \mathcal{B}$ ,  $i, j \in B$ ,  $i \neq j$ , then the number of such pairs is = ways to choose  $i, j \in X \times$  ways to choose  $B$  containing  $i, j = \binom{v}{2} \lambda$ .

On the other hand, this number is also = ways to choose  $B \in \mathcal{B} \times$  ways to choose  $i, j \in B = b \binom{k}{2} \Rightarrow$  by Proposition 3.1,  $\frac{\lambda v(v-1)}{2} = \frac{bk(k-1)}{2} = \frac{vr(k-1)}{2} \Rightarrow \lambda(v-1) = r(k-1)$ .

## Incidence matrices

### Definition:

Let  $\mathcal{B}$  be a  $t$ -design ( $t \geq 1$ ) with points  $x_1, \dots, x_v$  and blocks  $B_1, \dots, B_b$ , then the incidence matrix of  $\mathcal{B}$  is the  $v \times b$  matrix  $A = (a_{ij})$ , with  $a_{ij} = 1$  if  $x_i \in B_j$ , 0 otherwise.

### Remark:

Each row of  $A$  has  $r$  1's, and each column of  $A$  has  $k$  1's.

### Proposition 3.3:

Let  $\mathcal{B}$  be a 2-design with parameters  $(v, k, \lambda)$  and incidence matrix  $A$ , then  $AA^\top$  (a  $v \times v$  matrix)  $= \lambda J_v + (r - \lambda)I_v$ .

### Proof of Proposition 3.3:

$(AA^\top)_{ij} = (\text{row } i \text{ of } A) \cdot (\text{row } j \text{ of } A) = (\text{number of blocks containing both } i \text{ and } j) = \lambda$  if  $i \neq j$ ,  $r$  otherwise  $\Rightarrow$  the result follows.

### Proposition 3.4:

Let  $A$  be the incidence matrix of a 2-design with parameters  $(v, k, \lambda)$ , then  $\det AA^\top = (r - \lambda)^{v-1}(r + (v - 1)\lambda)$ .

### Proof of Proposition 3.4:

$$\begin{vmatrix} r & & \lambda \\ & \ddots & \\ \lambda & & r \end{vmatrix} = \begin{vmatrix} r & \lambda - r & \cdots & \lambda - r \\ \lambda & r - \lambda & & 0 \\ \vdots & & \ddots & \\ \lambda & 0 & & r - \lambda \end{vmatrix} = \begin{vmatrix} r + (v-1)\lambda & & 0 \\ & \lambda & & r - \lambda \\ & \vdots & \ddots & \\ & \lambda & & 0 & r - \lambda \end{vmatrix} = (r - \lambda)^{v-1}(r + (v - 1)\lambda).$$

### Theorem 3.5 (Fisher's Inequality):

Let  $\mathcal{B}$  a 2-design with parameters  $(v, k, \lambda)$ , with  $v > k$ , then  $b \geq v$  (and  $r \geq k$ ).

### Proof of Theorem 3.5:

By Proposition 3.2,  $v > k \Rightarrow r > \lambda$ . Let  $A$  be the incidence matrix of  $\mathcal{B}$ , then by Proposition 3.4,  $\det AA^\top > 0 \Rightarrow AA^\top$  is invertible  $\Rightarrow v = \text{rank } AA^\top \leq \text{rank } A \leq b$ .

**Example:**

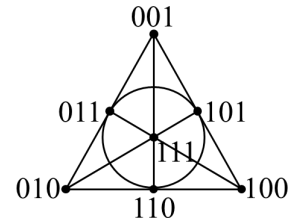
From the previous example, a 2-design with parameters  $(46, 10, 1)$  must have  $b = 23 < v$   
 $(\Rightarrow \Leftarrow) \Rightarrow$  no such design exists.

**Symmetric 2-designs****Definition:**

A 2-design is symmetric if  $v = b$  (or equivalently  $k = r$ ).

**Example:**

Let  $X = \mathbb{Z}_2^3 \setminus \{0\}$  with blocks  $\{x, y, x + y\}$ , then  $\mathcal{B}$  is a 2-design with parameters  $(7, 3, 1)$ . In addition,  $r(k - 1) = \lambda(v - 1) \Rightarrow (3 - 1)r = (7 - 1) \Rightarrow r = 3 = k \Rightarrow \mathcal{B}$  is a symmetric 2-design.  $\mathcal{B}$  is also called the Fano plane, and is the smallest projective plane ie. a symmetric 2-design with  $\lambda = 1$ .

**Theorem 3.6:**

If  $\exists$  a symmetric 2-design with parameters  $(v, k, \lambda)$  where  $v$  is even, then  $k - \lambda$  is a square.

**Proof of Theorem 3.6:**

Since  $b = v$ , the incidence matrix  $A$  of such a design is  $v \times v \Rightarrow \det A$  exists and is  $\in \mathbb{Z}$ . By Proposition 3.4 and Proposition 3.2,  $\det A^2 = \det A \det A^\top = \det AA^\top = (r - \lambda)^{v-1}(r + r(k - 1)) = (k - \lambda)^{v-1}(k + k(k - 1)) = (k - \lambda)^{v-1}k^2 \Rightarrow (k - \lambda)^{v-1}$  is a square  $\Rightarrow$  since  $v - 1$  is odd,  $k - \lambda$  must be a square.

**Example:**

Suppose  $\mathcal{B}$  is a 2-design with parameters  $(22, 7, 2)$ , then by Proposition 3.2,  $r(k - 1) = \lambda(v - 1) \Rightarrow (7 - 1)r = 2(22 - 1) \Rightarrow r = 7 = k \Rightarrow \mathcal{B}$  is symmetric. But  $v$  is even and  $k - \lambda = 5$  is not a square  $\Rightarrow$  by Theorem 3.6,  $\nexists \mathcal{B}$ .

**Remark:**

If  $v$  is odd, then the Bruck-Ryser-Chowla Theorem says that if a symmetric 2-design with parameters  $(v, k, \lambda)$  exists, then  $z^2 = (k - \lambda)x^2 + (-1)^{\frac{v-1}{2}}\lambda y^2$  has a non-zero solution for  $x, y, z \in \mathbb{Z}$ .

**Theorem 3.7:**

If  $\mathcal{B}$  is a symmetric 2-design with parameters  $(v, k, \lambda)$ , then any 2 blocks of  $\mathcal{B}$  intersect at exactly  $\lambda$  points.

### Proof of Theorem 3.7:

Let  $A$  be the  $v \times v$  incidence matrix of  $\mathcal{B} = \{B_1, \dots, B_v\}$ , and consider  $A^\top A$ . Since  $JA = kJ = rJ = AJ$  and  $IA = AI$ ,  $A(A^\top A) = (AA^\top)A = (\lambda J + (r - \lambda)I)A = A(\lambda J + (r - \lambda)I) = A(AA^\top)$  by Proposition 3.3. From the proof of Proposition 3.6, since  $\det A^2 = (k - \lambda)^{v-1}k^2 \neq 0$  (else  $r = k = \lambda \Rightarrow k = v \Rightarrow \mathcal{B}$  is a trivial design with  $b = 1$ ),  $A$  is invertible  $\Rightarrow A^\top A = AA^\top \Rightarrow |B_i \cap B_j| = (\text{column } i \text{ of } A) \cdot (\text{column } j \text{ of } A) = (A^\top A)_{ij} = (AA^\top)_{ij} = \lambda \forall i \neq j$ .

## Difference sets

### Example:

Let  $X = \mathbb{Z}_7$ ,  $B_0 = \{0, 1, 3\} \subseteq X$ . For  $0 \leq i \leq 6$ , define  $B_0 + i = \{b + i : b \in B_0\}$ , then these 7 subsets of  $X$  form the blocks of a symmetric 2-design with parameters  $(7, 3, 1)$ .

### Definition:

Let  $\lambda, v \in \mathbb{Z}^+$ ,  $B_0 \subseteq \mathbb{Z}_v$ . Call  $B_0$  a  $\lambda$ -difference set if  $\forall d \in \mathbb{Z}_v \setminus \{0\}$ , there are exactly  $\lambda$  pairs  $(b_1, b_2) \in B_0 \times B_0$  such that  $b_1 - b_2 = d$ .

### Proposition 3.8:

Suppose  $B_0$  is a  $\lambda$ -difference set in  $\mathbb{Z}_v$ . Let  $k = |B_0|$ , and for  $i \in \mathbb{Z}_v$ , define  $B_0 + i = \{b + i : b \in B_0\}$ . Then the subsets  $B_0 + i$  form the blocks of a symmetric 2-design with parameters  $(v, k, \lambda)$ .

### Proof of Proposition 3.8:

All  $v$  subsets  $B_0 + i$  have size  $k$ , so it suffices to show that any 2 points in  $\mathbb{Z}_v$  lie in  $\lambda$  blocks. Pick  $r, s \in \mathbb{Z}_v$ ,  $r \neq s$ , then  $r, s \in B_0 + i \Leftrightarrow r - i, s - i \in B_0 \Rightarrow (\text{number of choices for } i) = (\text{number of pairs } \in B_0 \times B_0 \text{ with difference } r - s) = \lambda \Rightarrow \text{the result follows.}$

### Example:

- (1) Let  $v = 11$ ,  $B_0 = \{1, 4, 9, 5, 3\} \subseteq \mathbb{Z}_{11}$ , then by Proposition 3.8, since  $B_0$  is a 2-difference set, we have a symmetric 2-design with parameters  $(11, 5, 2)$ .
- (2) Let  $v = 13$ ,  $B_0 = \{0, 1, 3, 9\} \subseteq \mathbb{Z}_{13}$ , then  $B_0$  is a 1-difference set  $\Rightarrow$  we have a symmetric 2-design with parameters  $(13, 4, 1)$ .

**Proposition 3.9:**

Let  $p$  be a prime,  $Q = \{x^2 : x \in \mathbb{Z}_p \setminus \{0\}\}$ . If  $p \equiv 3 \pmod{4}$ , then  $Q$  is a  $\frac{p-3}{4}$ -difference set, and the corresponding symmetric 2-design has parameters  $\left(p, \frac{p-1}{2}, \frac{p-3}{4}\right)$ .

**Proof of Proposition 3.9:**

Note that  $Q \leq (\mathbb{Z}_p^*, \times)$ , and  $|Q| = \frac{p-1}{2} \equiv 1 \pmod{2} \Rightarrow -1 \notin Q \Rightarrow Q \cup (-Q) = \mathbb{Z}_p^*$ . For  $q \in Q$ , define  $S_q = \{(x_1, x_2) \in Q \times Q : x_1 - x_2 = q\}$ . Since  $r \in Q \Rightarrow qr \in Q$  and  $x_1 - x_2 = q \Leftrightarrow rx_1 - rx_2 = rq$ , we have  $(x_1, x_2) \in S_q \Leftrightarrow (rx_1, rx_2) \in S_{rq} \Rightarrow |S_q| = |S_{rq}| \Rightarrow |S_q|$  is constant for  $q \in Q$ . Moreover,  $-q \in -Q$ , and  $(x_1, x_2) \in S_q \Leftrightarrow (x_2, x_1) \in S_{-q} \Rightarrow |S_q| = |S_{-q}| \Rightarrow |S_x|$  is constant  $\forall x \in Q \cup (-Q) = \mathbb{Z}_p^* \Rightarrow Q$  is a difference set in  $\mathbb{Z}_p$ , with  $\lambda = \frac{|Q| \times (|Q| - 1)}{|\mathbb{Z}_p^*|} = \frac{p-1}{2} \times \frac{p-3}{2} \div (p-1) = \frac{p-3}{4} \Rightarrow$  the result follows.

**Affine planes****Definition:**

Let  $F$  be a finite field, then  $F^2 = \{(x_1, x_2) : x_1, x_2 \in F\}$  is a 2-dimensional vector space over  $F$ . Define points to be vectors in  $F^2$  and lines to be subsets of the form  $\{v + \lambda w : \lambda \in F\} \subseteq F^2$  for some fixed  $v, w \in F^2$ , then this collection of points and lines is called the affine plane over  $F$ , denoted  $AG(2, F)$ .

**Remark:**

- (1) If  $|F| = q$ , then number of points  $= q^2$ .
- (2) Lines are solution sets of linear equations ie.  $y = mx + c \Leftrightarrow \{(0, c) + \lambda(1, m) : \lambda \in F\}$ ,  
 $x = c \Leftrightarrow \{(c, 0) + \lambda(0, 1) : \lambda \in F\} \Rightarrow$  number of lines  $= q^2 + q$ .

**Proposition 3.10:**

Every line has  $q$  points, and every 2 points lie on a unique line ie.  $AG(2, F)$  is a 2-design with parameters  $(q^2, q, 1)$ .

**Proof of Proposition 3.10:**

Each line  $v + \text{Sp}(w) = \{v + \lambda w : \lambda \in F\}$  obviously has  $q$  points. Now pick  $a, b \in F^2$ , then  $a, b$  lie on  $L = \{a + \lambda(b - a) : \lambda \in F\}$ . Suppose  $a, b$  also lie on  $L' = v + \text{Sp}(w)$ , then  $a = v + \lambda_1 w$ ,  $b = v + \lambda_2 w \Rightarrow b - a = (\lambda_2 - \lambda_1)w \Rightarrow L' = v + \text{Sp}(w) = v + \lambda_1 w + \text{Sp}(w) = a + \text{Sp}(b - a) = L$ .



In  $AG(2, F)$ , any 2 lines  $L_1, L_2$  meet at 0 or 1 point. If they meet at 0 points, then they are called parallel lines.

**Proposition 3.11:**

The  $q^2 + q$  lines in  $AG(2, F)$  fall into  $q + 1$  disjoint sets, each containing  $q$  parallel lines.

**Proof of Proposition 3.11:**

The  $q + 1$  disjoint sets are  $\mathcal{L}_m = (\text{set of lines } y = mx + c \text{ where } c \in F)$  for  $m \in F$ , and  $\mathcal{L}_\infty = (\text{set of lines } x = c \text{ where } c \in F)$ .

**Remark:**

These  $q + 1$  sets of lines are called the parallel classes of lines.

**Proposition 3.12:**

Each point in  $F^2$  lies in exactly 1 line for each parallel class.

**Proof of Proposition 3.12:**

Each parallel class has  $q$  disjoint lines, each with  $q$  points  $\Rightarrow$  the result follows easily.

## Projective planes

**Definition:**

A projective plane is a symmetric 2-design with  $\lambda = 1$ .

**Remark:**

By Theorem 3.7, any 2 blocks of a projective plane meet at 1 point.

**Definition:**

Equivalently, a projective plane is a set of points and lines (subsets of points) such that:

- (1) any 2 points lie on a unique line,
- (2) any 2 lines meet at a unique point,
- (3)  $\exists$  4 points where no 3 points lie on a line.

**Remark:**

- (1) It follows (not so trivially) that all lines have the same number of points, so a projective plane is indeed a 2-design with  $\lambda = 1$ . In addition, it is also symmetric.
- (2)  $\exists$  a converse to Theorem 3.7: If  $\mathcal{B}$  is a 2-design with parameters  $(v, k, \lambda)$ , such that any 2 blocks intersect at exactly  $\lambda$  points, then  $\mathcal{B}$  is symmetric.

**Example:**

Lines in  $AG(2, \mathbb{Z}_3)$  fall into 4 parallel classes  $\mathcal{L}_0, \mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_\infty$ . Introduce points  $p_0, p_1, p_2, p_\infty$  to each line in  $\mathcal{L}_0, \mathcal{L}_1, \mathcal{L}_2, \mathcal{L}_\infty$  respectively, and add a new line  $L_\infty = \{p_0, p_1, p_2, p_\infty\}$ , then we have a projective plane.

**Proposition 3.13:**

Let  $F$  be a finite field,  $|F| = q$ , and start with  $AG(2, F)$ . Add  $q + 1$  new points  $p_m$  ( $m \in F$ ) and  $p_\infty$  to each line in  $\mathcal{L}_m$  and  $\mathcal{L}_\infty$  respectively, and add a new line  $L_\infty = \{p_m : m \in F\} \cup \{p_\infty\}$ , then the points  $F^2 \cup \{p_m : m \in F\} \cup \{p_\infty\}$  and the new lines form a projective plane.

**Proof of Proposition 3.13:**

There are  $q^2 + q + 1$  points and  $q^2 + q + 1$  lines, and each line has  $q + 1$  points  $\Rightarrow$  the points and lines form a symmetric 2-design. Now pick any 2 distinct points  $a, b$ . If  $a, b \in F^2$ , then  $a, b$  lie on a unique line in  $AG(2, F) \Rightarrow a, b$  lie on a unique extended line. If  $a \in F^2$  and  $b = p_m$  for some  $m \in F \cup \{\infty\}$ , then by Proposition 3.12,  $a$  lies on a unique line  $L \in \mathcal{L}_m \Rightarrow$  the unique line containing  $a, b$  is  $L \cup \{p_m\}$ . If  $a, b$  are both  $p_m$  for some  $m \in F \cup \{\infty\}$ , then the unique line containing  $a, b$  is  $L_\infty$ . Hence any 2 points lie on a unique line  $\Rightarrow \lambda = 1 \Rightarrow$  the result follows.

**Definition:**

This projective plane is called  $PG(2, F)$ , the projective plane over  $F$ .

**Remark:**

$PG(2, F)$  is a symmetric 2-design with parameters  $(q^2 + q + 1, q + 1, 1)$ .

**Isomorphisms****Definition:**

Let  $(X_1, \mathcal{B}_1)$  and  $(X_2, \mathcal{B}_2)$  be designs. A map  $\phi : X_1 \rightarrow X_2$  is an isomorphism of designs if  $\phi$  is bijective, and sends the blocks in  $\mathcal{B}_1$  to the blocks in  $\mathcal{B}_2$  bijectively.

**Notation:**

If  $\exists \phi$ , write  $(X_1, \mathcal{B}_1) \cong (X_2, \mathcal{B}_2)$ .

**Example:**

Let  $X_1 = \mathbb{Z}_7$ ,  $\mathcal{B}_1 = 2$ -design with blocks  $B_0 + i$  where  $B_0 = \{0, 1, 3\}$ , with parameters

$(7, 3, 1)$ , and  $X_2 = \mathbb{Z}_2^3 \setminus \{0\}$ ,  $\mathcal{B}_2 =$  blocks of the form  $\{x, y, x + y\}$ , which is also a 2-design with parameters  $(7, 3, 1)$ . We try our luck and construct  $\phi : X_1 \rightarrow X_2$ ,  $0 \mapsto 100$ ,  $1 \mapsto 010$ ,  $2 \mapsto 001$ , then  $\{0, 1, 3\} \mapsto \{100, 010, 110\} \Rightarrow 3 \mapsto 110$ ,  $\{1, 2, 4\} \mapsto \{010, 001, 011\} \Rightarrow 4 \mapsto 011$ ,  $\dots$ ,  $5 \mapsto 111$ ,  $6 \mapsto 101 \Rightarrow$  the blocks in  $\mathcal{B}_1$  (amazingly) get mapped to the blocks in  $\mathcal{B}_2 \Rightarrow (X_1, \mathcal{B}_1) \cong (X_2, \mathcal{B}_2)$ . In fact, we can map  $0 \mapsto x$ ,  $1 \mapsto y$ ,  $2 \mapsto z$  for any  $z \notin \{x, y, x + y\}$  to get an isomorphism  $\Rightarrow$  number of isomorphisms  $= 7 \times 6 \times 4 = 168$ .

**Remark:**

The set of isomorphisms  $\mathcal{B} \rightarrow \mathcal{B}$  form a group under composition, called the automorphism group  $\text{Aut}(\mathcal{B})$ .

## Higher-dimensional geometry

**Definition:**

Let  $F$  be a finite field with  $|F| = q$ , then  $F^n = \{(x_1, \dots, x_n) : x_i \in F\}$ .

**Remark:**

$F^n$  is an  $n$ -dimensional vector space over  $F$ , with  $q^n$  vectors.

**Definition:**

Let  $1 \leq m \leq n$ , then the  $q$ -binomial coefficient is 
$$\binom{n}{m}_q = \frac{(q^n - 1) \cdots (q^{n-m+1} - 1)}{(q^m - 1) \cdots (q - 1)}.$$

**Example:**

- (1)  $\binom{n}{1}_q = \frac{q^n - 1}{q - 1}.$
- (2)  $\binom{4}{2}_2 = \frac{(2^4 - 1)(2^3 - 1)}{(2^2 - 1)(2 - 1)} = \frac{15 \times 7}{3 \times 1} = 35.$
- (3)  $\binom{n}{m}_1 = \binom{n}{m}$  (consider limits as  $q \rightarrow 1$ ).

**Proposition 3.14:**

- (1) The number of  $m$ -dimensional subspaces of  $F^n$  is  $\binom{n}{m}_q$ .
- (2) For a fixed  $v \in F^n \setminus \{0\}$ , the number of  $m$ -dimensional subspaces of  $F^n$  containing  $v$  is  $\binom{n-1}{m-1}_q$  if  $m > 1$ , 1 if  $m = 1$ .
- (3) For linearly independent  $v, w \in F^n \setminus \{0\}$ , the number of  $m$ -dimensional subspaces of  $F^n$  containing  $v, w$  is  $\binom{n-2}{m-2}_q$  if  $m > 2$ , 1 if  $m = 2$ .

**Proof of Proposition 3.14:**

- (1) Let  $S(m)$  be the number of  $m$ -dimensional subspaces of  $F^n$ ,  $(w_1, \dots, w_m)$  be an ordered  $m$ -tuple of linearly independent vectors in  $F^n$ , and  $W = \text{Sp}(w_1, \dots, w_m)$ , then the number of pairs  $((w_1, \dots, w_m), W)$  is = ways to choose  $(w_1, \dots, w_m) \times 1$  = ways to choose  $w_1 \times$  ways to choose  $w_2 \notin \text{Sp}(w_1) \times \dots \times$  ways to choose  $w_m \notin \text{Sp}(w_1, \dots, w_{m-1}) = (q^n - 1)(q^n - q) \dots (q^n - q^{m-1})$ .

On the other hand, the number of such pairs is also = ways to choose  $W \times$  ways to choose  $(w_1, \dots, w_m) = S(m) \times$  ways to choose  $w_1 \in W \times$  ways to choose  $w_2 \in W \setminus \text{Sp}(w_1) \times \dots \times$  ways to choose  $w_m \in W \setminus \text{Sp}(w_1, \dots, w_{m-1}) = S(m) \times (q^m - 1)(q^m - q) \dots (q^m - q^{m-1}) \Rightarrow S(m) = \frac{(q^n - 1) \dots (q^n - q^{m-1})}{(q^m - 1) \dots (q^m - q^{m-1})} = \frac{(q^n - 1) \dots (q^{n-m+1} - 1)}{(q^m - 1) \dots (q - 1)} = \binom{n}{m}_q$ .

- (2) Let  $W$  be an  $m$ -dimensional subspace containing  $v$ , and  $V = \text{Sp}(v_2, \dots, v_n)$  where  $\{v, v_2, \dots, v_n\}$  is a basis of  $F^n$ , then  $W \not\subseteq V \Rightarrow \dim(W \cap V) = m - 1 \Rightarrow W = \text{Sp}(v) + (W \cap V) \Rightarrow$  ways to choose  $W$  = (number of  $(m - 1)$ -dimensional subspaces of  $V$ ) =  $\binom{n-1}{m-1}_q$  if  $m > 1$ , 1 if  $m = 1$ .
- (3) Similar to (2).

### Proposition 3.15:

Let  $n \geq 2$ ,  $1 \leq m \leq n - 1$ . Define points = vectors  $\in F^n$ , and blocks = subsets of the form  $v + W$  where  $v \in F^n$  and  $W$  is a  $m$ -dimensional subspace of  $F^n$ . Then we have:

- (1) a 2-design with parameters  $(q^n, q^m, \lambda)$ , where  $\lambda = \binom{n-1}{m-1}_q$  if  $m > 1$ , 1 if  $m = 1$ ,
- (2) a 3-design with parameters  $(2^n, 2^m, r_3)$  if  $F = \mathbb{Z}_2$  and  $m \geq 2$ , where  $r_3 = \binom{n-2}{m-2}_2$  if  $m > 2$ , 1 if  $m = 2$ .

### Proof of Proposition 3.15:

- (1) Note that all blocks  $v + W$  have the same size  $|W| = q^m$ . Now pick  $v_1, v_2 \in F^n$ ,  $v_1 \neq v_2$ , then any block containing  $v_1$  is of the form  $v_1 + W$ , and  $v_2 \in v_1 + W \Leftrightarrow v_2 - v_1 \in W \Rightarrow$  by Proposition 3.14(2),  $\lambda$  = number of blocks containing  $v_1, v_2$  = (number of  $W$  containing  $v_2 - v_1$ ) =  $\binom{n-1}{m-1}_q$  if  $m > 1$ , 1 if  $m = 1 \Rightarrow$  the result follows.
- (2) Pick distinct  $v_1, v_2, v_3 \in \mathbb{Z}_2^n$ , then  $v_2, v_3 \in v_1 + W \Leftrightarrow v_2 - v_1, v_3 - v_1 \in W$ . Moreover, if  $v_2 - v_1, v_3 - v_1$  are linearly dependent, then  $v_2 - v_1 = c(v_3 - v_1)$  for some  $c \in \mathbb{Z}_2 \Rightarrow c = 0$  or  $1 \Rightarrow v_1 = v_3$  or  $v_2 = v_3$  ( $\Rightarrow \Leftarrow$ )  $\Rightarrow v_2 - v_1, v_3 - v_1$  are linearly independent  $\Rightarrow$  by Proposition 3.14(3),  $r_3$  = number of blocks containing  $v_1, v_2, v_3$  = (number of  $W$  containing  $v_2 - v_1$  and  $v_3 - v_1$ ) =  $\binom{n-2}{m-2}_q$  if  $m > 2$ , 1 if  $m = 2 \Rightarrow$  the result follows.

### Definition:

This design is denoted  $AG(n, F)_m$ .

**Example:**

- (1) Let  $n = 2$ ,  $m = 1$ , then the design is  $AG(2, F)$ , with blocks of the form  $v + \text{Sp}(w)$  ie. lines in  $F^2$ .
- (2)  $AG(3, \mathbb{Z}_3)$  is a 2-design with parameters  $(27, 3, 1)$ .
- (3)  $AG(3, \mathbb{Z}_3)_2$  is a 2-design with parameters  $(27, 9, 4)$ .
- (4)  $AG(3, \mathbb{Z}_2)_2$  is a 3-design with parameters  $(8, 4, 1)$ .
- (5) The codewords of weight 4 in  $H'$  form a 3-design isomorphic to  $AG(3, \mathbb{Z}_2)_2$ .

## 2-designs & strongly regular graphs

**Definition:**

A 2-design is quasi-symmetric if  $\exists x, y \in \mathbb{Z}$ ,  $x \neq y$ , such that any 2 blocks intersect at either  $x$  or  $y$  points, and both occur.

**Example:**

- (1) In  $AG(2, F)$ , any 2 lines meet at 0 or 1 point  $\Rightarrow AG(2, F)$  is quasi-symmetric.
- (2) Consider points = 23 coordinate positions of  $G_{23}$ , blocks =  $B_c$  for  $c \in G_{23}$ ,  $\text{wt}(c) = 7$ , then we have a 4-design with parameters  $(23, 7, 1)$ . For  $c, d \in G_{23}$ ,  $\text{wt}(c) = \text{wt}(d) = 7$ ,  $c \neq d$ , we have  $\text{wt}(c + d) = \text{wt}(c) + \text{wt}(d) - 2[c, d] = 14 - 2[c, d] = 8$  or  $12 \Rightarrow |B_c \cap B_d| = [c, d] = 3$  or  $1$ , and it is easily checked that both occur  $\Rightarrow$  this design is quasi-symmetric.

**Proposition 3.16:**

Let  $\Gamma(\neq K_v, K_v^c)$  be a graph with  $v$  vertices and adjacency matrix  $A$ , then TFAE:

- (1)  $\Gamma$  is strongly regular,
- (2)  $A^2 = \alpha A + \beta I + \gamma J$  for some  $\alpha, \beta, \gamma \in \mathbb{R}$ .

**Proof of Proposition 3.16:**

- (1) is true  $\Rightarrow$  by Proposition 2.6, (2) is also true.
- (2) is true  $\Rightarrow$  number of common neighbours of  $i, j = (\text{row } i \text{ of } A) \cdot (\text{row } j \text{ of } A) = (\text{row } i \text{ of } A) \cdot (\text{column } j \text{ of } A) = (A^2)_{ij} = \beta + \gamma$  if  $i = j$ ,  $\alpha + \gamma$  if  $i \neq j$  and  $i$  is joined to  $j$ ,  $\gamma$  if  $i \neq j$  and  $i$  is not joined to  $j \Rightarrow \Gamma$  is strongly regular with parameters  $(v, \beta + \gamma, \alpha + \gamma, \gamma) \Rightarrow$  (1) is also true.

**Theorem 3.17:**

Let  $\mathcal{B}$  be a quasi-symmetric 2-design, such that any 2 blocks intersect at either  $x$  or  $y$  points. Let  $\Gamma(\mathcal{B})$  be a graph, with vertices = blocks of  $\mathcal{B}$ , and join  $B_1, B_2 \in \mathcal{B}$  iff  $|B_1 \cap B_2| = x$ . Then  $\Gamma(\mathcal{B})$  is strongly regular.

### Proof of Theorem 3.17:

Let  $M$  be the  $v \times b$  incidence matrix of  $\mathcal{B}$  and  $A$  be the  $b \times b$  adjacency matrix of  $\Gamma(\mathcal{B})$ , then  $(M^\top M)_{ij} = (\text{column } i \text{ of } M) \cdot (\text{column } j \text{ of } M) = |B_i \cap B_j| = k$  if  $i = j$ ,  $x$  if  $B_i$  is joined to  $B_j$  in  $\Gamma(\mathcal{B})$ ,  $y$  otherwise  $\Rightarrow M^\top M = kI_b + xA + y(J_b - A - I_b) = (x - y)A + (k - y)I_b + yJ_b \Rightarrow$  since  $x \neq y$ ,  $A = rM^\top M + sI_b + tJ_b$  for some  $r, s, t \in \mathbb{R} \Rightarrow A^2 = r^2M^\top MM^\top M + s^2I_b + t^2J_b^2 + 2rsM^\top M + 2stJ_b + rtM^\top MJ_b + rtJ_bM^\top M$ .

By Proposition 3.3,  $MM^\top = \lambda J_v + (r - \lambda)I_v$ ,  $MJ_b = rJ$ ,  $J_v M = kJ$  where  $J = v \times b$  matrix consisting of all 1's  $\Rightarrow M^\top MM^\top M = M^\top(\lambda J_v + (r - \lambda)I_v)M = (\lambda kJ^\top + (r - \lambda)M^\top)M = \lambda k^2 J_b + (r - \lambda)[(x - y)A + (k - y)I_b + yJ_b] = (r - \lambda)(x - y)A = (r - \lambda)(k - y)I_b + (\lambda k^2 + (r - \lambda)y)J_b$ ,  $J_b^2 = bJ_b$ ,  $M^\top MJ_b = M^\top(rJ) = r(J^\top M)^\top = rkJ_b$ , and  $J_bM^\top M = (MJ_b)^\top M = rJ^\top M = rkJ_b \Rightarrow A^2 = \alpha A + \beta I_b + \gamma J_b$  for some  $\alpha, \beta, \gamma \in \mathbb{R} \Rightarrow$  by Proposition 3.16,  $\Gamma(\mathcal{B})$  is strongly regular.

### Example:

- (1) Let the vertices of  $\Gamma$  be lines of  $AG(2, F)$ , and join  $L_1, L_2$  iff  $|L_1 \cap L_2| = 0$  ie.  $L_1$  and  $L_2$  are parallel. Then  $\Gamma = (K_q)^{q+1}$ , where  $q = |F|$ .
- (2) Let the vertices of  $\Gamma$  be the 253 blocks  $B_c$  of  $G_{23}$  where  $\text{wt}(c) = 7$ , and join  $B_c, B_d$  iff  $|B_c \cap B_d| = 3$  ie.  $\text{wt}(c + d) = 8$ . Then  $\Gamma$  is strongly regular, with  $k = (\text{number of } d \text{ such that } \text{wt}(c + d) = 8 \text{ for a fixed } c \text{ with } \text{wt}(c) = 7)$ .