

# Developments in Electronic Structure Theory.

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**A short presentation**

## What I do : Quantum Mechanics

- ▶ **Wavefunction theories** (WFT)
- ▶ **Density Functional theory** (DFT)
- ▶ **Range Separation methods** (RS)

## Main research interest

- ▶ Weak interactions / London dispersion forces / VdW interactions
- ▶ **Random Phase Approximation** (RPA)
  - **formalism** (new approximations, spin-unrestricted generalization, ...)
  - **local orbitals** (designed new localized orbitals, selection of excitation)
  - **gradients of the energy** (forces, dipoles, optimization of geometry, ...)
  - ...

## Numerous collaborations

- ▶ **High Harmonics Generation spectra** (Electron dynamics)
- ▶ **Quantum Monte Carlo methods** (QMC)

### Notable Developments

- ▶ Developments in MOLPRO  
Commercial code  
Versatile (HF,DFT,CI,CC,MCSCF,  
MRCI,MRPT,MRCC,  
FCIQMC,DMRG,  
Gradients and Properties)  
~ 4 000 000 lines of code  
**In charge of the RPA code**  
[www.molpro.net](http://www.molpro.net)
- ▶ Developments in CHAMP  
Free code  
VMC, DMC  
Optimization of wavefunctions, ...  
**Fast calculation of determinants**  
[CHAMP@Cornell](http://CHAMP@Cornell)
- ▶ Developed personal codes,  
from scratch  
Calculations on grid, parallel codes

### Technical side of the work

- ▶ **Management of codes** (SVN/GIT/BugZilla)
- ▶ Cleaning-up  
Optimizing pre-existing codes (**use of memory**, ...)
- ▶ **HPC**
- ▶ **Interfaces** between codes

## Context of my main research interest

- ▶ **Electronic structure** calculations.
- ▶ post-DFT calculations in the context of **Range Separation**.
- ▶ In the WFT part, for the treatment of London dispersion forces, we use the **Random Phase Approximation**.

Get the **accurate answer** for the **right reason** at a **reasonable cost**.

**WFT** (the wavefunction  $\Psi(\mathbf{x}^N)$  is the key quantity; determinants)

- ✗ rather **costly** (size of Hilbert space)
- ✓ improvement of the description is systematic (add more determinants)

**DFT** (the density  $n(\mathbf{r})$  is the key quantity)

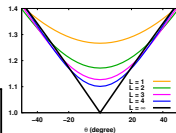
- ✓ cheap methods; applicable to big systems; impressive successes
- ✗ need to **approximate**  $E_{xc}[n]$ ; not systematical

**Range Separation** (a **rigorous combination** of both approaches)

**WFT suffers** at short range from the e-e coalescence.

DFAs are **(semi)local approximation**, best at short-range.

The idea : split the e-e interaction into long- and short-range,  
treat the **long-range with WFT**, the **short-range with DFT**.

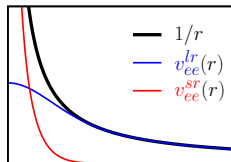


Split of the Coulomb interaction ; **rigorous mix** of WFT and DFT methods.

$$\frac{1}{r} = v_{ee}^{lr}(\mathbf{r}) + v_{ee}^{sr}(\mathbf{r})$$

$\hat{V}_{ee}^{lr}$  long-range e-e interaction

$E_{Hxc}^{sr}[n]$  short-range density functional



- In a variational way :

$$E_{\text{exact}} = \min_{\Psi} \left\{ \langle \Psi | \hat{T} + \hat{V}_{ne} + \hat{V}_{ee}^{lr} | \Psi \rangle + E_{Hxc}^{sr}[n_{\Psi}] \right\}$$

e.g. MCSCF+DFT, CI+DFT, ... -> for the **static (strong) correlation**.

[Fromager, Toulouse, Jensen JCP 2007]

[Sharkas, Savin, Jensen, Toulouse JCP 2012]

- With a perturbative treatment, from a mono-determinantal reference (**RSH**) :

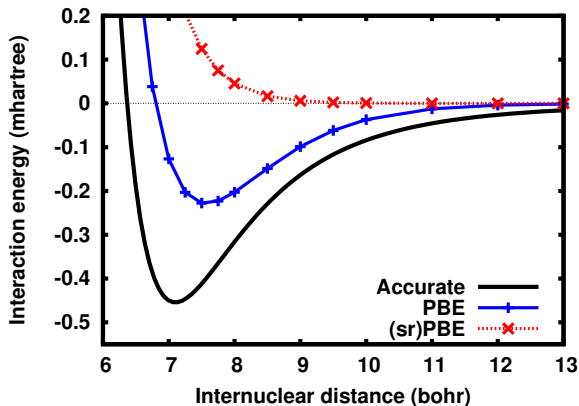
$$E_{\text{exact}} = \min_{\Phi} \left\{ \langle \Phi | \hat{T} + \hat{V}_{ne} + \hat{V}_{ee}^{lr} | \Phi \rangle + E_{Hxc}^{sr}[n_{\Phi}] \right\} + E_c^{lr}$$

e.g. with RPA -> for **long range dynamical correlation (vdW dispersion)**.

[Ángyán, Gerber, Savin, Toulouse PRA 2005]

[Toulouse, Gerber, Jansen, Savin, Ángyán PRL 2009]

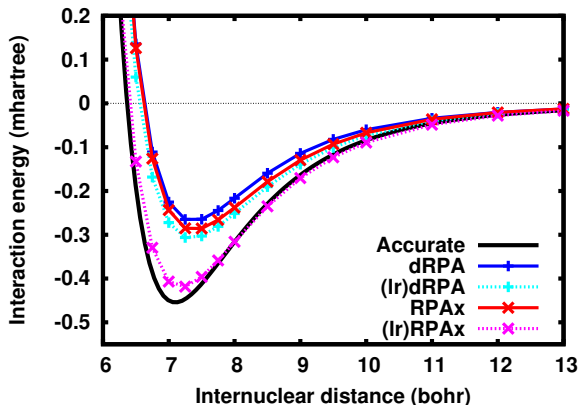
$\text{Ar}_2$ , aug-cc-pV5Z basis,  $\mu = 0.5 \text{ bohr}^{-1}$



- RSH reference **contains no dispersion.**



$\text{Ar}_2$ , aug-cc-pV5Z basis,  $\mu = 0.5 \text{ bohr}^{-1}$



- ▶ RSH reference **contains no dispersion**.
- ▶ In RPA, it is important to add **exchange effects** for dispersion interactions.

**The original intention** is to decouple by a canonical transformation the particle and field variables in the description of the Uniform Electron Gaz (UEG).

The physics : mix of **long-range organized behavior** (collective plasma oscillations) and of **short-range screened explicit interactions**.

This emerges naturally by the canonical transformation, and involves a cut-off distance, over which long-range behavior correctly describes the system, and under which it no longer captures the main physics : the short-range interaction is then predominant.

Hence, in RPA, the energy is the sum of **oscillator energies** and of a **short-range correction**. **This seems ideal in a range separation context.**

What is “random” in RPA ?

Only the particles in phase with the oscillating field contribute to the oscillations the other particles, having a random phase , are neglected.

[Ángyán,Liu,Toulouse,Jansen JCTC (2011)]

[BM,Rocca,Jansen,Ángyán JCTC (submitted)]

## AC-FDT equation

$$E_c^{\text{RPA}} = \frac{1}{2} \int_0^1 d\alpha \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} \text{Tr} \left( \mathbb{V}_{\text{ee}} \left[ \mathbb{\Pi}_{\alpha}^{\text{RPA}}(i\omega) - \mathbb{\Pi}_0(i\omega) \right] \right) \quad \left| \quad (\mathbb{\Pi}_{\alpha}^{\text{RPA}})^{-1} = \mathbb{\Pi}_0^{-1} - \mathbb{f}_{\text{Hx},\alpha} \right.$$

## Versions (include/exclude **exchange**)

- ▶ **direct** or **exchange** RPA
- ▶ **single-bar** or **double-bar**

This yields different, non-equivalent **versions of RPA**

## Formulations (analytical/numerical **integrals**)

- ▶ **density-matrix** formulation

$$E_c^{\text{RPA}} = \frac{1}{2} \int d\alpha \text{tr}(\mathbf{P}_{c,\alpha} \mathbf{V})$$

- ▶ **dielectric-matrix** formulation

$$E_c^{\text{RPA}} = \frac{1}{2} \int \frac{d\omega}{2\pi} \text{tr}(\log(\epsilon(i\omega)) - \epsilon(i\omega) + \mathbf{1})$$

- ▶ **plasmon** formula

$$E_c^{\text{RPA}} = \frac{1}{2} \sum \omega^{\text{RPA}} - \omega^{\text{TDA}}$$

- ▶ **Ricatti equations** and **rCCD**

$$E_c^{\text{RPA}} = \frac{1}{2} \text{tr}(\mathbf{B}\mathbf{T})$$

+Approximations (in each formulations, for each versions...) **SOSEX, SO2**

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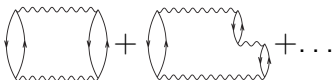
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# Random Phase Approximation : Feynman diagrams

## dRPA-I

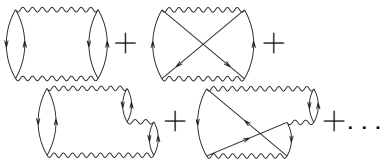
$$E_c^{\text{dRPA-I}} = \frac{1}{2} \text{tr} \langle ab || ij \rangle^{\text{lr}} (T_{\text{drCCD}}^{\text{lr}})_{ia,jb}$$



## SOSEX

$$E_c^{\text{SOSEX}} = \frac{1}{2} \text{tr} \langle ab || ij \rangle^{\text{lr}} (T_{\text{drCCD}}^{\text{lr}})_{ia,jb}$$

[Grüneis, *et. al.* JCP 2009]



Not that good for weak interactions.

## RPAx-II

$$E_c^{\text{RPAx-II}} = \frac{1}{4} \text{tr} \langle ab || ij \rangle^{\text{lr}} (T_{\text{rCCDx}}^{\text{lr}})_{ia,jb}$$

[McLachlan, Ball RMP 1964]

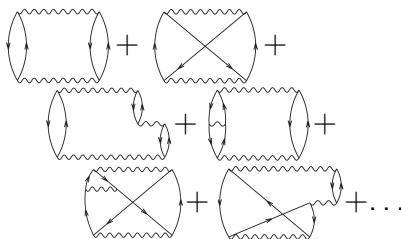
[a lot of diagrams]

## RPAx-SO2

$$E_c^{\text{RPAx-SO2}} = \frac{1}{2} \text{tr} \langle ab || ij \rangle^{\text{lr}} (T_{\text{rCCDx}}^{\text{lr}})_{ia,jb}$$

[Szabo, Ostlund JCP 1977]

[Toulouse *et. al.* JCP 2011]



It is our method of choice.

## New developments

- ▶ **Basis Set Convergence** study  
and **Three-point extrapolation scheme**.  
[Franck,BM,Luppi,Toulouse JCP (2015)]
- ▶ **Spin-Unrestricted generalization**  
and **Calculations on DBH24/08 and AE49**.  
[BM,Reinhardt,Ángyán,Toulouse JCP (2015)]
- ▶ Development and Implementation of  
the **Gradients of RSH+RPA methods**.  
[BM,Szalay,Ángyán JCTC (2014)]
- ▶ Development of **Localized Virtual Orbitals**  
and **Application for RPA calculations**.  
[BM,Ángyán TCA (2015)]

## Partial wave expansion of the WF

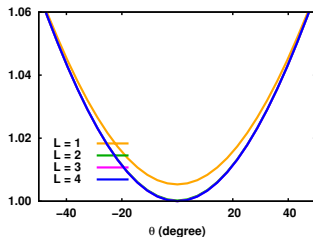
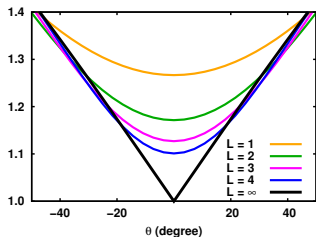
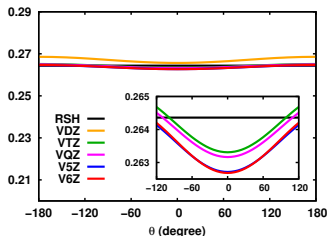
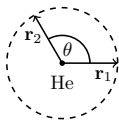
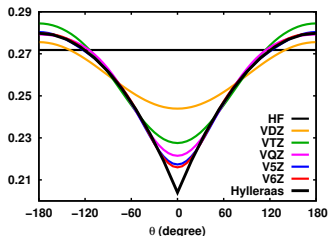
[Gori-Giorgi,Savin PRA (2006)]

$$\frac{\Psi(r_{12})}{\Psi(0)} = 1 + \frac{r_{12}^2}{2} + \dots$$

$$= \sum c_\ell P_\ell(\cos\theta) \quad \text{with } c_\ell \sim \ell^{-2}$$

$$\frac{\Psi^\mu(r_{12})}{\Psi^\mu(0)} = 1 + \frac{\mu r_{12}^2}{3\sqrt{\pi}} + \dots$$

$$= \sum c_\ell P_\ell(\cos\theta) \quad \text{with } c_\ell \sim e^{-\alpha\ell}$$

Principal number expansion (wrt one-particle basis)  $\Psi(r_1, r_2) = \sum c_i \phi_i(r_1) \phi_i(r_2)$ 



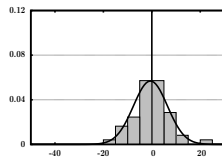
Implemented most of the variants with a nospinflip/spinflip block structure.

Normal distributions of errors  
(kcal/mol) of calculations on **AE49**.

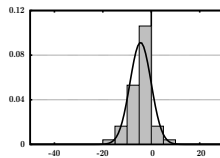
- ▶ Mean errors of post-RSH calculation are better.
- ▶ Post-RSH calculations dist. of errors have sharper distributions.

Similar results were obtained on the **DBH24/08** dataset.

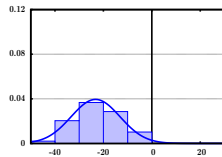
We argue that **RPax-SO2** is a good method for a wide range of applications.



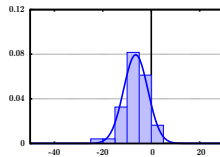
HF+MP2



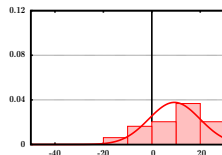
RSH+MP2



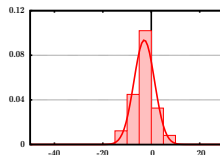
HF+dRPA-I



RSH+dRPA-I



HF+RPax-SO2



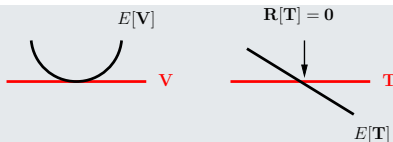
RSH+RPax-SO2

>cc-pVQZ (post-RSH : srPBE,  $\mu = 0.5$ )

Given an energy  $E[\kappa, \mathbf{V}(\kappa), \mathbf{T}(\kappa)]$

you have rules for  $\mathbf{V} : \partial E / \partial \mathbf{V} = 0$

you have rules for  $\mathbf{T} : \mathbf{R}[\mathbf{T}] = 0$



$$\frac{dE}{d\kappa} = \frac{\partial E}{\partial \kappa} + \cancel{\frac{\partial E}{\partial \mathbf{V}} \frac{\partial \mathbf{V}}{\partial \kappa}} + \boxed{\frac{\partial E}{\partial \mathbf{T}} \frac{\partial \mathbf{T}}{\partial \kappa}}$$

(in  $\frac{\partial E}{\partial \kappa}$  there is  $\mathbf{h}^{(\kappa)}$ ,  $(\mu\nu|\sigma\rho)^{(\kappa)}$  and  $\mathbf{S}^{(\kappa)}$ )

## For non-variational methods

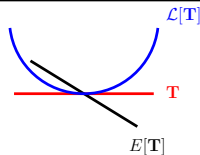
The solution is to work with an **alternative object** that is **variational** :

$$\mathcal{L}[\kappa, \mathbf{V}, \mathbf{T}, \boldsymbol{\lambda}] = E[\kappa, \mathbf{V}, \mathbf{T}] + \text{tr}(\boldsymbol{\lambda} \mathbf{R}[\mathbf{T}])$$

$$\frac{\partial \mathcal{L}}{\partial \mathbf{V}} = \frac{\partial E}{\partial \mathbf{V}} = 0 \quad \checkmark$$

$$\frac{\partial \mathcal{L}}{\partial \boldsymbol{\lambda}} = \mathbf{R}[\mathbf{T}] = 0 \quad \checkmark$$

$$\boxed{\frac{\partial \mathcal{L}}{\partial \mathbf{T}} = \frac{\partial E}{\partial \mathbf{T}} + \text{tr}\left(\boldsymbol{\lambda} \frac{\partial \mathbf{R}}{\partial \mathbf{T}}\right) = 0}$$

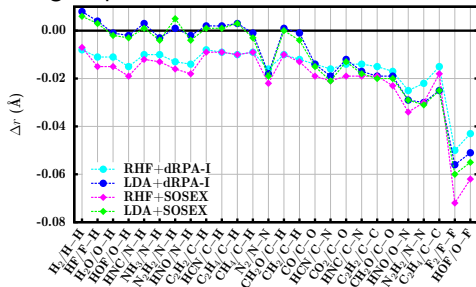


The **RSH+RPA** energy is :  $E = \min_{\Phi} \left\{ \langle \Phi | \hat{T} + \hat{V}_{\text{ne}} + \hat{V}_{\text{ee}}^{\text{lr}} | \Phi \rangle + E_{\text{Hxc}}^{\text{sr}}[n_{\Phi}] \right\} + E_{\text{c}}^{\text{lr,RPA}}$

The **equations were derived** (sr and lr terms) and **implemented** in MOLPRO.

## Bond Lengths of simple molecules

> aug-cc-pVQZ



## Mean Absolute Errors

### Bond Lengths of simple molecules

RHF+dRPA-I	0.016	RHF+SOSEX	0.021
LDA+dRPA-I	<b>0.013</b>	LDA+SOSEX	0.014

### Interaction Energies

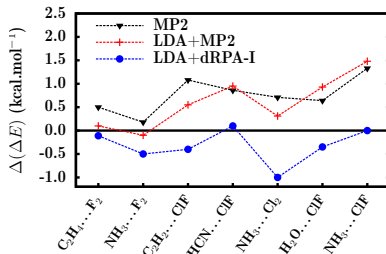
MP2	0.76	MP2	0.075
LDA+MP2	0.63	LDA+MP2	0.053
LDA+dRPA-I	<b>0.35</b>	LDA+dRPA-I	<b>0.023</b>

### Intermonomer distances

MP2	0.76	MP2	0.075
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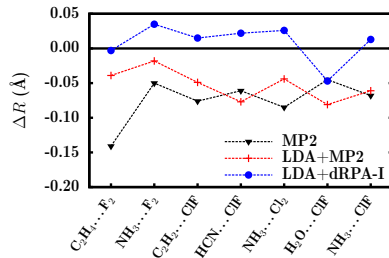
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> aug-cc-pVTZ



## Intermonomer distances

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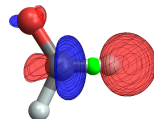
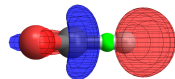
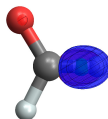
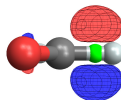
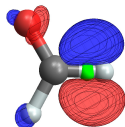
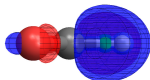
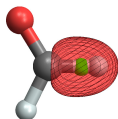
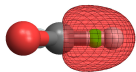


The objective is to construct a set of **localized virtual orbitals** by multiplying the set of occupied Localized Molecular Orbitals (LMOs) with **solid spherical harmonic functions**. The orthogonality to the occupied space is ensured by **projection**.

**Dipolar oscillator orbital** [Foster, Boys RMP (1960)]

$$|i_\alpha\rangle = \underbrace{(\hat{1} - \hat{P})}_{\text{projector}} \underbrace{(\hat{r}_\alpha - D_\alpha^i)}_{\text{harmonic}} \underbrace{|i\rangle}_{\text{LMO}} \quad \text{where } D_\alpha^i = \langle i | \hat{r}_\alpha | i \rangle$$

C-H bond in CH<sub>2</sub>=O :



**Dipolar oscillator orbital**

$$|i_\alpha\rangle = (\hat{1} - \hat{P})(\hat{r}_\alpha - D_\alpha^i) |i\rangle \quad \text{where } D_\alpha^i = \langle i | \hat{r}_\alpha | i \rangle$$

This formulation is best employed with **Boys' LMOs**, see :

$$|i_\alpha\rangle = \hat{r}_\alpha |i\rangle - \sum |m\rangle \langle m | \hat{r}_\alpha | i \rangle$$

The POOs are **non-orthogonal**, with overlap :

$$S_{\alpha\beta}^{ij} = \langle i | \hat{r}_\alpha \hat{r}_\beta | j \rangle - \sum \langle i | \hat{r}_\alpha | m \rangle \langle m | \hat{r}_\beta | j \rangle$$

The **fock matrix element** are found to be :

$$f_{\alpha\beta}^{ii} = \frac{1}{2} \delta_{\alpha\beta} + \frac{1}{2} \sum (f_{im} \langle m | \hat{r}_\alpha \hat{r}_\beta | i \rangle + \langle i | \hat{r}_\alpha \hat{r}_\beta | m \rangle f_{mi}) - \sum \langle i | \hat{r}_\alpha | m \rangle f_{mn} \langle n | \hat{r}_\beta | i \rangle$$

The two-elec integrals are written with a **multipole expansion** of the lr interaction :

$$\langle i_\alpha j | k l_\beta \rangle = \sum \langle i_\alpha | \hat{r}_\gamma | k \rangle L_{\gamma\delta}^{ij} \langle j | \hat{r}_\delta | l_\beta \rangle + \dots$$

A **nice result** greatly simplifies the equations :

$$\langle i_\alpha | \hat{r}_\beta | j \rangle = \langle i | \hat{r}_\alpha \hat{r}_\beta | j \rangle - \sum \langle i | \hat{r}_\alpha | m \rangle \langle m | \hat{r}_\beta | j \rangle = S_{\alpha\beta}^{ij}$$

The working equations are the local RPA Ricatti equations (*i.e.* local rCCD) with the **local excitation approximation** and **spherical average approximation**.

## RPA correlation energy

$$E_c^{\text{RPA,lr}} = \sum_{ij}^{\text{occ}} \frac{4}{9} s^i s^j \text{tr}(\mathbf{L}^{ij} \mathbf{T}^{ij})$$

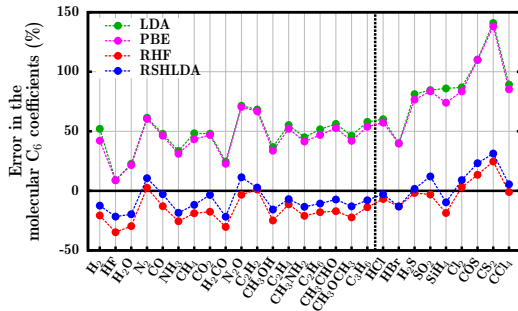
$$\text{where } s^i = \sum_{\alpha\alpha} \mathbf{s}_{\alpha\alpha}^{ii}$$

## $C_6$ coefficients

$$E_c^{(2),\text{lr}} = \sum_{ij}^{\text{occ}} \frac{4}{9} \frac{s^i s^j}{\Delta^i + \Delta^j} \text{tr}(\mathbf{L}^{ij} \mathbf{L}^{ij})$$

$$= \sum_{ij}^{\text{occ}} \frac{8}{3} \frac{s^i s^j}{\Delta^i + \Delta^j} \frac{F_{\text{damp}}^\mu(D^{ij})}{D^{ij6}}$$

$$\text{where } \Delta^i = f_{ii} - f^i/s^i$$



> aug-cc-pVTZ (RSH :  $\mu = 0.5$ )

MA%E	LDA	59.8
	PBE	56.7
	RHF	15.2
	RSHLDA	11.8

## Other Works

Efficient calculations of determinants in QMC using the **Sherman-Morrison-Woodbury formula**.

**Fractional occupation number calculations**  
and **Instabilities in the RPA problem**.

[BM,Toulouse (in prep)]

**Electron dynamics** for High Harmonics Generation spectra

[Coccia,BM,Labeye,Caillat,Taieb,Toulouse,Luppi (submitted)]

In real space : relationship between  
the **response functions**, **exchange holes** and **localized orbitals**.

[BM,Ángyán CTC (2015)]

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