Analytical Gradient for Random Phase Approximation Using a Lagrangian Framework

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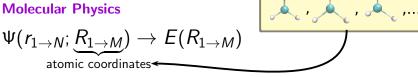
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Molecular Physics

$$\Psi(r_{1 o N}; \underbrace{R_{1 o M}}) o E(R_{1 o M})$$
 atomic coordinates

Molecular Physics



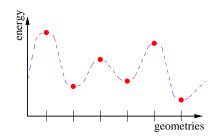
Geometry: a collection of atomic coordinates

Molecular Physics

Molecular Physics
$$\Psi(r_{1\rightarrow N}; \underbrace{R_{1\rightarrow M}}) \rightarrow E(R_{1\rightarrow M})$$
 atomic coordinates

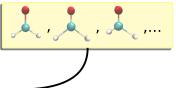
Geometry: a collection of atomic coordinates

Potential Energy Surface



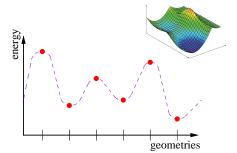
Molecular Physics

$$\Psi(r_{1 o N}; \underbrace{R_{1 o M}}) o E(R_{1 o M})$$



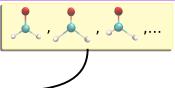
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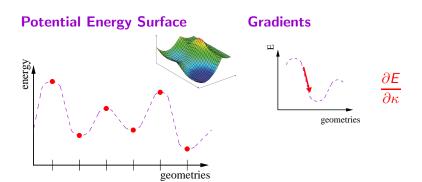


Molecular Physics

$$\Psi(r_{1 o N}; \underbrace{R_{1 o M}}) o E(R_{1 o M})$$

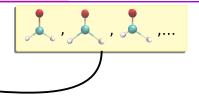


Geometry: a collection of atomic coordinates



Molecular Physics

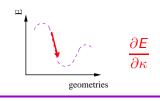
$$\Psi(r_{1\to N}; \underbrace{R_{1\to M}}) \to E(R_{1\to M})$$



Geometry: a collection of atomic coordinates

Potential Energy Surface

Gradients



The gradient of the energy $\frac{\partial E}{\partial \kappa}$ allows one to find optimum geometries

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Hartree-Fock

a good first approximation

$$\begin{split} \hat{H} &= S_{\mu\alpha}^{-1} h_{\alpha\beta} S_{\nu\beta}^{-1} \chi_{\mu}^{\dagger} \chi_{\nu} + \tfrac{1}{2} S_{\mu\alpha}^{-1} S_{\nu\beta}^{-1} (\alpha\beta|\gamma\delta) S_{\gamma\sigma}^{-1} S_{\delta\lambda}^{-1} \chi_{\mu}^{\dagger} \chi_{\nu}^{\dagger} \chi_{\sigma} \chi_{\lambda} \\ E_{HF} &= \langle HF|\hat{H}|HF\rangle \end{split}$$

still missing the correlation energy...

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still missing the correlation energy...

RPA

especially useful for dispersion energies (vdW forces)

$$\begin{cases} E_c^{\mathsf{RPA}} &= \frac{1}{2} \langle \mathsf{KT} \rangle \\ 0 &= \mathsf{K} + \mathsf{AT} + \mathsf{TA} + \mathsf{TKT} \end{cases}$$

Hartree-Fock

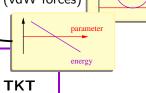
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- still missing the correlation energy...
- a variational method

RPA

- especially useful for dispersion energies (vdW forces)
- ▶ a non-variational method



 $\begin{cases} E_c^{RPA} &= \frac{1}{2} \langle \mathbf{KT} \rangle \\ 0 &= \mathbf{K} + \mathbf{AT} + \mathbf{TA} + \mathbf{TKT} \end{cases}$

energy

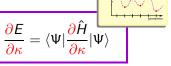
Hellmann-Feynman theorem

valid for variational wavefunctions

$$\frac{\partial E}{\partial \kappa} = \langle \Psi | \frac{\partial \hat{H}}{\partial \kappa} | \Psi \rangle$$

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$$\frac{\partial E}{\partial \kappa} = \langle \Psi | \frac{\partial \hat{H}}{\partial \kappa} | \Psi \rangle$$

HF gradient

$$\frac{\partial E_{HF}}{\partial \kappa} = \langle HF | \frac{\partial \hat{H}}{\partial \kappa} | HF \rangle$$

Hellmann-Feynman theorem

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HF gradient
$$\hat{H} = S_{\mu\alpha}^{-1} h_{\alpha\beta} S_{\nu\beta}^{-1} \chi_{\mu}^{\dagger} \chi_{\nu} + \frac{1}{2} S_{\mu\alpha}^{-1} S_{\nu\beta}^{-1} (\alpha\beta|\gamma\delta) S_{\gamma\sigma}^{-1} S_{\delta\lambda}^{-1} \chi_{\mu}^{\dagger} \chi_{\nu}^{\dagger} \chi_{\sigma} \chi_{\lambda}$$

$$\frac{\partial E_{HF}}{\partial \kappa} = \langle HF | \frac{\partial \hat{H}}{\partial \kappa} | HF \rangle$$

$$= \delta h_{\alpha\beta} P_{\alpha\beta} + \frac{1}{2} \delta (\mu\lambda|\nu\sigma) (P_{\mu\lambda} P_{\nu\sigma} - P_{\mu\sigma} P_{\nu\lambda}) + \delta S_{\mu\nu} S_{\nu\lambda}^{-1} F_{\lambda\sigma} P_{\sigma\mu}$$

► HF gradient *straightforwardly* found by the Hellman-Feynman theorem

Hellmann-Feynman theorem

valid for variational wavefunctions

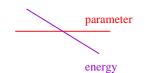
$$\frac{\partial E}{\partial \kappa} = \langle \Psi | \frac{\partial \hat{H}}{\partial \kappa} | \Psi \rangle$$

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$$\frac{\partial E_{HF}}{\partial \kappa} = \langle HF | \frac{\partial \hat{H}}{\partial \kappa} | HF \rangle
= \underline{\delta h_{\alpha\beta}} P_{\alpha\beta} + \frac{1}{2} \underline{\delta (\mu \lambda | \nu \sigma)} (P_{\mu\lambda} P_{\nu\sigma} - P_{\mu\sigma} P_{\nu\lambda}) + \underline{\delta S_{\mu\nu}} S_{\nu\lambda}^{-1} F_{\lambda\sigma} P_{\sigma\mu}$$

► HF gradient *straightforwardly* found by the Hellman-Feynman theorem

For non-variational wavefunctions... (RPA)



Hellmann-Feynman theorem

valid for variational wavefunctions

$$\frac{\partial E}{\partial \kappa} = \langle \Psi | \frac{\partial \hat{H}}{\partial \kappa} | \Psi \rangle$$

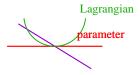
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► HF gradient *straightforwardly* found by the Hellman-Feynman theorem

For non-variational wavefunctions... (RPA)





Work with an alternative object that is variational

Remember: for a non-variational method:

Remember : for a non-variational method : energy $E(\mathbf{P})$

rules for P

Remember : for a non-variational method :

energy $E(\mathbf{P})$

 $\mathbf{R}(\mathbf{P}) = 0$

parameter

Remember : for a non-variational method :

energy rules for \mathbf{P} $E(\mathbf{P})$ $\mathbf{R}(\mathbf{P}) = 0$

▶ introduce the Lagrangian $\mathcal{L}(\mathbf{P}, \frac{\lambda}{\lambda}) = E(\mathbf{P}) + \langle \frac{\lambda}{\lambda} \mathbf{R}(\mathbf{P}) \rangle$ (= $E(\mathbf{P})$)



Remember: for a non-variational method:

energy

rules for P $E(\mathbf{P})$ $\mathbf{R}(\mathbf{P}) = 0$

- ▶ introduce the Lagrangian $\mathcal{L}(\mathbf{P}, \lambda) = E(\mathbf{P}) + \langle \lambda \mathbf{R}(\mathbf{P}) \rangle$ (= $E(\mathbf{P})$)
- ightharpoonup stationary conditions for \mathcal{L}



Remember: for a non-variational method:

energy

rules for P $E(\mathbf{P})$ $\mathbf{R}(\mathbf{P}) = 0$

introduce the Lagrangian

introduce the Lagrangian
$$\mathcal{L}(\mathbf{P}, \frac{\lambda}{\lambda}) = E(\mathbf{P}) + \langle \frac{\lambda}{\lambda} R(\mathbf{P}) \rangle$$
 $(= E(\mathbf{P}))$

stationary conditions for L

$$rac{\partial \mathcal{L}(\mathbf{P}, \lambda)}{\partial \lambda} = \mathbf{R}(\mathbf{P}) = 0$$



Remember: for a non-variational method:

nergy rules for
$$\mathbf{P}$$

 $E(\mathbf{P})$ $R(\mathbf{P}) = 0$

introduce the Lagrangian

$$\mathcal{L}(\mathsf{P}, \boldsymbol{\lambda}) = E(\mathsf{P}) + \langle \boldsymbol{\lambda} \mathsf{R}(\mathsf{P}) \rangle \quad (= E(\mathsf{P}))$$

stationary conditions for L

$$\frac{\partial \mathcal{L}(\mathbf{P}, \lambda)}{\partial \lambda} = \mathbf{R}(\mathbf{P}) = 0$$

$$\frac{\partial \mathcal{L}(\mathbf{P}, \lambda)}{\partial \mathbf{P}} = \frac{\frac{\partial E(\mathbf{P})}{\partial \mathbf{P}}}{\partial \mathbf{P}} + \langle \lambda \frac{\partial R(\mathbf{P})}{\partial \mathbf{P}} \rangle = 0$$



Remember: for a non-variational method:

energy

rules for P $E(\mathbf{P})$ $\mathbf{R}(\mathbf{P}) = 0$

- introduce the Lagrangian
- $\mathcal{L}(\mathbf{P}, \boldsymbol{\lambda}) = E(\mathbf{P}) + \langle \boldsymbol{\lambda} \mathbf{R}(\mathbf{P}) \rangle \quad (= E(\mathbf{P}))$
- stationary conditions for L

$$\frac{\partial \mathcal{L}(\mathbf{P}, \lambda)}{\partial \lambda} = \mathbf{R}(\mathbf{P}) = 0$$

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non zero!

Remember : for a non-variational method : energy rules for
$$\mathbf{P}$$

$$E(\mathbf{P}) \qquad \mathbf{R}(\mathbf{P}) = 0$$

- ▶ introduce the Lagrangian $\mathcal{L}(\mathbf{P}, \lambda) = E(\mathbf{P}) + \langle \lambda \mathbf{R}(\mathbf{P}) \rangle$ (= $E(\mathbf{P})$)
- lacktriangle stationary conditions for \mathcal{L} $\frac{\partial \mathcal{L}(\mathbf{P}, \lambda)}{\partial \lambda} = \mathbf{R}(\mathbf{P}) = 0$

$$\frac{\partial \mathcal{L}(\mathbf{P}, \lambda)}{\partial \mathbf{P}} = \frac{\partial \mathbf{E}(\mathbf{P})}{\partial \mathbf{P}} + \langle \mathbf{\lambda} \frac{\partial \mathbf{R}(\mathbf{P})}{\partial \mathbf{P}} \rangle = 0$$
non zero!

RPA case

- \triangleright parameters : $E(\mathbf{T}, \mathbf{C})$
- three constraints : $\mathbf{R} = 0$, $\mathbf{F} = 0$ and $\mathbf{O} = 0$
- three Lagrangian multipliers : λ , z and x

$$\mathcal{L}(\mathbf{T}, \boldsymbol{\lambda}, \mathbf{C}, \mathbf{z}, \mathbf{x}) = E(\mathbf{T}, \mathbf{C}) + \langle \boldsymbol{\lambda} \mathbf{R} \rangle + \langle \mathbf{z} \mathbf{F} \rangle + \langle \mathbf{x} \mathbf{O} \rangle$$

Computing Lagrangian multipliers

Computing Lagrangian multipliers

$$\mathcal{L}(\mathsf{T}, \lambda, \mathsf{C}, \mathsf{z}, \mathsf{x}) = \frac{1}{2} \langle \mathsf{KT} \rangle + \langle \lambda \mathsf{R} \rangle + \langle \mathsf{zF} \rangle + \langle \mathsf{xO} \rangle$$

$$\triangleright$$
 λ multiplier :

$$\frac{\partial \mathcal{L}}{\partial \mathsf{T}} = \frac{1}{2}\mathsf{K} + \frac{\lambda}{\lambda}(\mathsf{A} + \mathsf{TK}) + (\mathsf{A} + \mathsf{KT})\frac{\lambda}{\lambda} = 0$$

 $\mathcal{L}(\textbf{T},\!\boldsymbol{\lambda},\!\textbf{C},\!\textbf{z},\!\textbf{x})\!\!=\!\!\frac{1}{2}\langle\textbf{K}\textbf{T}\rangle\!+\!\langle\boldsymbol{\lambda}\textbf{R}\rangle\!+\!\langle\boldsymbol{z}\textbf{F}\rangle\!+\!\langle\boldsymbol{x}\textbf{O}\rangle$

Computing Lagrangian multipliers

▶
$$\lambda$$
 multiplier : $\frac{\partial \mathcal{L}}{\partial \mathbf{T}} = \frac{1}{2}\mathbf{K} + \frac{\lambda}{\lambda}(\mathbf{A} + \mathbf{T}\mathbf{K}) + (\mathbf{A} + \mathbf{K}\mathbf{T})\frac{\lambda}{\lambda} = 0$

x and **z** multipliers :
$$\frac{\partial \mathcal{L}}{\partial \mathbf{C}} = \cdots = \mathbf{\Theta} + \tilde{\mathbf{\Theta}}(\mathbf{z}) + 2\mathbf{x} = 0$$

 $\mathcal{L}(\textbf{T},\!\boldsymbol{\lambda},\!\textbf{C},\!\textbf{z},\!\textbf{x})\!\!=\!\!\frac{1}{2}\langle \textbf{K}\textbf{T}\rangle\!+\!\langle \boldsymbol{\lambda}\textbf{R}\rangle\!+\!\langle \textbf{z}\textbf{F}\rangle\!+\!\langle \textbf{x}\textbf{0}\rangle$

Computing Lagrangian multipliers

▶
$$\lambda$$
 multiplier : $\frac{\partial \mathcal{L}}{\partial T} = \frac{1}{2}K + \frac{\lambda}{\lambda}(A + TK) + (A + KT)\frac{\lambda}{\lambda} = 0$

x and **z** multipliers :
$$\frac{\partial \mathcal{L}}{\partial \mathbf{C}} = \cdots = \mathbf{\Theta} + \tilde{\mathbf{\Theta}}(\mathbf{z}) + 2\mathbf{x} = 0$$

yields a set of two equations, solved for z and x :

$$\left\{ \begin{array}{ll} \left(\boldsymbol{\Theta} - \tilde{\boldsymbol{\Theta}}^{\dagger} + \mathbf{F} \mathbf{z} - \mathbf{z} \mathbf{F} + \mathbf{4} \mathbf{g}(\mathbf{z}) \right)_{ai} &= 0 \\ \left(1 + \tau_{pq} \right) \left(\boldsymbol{\Theta} + \tilde{\boldsymbol{\Theta}}(\mathbf{z}) \right)_{pq} &= -2(\mathbf{x})_{pq} \end{array} \right.$$

$\mathcal{L}(\textbf{T},\!\boldsymbol{\lambda},\!\textbf{C},\!\textbf{z},\!\textbf{x})\!\!=\!\!\frac{1}{2}\langle \textbf{K}\textbf{T}\rangle\!+\!\langle \boldsymbol{\lambda}\textbf{R}\rangle\!+\!\langle \textbf{z}\textbf{F}\rangle\!+\!\langle \textbf{x}\textbf{O}\rangle$

Computing Lagrangian multipliers

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Computing Lagrangian multipliers

$$\mathcal{L}(\mathsf{T},\lambda,\mathsf{C},\mathsf{z},\mathsf{x}) = \frac{1}{2} \langle \mathsf{KT} \rangle + \langle \lambda \mathsf{R} \rangle + \langle \mathsf{zF} \rangle + \langle \mathsf{xO} \rangle$$

 $\triangleright \lambda$ multiplier :

$$\frac{\partial \mathcal{L}}{\partial \mathbf{T}} = \frac{1}{2}\mathbf{K} + \frac{\lambda}{\lambda}(\mathbf{A} + \mathbf{T}\mathbf{K}) + (\mathbf{A} + \mathbf{K}\mathbf{T})\frac{\lambda}{\lambda} = 0$$

x and **z** multipliers :

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(at last) RPA gradient

once multipliers are known : gradients obtained from $\boldsymbol{derivatives}$ of $\boldsymbol{\mathcal{L}}$

$$\frac{\partial \mathcal{L}}{\partial \kappa} = \frac{\partial E}{\partial \kappa} = \langle \mathbf{D}^{\textcircled{1}} \delta \mathbf{H} \rangle + \langle \mathbf{X}^{\textcircled{1}} \delta \mathbf{S} \rangle + \left(\mathbf{D}^{\textcircled{2}} + \mathbf{\Gamma}^{\textcircled{2}} \right)_{\mu\nu,\rho\sigma} \delta (\mu\nu|\rho\sigma)$$

Lagrangian parameter

energy

Conclusion & Outlook

The Lagrangian framework has successfully been applied to derive the RPA gradient

Outlook

- Implementation is in progress
- Useful (but not yet fully understood) parallel with MP2 gradients
- Gradients of "mixed" RPA energy expressions need further derivation (e.g. Szabo-Ostlund variant)
- Extension to density fitting seems straighforward