



# ICON Database Reference Manual

D. Reinert, F. Prill, H. Frank and G. Zängl

Deutscher Wetterdienst  
Research and development (FE13)



**Version: 0.8.3**

**Last changes: February 6, 2015**

Offenbach am Main, Germany

This document is based on Revision 20814 of the ICON code,  
Last changed on 2015-01-16.

# Revision History

Revision	Date	Author(s)	Description
0.1.0	10.01.13	DR, FP	Generated preliminary list of available GRIB2 output fields
0.2.0	12.07.13	DR, FP	Added a short section describing the horizontal ICON grid. <code>AUMFL_S</code> , <code>AVMFL_S</code> added to the list of available output fields
0.2.1	15.07.13	DR	Provide newly available output fields in tabulated form. Change levelType of 3D atmospheric fields from 105 (Hybrid) to 150 ( Generalized vertical height coordinate)
0.2.2	16.07.13	FP	Short description of ICON's vertical grid.
0.2.3	25.09.13	DR	Added description of available First Guess and analysis fields
0.2.4	17.12.13	DR	Added description of external parameter fields
0.3.0	24.01.14	DR	Added information about horizontal output grids
0.3.1	24.01.14	DR	Added information about newly available output field <code>OMEGA</code>
0.4.0	22.05.14	HF	Added SKY-database documentation
0.4.1	15.07.14	DR	Some documentation on statistical processing and minor updates. New output fields <code>ASWDIR_S</code> , <code>ASWDIFD_S</code> , <code>ASWDIFU_S</code> , <code>DTKE_CON</code>
0.4.2	10.09.14	DR	New output fields <code>CLCT_MOD</code> , <code>CLDEPTH</code>
0.5.0	01.10.14	DR	Description of IAU initialization method
0.5.1	15.10.14	DR	Updated description of necessary input fields
0.5.2	31.10.14	DR	Add full table with model half level heights
0.6.0	05.12.14	DR	Add short introduction and fix some minor bugs
0.6.1	10.12.14	DR	New output field <code>APAB_S</code>
0.7.0	16.12.14	DR	Revised documentation of time invariant fields and a couple of bug fixes
0.7.2	09.01.15	DR	General GRIB2 description
0.8.0	15.01.15	FP, DR	Couple of bug fixes regarding the available fields on triangular and regular grids

0.8.1	16.01.15	FP, DR	List of pressure-level variables available on triangular grids
0.8.2	16.01.15	FP	List of height-level variables available on regular grids
0.8.3	16.01.15	DR	List of variables exclusively available for $VV = 0$

# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>Grid geometry</b>	<b>3</b>
2.1	Horizontal grid . . . . .	3
2.1.1	Local grid refinement . . . . .	5
2.2	Vertical grid . . . . .	5
<b>3</b>	<b>Mandatory input fields</b>	<b>7</b>
3.1	Grid Files . . . . .	7
3.2	External parameter . . . . .	8
<b>4</b>	<b>Analysis fields</b>	<b>11</b>
4.1	Incremental analysis update . . . . .	13
<b>5</b>	<b>Available output fields: Forecast runs</b>	<b>15</b>
5.1	Deprecated output fields . . . . .	15
5.2	New output fields . . . . .	16
5.3	Available output fields . . . . .	17
5.3.1	Time-constant (external parameter) fields . . . . .	17
5.3.2	Multi-level fields on native hybrid vertical levels . . . . .	21
5.3.3	Multi-level fields interpolated to pressure levels . . . . .	21
5.3.4	Multi-level fields interpolated to height levels . . . . .	23
5.3.5	Single-level fields . . . . .	23
5.3.6	Surface fields interpolated to msl . . . . .	27
5.3.7	Soil-specific multi-level fields . . . . .	27
5.3.8	Lake-specific single-level fields . . . . .	28
5.4	Extended description of available output fields . . . . .	28
5.4.1	Cloud products . . . . .	29
5.4.2	Near surface products . . . . .	29
	General comment on statistically processed fields . . . . .	29
5.4.3	Surface products . . . . .	29
5.4.4	Soil products . . . . .	30
5.4.5	Vertical Integrals . . . . .	30
5.4.6	Technical Details of the Horizontal Interpolation . . . . .	30

<b>6</b>	<b>ICON data in the SKY data bases of DWD</b>	<b>33</b>
6.1	SKY categories for ICON . . . . .	33
6.2	Retrieving ICON data from SKY . . . . .	34
	<b>Bibliography</b>	<b>37</b>

# Chapter 1

## Introduction

The **ICO**sahedral **N**onhydrostatic model **ICON** is the new global numerical weather prediction model at DWD. It will become operational at 2015-01-20, replacing the current operational global model GME. The ICON modelling system as a whole is developed jointly by DWD and the Max-Planck Institute for Meteorology in Hamburg (MPI-M). While ICON is the new working horse for short and medium range global weather forecast at DWD, it will serve as the core of a new climate modelling system at MPI-M.

From 2015-01-20 on, ICON analysis and forecast fields will serve as initial and boundary data for

- the regional model COSMO-EU
- RLMs (**R**elocatable **L**ocal **M**odel) of the German armed forces
- DWD's wave models

This document provides some basic information about ICON's horizontal and vertical grid structure, numerical algorithms and physical parameterizations (the latter two are planned but not yet available). Furthermore, it provides an overview about the available ICON analysis and forecast fields stored in the data base SKY at DWD. Some examples on how to read these data from the data base are given as well.

If you encounter bugs or inconsistencies, or if you have suggestions for improving this document, please contact one of the following colleagues:

**Daniel Reinert**, FE13

Tel: +49 (69) 8062-2060

Mail: [daniel.reinert@dwd.de](mailto:daniel.reinert@dwd.de)

**Helmut Frank**, FE13

Tel: +49 (69) 8062-2742

Mail: [helmut.frank@dwd.de](mailto:helmut.frank@dwd.de)

**Florian Prill**, FE13

Tel: +49 (69) 8062-2727

Mail: [florian.prill@dwd.de](mailto:florian.prill@dwd.de)



## Chapter 2

# Grid geometry

### 2.1 Horizontal grid

The horizontal ICON grid consists of a set of spherical triangles that seamlessly span the entire sphere. The grid is constructed from an icosahedron (see Figure 2.1a) which is projected onto a sphere. The spherical icosahedron (Figure 2.1b) consists of 20 equilateral spherical triangles. The edges of each triangle are bisected into equal halves or more generally into  $n$  equal sections. Connecting the new edge points by great circle arcs yields 4 or more generally  $n^2$  spherical triangles within the original triangle (Figure 2.2a, 2.2b).



**Figure 2.1:** Icosahedron before (a) and after (b) projection onto a sphere



**Figure 2.2:** (a) Bisection of the original triangle edges (b) More general division into  $n$  equal sections

ICON grids are constructed by an initial root division into  $n$  sections ( $\mathbf{R}_n$ ) followed by  $k$  bisection steps ( $\mathbf{B}_k$ ), resulting in a  $\mathbf{R}_n\mathbf{B}_k$  grid. Figures 2.3a and 2.3b show  $\mathbf{R}_2\mathbf{B}_0$  and  $\mathbf{R}_2\mathbf{B}_2$  ICON grids. Such grids avoid polar singularities of latitude-longitude grids (Figure 2.3c) and allow a high uniformity in resolution over the whole sphere.



**Figure 2.3:** (a)  $\mathbf{R}_2\mathbf{B}_0$  grid. (b)  $\mathbf{R}_2\mathbf{B}_2$  grid. (c) traditional regular latitude-longitude grid with polar singularities

Throughout this document, the grid is referred to as the “ $\mathbf{R}_n\mathbf{B}_k$  grid” or “ $\mathbf{R}_n\mathbf{B}_k$  resolution”. For a given resolution  $\mathbf{R}_n\mathbf{B}_k$ , the total number of cells, edges, and vertices can be computed from

$$\begin{aligned} n_c &= 20 n^2 4^k \\ n_e &= 30 n^2 4^k \\ n_v &= 10 n^2 4^k + 2 \end{aligned}$$

The average cell area  $\overline{\Delta A}$  can be computed from

$$\overline{\Delta A} = \frac{4\pi r_e^2}{n_c},$$

with the earth radius  $r_e$ , and  $n_c$  the total number of cells. Based on  $\overline{\Delta A}$  one can derive an estimate of the average grid resolution  $\overline{\Delta x}$ :

$$\overline{\Delta x} = \sqrt{\overline{\Delta A}} = \sqrt{\frac{\pi}{5} \frac{r_e}{n 2^k}}$$

Visually speaking,  $\overline{\Delta x}$  is the edge length of a square which has the same area as our triangular cell.

In Table 2.1, some characteristics of frequently used ICON grids are given. The table contains information about the total number of triangles ( $n_c$ ), the average resolution  $\overline{\Delta x}$ , and the maximum/minimum cell area. The latter may be interpreted as the area for which the prognosed meteorological quantities (like temperature, pressure, ...) are representative. Some additional information about ICON’s horizontal grid can be found in Wan et al. (2013).

**Table 2.1:** Characteristics of frequently used ICON grids.  $\Delta A_{max}$  and  $\Delta A_{min}$  refer to the maximum and minimum area of the grid cells, respectively.

Grid	number of cells ( $n_c$ )	avg. resolution [km]	$\Delta A_{max}$ [km <sup>2</sup> ]	$\Delta A_{min}$ [km <sup>2</sup> ]
R2B04	20480	157.8	25974.2	18777.3
R2B05	81920	78.9	6480.8	4507.5
R2B06	327680	39.5	1618.4	1089.6
R2B07	1310720	19.7	404.4	265.1
R3B07	2949120	13.2	179.7	116.3

**The first operational version of ICON is based on the R3B07 grid, thus, having a horizontal resolution of about 13 km!**

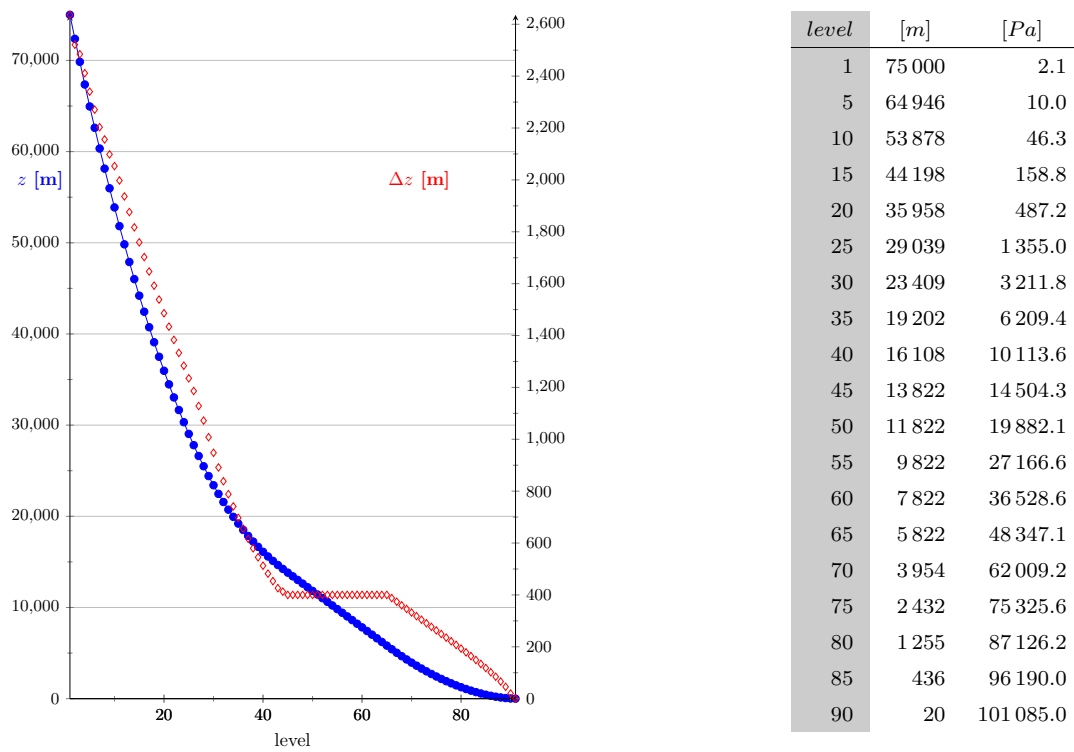
### 2.1.1 Local grid refinement

## 2.2 Vertical grid

The vertical grid consists of a set of vertical layers with height-based vertical coordinates. Each of these layers carries the horizontal 2D grid structure, thus forming the 3D structure of the grid. The ICON grid employs a Lorenz-type staggering with the vertical velocity defined at the boundaries of layers (half levels) and the other prognostic variables in the center of the layer (full levels).

To improve simulations of flow past complex topography, the ICON model employs a smooth level vertical (SLEVE) coordinate (Leuenberger et al., 2010). It allows for a faster transition to smooth levels in the upper troposphere and lower stratosphere, as compared to the classical height-based Gal-Chen coordinate. In the operational setup, the transition from terrain following levels in the lower atmosphere to constant height levels is completed at  $z = 16$  km. Model levels above are flat. The required smooth large-scale contribution of the model topography is generated by digital filtering with a  $\nabla^2$ -diffusion operator. Figure 2.4 shows the (half) levels of the planned operational ICON setup with 90 vertical levels. The table to the right shows the height above ground of selected half levels (for zero height topography) and the corresponding pressure, assuming the US standard atmosphere. Standard heights for all 91 half levels are given in Table ??.

**Please note that for grid cells with non-zero topography these values only represent rough estimates of the true level height. Actual heights may vary considerably from location to location, due to grid level stretching/compression over non-zero topography.**



**Figure 2.4:** Vertical (half) levels of the ICON model (planned operational setup). The table of selected pressure values (for zero height) is based on the 1976 US standard atmosphere.

# Chapter 3

## Mandatory input fields

Several input files are needed to perform runs of the ICON Model. These can be divided into three classes: Grid files, external parameters, and initialization (analysis). The latter will be described in Chapter 4.

### 3.1 Grid Files

In order to run ICON, it is necessary to load the horizontal grid information as an input parameter. This information is stored within so-called grid files. For an ICON run, at least one global grid file is required. For model runs with nested grids, additional files of the nested domains are necessary. Optionally, a reduced radiation grid for the global domain may be used.

The unstructured triangular ICON grid resulting from the grid generation process is represented in NetCDF format. The most important data entries are

- **cell** (INTEGER dimension)  
number of (triangular) cells
- **vertex** (INTEGER dimension)  
number of triangle vertices
- **edge** (INTEGER dimension)  
number of triangle edges
- **clon, clat** (double array, dimension: #triangles, given in radians)  
longitude/latitude of the triangle circumcenters
- **vlon, vlat** (double array, dimension: #triangle vertices, given in radians)  
longitude/latitude of the triangle vertices
- **elon, elat** (double array, dimension: #triangle edges, given in radians)  
longitude/latitude of the edge midpoints
- **cell\_area** (double array, dimension: #triangles)  
triangle areas
- **vertex\_of\_cell** (INTEGER array, dimensions: [3, #triangles])  
The indices **vertex\_of\_cell(:,i)** denote the triangle vertices that belong to the triangle **i**.
- **edge\_of\_cell** (INTEGER array, dimensions: [2, #triangles])  
The indices **edge\_of\_cell(:,i)** denote the triangle edges that belong to the triangle **i**.

### 3.2 External parameter

External parameters are used to describe the properties of the earth’s surface. These data include the orography and the land-sea-mask. Also, several parameters are needed to specify the dominant land use of a grid box like the soiltype or the plant cover fraction.

The ExtPar software (ExtPar – External parameter for Numerical Weather Prediction and Climate Application) is able to generate external parameters for the ICON model. The generation is based on a set of raw-datafields which are listed in Table 3.1. For a more detailed overview of ExtPar, the reader is referred to the *User and Implementation Guide* of Extpar.

Table 3.1: Raw datasets from which the ICON external parameter fields are derived.

Dataset	Source	Resolution
GLOBE orography	NOAA/NGDC	30"
GlobCover 2009	ESA	10"
GLCC land use	USGS	30"
HWSD Harmonized World Soil Database	FAO/IIASA/ISRIC/ISSCAS/JRC	30"
NDVI Climatotology, SeaWiFS	NASA/GSFC	2.5'
CRU near surface climatology	CRU University of East Anglia	0.5°
GACP Aerosol Optical thickness	NASA/GISS (Global Aerosol Climatology Project)	4x5°
GLDB Global lake database	DWD/RSHU/MeteoFrance	30"
MODIS albedo	NASA	5'

*GlobCover 2009* is a land cover database covering the whole globe, except for Antarctica. Therefore, we make use of *GlobCover 2009* for  $90^\circ > \phi > -56^\circ$  (with  $\phi$  denoting latitude) and switch to the coarser, however globally available dataset *GLCC* for  $-56^\circ > \psi > -90^\circ$ .

The products generated by the ExtPar software package are listed in Table 3.2 together with the underlying raw dataset. Note that these are mandatory input fields for assimilation- and forecast runs.

Table 3.2: External parameter fields for ICON, produced by the ExtPar software package (in alphabetical order)

ShortName	Description	Raw dataset
AER.SS12	Sea salt aerosol climatology (monthly fields)	GACP
AER.DUST12	Total soil dust aerosol climatology (monthly fields)	GACP
AER.ORG12	Organic aerosol climatology (monthly fields)	GACP
AER.SO412	Total sulfate aerosol climatology (monthly fields)	GACP
AER.BC12	Black carbon aerosol climatology (monthly fields)	GACP
ALB.DIF12	Shortwave (0.3 – 5.0 $\mu\text{m}$ ) albedo for diffuse radiation (monthly fields)	MODIS

*Continued on next page*

Table 3.2: *continued*

ALB_UV12	UV-visible ( $0.3 - 0.7 \mu\text{m}$ ) albedo for diffuse radiation (monthly fields)	MODIS
ALB_NI12	Near infrared ( $0.7 - 5.0 \mu\text{m}$ ) albedo for diffuse radiation (monthly fields)	MODIS
DEPTH_LK	Lake depth	GLDB
EMIS_RAD	Surface longwave (thermal) emissivity	GlobCover 2009
FOR_D	Fraction of deciduous forest	GlobCover 2009
FOR_E	Fraction of evergreen forest	GlobCover 2009
FR_LAKE	Lake fraction (fresh water)	GLDB
FR_LAND	Land fraction (excluding lake fraction but including glacier fraction)	GlobCover2009
FR_LUC	Landuse class fraction	
HSURF	Orography height at cell centres	GLOBE
LAI_MX	Leaf area index in the vegetation phase	GlobCover 2009
NDVI_MAX	Normalized differential vegetation index	SeaWiFS
NDVI_MRAT	proportion of monthly mean NDVI to yearly maximum (monthly fields)	SeaWiFS
PLCOV_MX	Plant covering degree in the vegetation phase	GlobCover 2009
ROOTDP	Root depth	GlobCover 2009
RSMIN	Minimum stomatal resistance	GlobCover 2009
SOILTYP	Soil type	HWSD
SSO_STDH	Standard deviation of sub-grid scale orographic height	GLOBE
SSO_THETA	Principal axis-angle of sub-grid scale orography	GLOBE
SSO_GAMMA	Horizontal anisotropy of sub-grid scale orography	GLOBE
SSO_SIGMA	Average slope of sub-grid scale orography	GLOBE
T_2M_CL	Climatological 2m temperature (serves as lower boundary condition for soil model)	CRU
Z0 (*)	Surface roughness length (over land), containing a contribution from subgrid-scale orography	GlobCover 2009

Note that fields marked with (\*) are not required in operational model runs. I.e. the surface roughness Z0 is only needed, if the additional contribution from sub-grid scale orography is taken into account (i.e. for `itype_z0=1`). In operational runs, land-use specific roughness lengths are taken from a GlobCover-based lookup table. `FOR_D` and `FOR_E` will become obsolete, as soon as the surface tile approach (which is currently under development) is activated. However, due to technical reasons, all the above fields must be provided as input, irrespective of the options chosen.

**Remarks on post-processing**

Some of the external parameter fields produced by ExtPar are modified by ICON. The following fields are affected: `HSURF`, `FR_LAND`, `FR_LAKE`, `Z0`. Thus, for consistency reasons, the modified fields should be used for post-processing tasks rather than the original external parameter fields.



## Chapter 4

# Analysis fields

The 3-hourly first guess output of ICON contains the following fields:

Table 4.1: Available 3h first guess output fields

Type	GRIB shortName
Atmosphere	VN, U, V, W, DEN, THETA_V, T, QV, QC, QI, QR, QS, TKE, P
Surface (general)	T_G, T_SO(0), QV_S, T_2M, TD_2M, U_10M, V_10M, PS, Z0
Land specific	W_SNOW, T_SNOW, RHO_SNOW, H_SNOW, FRESHSNW, W_I, T_SO(1:nlev_soil), W_SO, W_SO_ICE
Lake/sea ice specific	T_MNW_LK, T_WML_LK, H_ML_LK, T_BOT_LK, C_T_LK, T_B1_LK, H_B1_LK, T_ICE, H_ICE, FR_ICE
Time invariant	FR_LAND, HHL, CLON, CLAT, ELON, ELAT, VLON, VLAT

Atmospheric analysis fields are computed every 3 hours (00, 03, 06,... 21 UTC) by the 3DVar data assimilation system. Sea surface temperature `T_SO(0)` and sea ice cover `FR_ICE` are provided once per day (00 UTC) by the SST-Analysis. A snow analysis is conducted every 3 hours. It provides updated information on the snow height `H_SNOW` and snow age `FRESHSNW`. In addition a soil moisture analysis (SMA) is conducted once per day (00 UTC). It basically modifies the soil moisture content `W_SO`, in order to improve the 2 m temperature forecast.

For the 3-hourly assimilation cycle and forecast runs, ICON must be provided with 2 input files: One containing the First Guess (FG) and the other containing analysis (AN) fields, only. Variables for which no analysis is available are always read from the first guess file (e.g. `TKE`). Other variables may be read either from the first guess or the analysis file, depending on the starting time. E.g. for `T_SO(0)` the first guess is read at 03, 06, 09, 12, 15, 18, 21 UTC, however, the analysis is read at 00 UTC. In Table 4.2 the available and employed first guess and analysis fields are listed as a function of starting time.

Table 4.2: The leftmost column shows variables that are mandatory for the assimilation cycle and forecast runs. Column 2 indicates, whether or not an analysis is performed for these variables. Columns 3 to 10 show the origin of these variables (analysis or first guess), depending on the starting time.

ShortName	Analysis	00	03	06	09	12	15	18	21
<b>Atmosphere</b>									
VN	–	FG	FG	FG	FG	FG	FG	FG	FG
THETA_V	–	FG	FG	FG	FG	FG	FG	FG	FG
DEN	–	FG	FG	FG	FG	FG	FG	FG	FG
W	–	FG	FG	FG	FG	FG	FG	FG	FG
TKE	–	FG	FG	FG	FG	FG	FG	FG	FG
QC, QI, QR, QS	–	FG	FG	FG	FG	FG	FG	FG	FG
QV	3DVar	AN	AN	AN	AN	AN	AN	AN	AN
T	3DVar	AN	AN	AN	AN	AN	AN	AN	AN
P	3DVar	AN	AN	AN	AN	AN	AN	AN	AN
U, V	3DVar	AN	AN	AN	AN	AN	AN	AN	AN
<b>Surface</b>									
Z0	–	FG	FG	FG	FG	FG	FG	FG	FG
T_G	–	FG	FG	FG	FG	FG	FG	FG	FG
QV_S	–	FG	FG	FG	FG	FG	FG	FG	FG
T_SO(0) (SST only)	Ana_SST	AN	FG	FG	FG	FG	FG	FG	FG
T_SO(0:nlevsoil)	–	FG	FG	FG	FG	FG	FG	FG	FG
W_SO_ICE	–	FG	FG	FG	FG	FG	FG	FG	FG
W_SO	SMA	AN	FG	FG	FG	FG	FG	FG	FG
W_I	–	FG	FG	FG	FG	FG	FG	FG	FG
W_SNOW <sup>1</sup>	Ana_SNOW	AN	AN	AN	AN	AN	AN	AN	AN
T_SNOW	–	FG	FG	FG	FG	FG	FG	FG	FG
RHO.SNOW <sup>1</sup>	Ana_SNOW	AN	AN	AN	AN	AN	AN	AN	AN
H_SNOW	Ana_SNOW	AN	AN	AN	AN	AN	AN	AN	AN
FRESHSNW	Ana_SNOW	AN	AN	AN	AN	AN	AN	AN	AN
<b>Sea ice/Lake</b>									
T_ICE	–	FG	FG	FG	FG	FG	FG	FG	FG
H_ICE	–	FG	FG	FG	FG	FG	FG	FG	FG
FR_ICE	Ana_SST	AN	FG	FG	FG	FG	FG	FG	FG
T_MNW_LK	–	FG	FG	FG	FG	FG	FG	FG	FG
T_WML_LK	–	FG	FG	FG	FG	FG	FG	FG	FG

*Continued on next page*

Table 4.2: The leftmost column shows variables that are mandatory for the assimilation cycle and forecast runs. Column 2 indicates, whether or not an analysis is performed for these variables. Columns 3 to 10 show the origin of these variables (analysis or first guess), depending on the starting time.

ShortName	Analysis	00	03	06	09	12	15	18	21
H.ML.LK	–	FG	FG	FG	FG	FG	FG	FG	FG
T.BOT.LK	–	FG	FG	FG	FG	FG	FG	FG	FG
C.T.LK	–	FG	FG	FG	FG	FG	FG	FG	FG
T.B1.LK	–	FG	FG	FG	FG	FG	FG	FG	FG
H.B1.LK	–	FG	FG	FG	FG	FG	FG	FG	FG

## 4.1 Incremental analysis update

Analysis fields provided by the data assimilation system are usually not perfectly balanced, leading to e.g. the generation of spurious gravity waves. Thus, atmospheric models generally require some initialization procedure in order to minimize spin-up effects and to prevent the accumulation of noise. In ICON, a method known as **I**ncremental **A**nalysis **U**pdate (IAU) (Bloom et al., 1996, Polavarapu et al., 2004) is applied. The basic idea is quite simple: Rather than adding the analysis increments  $\Delta \mathbf{x}^A = \mathbf{x}^A - \mathbf{x}^{FG}$  (i.e. the difference between the analysis  $\mathbf{x}^A$  and the model first guess  $\mathbf{x}^{FG}$ ) in one go, they are incorporated into the model in small drips over many timesteps (see Figure 4.1).



**Figure 4.1: Incremental Analysis Update.** Analysis increments are added to the background state (FG) in small drips over some time interval rather than in one go. Currently, increments for  $U$ ,  $V$ ,  $P$ ,  $T$ ,  $QV$  are treated in this way.

<sup>1</sup>Note that  $\rho_{snow}$  is read from the analysis, however it does not contain any new/independent information compared to the model first guess, except for an initialization of newly generated snow points and a limitation over glacier points.  $w_{snow}$  is read from the analysis, too, however it is re-diagnosed within the ICON-code based on the analyzed snow height  $h_{snow}$  and the former mentioned snow density  $\rho_{snow}$ .

Mathematically speaking, during forward integration the model is forced with appropriately weighted analysis increments:

$$\frac{d\mathbf{x}}{dt} = A\mathbf{x} + g(t)\Delta\mathbf{x}^A \quad , \text{ with } \int g(t) dt = 1 \quad (4.1)$$

$\mathbf{x}$  is the discrete model state,  $A$  is a matrix representing the (non)-linear dynamics of the system and  $g(t)$  is a weighting function, which is non-zero over some time-interval  $\Delta t$ .

This drip by drip incorporation acts as a low pass filter in frequency domain on the analysis increments such that small scale unbalanced modes are effectively filtered (see Bloom et al. (1996)). The filter characteristic depends on the weighting function  $g(t)$ . It should be noted that IAU only filters the increments and not the background state, such that regions where analysis increments are zero remain unaffected. This method is currently applied to the prognostic atmospheric fields  $\pi$ ,  $\rho$ ,  $v_n$ ,  $q_v$ , based on analysis increments provided for  $u$ ,  $v$ ,  $p$ ,  $t$  and  $q_v$ .  $\pi$  denotes the Exner pressure.

The method sounds incredibly simple, however there are a few technical aspects to be taken care of when implementing this into an operational system: Figure 4.2 shows how the IAU-method is implemented in ICON for a 3h assimilation run starting at midnight. Analysis increments are applied over a 3h hour time window, centered at the actual model start time. As indicated by the blue line, constant weights are used:

$$g(t) = \frac{\Delta t}{T} \quad , \text{ for } -T/2 < t < T/2 \quad (4.2)$$

$T$  is the window width and  $\Delta t$  is the fast physics time step. The key point in terms of technical implementation is that the model must be started 90 minutes prior to the actual starting time of the assimilation run. The model is started from the 22:30 UTC first guess. The analysis increments for U, V, P, T, QV, whose validity time is 00:00 UTC are added over 3 hours until at 1:30 the free forecast starts. Then, two first guess data sets are written into the database. One at 1:30 UTC, which will be used for starting the next 3h assimilation run, and a second one at 3:00 UTC, which is required as input for the assimilation system itself. Thus in general, using the IAU method requires some care in terms of reading and writing the right fields at the right times.



Figure 4.2: Time line for an ICON assimilation run starting at 00:00 UTC.

## Chapter 5

# Available output fields: Forecast runs

ICON output fields are exclusively available in GRIB2 format (**GR**Idded **B**inary Edition **2**), with the exception of meteogram data (NetCDF). GRIB is a bit-oriented data storage format which was developed by WMO to facilitate the exchange of large volumes of gridded data between weather prediction centres. For decoding and encoding GRIB2 messages, the DWD in general and ICON in particular makes use of the ECMWF GRIB API. The current operational version at DWD is 1.12.3.

In GRIB2, a product (i.e. a variable/field) is identified by a set of three parameters

- *Discipline* (see GRIB2 code table 4.2)
- *ParameterCategory* (see GRIB2 code table 4.2)
- *ParameterNumber* (see GRIB2 code table 4.2),

augmented by a large number of additional metadata to uniquely describe the nature of the data, like e.g.

- *typeOfFirstFixedSurface* and *typeOfSecondFixedSurface* (see GRIB2 code table 4.5)
- *stepType* (instant, accum, avg, max, min, diff, rms, sd, cov, ...)

just to name a few.

A documentation of the official WMO GRIB2 code tables can be found here: [http://www.wmo.int/pages/prog/www/WMOCodes/WM0306\\_vI2/LatestVERSION/WM0306\\_vI2\\_GRIB2\\_CodeFlag\\_en.pdf](http://www.wmo.int/pages/prog/www/WMOCodes/WM0306_vI2/LatestVERSION/WM0306_vI2_GRIB2_CodeFlag_en.pdf)

In the following, *typeOfFirstFixedSurface* and *typeOfSecondFixedSurface* will be abbreviated by *Lev-Typ 1/2*.

### 5.1 Deprecated output fields

With the launch of ICON, the following former GME output fields will no longer be available:

- **BAS\_CON** [-]: Level index of convective cloud base. Instead, **HBAS\_CON** [m] should be used.
- **TOP\_CON** [-]: Level index of convective cloud top. Instead, **HTOP\_CON** [m] should be used.

- **W\_G1**, **W\_G2** [mm H<sub>2</sub>O]: Soil water content in upper layer (0 to 10 cm) and middle layer (10 to 100 cm), respectively. If needed, these fields can be derived from **W\_SO**.
- **FIS** [m<sup>2</sup> s<sup>-1</sup>]: Surface Geopotential. Instead, **HSURF** [m] should be used (see Section 5.2).
- **O3** [kg/kg], **TO3** [Dobson]: Ozone mixing ratio and corresponding total ozone concentration. No longer available; no substitution

## 5.2 New output fields

Table 5.1 contains a list of new output fields that will become available with the launch of ICON (compared to GME). A more thorough description of these fields is provided in Section 5.3.

Table 5.1: Newly available output fields

ShortName	Unit	Description
<b>Atmosphere</b>		
<b>DEN</b>	kg m <sup>-3</sup>	density of moist air (3D field)
<b>TKE</b>	m <sup>2</sup> s <sup>-2</sup>	Turbulent kinetic energy (3D field)
<b>DTKE_CON</b>	m <sup>2</sup> s <sup>-3</sup>	Buoyancy-production of TKE due to sub grid scale convection (3D field)
<b>W</b>	m s <sup>-1</sup>	vertical velocity in height coordinates $w = \frac{dz}{dt}$ (3D field)
<b>P</b>	Pa	pressure (3D field)
<b>Surface</b>		
<b>CAPE_CON</b>	J kg <sup>-1</sup>	Convective available potential energy (2D field)
<b>QV_2M</b>	kg kg <sup>-1</sup>	Specific humidity at 2m above ground (2D field)
<b>RELHUM_2M</b>	%	Relative humidity at 2m above ground (2D field)
<b>SOBS_RAD</b>	W m <sup>-2</sup>	Net short-wave radiation flux at surface (instantaneous)
<b>THBS_RAD</b>	W m <sup>-2</sup>	Net long-wave radiation flux at surface (instantaneous)
<b>Lake</b>		
<b>C_T_LK</b>	1	Shape factor with respect to the temperature profile in the thermocline (2D field)
<b>H_ML_LK</b>	m	Mixed-layer depth (2D field)
<b>T_BOT_LK</b>	K	Temperature at the water-bottom sediment interface (2D field)
<b>T_MNW_LK</b>	K	Mean temperature of the water column (2D field)
<b>T_WML_LK</b>	K	Mixed-layer temperature (2D field)
<b>Geometry</b>		
<b>HSURF</b>	m	Geometric Height of the earths surface above sea level (2D field)

*Continued on next page*

Table 5.1: *continued*

<b>HHL</b>	m	Geometric Height of model half levels above sea level (3D field)
<b>CLON,CLAT</b>	deg	Geographical longitude/latitude of native grid triangle cell center
<b>ELON,ELAT</b>	deg	Geographical longitude/latitude of native grid triangle edge mid-point
<b>VLON,VLAT</b>	deg	Geographical longitude/latitude of native grid triangle vertex

### 5.3 Available output fields

ICON output is available on two distinct horizontal grids: The native triangular grid with an average resolution of 13 km, and a regular latitude-longitude grid with a resolution of  $\Delta\lambda = \Delta\Phi = 0.25^\circ$ . On the native grid most output fields are defined on triangle cell centers, except for VN, which is defined on cell edges. On the lat-lon grid, all fields are defined on cell centers. A single 2D GRIB2 field on the native and regular lat-lon grid contains 2949120 and 1036800 grid points, respectively.

Please note that for ICON fields the time unit is minutes rather than hours, and thus differs from GME (hours).

For details regarding the available fields, please see the tables below. Note that the vertical rules in the leftmost column indicate whether the field is available on the native grid (■), on the lat-lon grid (■), or on both grids (■).

For details regarding the algorithm for interpolation onto the lat-lon grid, see Section 5.4.6

#### 5.3.1 Time-constant (external parameter) fields

Table 5.2 provides an overview of the available time invariant fields. They are available from the database category `CAT_NAME=$model.const.an.$suite`. As mentioned in Section 3.2, HSURF, FR.LAND, FR.LAKE and Z0 are modified by ICON. Thus, the latter should not be taken from the *const.an* database categorie, unless you definitely know what you are doing. For convenience, the modified invariant fields (and some more) are stored in the *forecast* database categories for step  $s[h] = 0$  (`CAT_NAME=$model.$run.fc.$suite`). Table 5.3 provides a list of all fields which are exclusively written for  $s[h] = 0$ .

See Section 6.1 for more details on the database categories and Section 6.2 for sample retrievals.

Table 5.2: Time-constant fields (`CAT_NAME=$model.const.an.$suite`)

ShortName	Description	Discipline	Category	Number	Lev-Typ 1/2	stepType	Unit
Date/Time (YYYY-MM-DDThh) D=0001-01-01T00							
■ HSURF	Geometric height of the earths surface above msl	0	3	6	1/101	inst	m

*Continued on next page*

Table 5.2: *continued*

CLAT	Geographical latitude of native grid triangle cell center	0	191	1	1/–	inst	Deg. N
CLON	Geographical longitude of native grid triangle cell center	0	191	2	1/–	inst	Deg. E
FOR_E	Fraction of evergreen forest (possible range [0, 1])	2	0	29	1/–	inst	1
FOR_D	Fraction of deciduous forest (possible range [0, 1])	2	0	30	1/–	inst	1
FR_LAND	Land fraction (possible range [0, 1])	2	0	0	1/–	inst	1
FR_LAKE	Fresh water lake fraction (possible range [0, 1])	1	2	2	1/–	inst	1
FR_LUC	Land use class fraction (possible range [0, 1])	2	0	36	1/–	inst	1
DEPTH_LK	Lake depth	1	2	0	1/162	inst	m
ROOTDP	Root depth of vegetation	2	0	32	1/–	inst	m
RSMIN	Minimum stomatal resistance	2	0	16	1/–	inst	$\text{s m}^{-1}$
EMIS_RAD	Longwave surface emissivity	2	3	199	1/–	inst	1
SOILTYP	Soil type of land fraction (9 types [1, ..., 9])	2	3	196	1/–	inst	1
SSO_STDH	Standard deviation of sub-grid scale orography	0	3	20	1/–	inst	m
SSO_GAMMA	Anisotropy of sub-gridscale orography	0	3	24	1/–	inst	1
SSO_THETA	Angle of sub-gridscale orography	0	3	21	1/–	inst	rad
SSO_SIGMA	Slope of sub-gridscale orography	0	3	22	1/–	inst	1
LALMX	Leaf area index in the vegetation phase	2	0	28	1/–	max	1
NDVIMAX	Normalized differential vegetation index	2	0	31	1/–	max	1
PLCOV_MX	Plant covering degree in the vegetation phase	2	0	4	1/–	max	1
T_2M_CL	Climatological 2m temperature (used as lower bc. for soil model)	0	0	0	103/–	inst	K
Z0	Surface roughness length (over land)	2	0	1	1/–	inst	m
<b>Date/Time (YYYY-MM-DDThh) D=1111-01-11T11</b>							

*Continued on next page*



Table 5.2: *continued*

■ AER_SS12	Sea salt aerosol climatology (monthly fields)	0	20	102	1/–	avg	1
■ AER_DUST12	Total soil dust aerosol climatology (monthly fields)	0	20	102	1/–	avg	1
■ AER_ORG12	Organic aerosol climatology (monthly fields)	0	20	102	1/–	avg	1
■ AER_SO412	Total sulfate aerosol climatology (monthly fields)	0	20	102	1/–	avg	1
■ AER_BC12	Black carbon aerosol climatology (monthly fields)	0	20	102	1/–	avg	1
■ ALB_DIF12	Shortwave (0.3 – 5.0 $\mu\text{m}$ ) albedo for diffuse radiation (monthly fields)	0	19	18	1/–	avg	1
■ ALB_UV12	UV-visible (0.3 – 0.7 $\mu\text{m}$ ) albedo for diffuse radiation (monthly fields)	0	19	222	1/–	avg	1
■ ALB_NI12	Near infrared (0.7 – 5.0 $\mu\text{m}$ ) albedo for diffuse radiation (monthly fields)	0	19	223	1/–	avg	1
■ NDVI_MLAT	ratio of monthly mean NDVI (normalized differential vegetation index) to annual max	0	0	192	1/–	avg	1

Table 5.3: Variables exclusively available for  $VV = 0$  from the forecast databases (CAT\_NAME=\$model\_\$run\_fc\_\$suite,  $s[h] = 0$ )

ShortName	Description	Discipline	Category	Number	Lev-Typ 1/2	stepType	Unit
■ CLAT	Geographical latitude of native grid triangle cell center	0	191	1	1/–	inst	Deg. N
■ CLON	Geographical longitude of native grid triangle cell center	0	191	2	1/–	inst	Deg. E
■ ELAT	Geographical latitude of native grid triangle edge midpoint	0	191	1	1/–	inst	Deg. N
■ ELON	Geographical longitude of native grid triangle edge midpoint	0	191	2	1/–	inst	Deg. E
■ VLAT	Geographical latitude of native grid triangle vertex	0	191	1	1/–	inst	Deg. N

*Continued on next page*

Table 5.3: *continued*

VLON	Geographical longitude of native grid triangle vertex	0	191	2	1/–	inst	Deg. E
DEPTH_LK	Lake depth	1	2	0	1/162	inst	m
FR_LAND	Land fraction (possible range [0, 1])	2	0	0	1/–	inst	1
FR_LAKE	Fresh water lake fraction (possible range [0, 1])	1	2	2	1/–	inst	1
HHL	Geometric height of model half levels above msl	0	3	6	150/101	inst	m
HSURF	Geometric height of the earths surface above msl	0	3	6	1/101	inst	m
LAI	Leaf area index	2	0	28	1/–	inst	1
NDVIRATIO	ratio of current NDVI (normalized differential vegetation index) to annual max	2	0	192	1/–	inst	1
PLCOV	Plant cover	2	0	4	1/–	inst	%
ROOTDP	Root depth of vegetation	2	0	32	1/–	inst	m
SOILTYP	Soil type of land fraction (9 types [1, . . . , 9])	2	3	196	1/–	inst	1

### 5.3.2 Multi-level fields on native hybrid vertical levels

**Table 5.4:** Hybrid multi-level forecast ( $VV > 0$ ) and initialised analysis ( $VV = 0$ ) products

ShortName	Description	Discipline	Category	Number	Lev-Typ 1/2	stepType	Unit
U	Zonal wind	0	2	2	150/150	inst	$\text{m s}^{-1}$
V	Meridional wind	0	2	3	150/150	inst	$\text{m s}^{-1}$
W	Vertical wind	0	2	9	150/–	inst	$\text{m s}^{-1}$
T	Temperature	0	0	0	150/150	inst	K
P	Pressure	0	3	0	150/150	inst	Pa
DEN	Density of moist air	0	3	10	150/150	inst	$\text{kg m}^{-3}$
QV	Specific humidity	0	1	0	150/150	inst	$\text{kg kg}^{-1}$
QC	Cloud mixing ratio <sup>2</sup>	0	1	22	150/150	inst	$\text{kg kg}^{-1}$
QI	Cloud ice mixing ratio <sup>2</sup>	0	1	82	150/150	inst	$\text{kg kg}^{-1}$
QR	Rain mixing ratio <sup>2</sup>	0	1	24	150/150	inst	$\text{kg kg}^{-1}$
QS	Snow mixing ratio <sup>2</sup>	0	1	25	150/150	inst	$\text{kg kg}^{-1}$
CLC	Cloud cover	0	6	22	150/150	inst	%
TKE	Turbulent kinetic energy	0	19	11	150/–	inst	$\text{m}^2 \text{s}^{-2}$
DTKE_CON	Buoyancy-production of TKE due to sub grid scale convection	0	19	219	150/–	inst	$\text{m}^2 \text{s}^{-3}$

### 5.3.3 Multi-level fields interpolated to pressure levels

For regular grid output the following pressure levels are available: 1000, 950, 925, 900, 850, 800, 700, 600, 500, 400, 300, 250, 200, 150, 100, 70, 50, 30, 20, 10, 7, 5, 3, 2, 1, 0.3, 0.1 hPa. Newly available pressure levels (as compared to GME) are highlighted in red. The output fields are listed in Table 5.5. I.e. note that all 17 WMO standard pressure levels are included.

On the native (triangular) grid, output is generated for levels 1000, 950, 850, 700, 500, 300 hPa. The output fields are listed in Table 5.6.

<sup>2</sup>for the time being, erroneously encoded as mixing ratios instead of specific quantities

**Table 5.5:** Regular grid output: Multi-level forecast ( $VV > 0$ ) and initialised analysis ( $VV = 0$ ) products interpolated to pressure levels 1000, 950, 925, 900, 850, 800, 700, 600, 500, 400, 300, 250, 200, 150, 100, 70, 50, 30, 20, 10, 7, 5, 3, 2, 1, 0.3, 0.1 hPa.






ShortName	Description	Discipline	Category	Number	Lev-Typ 1/2	stepType	Unit
FI	Geopotential	0	3	4	100/-	inst	$\text{m}^2 \text{s}^{-2}$
OMEGA	Vertical velocity in pressure co-ordinates ( $\omega = dp/dt$ )	0	2	8	100/-	inst	$\text{Pa s}^{-1}$
RELHUM	Relative humidity (with respect to water)	0	1	1	100/-	inst	%
T	Temperature	0	0	0	100/-	inst	K
U	Zonal wind	0	2	2	100/-	inst	$\text{m s}^{-1}$
V	Meridional wind	0	2	3	100/-	inst	$\text{m s}^{-1}$

**Table 5.6:** Native (triangular) grid output: Multi-level forecast ( $VV > 0$ ) and initialised analysis ( $VV = 0$ ) products interpolated to pressure levels 1000, 950, 850, 700, 500, 300 hPa.

ShortName	Description	Discipline	Category	Number	Lev-Typ 1/2	stepType	Unit
FI	Geopotential	0	3	4	100/-	inst	$\text{m}^2 \text{s}^{-2}$
RELHUM	Relative humidity (with respect to water)	0	1	1	100/-	inst	%
T	Temperature	0	0	0	100/-	inst	K
U	Zonal wind	0	2	2	100/-	inst	$\text{m s}^{-1}$
V	Meridional wind	0	2	3	100/-	inst	$\text{m s}^{-1}$











### 5.3.4 Multi-level fields interpolated to height levels

**Table 5.7:** Regular grid output: Multi-level forecast ( $VV > 0$ ) and initialised analysis ( $VV > 0$ ) products interpolated to height levels 10000, 5000, 3000, 2000, 1500, 1000, 500, 100 m.

ShortName	Description	Discipline	Category	Number	Lev-Typ 1/2	stepType	Unit
 U	Zonal wind	0	2	2	100/–	inst	$\text{m s}^{-1}$
 V	Meridional wind	0	2	3	100/–	inst	$\text{m s}^{-1}$
 W	Vertical wind	0	2	9	150/–	inst	$\text{m s}^{-1}$
 T	Temperature	0	0	0	100/–	inst	K
 P	Pressure	0	3	0	150/150	inst	Pa

### 5.3.5 Single-level fields

Table 5.8: Single-level forecast ( $VV > 0$ ) and initialised analysis ( $VV = 0$ ) products

ShortName	Description	Discipline	Category	Number	Lev-Typ 1/2	stepType	Unit
 PS	Surface pressure (not reduced)	0	3	0	1/–	inst	Pa
 T_SNOW	Temperature of the snow surface	0	0	18	1/–	inst	K
 T_G	Ground temperature (temperature at sfc-atm interface)	0	0	0	1/–	inst	K
 T_S	Temperature of the soil surface (equivalent to T_SO(0))	2	3	18	1/–	inst	K
 QV_S	Surface specific humidity	0	1	0	1/–	inst	$\text{kg kg}^{-1}$
 W_SNOW	Snow depth water equivalent	0	1	60	1/–	inst	$\text{kg m}^{-2}$
 W_I	Plant canopy surface water	2	0	13	1/–	inst	$\text{kg m}^{-2}$
 TCM	Turbulent transfer coefficient for momentum (surface)	0	2	29	1/–	inst	1
 TCH	Turbulent transfer coefficient for heat and moisture (surface)	0	0	19	1/–	inst	1
 SOBS_RAD	Net short-wave radiation flux at surface (instantaneous)	0	4	9	1/–	inst	$\text{W m}^{-2}$

*Continued on next page*

Table 5.8: *continued*

THBS_RAD	Net long-wave radiation flux at surface (instantaneous)	0	5	5	1/–	inst	$\text{W m}^{-2}$
ASOB_S	Net short-wave radiation flux at surface (average since model start)	0	4	9	1/–	avg	$\text{W m}^{-2}$
ATHB_S	Net long-wave radiation flux at surface (average since model start)	0	5	5	1/–	avg	$\text{W m}^{-2}$
APAB_S	Photosynthetically active radiation flux at surface (average since model start)	0	4	10	1/–	avg	$\text{W m}^{-2}$
ASOB_T	Net short-wave radiation flux at TOA (average since model start)	0	4	9	8/–	avg	$\text{W m}^{-2}$
ATHB_T	Net long-wave radiation flux at TOA (average since model start)	0	5	5	8/–	avg	$\text{W m}^{-2}$
ASWDIR_S	Surface down solar direct radiation (average since model start)	0	4	198	1/–	avg	$\text{W m}^{-2}$
ASWDIFD_S	Surface down solar diffuse radiation (average since model start)	0	4	199	1/–	avg	$\text{W m}^{-2}$
ASWDIFU_S	Surface up solar diffuse radiation (average since model start)	0	4	8	1/–	avg	$\text{W m}^{-2}$
ALB_RAD	Surface albedo for visible range, diffuse	0	19	1	1/–	inst	%
RAIN_GSP <sup>4</sup>	Large scale rain (accumulated since model start)	0	1	77	1/–	accu	$\text{kg m}^{-2}$
SNOW_GSP <sup>4</sup>	Large snowfall water equivalent (accumulated since model start)	0	1	56	1/–	accu	$\text{kg m}^{-2}$
RAIN_CON <sup>4</sup>	Convective rain (accumulated since model start)	0	1	76	1/–	accu	$\text{kg m}^{-2}$
SNOW_CON <sup>4</sup>	Convective snowfall water equivalent (accumulated since model start)	0	1	55	1/–	accu	$\text{kg m}^{-2}$
TOT_PREC <sup>4</sup>	Total precipitation (accumulated since model start)	0	1	52	1/–	accu	$\text{kg m}^{-2}$
RUNOFF_S	Surface water runoff (accumulated since model start)	2	0	5	106/–	accu	$\text{kg m}^{-2}$
RUNOFF_G	Soil water runoff (accumulated since model start)	2	0	5	106/–	accu	$\text{kg m}^{-2}$
RSTOM	Stomatal resistance	2	0	195	1/–	inst	$\text{s m}^{-1}$
U_10M	Zonal wind at 10m above ground	0	2	2	103/–	inst	$\text{m s}^{-1}$

*Continued on next page*

Table 5.8: *continued*

■ V_10M	Meridional wind at 10m above ground	0	2	3	103/–	inst	$\text{m s}^{-1}$
■ VMAX_10M	Maximum wind at 10m above ground	0	2	22	103/–	max	$\text{m s}^{-1}$
■ QV_2M	Specific humidity at 2m above ground	0	1	0	103/–	inst	$\text{kg kg}^{-1}$
■ RELHUM_2M	Relative humidity at 2m above ground	0	1	1	103/–	inst	%
■ T_2M	Temperature at 2m above ground	0	0	0	103/–	inst	K
■ TD_2M	Dew point temperature at 2m above ground	0	0	6	103/–	inst	K
■ TMAX_2M	Maximum temperature at 2m above ground	0	0	0	103/–	max	K
■ TMIN_2M	Minimum temperature at 2m above ground	0	0	0	103/–	min	K
■ Z0	Surface roughness (above land and water)	2	0	1	1/–	inst	m
■ CAPE_CON	Convective available potential energy	0	7	6	1/–	inst	$\text{J kg}^{-1}$
■ CLCT	Total cloud cover	0	6	1	1/–	inst	%
■ CLCT_MOD	Modified total cloud cover for media	0	6	199	1/–	inst	1
■ CLDEPTH	Modified cloud depth for media	0	6	198	1/–	inst	1
■ CLCH	High level clouds	0	6	22	100/100	inst	%
■ CLCM	Mid level clouds	0	6	22	100/100	inst	%
■ CLCL	Low level clouds	0	6	22	100/1	inst	%
■ TQV	Column integrated water vapour (grid scale)	0	1	64	1/–	inst	$\text{kg m}^{-2}$
■ TQC	Column integrated cloud water (grid scale)	0	1	69	1/–	inst	$\text{kg m}^{-2}$
■ TQI	Column integrated cloud ice (grid scale)	0	1	70	1/–	inst	$\text{kg m}^{-2}$
■ TQR	Column integrated rain (grid scale)	0	1	45	1/–	inst	$\text{kg m}^{-2}$
■ TQS	Column integrated snow (grid scale)	0	1	46	1/–	inst	$\text{kg m}^{-2}$
■ TQC_DIA	Total column integrated cloud water (including sub-grid-scale contribution)	0	1	215	1/–	inst	$\text{kg m}^{-2}$

*Continued on next page*


Table 5.8: *continued*

■ TQLDIA	Total column integrated cloud ice (including sub-grid-scale contribution)	0	1	216	1/–	inst	kg m <sup>-2</sup>
■ HBAS_CON	Height of convective cloud base above msl	0	6	26	2/101	inst	m
■ HTOP_CON	Height of convective cloud top above msl	0	6	27	3/101	inst	m
■ HTOP_DC	Height of top of dry convection above msl	0	6	196	3/101	inst	m
■ HZEROCL	Height of 0 degree Celsius isotherm above msl	0	3	6	4/101	inst	m
■ AUMFL_S	U-momentum flux at surface $\overline{u'w'}^{1/2}$ (average since model start)	0	2	17	1/–	avg	m
■ AVMFL_S	V-momentum flux at surface $\overline{v'w'}^{1/2}$ (average since model start)	0	2	18	1/–	avg	m
■ ASHFL_S	Sensible heat net flux at surface (average since model start)	0	0	11	1/–	avg	W m <sup>-2</sup>
■ ALHFL_S	Latent heat net flux at surface (average since model start)	0	0	10	1/–	avg	W m <sup>-2</sup>
■ FR_ICE	Sea/lake ice cover (possible range: [0, 1])	10	2	0	1/–	inst	1
■ T_ICE	Sea ice temperature (at ice-atm interface)	10	2	8	1/–	inst	K
■ H_ICE	Sea ice thickness (Max: 3 m)	10	2	1	1/–	inst	m
■ FRESHSNW	Fresh snow factor (weighting function for albedo indicating freshness of snow)	0	1	203	1/–	inst	1
■ RHO_SNOW	Snow density	0	1	61	1/–	inst	kg m <sup>-3</sup>
■ H_SNOW	Snow depth	0	1	11	1/–	inst	m
■ WW	Weather interpretation (WMO)	0	19	25	1/–	inst	1






### 5.3.6 Surface fields interpolated to msl

**Table 5.9:** Forecast ( $VV > 0$ ) and initialised analysis ( $VV = 0$ ) products interpolated to msl

ShortName	Description	Discipline	Category	Number	Lev-Typ 1/2	stepType	Unit
 PMSL	Surface pressure reduced to msl	0	3	1	101/–	inst	Pa

### 5.3.7 Soil-specific multi-level fields

Table 5.10: Multi-level forecast ( $VV > 0$ ) and initialised analysis ( $VV = 0$ ) products of the soil model

ShortName	Description	Discipline	Category	Number	Lev-Typ 1/2	stepType	Unit
 T_SO	Soil temperature	2	3	18	106/–	inst	K
 W_SO	Soil moisture integrated over individual soil layers (ice + liquid)	2	3	20	106/106	inst	kg m <sup>-2</sup>
 W_SO_ICE	Soil ice content integrated over individual soil layers	2	3	22	106/106	inst	kg m <sup>-2</sup>

Soil temperature is defined at the soil depths given in Table 5.11 (column 2). Levels 1 to 8 define the full levels of the soil model. A zero gradient condition is assumed between levels 0 and 1, meaning that temperatures at the surface-atmosphere interface are set equal to the temperature at the first full level depth. (0.5 cm). Temperatures are prognosed for layers 1 to 7. At the lowermost layer (mid-level height 1458 cm) the temperature is fixed to the climatological average 2 m-temperature.

Soil moisture W\_SO is prognosed for layers 1 to 6. In the two lowermost layers W\_SO is filled with W\_SO(6) (zero gradient condition).






<sup>4</sup>Note that the unit which is displayed, when inspecting the GRIB2 message with *grib\_dump* is kg m<sup>-2</sup> s<sup>-1</sup> rather than kg m<sup>-2</sup>. Mathematically this is wrong, however, it is in accordance with the GRIB2 standard. To get the mathematically correct unit for accumulated fields (*typeOfStatisticalProcessing*=1), the unit displayed by *grib\_dump* must be multiplied by s.

**Table 5.11:** *Soil model: vertical distribution of levels and layers*

level no.	depth [cm]	layer no.	upper/lower bounds [cm]
0	0.0		
1	0.5	1	0.0 — 1.0
2	2.0	2	1.0 — 3.0
3	6.0	3	3.0 — 9.0
4	18.0	4	9.0 — 27.0
5	54.0	5	27.0 — 81.0
6	162.0	6	81.0 — 243.0
7	486.0	7	243.0 — 729.0
8	1458.0	8	729.0 — 2187.0

### 5.3.8 Lake-specific single-level fields

Table 5.12: Single-level forecast ( $VV > 0$ ) and initialised analysis ( $VV = 0$ ) products of the lake model model

ShortName	Description	Discipline	Category	Number	Lev-Typ 1/2	stepType	Unit
 C_T_LK	Shape factor with respect to the temperature profile in the thermocline	1	2	10	162/166	inst	1
 H_ML_LK	Mixed-layer depth	1	2	0	1/166	inst	m
 T_BOT_LK	Temperature at the water-bottom sediment interface	1	2	1	162/–	inst	K
 T_MNW_LK	Mean temperature of the water column	1	2	1	1/162	inst	K
 T_WML_LK	Mixed-layer temperature	1	2	1	1/166	inst	K

## 5.4 Extended description of available output fields

In order to facilitate the selection and interpretation of fields and to guard against possible mis-interpretation or mis-usage, the following section provides a more thorough description of the available output fields.

### 5.4.1 Cloud products

<b>CLCT_MOD</b>	Modified total cloud cover ( $0 \leq \text{CLCT\_MOD} \leq 1$ ). Used for visualization purpose (i.e. gray-scale figures) in the media. It is derived from <b>CLC</b> , neglecting cirrus clouds if there are only high clouds present at a given grid point. The reason for this treatment is that the general public does not regard transparent cirrus clouds as ‘real’ clouds.
<b>CLDEPTH</b>	Modified cloud depth ( $0 \leq \text{CLDEPTH} \leq 1$ ). Used for visualization purpose (i.e. gray-scale figures) in the media. A cloud reaching a vertical extent of 700 hPa or more, has <b>CLDEPTH</b> = 1.
<b>HBAS_CON</b>	Height of the convective cloud base in m above msl. <b>HBAS_CON</b> is initialized with -500 m at points where no convection is diagnosed.
<b>HTOP_CON</b>	Same, but for cloud top.

### 5.4.2 Near surface products

<b>TMIN_2M</b>	Minimum temperature at 2 m above ground, computed over 3-hourly intervals.
<b>TMAX_2M</b>	Same, but for maximum 2 m temperature.
<b>VMAX_10M</b>	Maximum wind gust at 10 m above ground, computed over 3-hourly intervals. It is diagnosed from the turbulence state in the atmospheric boundary layer, including a potential enhancement by the SSO parameterization over mountainous terrain. In the presence of deep convection, it contains an additional contribution due to convective gusts.

#### General comment on statistically processed fields

In GRIB2, the overall time interval over which a statistical process (like averaging, computation of maximum/minimum) has taken place is encoded as follows:

The beginning of the overall time interval is defined by **referenceTime** + **forecastTime**, whereas the end of the overall time interval is given by **referenceTime** + **forecastTime** + **lengthOfTimeRange**.

### 5.4.3 Surface products

<b>FR_ICE</b>	Sea and lake ice cover. Currently, the only possible values are 0 (no ice cover) and 1 (ice covered grid point). For lake points, <b>FR_ICE</b> is synchronized with <b>H_ICE</b> meaning that <b>FR_ICE</b> is set to 1 (0), where the lake model indicates <b>H_ICE</b> > 0 ( <b>H_ICE</b> = 0).
<b>H_ICE</b>	Ice thickness over sea and frozen fresh water lakes. The maximum allowable ice thickness is limited to 3 m. New sea-ice points generated by the analysis are initialized with <b>H_ICE</b> = 0.5 m.
<b>T_ICE</b>	Ice temperature over sea-ice and frozen lake points. Melting ice has a temperature of 273.15 K. Ice-free points over land, sea, and lakes are set to <b>T_S0</b> (0).
<b>T_G</b>	Temperature at the atmosphere-surface interface. It is the temperature that is crucial for the computation of surface fluxes. <b>T_G</b> is equal to <b>T_S0</b> (0) over open water and snow-free land. At other grid points one has <ul style="list-style-type: none"> <li>• <math>\text{T\_G} = \text{T\_SNOW} + (1 - \text{f\_snow}) * (\text{T\_S0}(0) - \text{T\_SNOW})</math> over (partially) snow covered grid points. <b>f_snow</b> is the grid point fraction that is snow covered.</li> </ul>

- $T\_G = T\_ICE$  over frozen sea and fresh water lakes

<b>TOT_PREC</b>	Total precipitation accumulated since model start. $TOT\_PREC = RAIN\_GSP + SNOW\_GSP + RAIN\_CON + SNOW\_CON$
<b>W_I</b>	Water content of interception layer, i.e. the amount of precipitation intercepted by vegetation canopies. The maximum capacity of the interception reservoir is currently limited to $6.0E-3 \text{ kg m}^{-2}$ due to numerical reasons and thus almost negligible. Over water points, $W\_I$ is set to 0.
<b>Z0</b>	Surface roughness length. Constant over land, where it depends only on the type of land cover. I.e. it does not contain any contribution from subgrid-scale orography. Over water, the roughness length usually varies with time. It is computed by the so called Charnock-formula, which parameterizes the impact of waves on the roughness length. Note that this field differs significantly from the external parameter field $Z0$ (see Table 3.2 or 5.2).

#### 5.4.4 Soil products

<b>RUNOFF_G</b>	Water runoff from soil layers. Sum over forecast.
<b>RUNOFF_S</b>	Surface water runoff from interception and snow reservoir and from limited infiltration rate. Sum over forecast.
<b>T_SO</b>	Temperature of the soil and earth surface (uppermost level). The soil full level depths at which the the soil temperature is defined are given in Table 5.11. The temperature at the uppermost level $T\_SO(0)$ is not prognostic. It is rather set equal to the temperature at the first prognostic level $T\_SO(1)$ . The temperature at the lowermost level $T\_SO(8)$ is set to the climatological 2 m temperature $T\_2M\_CL$ . At sea-points, $T\_SO(0:7)$ is filled with the sea-surface temperature. Note that $T\_SO(0)$ does not necessarily represent the temperature at the interface soil-atmosphere. I.e. over snow/ice covered surfaces, $T\_SO(0)$ represents the temperature below snow/ice.

#### 5.4.5 Vertical Integrals

<b>TQX</b>	Column integrated water species $X$ , derived from the 3D grid-scale prognostic quantities $QX$ , with $X \in \{V, C, I, R, S\}$ . $TQX$ is based on the assumption that there would be no sub-grid-scale variability. That assumption is particularly problematic for precipitation generation, moist turbulence and radiation.
<b>TQX_DIA</b>	Total column integrated water species $X$ , with $X \in \{C, I\}$ . Takes into account the sub-grid-scale variability that includes simple treatments of turbulent motion and convective detrainment. These cloud variables attempt to represent all model included physical processes. They are also consistent with the cloud cover variables $CLC$ , $CLCT$ , $CLCH$ , $CLCM$ and $CLCL$ .

#### 5.4.6 Technical Details of the Horizontal Interpolation

Most of the output data on regular grids is processed using an RBF-based interpolation method. The algorithm approximates the input field with a linear combination of radial basis functions (RBF) located at the data sites, see, for example, [Ruppert \(2007\)](#). RBF interpolation typically produces over- and undershoots at position where the input field exhibits steep gradients. Therefore, the internal interpolation algorithm performs a cut-off by default. Note that RBF-based interpolation is *not conservative*.

A small number of output fields is treated differently, with a nearest-neighbor interpolation:

---

RAIN_CON	RAIN_GSP	SNOW_CON
SNOW_GSP	SOILTYP	TOT_PREC
W_SO_ICE	WW	

The nearest neighbor algorithm selects the value of the nearest point and does not consider the values of neighboring points at all, yielding a piecewise-constant interpolant.



## Chapter 6

# ICON data in the SKY data bases of DWD

GRIB data of the numerical weather prediction models are stored in the data base SKY at DWD. Documentation on the SKY system is available in the intranet of DWD at `IT/Messnetz/Technik → Datenmanagement (technisch) → Management der DWD Fachdaten -Dokumentation → SKY`. Here, some remarks are given on the SKY categories for ICON data, and some examples are given how to retrieve data from the data base.

### 6.1 SKY categories for ICON

In SKY the data is stored in different categories and data base subsystems. These are identified by the `cat=CAT_NAME` parameter. The name of a category is made up of 4 parts:

**\$model\_\$run\_\$type\_\$suite**

`run`, `type`, and `suite` are general for all forecast models of DWD. They can have the following values:

- **run:** **main** for main forecast runs, **ass** for assimilation runs, **pre** for pre-assimilation runs, **const** for invariant data.
- **type:** **an** for analysis data, **fc** for forecast data.
- **suite:** **rout** for operational data in *db=roma*, **para** for pre-operational data in *db=parma*, **exp** or **exp1** for data from experiments in *db=numex*. The category extension `exp1` is used for experiments of the NUMEX wizard, a special NUMEX user.

Data from experiments is additionally identified by the parameter *exp=NUM* where *NUM* is the experiment number.

The categories for ICON start with the string **ico** for ICON data on the native ICON grid, or with **icr** for data on a regular lat-lon grid. Next follows a two-letter string to identify the domain of ICON; **gl** for the global domain, **eu** for the nest over Europe. After the domain follows the mesh width of the model in units of 100 m, and then the number of levels after the letter `l`. As an example `icogl130l90` is on the native grid from a global model with a mesh width 13 km (grid R3B07) and 90 levels. `icrgl400l90` is data on a regular grid from a global model with mesh width 40 km (R2B06) and 90 levels. `icreu065l50` is an ICON nest over Europe with a mesh width of 6.5 km and 50 levels and interpolated to a regular lat-lon grid.

Hence, the full category name for data from an operational forecast run of ICON on a regular grid will be **icrgl130l90\_main\_fc\_rout**. The initial analysis for this run is in category **icogl130l90\_main\_an\_rout**.

Since 2014-08-12 12 UTC ICON is running pre-operationally at DWD. Hence, forecast data is available in the sky database **db=parma** in categories **icogl130l90\_main\_fc\_para** and **icrgl130l90\_main\_fc\_para**.

## 6.2 Retrieving ICON data from SKY

Here we shall give several examples how to retrieve ICON data from SKY. The parameter **d** specifies the reference or initial date, **s** is the forecast step, **p** the parameter, and **f** the name of the GRIB data file.

- Retrieve the 2m temperature and dew point temperature for forecast hours 3 to 78 every 3 hours of today's run at 00 UTC on the global domain from an ICON run on a R3B07 grid with 90 levels to file **icon2mdat**

```
read db=parma cat=icrgl130l90_main_fc_para d=t00 s[h]=3/to/78/by/3 p=t_2m,td_2m
bin f=icon2mdat
```

- Retrieve the analysis of T on the native grid from yesterday 18 UTC:

```
read db=parma cat=icogl130l90_main_an_para d=t18-1d p=T gptype=0 bin f=t_icon_ana
```

- Retrieve the 6, 12, 18, and 24 hour forecast of the 2m temperature from a forecast run on 2012-06-28 at 00 UTC on the global domain from an ICON run on a R3B07 grid with 90 levels:

```
read db=numex cat=icrgl130l90_main_fc_exp1 exp=901 d=2012062800 s[h]=6,12,18,24 p=
t_2m bin f=t_2m_fc.grb
```

- Retrieve wind components U and V at 300 hPa on the regular grid from a 24 hour forecast on 2013-10-03 at 00 UTC. **lv=P** specifies the level type as pressure levels. **lv1=30000** specifies the level in Pa.

```
read db=numex cat=icrgl130l90_main_fc_exp1 exp=907 d=2013100300 s[h]=24 p=U,V lv=P
lv1=30000 bin f=uvReg300hPa
```

- Retrieve the analysis of U on the native grid:

```
read db=numex cat=icogl130l90_main_an_exp1 exp=907 d=2013100300 p=U bin f=
u_icon_ana
```

- Retrieve temperature forecasts from 7 to 9 hours on the native grid:

```
read db=numex cat=icogl130l90_main_fc_exp1 exp=907 d=2013100300 s[h]=7/to/9 p=T bin
f=T_icon_07-09
```

- Retrieve a 6 hour forecast on a regular grid on pressure levels. ICON was run on a 40 km grid (R2B06). Write reference date (**d**), forecast step (**s**), level type (**lv**), value of first level (**lv1**), decoding date (**dedat**), and store date (**stdat**) in information file **icr.info**

```
read db=numex cat=icrgl400l90_main_fc_exp exp=9323 d=2012010100 step[h]=6 lv=P f=
icr06p bin info=metaData metaArray=d,s,p,lv,lv1,dedat,stdat sort=d,s,p,lv,lv1
infof=icr.info
```



- Retrieve temperature in 850 hPa from a forecast on 2013-10-05 at 12 UTC:

```
read db=numex cat=icrgl400190_main_fc_exp1 exp=906 d=2013100512 p=T lv1=85000 lv=P  
bin f=t850_iconr
```

- Retrieve all available time-invariant (constant) fields on the native grid and store them in the file `const_icongl`. Write reference date (d), forecast step (s), level type (lv), value of first level (lv1), decoding date (dedat), and store date (stdat) in information file `icr.info`. It is important to set **`invar=true`**.

```
read db=parma cat=icogl130190_const_an_para invar=true info=metaData metaArray=d,s,  
p,lv,lv1,dedat,stdat bin infof=icr.info f=const_icongl
```



# Bibliography

- Bloom, S. C., L. L. Takacs, A. M. D. Silva, and D. Ledvina, 1996: Data assimilation using incremental analysis updates. *Mon. Wea. Rev.*, **124**, 1256–1270.
- Leuenberger, D., M. Koller, and C. Schär, 2010: A generalization of the sleeve vertical coordinate. *Mon. Wea. Rev.*, **138**, 3683–3689.
- Polavarapu, S., S. Ren, A. M. Clayton, D. Sankey, and Y. Rochon, 2004: On the relationship between incremental analysis updating and incremental digital filtering. *Mon. Wea. Rev.*, **132**, 2495–2502.
- Ruppert, T., 2007: Diplomarbeit: Vector field reconstruction by radial basis functions. Master’s thesis, Technical University Darmstadt, Department of Mathematics.
- Wan, H., M. A. Giorgetta, G. Zängl, M. Restelli, D. Majewski, L. Bonaventura, K. Fröhlich, D. Reinert, P. Ripodas, L. Kornblueh, and J. Förstner, 2013: The ICON-1.2 hydrostatic atmospheric dynamical core on triangular grids – Part 1: Formulation and performance of the baseline version. *Geosci. Model Dev.*, **6**, 735–763.