## Computational Physics - Exercise 4

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## Alkali metal clusters by DFT

An interesting system to be studied by mean field techniques is a sphere of uniform positive charge (jellium) containing N electrons. This is a reasonable model for alkali metal clusters that are produced in jets of vaporized metal. The mass spectrum shows clear signs of shell effects, that can be well reproduced by this model. We will consider  $N_e$  electrons moving in a spherical distribution of charge with density  $\rho_B$  and with radius  $R_c$ , such that  $\frac{4}{3}\pi R_c^3 \rho_B = N_e$ .  $\rho_B$  is in turn parametrized by the Wigner-Seitz radius  $r_s$ , such that  $\frac{4}{3}\pi r_S^3 \rho_B = 1$ . The potential generated by the positive charge on a test electron takes the form<sup>1</sup>:

$$V_{ext}(r) = 2\pi\rho_b \times \begin{cases} \frac{1}{3}r^2 - R_c^2 & \text{if } r \leq R_c \\ -\frac{2}{3}\frac{r_c^3}{r} & \text{if } r > R_c \end{cases}$$

We want to use DFT to find the energy of some closed shell jellium spheres for realistic values of the density. As you might remember, for the many Fermion case we the energy density functional employed in a DFT calculation has the form:

$$E[\rho] = \sum_{i_{occ}} \int d\vec{r} \phi_i^*(\vec{r}) \left( -\frac{\hbar^2}{2m} \right) \nabla_i^2 \phi(\vec{r}) + \int d\vec{R} \rho(\vec{r}) v_{ext}(\vec{r}) +$$

$$\frac{1}{2} \int d\vec{r} \int d\vec{r}' \frac{\rho(\vec{r}) \rho(\vec{r}')}{|\vec{r} - \vec{r}'|} + E_{xc}[\rho(\vec{r})]$$

<sup>&</sup>lt;sup>1</sup>We use throughout the exercise atomic units, defined by  $e=m_e=\hbar=1$ . Energies are given in Hartree, and distances in Bohr radii.

where  $E_{xc}$  is the exchange-correlation potential. The exchange potential, in the Local Density Approximation (LDA) reads:

$$\int d\vec{r} \rho(\vec{r}) \epsilon_{xc}(\vec{r}).$$

The exchange energy density is given by:

$$\epsilon_{xc}(r) = -\frac{3}{4} \left(\frac{3}{\pi}\right)^{\frac{1}{3}} \rho(r)^{\frac{1}{3}}.$$

As correlation energy you will use the so-called Perdew-Wang<sup>2</sup> parametrization:

$$G(r_s, A, \alpha_1, \beta_1, \beta_2, \beta_3, \beta_4, p) =$$

$$= -2A(1 + \alpha_1 r_s) \ln \left[ 1 + \frac{1}{2A(\beta_1 r_s^{1/2} + \beta_2 r_s + \beta_3 r_s^{3/2} + \beta_4 r_x^{p+1})} \right].$$

The parameters, relative to  $\epsilon_c(r_s,0)$  in the paper, are the following:

$$p = 1.0$$

$$A = 0.031091$$

$$\alpha_1 = 0.21370$$

$$\beta_1 = 7.5957$$

$$\beta_2 = 3.5876$$

$$\beta_3 = 1.6382$$

$$\beta_4 = 0.49294$$

Remember that  $r_s$  is given in units of  $a_0$ .

## Questions

- 1. Consider clusters of Na  $(r_s/a_0 = 3.93)$  or K  $(r_s/a_0 = 4.86)$ . Consider an *independent electron model* and numerically construct the densities in the external potential  $V_{eff}$  for the first four closed shell systems
- 2. Work out explicitly the expression of the direct and exchange Coulomb, and correlation potentials to be inserted in the Kohn-Sham equations
- 3. Write a flowchart for the self consistent code solving the KS equations.
- 4. Solve the KS equation for the clusters with N=8, N=20 and N=40. Pay attention to the degeneracy of the energy levels when constructing the density!

<sup>&</sup>lt;sup>2</sup>J.P. Perdew and Y. Wang, Phys. Rev. B **45**, 13244 (1992)

5. Following the paper by N. Van Giai<sup>3</sup> available on Moodle, where you can find some useful data to compare your results to, it is possible to estimate the polarizability of a metal cluster from the electron spillout defined as:

$$\delta N = \int_{R_c}^{\infty} \rho(r) d\vec{r}.$$

Produce a table of the clusters polarizability  $\alpha(N)$ , computing:

$$\alpha(N) = R_c^3 \left( 1 + \frac{\delta N}{N} \right)$$

for the various clusters you have worked out. Notice that in the paper a different parametrization of  $\epsilon_c$  has been used. Discuss the possible differences by comparing the correlation functionals used. [20 points]

<sup>&</sup>lt;sup>3</sup>N. Van Giai, Progress of Theoretical Physics Supplement No. 124, (1996)