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## April 8, 2024

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### 1 Introduction

## 2 Local Class Field Theory following Lubin-Tate

This section will serve as an introduction to formal groups and formal modules. Formal groups (or rather, formal group laws) were first introduced by Salomon Bochner in 1946 as a natural means of studying Lie Groups over fields of characteristic 0, cf. [1]. The study of formal groups later became interesting for its own right, with pioneering works of Lazard [3].

#### 2.1 Formal Modules

As promised in the introduction, we begin by defining formal group laws.

**Definition 2.1.1** (Formal Group Law). Let R be a ring. A (commutative, one-dimensional) formal group law over R is a power series  $F(X,Y) \in R[\![X,Y]\!]$  such that  $F(X,Y) \equiv X + Y$  modulo terms of degree 2 and the following properties are satisfied:

- F(F(X,Y),Z) = F(X,F(Y,Z)),
- F(X,Y) = F(Y,X),
- F(X,0) = X.

Given two formal group laws  $F, G \in R[X, Y]$ , a morphism  $f : F \to G$  is a power series  $f \in R[T]$  such that f(0) = 0 and f(F(X, Y)) = G(f(X), f(Y)). Such a series is an isomorphism if there is an inverse, that is, a power series  $g \in R[T]$  with  $(f \circ g)(T) = T$ . This yields the category of formal group laws over R, which we notate by (FGL/R).

The following statements about morphisms of formal group laws are useful and easily verified.

**Lemma 2.1.2.** Let R be a ring and let  $F, G \in R[X, Y]$  be two formal group laws over R.

- 1. Given two morphisms  $f, g : F \to G$ , the power series  $G(f(T), g(T)) \in R[T]$  is a morphism of formal group laws  $F \to G$ . In particular,  $\operatorname{Hom}_{(FGL/R)}(F, G)$  is an abelian group for any two formal group laws F, G.
- 2. The abelian group  $\operatorname{End}_{(\operatorname{FGL}/R)}(F)$  has a natural ring structure with multiplication given by concatenation.
- 3. A morphism  $f = c_1T + c_2T^2 + \cdots \in R[T]$  between F and G is an isomorphism if and only if  $c_1 \in R^{\times}$ .

**Example.** Let us introduce the following two formal group laws.

- The additive formal group law. Write  $\mathbb{G}_a$  for the formal group law with addition given by  $\mathbb{G}_a(X,Y) = X + Y$ .
- We write  $\mathbb{G}_m$  for the formal group law associated with the with  $\mathbb{G}_m(X,Y) = X + Y + XY$ .

Next up is the definition of formal A-module laws. Naively, we'd like to say that an A-module law is the same as that of a formal group law F plus A-module structure, i.e. a morphism of rings  $[\cdot]_F : A \to \operatorname{End}_{(\operatorname{FGL}/R)}(F)$ . But there is a subtlety going on here: Let

$$\text{Lie}: (\text{FGL}/R) \to (\text{Ab})$$

be the (constant) functor that sends  $F \in (FGL/R)$  to (R, +), and morphisms  $f : G \to H$  given by a formal power series  $f = c_1T + c_2T^2 + \cdots \in R[T]$  to the endomorphism of R given by multiplication with  $c_1$ . The condition that  $F(X,Y) \equiv X + Y$  modulo degree 2 enforces that the induced map  $End(F) \to End(R)$  is a morphism of rings. Now, the A-module structure on F yields an A-module structure on R, given by the concatenation

$$A \xrightarrow{[\cdot]_F} \operatorname{End}(F) \xrightarrow{\operatorname{Lie}} \operatorname{End}(R), \quad a \mapsto \operatorname{Lie}([a]_F)$$

This is a morphism of rings, and we obtain an A-algebra structure on R. We'd like the A-algebra structure on R to be uniform. This motivates the following definition.

**Definition 2.1.3** (Formal A-module law). Let A be a ring and R be an A-algebra with structure morphism  $p: A \to R$ . A (one-dimensional) A-module law over an R is a pair  $(F,([a]_F)_{a\in A})$ , where  $F\in R[\![X,Y]\!]$  is a formal group law and  $[a]_F=p(a)X+c_2X^2+\cdots\in R[\![X]\!]$  yield endomorphisms  $F\to F$  such that the induced map

$$A \to \operatorname{End}(F), \quad a \mapsto [a]_F$$

is a morphism of rings.

Similarly to above, we obtain a category of formal A-module laws over R, which we denote by (A-FML/R). Note that  $(\text{FGL}/R) \cong (\mathbb{Z}\text{-FML}/R)$ . Slightly abusing notation, we usually do not explicitly mention the A-structure when referring to formal module laws, simply writing  $F \in (A\text{-FML}/R)$ , for example.

The following lemma explains a the functoriality of the assignment  $R \mapsto (A\text{-FML}/R)$ .

**Lemma 2.1.4.** The assignment  $R \mapsto (A\text{-FML}/R)$  is functorial in the following sense. If  $p: R \to R'$  is a morphism of A-algebras, we obtain a functor

$$(A\text{-FML}/R) \to (A\text{-FML}/R'), \quad F \mapsto p_*F,$$

where  $p_*F$  is the formal A-module law obtained by applying p to the coefficients of the formal power series representing addition and scalar multiplication of F. We sometimes write (with abuse of notation)  $p_*F = F \otimes_R R'$ .

Note that every formal module law  $F \in (A\text{-FML}/R)$  yields a functor

$$(R-Alg) \to (A-Mod), \quad S \mapsto Nil(S),$$
 (2.1)

where Nil(S), the set of nilpotent elements of S, is equipped with addition and scalars given by

$$s_1 + s_2 = F(s_1, s_2) \in Nil(S), \quad as = [a]_F(s) \in Nil(S).$$

This construction yields a functor (with slight abuse of notation)

$$(A-\text{FML}/R) \to \text{Fun}((R-\text{Alg}), (A-\text{Mod})),$$
 (2.2)

where Fun denotes the functor category.

Passing from discrete R-algebras to admissible R-algebras, this construction extends naturally to a functor

$$\operatorname{Spf}^F : (A\operatorname{-FML}/R) \to \operatorname{Fun}((R\operatorname{-Adm}), (A\operatorname{-Mod})), \quad F \mapsto \operatorname{Spf} R[T],$$

where we equip  $\operatorname{Spf} R[T]$  with the structure of an A-module object using the endomorphisms coming from F. Following this line of thought leads naturally to the definition of formal modules.

**Definition 2.1.5** (Formal Group and Formal Module.). Let X be an A-scheme, and let let  $\mathcal{F}$  be an A-module object in  $(\operatorname{FSch}/X)$ , the category of formal schemes over X. Suppose that there is a Zariski-covering  $(\operatorname{Spec}(R_i))_{i\in I}$  of X with  $\mathcal{F} \times_X U_i \cong \operatorname{Spf}(R_i[T])$ . If for every  $i \in I$  the induced A-module structure on  $\operatorname{Spf}(R_i[T])$  comes from a formal A-module law  $F_i$  over  $R_i$ , we say that  $\mathcal{F}$  is a formal A-module.

**Definition 2.1.6** (Coordinate). Let  $\mathcal{F}$  be a formal A-module over X. The choice of a cover  $\sqcup_{i \in I} \operatorname{Spec}(R_i) \to X$  together with isomorphisms  $\mathcal{F} \times_X \operatorname{Spec}(R_i) \cong \operatorname{Spf}(R_i[\![T]\!])$  will be referred to as a coordinate of  $\mathcal{F}$ .

Of course there is a functor

$$(A\text{-}FML/R) \rightarrow (A\text{-}FM/R),$$

essentially forgetting the choice of module law. The observation of Lemma 2.1.4 translates to formal modules, a morphism  $p: R \to R'$  yields a functor

$$p_*: (A\text{-FM}/R) \to (A\text{-FM}/R'), \quad \mathcal{F} \mapsto \mathcal{F} \otimes_R R'.$$

**Example.** The additive group law  $\mathbb{G}_a$  extends to a formal A-module over an affine base Spec R by setting

$$[a]_{\mathbb{G}_a}(T) = aT$$

for  $a \in A$ . More generally, we obtain a formal A-module over an arbitrary base scheme.

The multiplicative formal group  $\mathbb{G}_m$  does not have an obvious generalization to a formal A-module law for general A. In the case where A is the ring of integers of a local field, Lubin and Tate [4] construct such generalizations. This construction, and the application to local class fild theory, will be discussed in section 2.2.

#### 2.1.1 Formal DVR-Modules over Fields of Characteristic 0

As above, let A be a discrete valuation ring with uniformizer  $\pi$  and finite residue field k. Let K denote the field of fractions of A.

#### 2.1.2 Formal DVR-Modules over Residue Fields

Let  $\mathbb{F}_q$  denote the finite field with  $q = p^n$  elements.

**Definition 2.1.7** (Frobenius). Given a formal group F over  $\mathbb{F}_q$ , let  $\phi$  denote the Frobenius endomorphism. This is the endomorphism given by  $f(T) = T^q$  after choosing a coordinate on F.

Let F and G be formal group laws over  $\mathbb{F}_q$ , and let  $f: F \to G$  be a non-zero morphism between F and G given by a formal power series  $f(T) = c_1T + c_2T + \dots$ 

**Definition 2.1.8** (Height). In the above situation, the height of f, denoted  $\operatorname{ht}(f)$ , is the greatest integer h such that f factors through  $\phi^h: F \to F$ . In case that f = 0, we write  $\operatorname{ht}(f) = \infty$ .

#### 2.2 Application: Local Class Field Theory

Let K be a local field with residue field k, put q = #k, and denote by  $\nu_K : K \to \mathbb{Z} \cup \{\infty\}$  the valuation of K, normalized such that  $\nu_K(\pi) = 1$  for a uniformizer  $\pi$  of K. The aim of this subsection is to describe the maximal abelian extension of a local field K.

The Local Kronecker-Weber theorem gives an explicit description of the abelianization of the absolute Galois group of K only in terms of K:

**Theorem 2.2.1** (Local Kronecker-Weber). There is an isomorphism (canonical up to choice of a uniformizer  $\pi \in K$ )

$$\operatorname{Gal}(\overline{K}/K)^{\operatorname{ab}} \cong \operatorname{Gal}(K^{\operatorname{ab}}/K) \cong \mathcal{O}_K^{\times} \times \widehat{\mathbb{Z}}.$$

Here,  $K^{ab}$  denote the maximal abelian extension of K, which can (after choosing an algebraic closure of K) be described as  $\overline{K}^{[G_K,G_K]}$ .

The extension  $K^{\rm ab}$  consists of two parts, we have  $K^{\rm ab} = K^{\rm rm} \cdot K^{\rm nr}$ . The field  $K^{\rm nr}$ , the maximal unramified extension of K, has relatively simple structure. Describing the field  $K^{\rm rm}$  (or rather, it's completion) is the hard part and it is here where we apply the theory of formal modules.

The valuation  $\nu_K$  extends uniquely to  $\overline{K}$ , yielding a  $\pi$ -adic norm on  $\overline{K}$ . Let C denote the completion with respect to this norm. An application of Krasner's Lemma implies that  $\operatorname{Gal}(C/K) \cong \operatorname{Gal}(\overline{K}/K) =: G_K$ . One readily checks that any  $\sigma \in G_K$  yields a continuous automorphism  $\mathcal{O}_C \to \mathcal{O}_C$ , and we obtain a short exact sequence

$$0 \to I_K \to G_K \to \operatorname{Gal}(\overline{k}/k) \to 0.$$

The subgroup  $I_K \subset G_K$  is called the inertia subgroup of K, and we write  $\check{K}$  for the subfield of C fixed by  $I_K$ . In particular we have  $\operatorname{Gal}(\check{K}/K) \cong \operatorname{Gal}(\bar{k}/k)$ . One readily confirms that  $\check{K}$  is complete with respect to the norm induced by K.

As the Galois group of any finite extension of k is cyclic, we find that  $\operatorname{Gal}(\check{K}/K)$  is abelian. In fact, it is isomorphic to  $\widehat{\mathbb{Z}} = \lim_n (\mathbb{Z}/n\mathbb{Z})$ . Hence  $K_{\infty}$  decomposes as  $\check{K} \cdot K_{\pi}$  for some abelian, complete extension  $K_{\pi}/K$  such that  $K_{\pi} \cap \check{K} = K$ . Now  $K_{\pi}$  is the completion of  $K^{\rm rm}$ . Observe that

$$\operatorname{Gal}(K_{\infty}/K) \cong \operatorname{Gal}(K_{\pi}/K) \times \operatorname{Gal}(\check{K}/K) \cong \operatorname{Gal}(K_{\pi}/K) \times \widehat{\mathbb{Z}},$$

so Theorem 2.2.1, the local Kronecker-Weber Theorem, is equivalent to showing that the Galois group of  $K_{\pi}$  over K is isomorphic to  $\mathcal{O}_{K}^{\times}$ .

## 3 Non-Abelian Lubin-Tate Theory: An Overview

In the preceeding chapter we used formal  $\mathcal{O}_K$ -modules to understand the maximial abelian extension of a local field K. The hope of non-Abelian Lubin-Tate theory is to gain insight about the Abelian extensions of K by considering certain moduli spaces of formal  $\mathcal{O}_K$ -modules. More precisely, attached to a formal  $\mathcal{O}_K$ -module  $H_0$  over  $\overline{\mathbb{F}}_q$  (determined up to isomorphism by its height n), we attach a system of rigid spaces  $\{M_K\}_{K\subset \mathrm{GL}_n(\mathcal{O}_K)}$ , the so called Lubin-Tate Tower. For  $l\neq p$ , the system of l-adic compactly supported cohomology groups  $\{H^i_c(M_K,\overline{\mathbb{Q}}_l)\}_K$  admits commuting actions by  $\mathrm{GL}_n(K)$ ,  $W_K$  and  $D^\times$ , where the latter denotes the units of the central divison algebra  $D=\mathrm{End}_{(\mathcal{O}_K-\mathrm{FM}/\overline{\mathbb{F}}_q)}(H_0)\otimes \mathbb{Q}$ . This yields a correspondence of representations of the respective groups, and Harris and Taylor showed in [2] that the cohomology of middle degree induces (a version of) the Local Langlands Correspondence. Our goal is an explicit description of this correspondence, and we hope to obtain such a description by understanding the Lubin-Tate tower explicitly. As it turns out, the the limit  $\lim_K M_K$  is representable by a perfectoid space which is is easier to describe than its individual layers.

#### 3.1 The Lubin-Tate Tower

#### 3.1.1 Deformations of Formal Modules

We mostly follow [5, Chapter 2] for notation. Let  $\mathcal{C}$  denote the category of local, Noetherian  $\mathcal{O}_{\check{K}}$ -modules with distinguished isomorphisms  $R/\mathfrak{m}_R \to \overline{\mathbb{F}}_q$ . Let  $H_0$  be a formal  $\mathcal{O}_K$ -module over  $\overline{\mathbb{F}}_q$ .

**Definition 3.1.1** (Deformation). Let  $R \in \mathcal{C}$ . A deformation of  $H_0$  to R is a pair  $(H, \iota)$  where H is a formal  $\mathcal{O}_K$ -module over R and  $\iota$  is a quasi-isogeny

$$\iota: H_0 \dashrightarrow H \otimes_R \overline{\mathbb{F}}_q.$$

Two deformations  $(H, \iota)$  and  $(H', \iota')$  are isomorphic if there is an isomorphism  $\tau : H \to H'$  with  $\iota' \circ \tau = \iota$ .

The Lubin-Tate space without level structure is the moduli space of such deformations. More precisely, we define it as the functor

$$\mathcal{M}_0: \mathcal{C} \to (\mathrm{Set}), \quad R \mapsto \{\text{deformations } (H, \iota) \text{ of } H_0\}/\mathrm{iso.}$$

#### **Theorem 3.1.2** (Representability of $\mathcal{M}_0$ ). *Proof.*

- Deformations
- Representability of  $\mathcal{M}_0$ .

#### 3.1.2 Deformations of Formal Modules with Drinfeld Level Structure

- Drinfeld Level
- Moduli Problem + Representability
- The Lubin-Tate Tower

#### 3.1.3 The Group actions on the Tower and its Cohomology

- Action By  $D^{\times}$  and  $GL_n$
- Action by  $W_K$  via Weil descent Datum.

# 3.2 The Local Langlands Correspondence for the General Linear Group

- 3.3 The Lubin-Tate Perfectoid Space
- 4 Mieda's Approach to the Explicit Local Langlands Correspondence
- 5 The Explicit Local Langlands Correspondence for Depth Zero Supercuspidal Representations
- 5.1 The Special Affinoid
- 5.2 Deligne-Lusztig Theory for Depth Zero Representations
- 5.3 Proof

## A Topological Rings

To deal with the topological rings showing up, the notion of admissible rings will be convenient (taken from [Stacks, Tag 07E8]).

**Definition A.0.1.** Let A be a topological ring. We say that A is admissible if

• The element  $0 \in A$  has a fundamental system of neighbourhoods consisting of ideals.

- There exists an ideal of definition, that is, an ideal  $I \subset A$  such that every open neighbourhood of 0 contains  $I^n$  for some n.
- It is complete, that is, the natural map

$$A \to \lim_{J \subset A \text{ open ideal}} A/J$$

is an isomorphism.

We say that A is adic if it admits an open ideal of definition. Given a topological ring A, we denote the category of admissible and adic A-algebras (algebras S with continuous morphism  $A \to S$ ) by (A-Adm) and (A-Adic), respectively.