

# TransferI2I: Transfer Learning for Image-to-Image Translation from Small Datasets

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## Abstract

*Image-to-image (I2I) translation has matured in recent years and is able to generate high-quality realistic images. However, despite current success, it still faces important challenges when applied to small domains. Existing methods use transfer learning for I2I translation, but they still require the learning of millions of parameters from scratch. This drawback severely limits its application on small domains. In this paper, we propose a new transfer learning for I2I translation (TransferI2I). We decouple our learning process into the image generation step and the I2I translation step. In the first step we propose two novel techniques: source-target initialization and self-initialization of the adaptor layer. The former fine-tunes the pretrained generative model (e.g., StyleGAN) on source and target data. The latter allows to initialize all non-pretrained network parameters without the need of any data. These techniques provide a better initialization for the I2I translation step. In addition, we introduce an auxiliary GAN that further facilitates the training of deep I2I systems even from small datasets. In extensive experiments on three datasets, (Animal faces, Birds, and Foods), we show that we outperform existing methods and that mFID improves on several datasets with over 25 points. Our code is available at: <https://github.com/yaxingwang/TransferI2I>.*

## 1. Introduction

Image-to-image (I2I) translation aims to map an image from a source to a target domain. Several methods obtain outstanding results on paired data [22, 63], unpaired data [31, 58, 62], scalable I2I translation [12, 37, 47] and diverse I2I translation [12, 21, 33]. *Scalable I2I* translation aims to translate images between multiple domains. For example, a cat face is mapped onto other animal faces (i.e.

dog, tiger, bear, etc.). The goal of *diverse I2I* translation is to synthesize multiple plausible outputs of the target domain from a single input image (i.e. translating a dog face to various plausible cat faces). Despite impressive leaps forward with paired, unpaired, scalable and diverse I2I translation, there are still important challenges. Specifically, to obtain good results existing works rely on large labelled data. When given small datasets (e.g., 10 images per domain) current algorithms suffer from inferior performance. Also, labeling large-scale datasets is costly and time-consuming, making those methods less applicable in practice.

Several works [5, 6, 13, 36, 39] have studied one-shot and few-shot I2I translation. One-shot I2I translation [5, 6, 13, 36] refers to the case where only *one source* image and *one or few* target images are available. These works fail to perform multiclass I2I translation. FUNIT [39] conducts few-shot I2I translation, but still requires large datasets at the training stage. In this paper, we focus on transfer learning for I2I translation with limited data.

Recent work [50, 56] leverages transfer learning for I2I translation. SGP [50] utilizes a pretrained classifier (e.g., VGG [52]) to initialize the encoder of an I2I model. However, the remaining networks (i.e., decoder, discriminator and adaptor layers<sup>1</sup>) need to be trained from scratch, which still requires a large dataset to train the I2I translation model. DeepI2I [56] uses a pretrained GAN (e.g., StyleGAN [27] and BigGAN [9]) to initialize the I2I model. However, it still requires to train the adaptor layers from scratch. The adaptor layers contains over 85M parameters (using the pretrained BigGAN) which makes their training on translation between small domains prone to overfitting. Since both SGP and DeepI2I leverage the adaptor between the encoder and the generator, one potential problem is that the generator easily uses the information from the high-resolution skip connections (connecting to the upper layers

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<sup>1</sup>We follow [56] and call the layers which connect encoder and decoder at several levels adaptor layers.

of the generator), and ignore the deep layers of the generator, which require a more semantic understanding of the data, thus more difficult to train. Inspired by DeepI2I, we use the pretrained GANs to initialize I2I translation model. Differently, we propose a new method to train I2I translation, overcoming the overfitting and improving the training of I2I model.

In this paper, we decouple our learning process into two steps: image generation and I2I translation. The first step aims to train a better generative model, which is leveraged to initialize the I2I translation system, and contributes to improve I2I translation performance. We introduce two contributions to improve the efficiency of the transfer, especially important for small domains. (1) we improve *source-target initialization* by finetuning the pretrained generative model (e.g., StyleGAN) on source and target data. This ensures that networks are already better prepared for their intended task in the I2I system. (2) we propose a *self-initialization* to pretrain the weights of the adaptor networks (the module  $A$  in Figure 1 (b)) without the need of any data. Here, we exploit the fact that these parameters can be learned by generating the layer activations from both the generator and discriminator (by sampling from the latent variable  $z$ ). From these activations the adaptor network weights can be learned. For the second step we conduct the actual I2I translation using the learned weights in the first step. Furthermore, we propose an *auxiliary generator* to encourage the usage of the deep layers of the I2I network.

Extensive experiments on a large variety of datasets confirms the superiority of the proposed transfer learning technique for I2I. It also shows that we can now obtain high-quality image on relatively small domains. This paper shows that transfer learning can reduce the need of data considerably; as such this paper opens up application of I2I to domains that suffer from data scarcity. Our main contributions are:

- We explore I2I translation with limited data, reducing the amount of required labeled data.
- We propose several novel techniques (i.e., *source-target initialization*, *self-initialization* and *auxiliary generator*) to facilitate this challenging setting.
- We extensively study the properties of the proposed approaches on two-class and multi-class I2I translation tasks and achieve significant performance improvements even for high quality images.

## 2. Related work

**Generative adversarial networks.** GANs [18] are a combination of a generator  $G$  and a discriminator  $D$ . The goal of the generator is to learn a mapping from a latent code, i.e. a noise source, to the training data distribution. Conversely, the discriminator network, or critic [3], learns to distinguish

between the real data and generated instances from  $G$  in the fashion of an adaptive loss. In this opposed game, both networks improve upon each other to the point of yielding state-of-the-art image generation. Recent works [3, 19, 41] aim to overcome mode collapse and training instability problems, which frequently occur when optimizing GANs. Besides, several works [9, 14, 27] explore constructing effective architectures to synthesize high-quality images.

**I2I translation.** Image-to-image translation has been widely studied in computer vision. It has achieved outstanding performance on both paired [17, 23, 63] and unpaired image translation [31, 38, 42, 45, 58, 62]. These approaches, however, face two main challenges: diversity and scalability. The former aims to generate multiple plausible outputs of the target domain from a single input image [2, 21, 33, 59]. The goal of scalable I2I translation is to map images [12, 34, 59] across several domains using a single model. Several works [28, 48, 50, 57] explore the difficult task: the *shape* translation as well as *style*. Trans-GaGa [57] disentangles the source image into two spaces: the geometry and style. Then it conducts the translation for each latent space separately. However, none of these approaches addresses the problem of transfer learning for I2I.

Several recent works used GANs for I2I translation with few test samples. Lin *et al.* proposed a zero-shot I2I translation method, which leverages pairs of images and captions to study domain-specific and domain-invariant features. Recent work [5, 6, 13, 36] explore one-shot I2I translation, and propose one-shot specific I2I models. However, these methods cannot be used for multi-class I2I translation, since these model are designed for the two-class case where a few images of two domains can be accessed. FUNIT [39] is the first to study few-shot I2I translation, but still relies on vast quantities of labeled source domain images for training.

**Transfer learning.** Transfer learning aims to reduce the training time, improve the performance and reduce the amount of training data required by a model by reusing the knowledge from another model which has been trained on another, but related, task or domain. A series of recent works investigated knowledge transfer on generative models [44, 55] as well as discriminative models [15]. More recent work [50, 56] explore the knowledge transfer for I2I translation. Both methods, however, introduce a new network module which is trained from scratch, and prone to suffer from overfitting. Several other approaches [16, 24] perform the image manipulation based on the pretrained GAN. Especially, given the pretrained generator (e.g., StyleGAN) they expect to manipulate the output image attribute (e.g., the age, the hair style, the face pose etc.). However, these methods do not focus on transfer learning. Furthermore, some methods [1, 4, 61] embed a given exemplar image into the input latent space of the pretrained GAN (e.g., StyleGAN). These methods literally

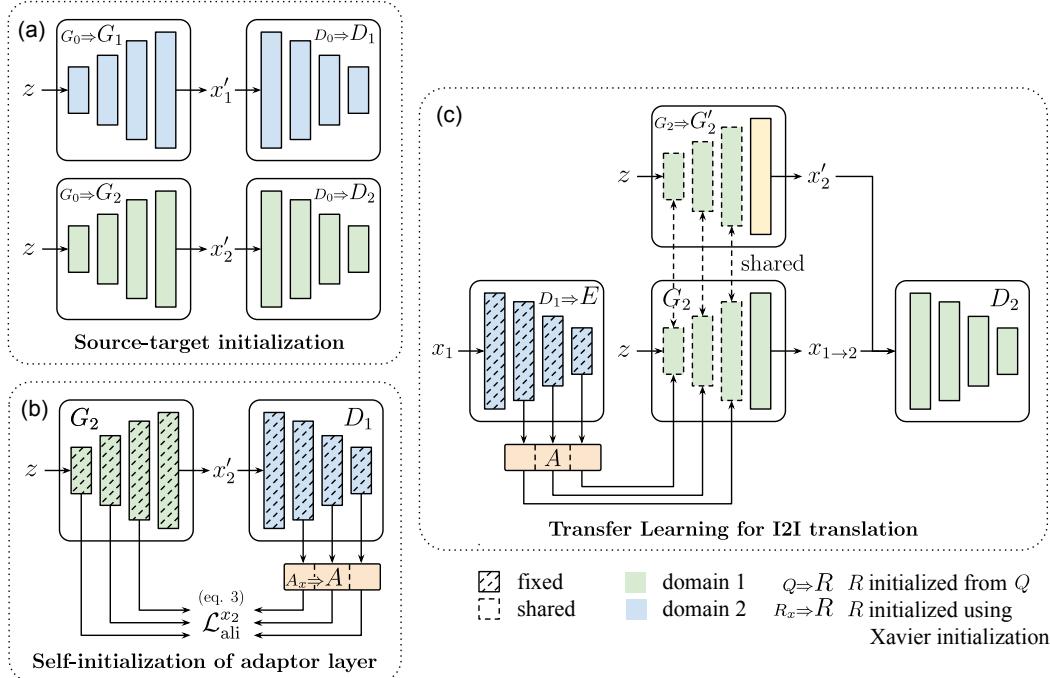


Figure 1. Model architecture and training stages. Here modules come from the immediate previous stage unless otherwise indicated. A pretrained GAN (e.g., StyleGAN [27]) is used as  $G_0$  and  $D_0$  to initialize the two GANs. (a) *Source-target initialization* performs finetuning on two domains (i.e.,  $\mathcal{X}_1$  and  $\mathcal{X}_2$ ) to form two independent GANs (i.e., the generator  $G_1$  and the discriminator  $D_1$ , the generator  $G_2$  and the discriminator  $D_2$ ). (b) *Self-initialization* of adaptor layer to pretrain the adaptor  $A$  and align both the generator  $G_2$  and the discriminator  $D_1$ . We only update the adaptor layers  $A$ . (c) The I2I translation model is composed of five main parts: the encoder  $E$ , the adaptor layer  $A$ , the generator  $G_2$ , the auxiliary generator  $G'_2$  and the discriminator  $D_2$ . Note the encoder  $E$  is initialized by the discriminator  $D_1$ . The portion of weights from  $G'_2$  that is not shared (in yellow), is initialized with  $G_2$  weights.

optimize the latent space to reconstruct the provided image. In fact, they do not perform I2I translation.

### 3. Method

**Problem setting.** Our goal is to propose an unpaired I2I translation system for the case when training data is limited. Therefore, we apply transfer learning to improve the efficiency of I2I translation. We decouple our learning into an image generation step and a I2I translation step. The image generation step contains two contributions: (a) *source-target initialization*, and (b) adaptor layer *self-initialization*. In addition, for the I2I step, we introduce an *auxiliary generator* to address the inefficient usage of the deep layers of the generator when using the skip connections in I2I model.

Figure 1 provides an overview of our method. Figure 1(a) shows the *source-target initialization*, in which we learn a better generation model, thus contributing to a better initialization of the I2I translation models. Next, in Figure 1(b), we introduce *self-initialization* to overcome overfitting of the adaptor layers. In Figure 1(c), we train the I2I network, and introduce an *auxiliary generator*. This additional generator shares several layers with the main generator, encouraging the usage of the under-performing deep

layers. Our method is general for two-class I2I translation and multi-class I2I translation. First we introduce our method for single-class I2I (Section 3.1) and in the next section we extend our method to multi-class I2I (Section 3.2).

#### 3.1. Method overview

We consider two domains: source domain  $\mathcal{X}_1 \subset \mathbb{R}^{H \times W \times 3}$  and target domain  $\mathcal{X}_2 \subset \mathbb{R}^{H \times W \times 3}$ . In this work, given limited training samples from both source and target domains, we aim to map a source image  $x_1 \in \mathcal{X}_1$  into a target sample  $x_{1 \rightarrow 2} \in \mathcal{X}_2$ . Let vector  $\mathbf{z} \in \mathbb{R}^Z$  be random noise.

**Source-target initialization.** Given a pretrained GAN (e.g., StyleGAN) and limited training data, we propose to train two generative models on the limited available data of respectively the source and target domain (Figure 1 (a)). Especially, we train a generative model which is composed of a generator  $G_i$  and a discriminator  $D_i$  for each domain  $\mathcal{X}_i, i = \{1, 2\}$ . Here we apply finetuning to adapt to the source and target domains like in [55]. This step could be further improved by using recent approaches to improve the transfer on small domains [44, 43, 60, 35]. The training objective becomes:

$$\begin{aligned}\mathcal{L}_{GAN}^{x_1} &= \mathbb{E}_{x_1 \sim \mathcal{X}_1} [\log D_1(x_1)] \\ &\quad + \mathbb{E}_{\mathbf{z} \sim p(\mathbf{z})} [\log (1 - D_1(G_1(\mathbf{z})))]\end{aligned}\quad (1)$$

$$\begin{aligned}\mathcal{L}_{GAN}^{x_2} &= \mathbb{E}_{x_2 \sim \mathcal{X}_2} [\log D_2(x_2)] \\ &\quad + \mathbb{E}_{\mathbf{z} \sim p(\mathbf{z})} [\log (1 - D_2(G_2(\mathbf{z})))].\end{aligned}\quad (2)$$

Here the generative models for both the source and target domains are used to provide a better initialization for the I2I translation.

**Self-initialization of adaptor layer.** Inspired by DeepI2I [56], we use the pretrained discriminator (Figure 1(a)) to initialize both the encoder and the discriminator of the I2I model (Figure 1(c)), and correspondingly, the pretrained generator to the I2I generator. Since in the GAN configuration there are no connections between intermediate layers of the generator and discriminator, these layers are not aligned. For that reason, [56] introduces an adaptor network (indicated by  $A$  in Figure 1(b,c)) to communicate between the various layers of the encoder and decoder. In DeepI2I, they found that the introduction of four adaptor networks is optimal. These layers contain a significant amount of the total number of parameters in the system (around 25%). They then proceed to naively optimize these parameters on the source-target data. Training the adaptor network from scratch leads to overfitting on limited data.

To overcome these drawbacks, we propose a procedure, called *self-initialization*, that leverages the previous pretrained model (Figure 1(a)) to align the adaptor networks without the need of any data. As shown in Figure 1(b), the noise  $\mathbf{z}$  is taken as input for the generator  $G_2$ , from which we extract the hierarchical representation  $F_g(\mathbf{z}) = \{G_2(\mathbf{z})_l\}$  as well as the synthesized image  $G_2(\mathbf{z})$ . Here  $G_2(\mathbf{z})_l$  is the  $l_{th}(l = m, \dots, n, (n > m))$  ResBlock<sup>2</sup> output of the generator  $G_2$ . We then take the generated image  $G_2(\mathbf{z})$  as input for the discriminator  $D_1$ , and similarly collect the hierarchical feature  $F_d(\mathbf{z}) = \{D_1(G_2(\mathbf{z}))_l\}$ . The adaptor network  $A$  finally takes the output representation  $\{D_1(G(\mathbf{z}))_l\}$  as input, that is  $A(F_d(\mathbf{z})) = \{A\}$ . In this step, our loss is:

$$\mathcal{L}_{ali}^{x_2} = \sum_l \|F_g(z) - A(D_1(G_2(z)))\|_1. \quad (3)$$

In this step both the generator and the discriminator are frozen and only the adaptor layers are learned. Note that the adaptor layers are trained to take the discriminator as input, and output a representation that is aligned with the generator (opposite to the order in which they are applied in the GAN); this is done because the generator and discriminator are switched in the I2I network (see Figure 1(c)) when we use the pretrained discriminator to initialize the encoder.

<sup>2</sup>After each ResBlock the feature resolution is half of the previous one in both encoder and discriminator, and two times in generator

**Transfer Learning for I2I translation.** Figure 1(c) shows how to map the image from the source domain to target domain. For example, to translate a source image  $x_1 \in \mathcal{X}_1$  to  $x_{1 \rightarrow 2} \in \mathcal{X}_2$ . Our architecture consists of 5 modules: the encoder  $E$ , the adaptor  $A$ , the generator  $G_2$ , the *auxiliary generator*  $G'_2$  and the discriminator  $D_2$ . Let  $E_l$  be the  $l_{th}(l = m, \dots, n, (n > m))$  ResBlock output of the encoder  $E$ , which is further taken as input for the corresponding adaptor network  $A_l$ .

We aim to map the image from the source to the target domain with limited labeled data. First, the encoder  $E$ , initialized by the pretrained discriminator  $D_1$  takes the image  $x_1$  as input, extracting the hierarchical representation  $E_g(x_1) = \{E(x_1)_l\}$  from different layers, which contains both the structural and semantic information of the input image.  $E_g(x_1)$  is then fed to the adaptor network  $A(x_1) = \{A(x_1)_l\}$ , which in turn is taken as input for the generator  $G_2$  along with the noise  $z$  to synthesize the output image  $x_{1 \rightarrow 2} = G_2(z, A(E(x_1)))$  (for details see Supplementary Material B). We employ the discriminator  $D_2$  to distinguish real images from generated images, and preserve a similar pose in input source image  $x_1$  and the output  $G_2(z, A(E(x_1)))$  [39, 56].

Training the I2I translation model can lead to unused capacity of the deep layers of the generator, largely due to the skip connections. It is relatively easy for the generator to use the information from the high-resolution skip connections (connecting to the upper layers of the generator), and ignore the deep layers of the generator, which require a more semantic understanding of the data, thus more difficult to train. To address this, we propose an *auxiliary generator* which has the same network design, but only uses the noise as input. Taking the translation from the source image  $x_1 \in \mathcal{X}_1$  to  $x_{1 \rightarrow 2} \in \mathcal{X}_2$  as example. The *auxiliary generator*  $G'_2$  takes the noise  $z$  as input, and synthesizes the output image  $x'_2 \in \mathcal{X}_2$ . We propose to share the deep layers of this *auxiliary generator* with the ones following the skip connection in the main generator  $G_2$  (the dashed layers in Figure 1(c)). Since  $G'_2$  has no access to skip connections, it is forced to use its deep layers, and since we share these, the main I2I generator is also driven to use them.

Our loss function for I2I translation is a multi-task objective comprising: (a) *adversarial loss* which classifies the real image and the generated image. (b) *reconstruction loss* guarantees that both the input image  $x_1$  and the synthesized image  $x_{1 \rightarrow 2} = G_2(z, A(E(x_1)))$  keep the similar structural information.

**Adversarial loss.** We employ GAN [18] to optimize this

	Network	Optimizer	Lr	$(\beta_1, \beta_1)$	Bs	Is
Two-class I2I	$G$	Adam	$1e^{-5}$	(0.0, 0.99)	16	256
	$A, D$	Adam	$1e^{-3}$	(0.0, 0.99)	16	256
Multi-class I2I	$G$	Adam	$5e^{-5}$	(0.0, 0.999)	16	128
	$A, D$	Adam	$2e^{-4}$	(0.0, 0.999)	16	128

Table 1. The experiment configuration. Lr: learning rate, Bs: batch size, Is: image size.

problem as follows:

$$\begin{aligned} \mathcal{L}_{GAN}^{x_2} = & \mathbb{E}_{x_2 \sim \mathcal{X}_2} [\log D_2(\mathbf{x}_2)] \\ & + \mathbb{E}_{\mathbf{x}_1 \sim \mathcal{X}_1, \mathbf{z} \sim p(\mathbf{z})} [\log(1 - D_2(G_2(A(E(\mathbf{x}_1)), \mathbf{z})))] \\ & + \lambda_{aux} \mathbb{E}_{\mathbf{z} \sim p(\mathbf{z})} [\log(1 - D_2(G'_2(\mathbf{z})))], \end{aligned} \quad (4)$$

where  $p(\mathbf{z})$  follows the normal distribution. The hyper-parameter  $\lambda_{aux}$  is to balance the importance of each terms. We set  $\lambda_{aux} = 0.01$ . The discriminator  $D_1$  and loss  $\mathcal{L}_{GAN}^{x_1}$  are similar.

**Reconstruction loss.** We use reconstruction to preserve the structure of both the input image  $x_1$  and the output image  $x_{1 \rightarrow 2}$ . In the same fashion as results for photo-realistic image generation [25, 26, 51], we use the discriminator output to achieve this goal through the following loss:

$$\mathcal{L}_{rec}^{x_1} = \sum_l \alpha_l \|D_2(\mathbf{x}_1) - D_2(\mathbf{x}_{1 \rightarrow 2})\|_1, \quad (5)$$

where parameters  $\alpha_l$  are scalars which balance the terms. Note we set  $\alpha_l = 1$ .

**Full Objective.** The full objective function of our model is:

$$\begin{aligned} \min_{E_1, E_2, A_1, A_2} \max_{D_1, D_2} & \mathcal{L}_{GAN}^{x_1} + \mathcal{L}_{GAN}^{x_2} + \lambda_{rec} (\mathcal{L}_{rec}^{x_1} + \mathcal{L}_{rec}^{x_2}) \\ & G_1, G'_1, G_2, G'_2 \end{aligned} \quad (6)$$

where  $\lambda_{rec}$  is hyper-parameters that balance the importance of each terms. We set  $\lambda_{rec} = 1$ .

### 3.2. Multi-class I2I translation

Our method can also be applied to multi-class I2I translation. Comparing with the *source-target initialization* of the two-class I2I translation (Figure 1 (a)), we instead have one conditional generator and one conditional discriminator by using a class embedding like BigGAN [9]. Especially, we perform *source-target initialization* from the pre-trained conditional GAN (e.g., BigGAN), and obtain a single generator and discriminator for all data. The following steps, the *self-initialization of the adaptor* (Figure 1(b)) and the I2I translation with the *auxiliary generator* (Figure 1(c)), are similar to the ones of two-class I2I system except for the conditioning for both generator and discriminator. The framework for multi-class I2I translation is shown in Supp. Mat. A.

source-target initialization	Self-initialization	mKID $\times 100 \downarrow$	mFID $\downarrow$
×	×	11.48	137.11
✓	✗	9.63	114.23
✗	✓	10.03	122.12
✓	✓	9.40	109.7

Table 2. Influence of *source-target initialization* and *self-initialization* of the adaptor on *Animal faces*.

## 4. Experiments

In this section, we first introduce the experimental settings (Section 4.1): the training details, evaluation measures, datasets and baselines. We then evaluate our method on two cases: *multi-class I2I translation* (Section 4.2) and *two-class I2I translation* (Section 4.3).

### 4.1. Experiment setting

**Training details.** We adapt the structure of the pretrained GAN (i.e., StyleGAN for two-class I2I translation and BigGAN for multi-class I2I translation) to our architecture. Especially, both the generator  $G$  and the discriminator  $D$  directly duplicate the ones of the GAN (i.e., StyleGAN or BigGAN). The auxiliary generator  $G'$  is same to the generator, and the encoder  $E$  copy the structure of the discriminator. The adaptor network  $A$  contains four sub-adaptor networks. In multi-class I2I system, each of the sub-adaptor consists of one Relu, two convolutional layers (Conv) with  $3 \times 3$  filter size and stride of 1, and one Conv with  $1 \times 1$  filter and stride of 1, except for the fourth sub-adaptor (corresponding to the deepest layer of the encoder) which only contains two Convs with  $3 \times 3$  filter and stride of 1. In two-class I2I system, each sub-adaptor network is composed of Conv with  $3 \times 3$  filter size and stride of 1. The proposed method is implemented in Pytorch [46]. The configure of the experiment is reported in Table 1. We use 1  $\times$  Quadro RTX 6000 GPUs (24 GB VRAM to conduct all our experiments.

**Evaluation metrics.** We use several GAN metrics. The first one is Fréchet Inception Distance (FID) [20], which compares the distributions of the real and fake images using the Fréchet distance. The second one is Kernel Inception Distance (KID) [7], which calculates the maximum mean discrepancy (MMD) of the same embedded features and is proven to be a converging estimator, contrary to FID. To account for all categories, we calculate the mean FID and KID as *mFID* and *mKID*. Finally, we train a real (*RC*) and a fake classifier (*FC*) [49] to evaluate the ability to generate class-specific images. *RC* is trained on real data and evaluated on the generated data and vice versa for *FC*.

**Datasets.** We evaluate our method on five datasets. For multi-class I2I translation, we use three datasets: *Animal faces* [39], *Birds* [53] and *Foods* [29]. To evaluate the two-class I2I model, we use two datasets: *cat2dog-200* [34] and *cat2dog-2035* [34]. The *Animal faces* dataset contains 1,490 images and 149 classes in total, *Birds* has 48,527 im-

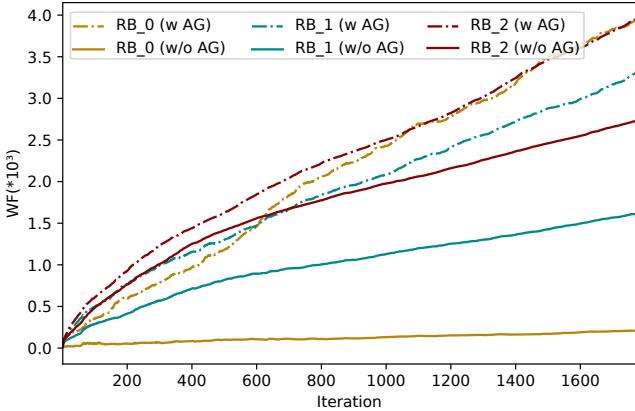


Figure 2. The change of the weights  $w$  (w/o) the *auxiliary generator* (AG).  $RB\_i$  ( $i = 0, 1, 2$ ) is the index of ResBlock layer of the generator from input to the output.  $WF$  is the weight fluctuation.

#ResBlock	$mKID \times 100 \downarrow$	$mFID \downarrow$
1	9.48	115.47
2	9.39	110.31
3	9.34	105.98
4	9.25	103.55

Table 3. Ablation on number of the shared layers between the generator  $G$  and the *auxiliary generator*  $G'$ . Note we account for the shared ResBlock layer from the bottom layer of the generator.

ages and 555 classes in total, *Foods* consists of 31,395 images and 256 classes in total. We resized all images from the *Animal faces*, *Birds* and *Foods* to  $128 \times 128$ , and split each data into a training (90 %) and test set (10 %) except for the *Animal faces* in which the number of test images is 1,490 (10/per class). The *cat2dog-200* is composed of 200 images (100 images/per class). The *cat2dog-2035* contains 771 images for the cat category and 1264 images for the dog category. The test dataset for both *cat2dog-200* and *cat2dog-2035* is the same, and has 200 images (100 images/per class) with an image size of  $256 \times 256$ .

**The baselines for two-class I2I.** We compare to several baselines for this setting. *CycleGAN* [62] first perform unpaired I2I translation by leveraging a cycle consistency loss to reconstruct the input image. *UNIT* [38] presents an unsupervised I2I translation method under the shared-latent space assumption. The related methods, including *MUNIT* [21], *DRIT++* [34] and *StarGANv2* [12], propose disentanglement to control separately the pose and style information. *NICEGAN* [10] proposes a sharing mechanism between the encoder and the discriminator. *UGATIT* [30] aims to handle the geometric changes, and introduce two techniques: an attention module and a new normalization. *CUT* [45] introduces contrastive learning for I2I translation. *DeepI2I* [56] uses pretrained GANs to initialize the I2I model.

**The baselines for multi-class I2I.** We compare to *StarGAN* [11], *StarGANv2* [12], *SDIT* [54], *DRIT++* [34], *DMIT* [59] and *DeepI2I* [56], all of which perform image-to-image translation between multi-class domains. Star-

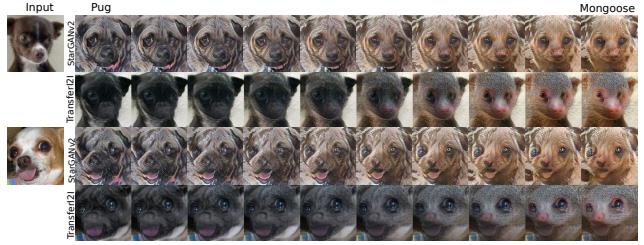


Figure 3. Interpolation by keeping the input image fixed while interpolating between two class embeddings. The first column is the input images, while the remaining columns are the interpolated results. The interpolation results from *pug* to *mongoose*.

*GANv2* [12] obtains the scalability by introducing a class-specific network. *SDIT* [54] leverages the class label and random noise to achieve scalability and diversity in a single model. A similar idea is also explored in *DMIT* [59].

## 4.2. Multi-class I2I translation

**Ablation study.** We now evaluate the effect of each independent contribution on the performance of TransferI2I. First we ablate the *source-target initialization* and *self-initialization* without the *auxiliary generator*. Next, we evaluate the performance gain when adding the *auxiliary generator*.

**Source-target initialization and self-initialization.** Table 2 reports the performance of both techniques in terms of both *mFID* and *mKID* on *Animal faces*. Note that the second row of Table 2 is equal to DeepI2I. Adding one of the techniques (*source-target initialization* and *self-initialization* of the adaptor layer) improves performance of I2I translation compared to DeepI2I. Furthermore, performing *source-target initialization* achieves a larger advantage than *self-initialization*, e.g. for *mFID*: 114.23 vs. 122.12. This seems to indicate the former is more important. Finally, using both techniques obtains the best *mFID* score, indicating that our method successfully performs I2I translation with few images.

**Auxiliary generator.** In this paper, we propose to leverage the *auxiliary generator* to encourage the usage of the deep layers of the generator. We conduct an experiment to evaluate the effect of the number of shared layers between the generator  $G$  and the *auxiliary generator*  $G'$ . As reported in Table 3, we found that more shared layers result in better performance (e.g., the *mFID* value reduces with an increasing number of shared layers).

To measure the distance between two models we use weight fluctuation (WF), defined for two models with parameters  $\theta_1$  and  $\theta_2$  as  $WF = (\theta_1 - \theta_2)^T \text{FM}_{\theta_1} (\theta_1 - \theta_2)$  where  $\text{FM}_{\theta_1}$  is the Fisher matrix [32]. This distance takes into account the importance of the weights to the loss computation. As shown in Figure 2, using the *auxiliary generator* leads to larger weight changes in the deep layers than

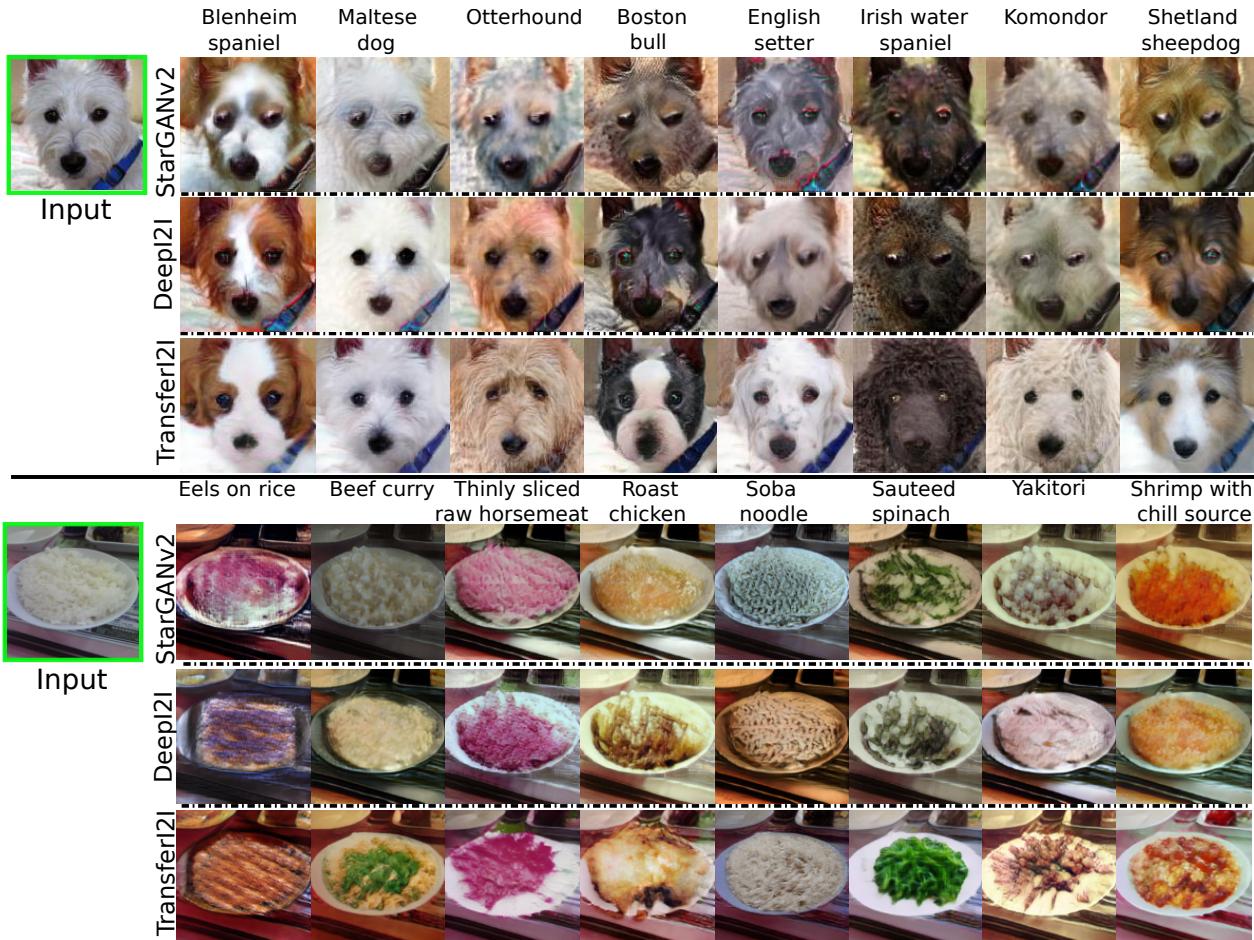


Figure 4. Qualitative comparison on the *Animal faces* and *Foods*. The input images are in the first column and the remaining columns show the class-specific translated images.

Method \ Datasets	Animal faces (10/per class)				Birds (78/per class)				Foods (110/per class)			
	mKID $\times 100 \downarrow$	mFID $\downarrow$	RC $\uparrow$	FC $\uparrow$	mKID $\times 100 \downarrow$	mFID $\downarrow$	RC $\uparrow$	FC $\uparrow$	mKID $\times 100 \downarrow$	mFID $\downarrow$	RC $\uparrow$	FC $\uparrow$
StarGAN	28.4	276.5	4.89	5.12	21.4	214.6	9.61	10.2	20.9	210.7	10.7	12.1
SDIT	31.4	283.6	5.51	4.64	22.7	223.5	8.90	8.71	23.7	236.2	11.9	11.8
DMIT	29.6	280.1	5.98	5.11	23.5	230.4	12.9	11.4	19.5	201.4	8.30	10.4
DRIT++	26.6	270.1	4.81	6.15	24.1	246.2	11.8	13.2	19.1	198.5	10.7	12.7
StarGANv2	11.38	131.2	12.4	14.8	10.7	152.9	25.7	21.4	6.72	142.6	34.7	22.8
TransferI2I (scratch)	41.37	356.1	3.47	1.54	30.5	301.7	3.24	5.84	26.5	278.2	5.83	4.67
DeepI2I	11.48	137.1	10.3	9.27	8.92	146.3	20.8	22.5	6.38	130.8	30.2	19.3
TransferI2I	<b>9.25</b>	<b>103.5</b>	<b>22.3</b>	<b>25.4</b>	<b>6.23</b>	<b>118.3</b>	<b>27.1</b>	<b>28.4</b>	<b>3.62</b>	<b>107.8</b>	<b>43.2</b>	<b>24.8</b>

Table 4. Comparison with baselines. TransferI2I obtains superior results on three datasets. We still obtain satisfactory advantage on both the bird and the food dataset, even they have more samples.

not using it, clearly demonstrating improved utilization and a beneficial effect in the overall system performance. The drastic change (i.e., *RB\_0* (*w Aug.*) vs. *RB\_0* (*w/o Aug.*)) appears in the first ResBlock of the generator, which means we are able to learn the semantic information. Moving towards the upper layers, the gap of the corresponding layers of the two generators (*w* and *w/o* the *auxiliary generator*) becomes smaller. The most likely reason is that the upper layers (influencing the structural information) use more information from the skip connections.

**Interpolation.** Figure 3 reports interpolation by freezing the input images while interpolating the class embedding

between two classes. Our model still manages to generate high quality images even for never seen class embeddings. On the contrary, StarGANv2 with limited data shows unsatisfactory performance.

**Quantitative results.** As reported in Table 4, we compare the proposed method with the baselines on the *Animal faces* [39], *Birds* [53] and *Foods* [29] datasets. Our approach outperforms all baselines in terms of mFID/mKID (joint quality and diversity) and RC/FC (the ability to generate class-specific images). We obtain a drop in mFID of around 30 points for both *Animal faces* and *Birds*, and 23 points on *Foods*. This indicates an advantage of the pro-

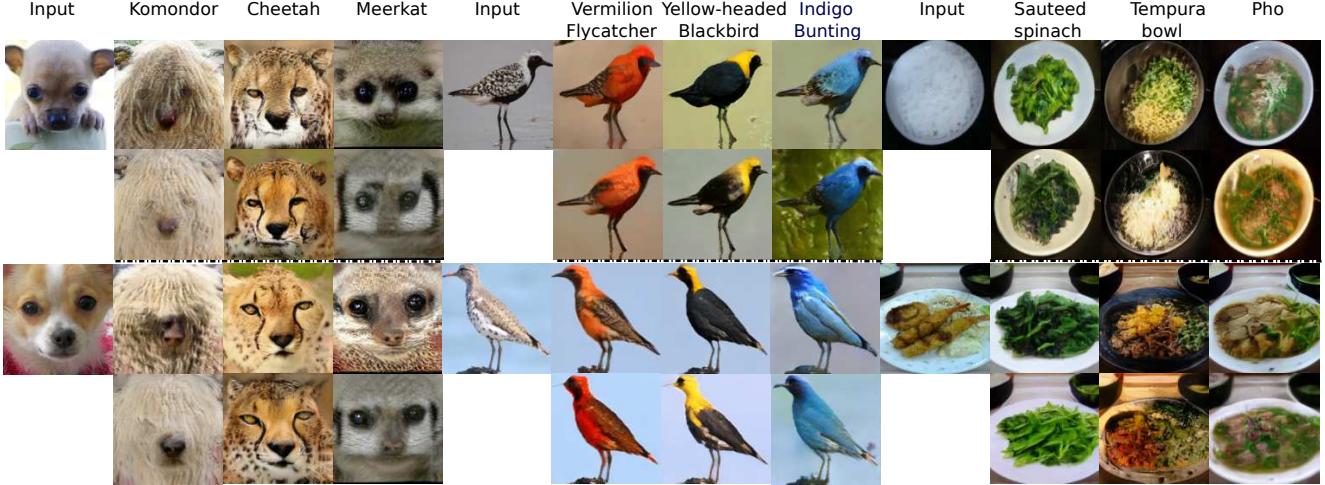


Figure 5. Qualitative results of TransferI2I. The input image is in the first column and the class-specific outputs are in the remaining columns. For each specific target class, we show two images.

Method \ Dataset	(cat,dog):(100,100)		(cat,dog):(771,1264)	
	dog $\rightarrow$ cat	cat $\rightarrow$ dog	dog $\rightarrow$ cat	cat $\rightarrow$ dog
	FID $\downarrow$	KID $\downarrow$	FID $\downarrow$	KID $\downarrow$
CycleGAN	210.7	14.33	284.6	119.32
UNIT	189.4	12.29	266.3	25.51
MUNIT	203.4	13.63	270.6	26.17
NICEGAN	104.4	6.04	156.2	10.56
UGATIT-light	133.3	6.51	206.6	15.04
CUT	197.1	12.01	265.1	25.83
StarGANv2	336.4	40.21	339.8	41.32
DeepI2I	83.71	4.26	112.4	5.67
TransferI2I	<b>55.2</b>	<b>3.97</b>	<b>83.6</b>	<b>4.59</b>

Table 5. The metric results on both *cat2dog-200* and *cat2dog-2035* datasets. Note we multiply 100 for *KID*.

posed method on small datasets (e.g., the number of images per class is 10 on *Animal faces*). The advantage is less on larger datasets (e.g. on the *Food* dataset with 110 images per class). Training the same architecture from scratch (*Transfer(scratch)*) obtains inferior results. Both StarGANv2 and DeepI2I exhibit similar performance, albeit inferior to TransferI2I on all metrics. Apart from the improvement on mFID, also the classification scores RC and FC of TransferI2I show that both quality (RC/FC) and diversity (FC) are improved.

**Qualitative results.** Figure 4 shows the comparison to baselines on *Animal faces* and *Foods* dataset. Although both StarGANv2 and DeepI2I are able to perform multi-class I2I translation to each class, they fail to generate highly realistic images. Taking *Animal faces* as an example, given the target class label our method is able to provide high visual quality images. The qualitative results of the *Foods* dataset also confirm our conclusion: the images of TransferI2I are in general of higher quality than those of the baselines. We further validate whether our method has both scalability and diversity in a single model. As shown in Figure 5, given the target class label (e.g., *Komondor*) our method successfully synthesizes diverse images by varying the noise  $z$  (i.e.,



Figure 6. Examples of generated outputs on *cat2dog-200* dataset.

the second column of the figure). The results show that by changing the target class label (i.e., scalability) the generator produces the corresponding target-specific output.

### 4.3. Two-class I2I translation

To evaluate the generality of our method, here we validate the proposed algorithm for two-class I2I translation on a two-category dataset: cats and dogs. We use the pre-trained StyleGAN to initialize our model (see Figure 1 (a)). Figure 6 shows the generated image of both the baselines and the proposed method on *cat2dog-200* dataset. We can easily observe that the baselines fail to synthesize realistic image, although they learn the style information of the target domain. We can see that TransferI2I generates more realistic target images. For quantitative evaluation, we report the results in terms of FID and KID. As shown in Table 5, TransferI2I obtains the best score on the small *cat2dog-200* dataset, improving FID by around 30 points with respect to DeepI2I. This clearly demonstrates that our method successfully conducts I2I translation when given limited data. On the much larger *cat2dog-2035* dataset, where transfer learning is less crucial, we obtain comparable performance to StarGANv2 but significantly outperform DeepI2I (which uses a similar architecture).

## 5. Conclusions

We have proposed an approach to benefit from transfer learning for image-to-image methods. We decoupled our learning process into an image generation and I2I translation step. The first step, including the *source-target initialization* and *self-initialization* of the adaptor, aims to learn a better initialization for the I2I translation (the second step). Furthermore, we introduce an *auxiliary generator* to overcome the inefficient usage of the deep layers of the generator. Our experiments confirmed that the proposed transfer learning method can lead to state-of-the-art results even when training with very few labelled samples, outperforming recent methods like deepI2I and starGANv2.

## Acknowledgements

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## A. Multi-class I2I translation

Here we introduce how to perform unpaired multi-class I2I translation. We consider two domains: source domain  $\mathcal{X}_1 \subset \mathbb{R}^{H \times W \times 3}$  and target domain  $\mathcal{X}_2 \subset \mathbb{R}^{H \times W \times 3}$  (it can trivially be extended to multiple classes). In this work, given limited training samples from both source and target domains, we aim to map a source image  $\mathbf{x}_1 \in \mathcal{X}_1$  into a target sample  $\mathbf{x}_{1 \rightarrow 2} \in \mathcal{X}_2$  conditioned on the target domain label  $\mathbf{c} \in \{1, \dots, C\}$  and a random noise vector  $\mathbf{z} \in \mathbb{R}^Z$ . Let image  $\mathbf{x} \in \mathcal{X}_1 \cup \mathcal{X}_2$  is sampled from dataset.

As illustrated Figure 7, our framework is composed of three stages: *source-target initialization* (Figure 7(a)) aiming to obtain a satisfactory domain-specific GAN, which can then be used for I2I translation; *self-initialization of adaptor layer* (Figure 7(b)) which reduces the risk of overfitting of the adaptor layers when trained on limited data; and *transfer learning for I2I translation* (Figure 7(c)) which finetunes all networks, each of which is initialized according to the previous steps, on the few available source and target images.

**Source-target initialization.** we expect to study a excellent generative model utilizing the limited training data. Different to the model for two-class I2I translation, in this stage we train one generator and one discriminator on all images instead of class-specific generator and class-specific discriminator. The training objective is as following:

$$\begin{aligned} \mathcal{L}_{GAN} = & \mathbb{E}_{\mathbf{x} \sim \mathcal{X}_1 \cup \mathcal{X}_2} [\log D(\mathbf{x}, c)] \\ & + \mathbb{E}_{\mathbf{z} \sim p(\mathbf{z}), \mathbf{c} \sim p(\mathbf{c})} [\log (1 - D(G(\mathbf{z}, c), c))] , \end{aligned} \quad (7)$$

where  $\mathbf{p}(\mathbf{z})$  follows the normal distribution, and  $\mathbf{p}(\mathbf{c})$  is the domain label distribution. Here the generative model is used to provide a better initialization for the I2I translation.

**Self-initialization of adaptor layer.** We expect to overcome the overfitting of the adaptor layers, as well as aligning the distribution of both the pretrained generator and the pretrained discriminator. As introduced in Section 3.1, we propose the *self-initialization* procedure, which leverages the previous pretrained model (Figure 7 (a)) to achieve this goal. Especially, both the noise  $\mathbf{z}$  and the class embedding  $\mathbf{c}$  are taken as input for the generator  $G$ , from which we extract the hierarchical representation  $F_g(\mathbf{z}, \mathbf{c}) = \{G(\mathbf{z}, \mathbf{c})_l\}$  as well as the synthesized image  $G(\mathbf{z}, \mathbf{c})$ . Here  $G(\mathbf{z}, \mathbf{c})_l$  is the  $l_{th}$  ( $l = m, \dots, n, (n > m)$ ) ResBlock <sup>3</sup> output of the generator  $G$ . We then take the generated image  $G(\mathbf{z}, \mathbf{c})$  as input for the discriminator  $D$ , and similarly collect the hierarchical feature  $F_d(\mathbf{z}) = \{D(G(\mathbf{z}, \mathbf{c}))_l\}$ . The adaptor network  $A$  finally takes the output representation  $\{D(G(\mathbf{z}, \mathbf{c}))_l\}$  as input, that is  $A(F_d(\mathbf{z})) = \{A\}$ . In this step, our loss is:

$$\mathcal{L}_{ali} = \sum_l \|F_g(\mathbf{z}) - A(D(G(\mathbf{z}, \mathbf{c})))\|_1 . \quad (8)$$

**Transfer Learning for I2I translation.** Figure 7(c) shows how to map the image from the source domain to target domain. In this stage, we propose an *auxiliary generator*  $G'$  which aims to improve the usage of the deep layers of the generator, largely due to the skip connections. It is relatively easy for the generator to use the information from the high-resolution skip connections (connecting to the upper layers of the generator), and ignore the deep layers of the generator, which require a more semantic understanding of the data, thus more difficult to train.

Our loss function for I2I translation is a multi-task objective comprising: (a) *conditional adversarial loss* which not only classifies the real image and the generated image, but encourages the networks  $\{E, A, G\}$  to generate class-specific images which correspondent to label  $\mathbf{c}$ . (b) *reconstruction loss* guarantees that both the input image  $\mathbf{x}_1$  and the synthesized image  $\mathbf{x}_{1 \rightarrow 2} = G(\mathbf{z}, \mathbf{c}, A(E(\mathbf{x}_1)))$  keep the similar structural information.

**Conditional adversarial loss.** We employ GAN [18] to optimize this problem as follows:

$$\begin{aligned} \mathcal{L}_{GAN} = & \mathbb{E}_{\mathbf{x}_2 \sim \mathcal{X}_2, \mathbf{c} \sim p(\mathbf{c})} [\log D(\mathbf{x}_2, \mathbf{c})] \\ & + \mathbb{E}_{\mathbf{x}_1 \sim \mathcal{X}_1, \mathbf{z} \sim p(\mathbf{z}), \mathbf{c} \sim p(\mathbf{c})} [\log (1 - D(G(A(E(\mathbf{x}_1)), \mathbf{z}, \mathbf{c})))] \\ & + \lambda_{aux} \mathbb{E}_{\mathbf{z} \sim p(\mathbf{z}), \mathbf{c} \sim p(\mathbf{c})} [\log (1 - D(G'(\mathbf{z}, \mathbf{c})))], \end{aligned} \quad (9)$$

The hyper-parameter  $\lambda_{aux}$  balances the importance of each terms. We set  $\lambda_{aux} = 0.01$ .

<sup>3</sup>After each ResBlock the feature resolution is half of the previous one in both encoder and discriminator, and two times in generator

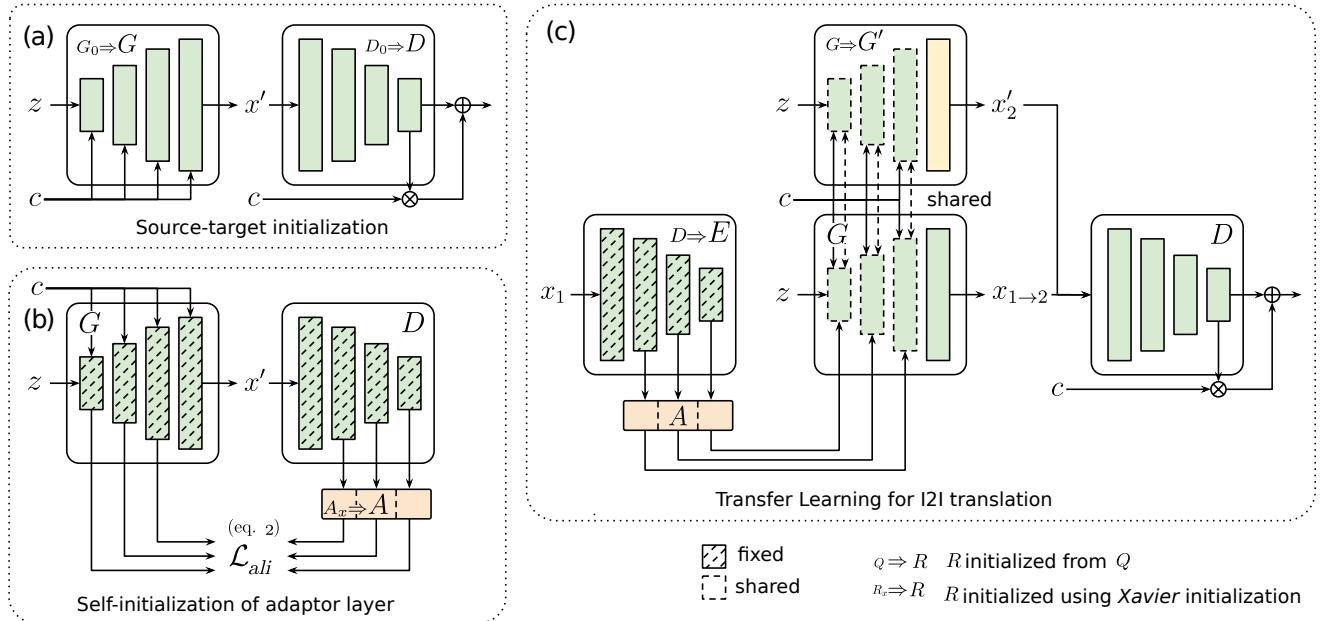


Figure 7. Conditional model architecture and training stages. Here modules come from the immediate previous stage unless otherwise indicated. A pretrained GAN (e.g., BigGAN [9]) is used as  $G_0$  and  $D_0$  to initialize the GAN. (a) *Source-target initialization* performs finetuning on all data to form a trained GAN model (i.e., the generator  $G$  and the discriminator  $D$ ). (b) *Self-initialization* of adaptor layer to pretrain the adaptor  $A$  and align both the generator  $G$  and the discriminator  $D$ . We only update the adaptor layers  $A$ . (c) The I2I translation model is composed of five main parts: the encoder  $E$ , the adaptor layer  $A$ , the generator  $G$ , the auxiliary generator  $G'$  and the discriminator  $D$ . Note the encoder  $E$  is initialized by the discriminator  $D$ . The portion of weights from  $G'$  that is not shared (in yellow), is initialized with  $G$  weights.

**Reconstruction loss.** We use reconstruction to preserve the structure of both the input image  $x_1$  and the output image  $x_{1 \rightarrow 2}$ . In the same fashion as results for photo-realistic image generation [25, 26, 51], we use the discriminator output to achieve this goal through the following loss:

$$\mathcal{L}_{rec} = \sum_l \alpha_l \|D(x_1) - D(x_{1 \rightarrow 2})\|_1, \quad (10)$$

where parameters  $\alpha_l$  are scalars which balance the terms. Note we set  $\alpha_l = 1$ .

**Full Objective.** The full objective function of our model is:

$$\min_{E, A, G, G'} \max_D \mathcal{L}_{GAN} + \lambda_{rec} \mathcal{L}_{rec} \quad (11)$$

where  $\lambda_{rec}$  is a hyper-parameter that balances the importance of each terms. We set  $\lambda_{rec} = 1$ .

## B. Adaptor

We use the adaptor  $A$  to connect the encoder  $E$  and the generator  $G$ , aiming to leverage both the structure and semantic information. We sum the output of the adaptor with the corresponding one of the generator, which is as following:

$$\hat{G}_l = G_l(x_1, \mathbf{z}, \mathbf{c}) + w_l A_l(E_l(x_1)) \quad (12)$$

where  $G_l$  is the output of the corresponding layer which has same resolution to  $A_l$ . The hyper-parameters  $w_l$  are used to balance the two terms (in this work we set  $w_l$  is 1 except for the feature (32\*32 size) which is 0.1 ). Note for two-class I2I translation, we perform similar procedure.

## C. Ablation study

We further qualitatively compare the generated images after *source and target initialization* on two-class I2I translation. The second column of Figure 8 shows the synthesized images after *source and target initialization*. We can see that the produced images are highly realistic and category-specific, indicating the effectiveness of this step. Next, we want to verify whether the *self-initialization* of the adaptor successfully aligns encoder and generator. Therefore, we take the noise as input for the generator, and obtain an image, which is further fed into the discriminator and then through the adaptor layer. The adaptor layer output is then used as the only input of the generator (now no noise input  $z$  is given). The results are provided in the third to last columns of Figure 8. The generator still produces high fidelity images when only inputting the output features from the adaptor. These results demonstrate that the distribution of the adaptor is aligned to the generator before performing the transfer learning for I2I translation (Figure 7(c)).

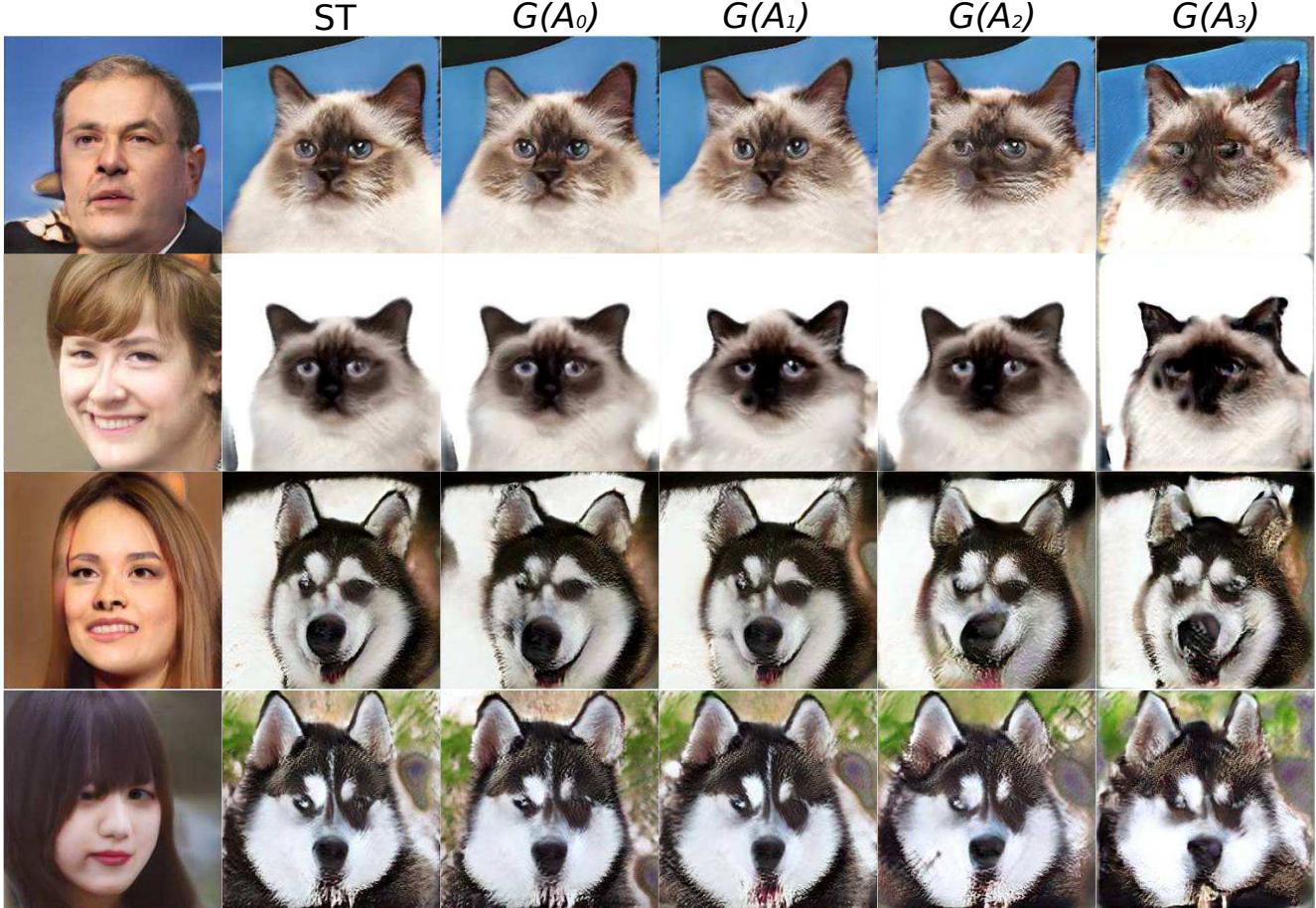


Figure 8. Examples generated by both *source and target initialization* and *self-initialization* of the adaptor on *cat2dog* dataset. The first two columns are the output of the StyleGAN and the generator after the *source and target initialization* respectively. The remaining columns ( $G(A_i)$  ( $i = 0, 1, 2, 3$ )) are the corresponding output of the generator  $G$  which only takes the corresponding output of the adaptor  $A_i$  ( $i = 0, 1, 2, 3$ ).



Figure 9. Interpolation by keeping the input image fixed while interpolating between two class embeddings. The first column shows the input images, while the remaining columns are the interpolated results. The interpolation results from *pug* to *mongoose*.

## D. Results

We provide additional results for the interpolation in Figure 9. We evaluate the proposed method on both *cat2lion* and *lion2cat* datasets, which has 100 images for each category. The qualitative results are shown in Figure 10.

We also show results translating an input image into all category on the *Animal faces*, *Foods*, and *Birds* in Figure 11,

and 13.

## E. T-SNE

We explore the latent space of the generated images. Given the target class  $c$  (e.g., *Rhodesian ridgeback*), we take different noises  $\mathbf{z}$  and the constant  $c$  as input for the networks  $\{E, A, G\}$ , and generate 1280 images. Thus we

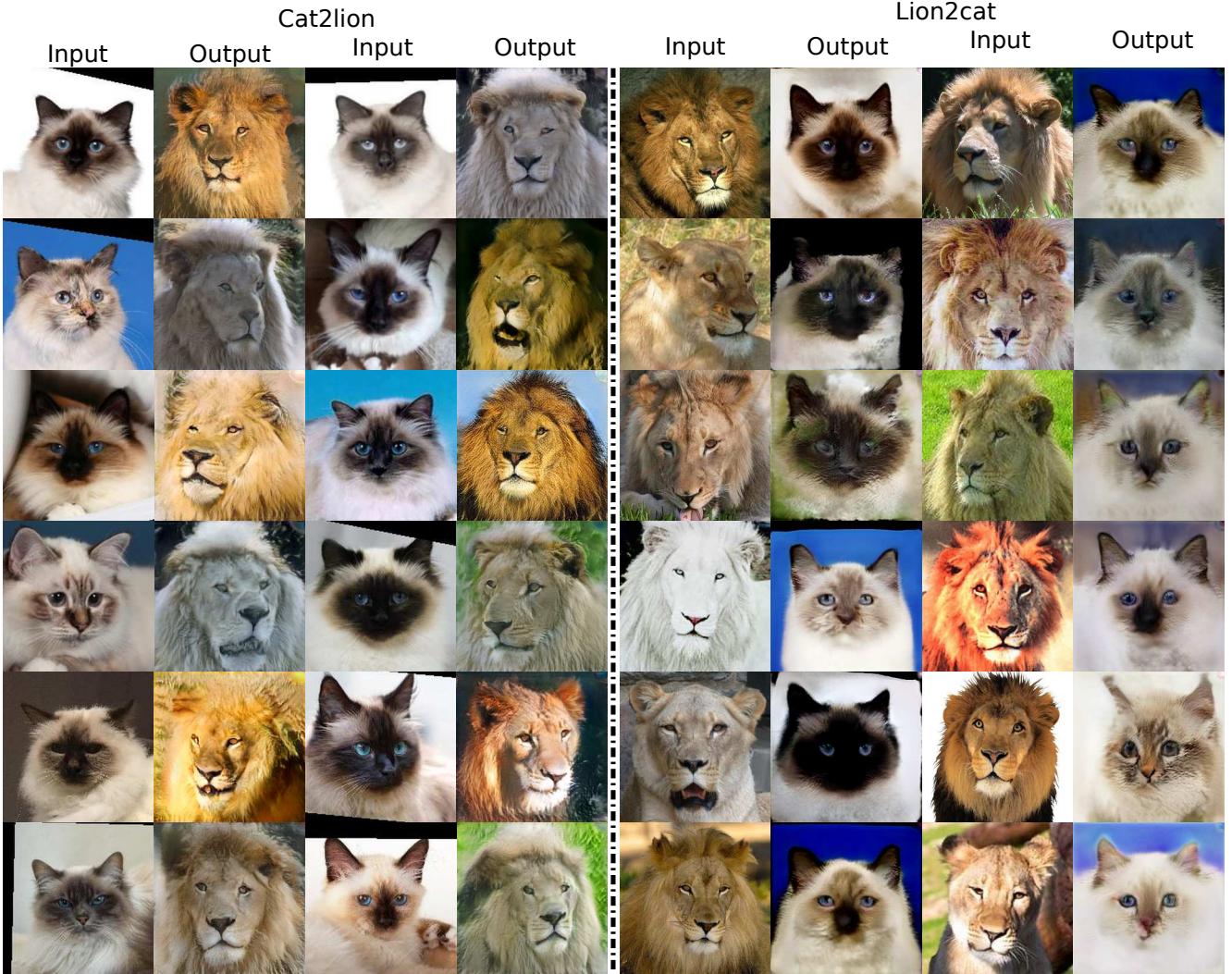


Figure 10. Qualitative results on both *cat2lion* and *lion2cat* dataset.

use Principle Component Analysis (PCA) [8] to extracted feature, following the T-SNE [40] to visualize the generated images in a two dimensional space. As shown in Figure 14, given the target class (Rhodesian ridgeback), TransferI2I correctly disentangles the pose information of the input classes. The T-SNE plot shows that input animals having similar pose are localized close to each other in the T-SNE plot. Furthermore, it shows TransferI2I has the ability of diversity. We also conduct T-SNE for 14,900 generated images across 149 categories (Figure 15).

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Input

Figure 11. Qualitative results on the *Animal faces* dataset. We translate the input image (bottom right) into all 149 categories. Please zoom-in for details.

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Figure 12. Qualitative results on the *Foods* dataset. We translate the input image (bottom right) into all 256 categories. Please zoom-in for details.

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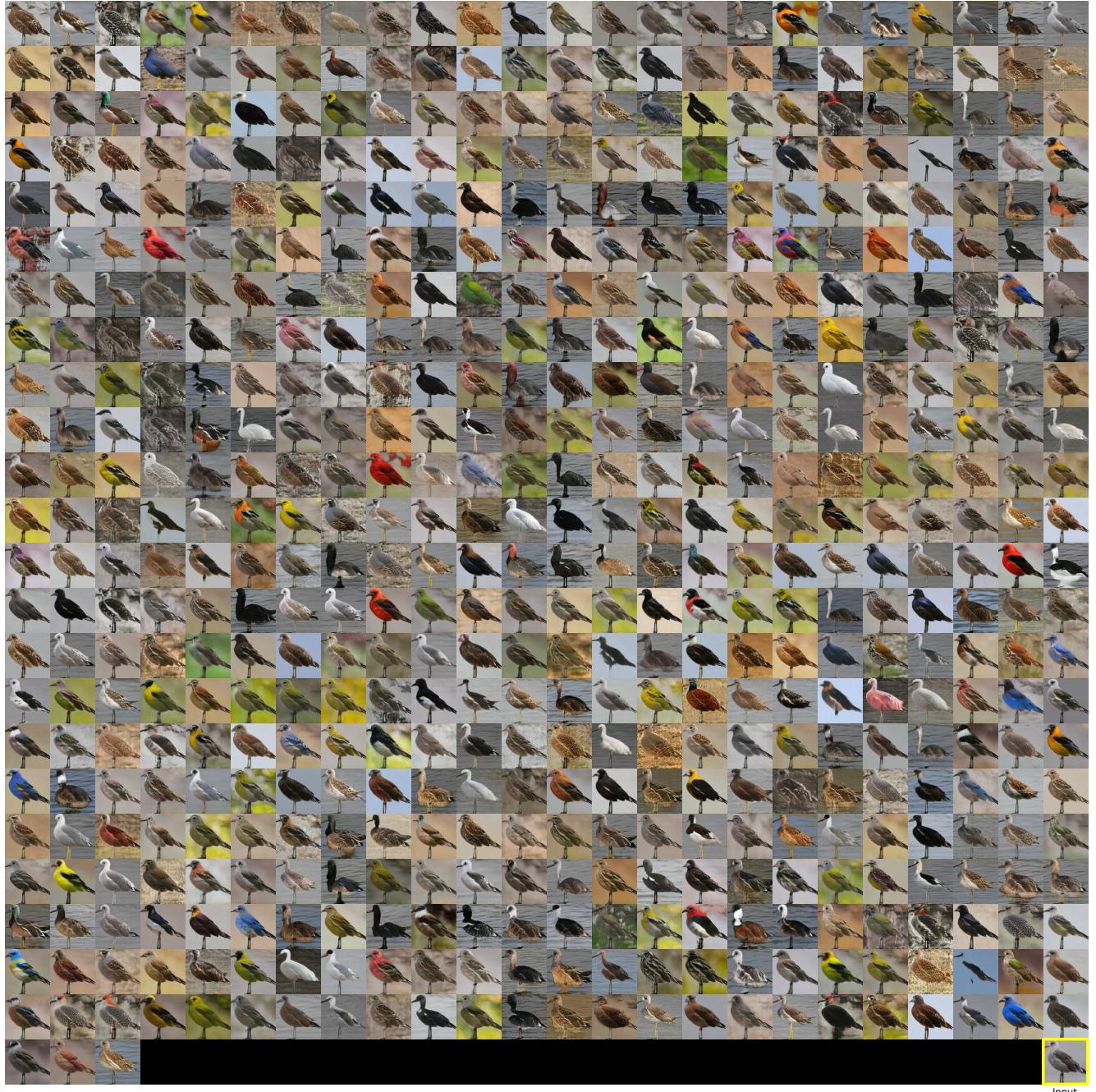


Figure 13. Qualitative results on the *Birds* dataset. We translate the input image (bottom right) into all 555 categories. Please zoom-in for details.

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Figure 14. 2-D representation of the T-SNE for 1280 generated images, the target class is *Rhodesian ridgeback*. Note that for each pair image, the left is the input and the right is the output image. Please zoom-in for details.

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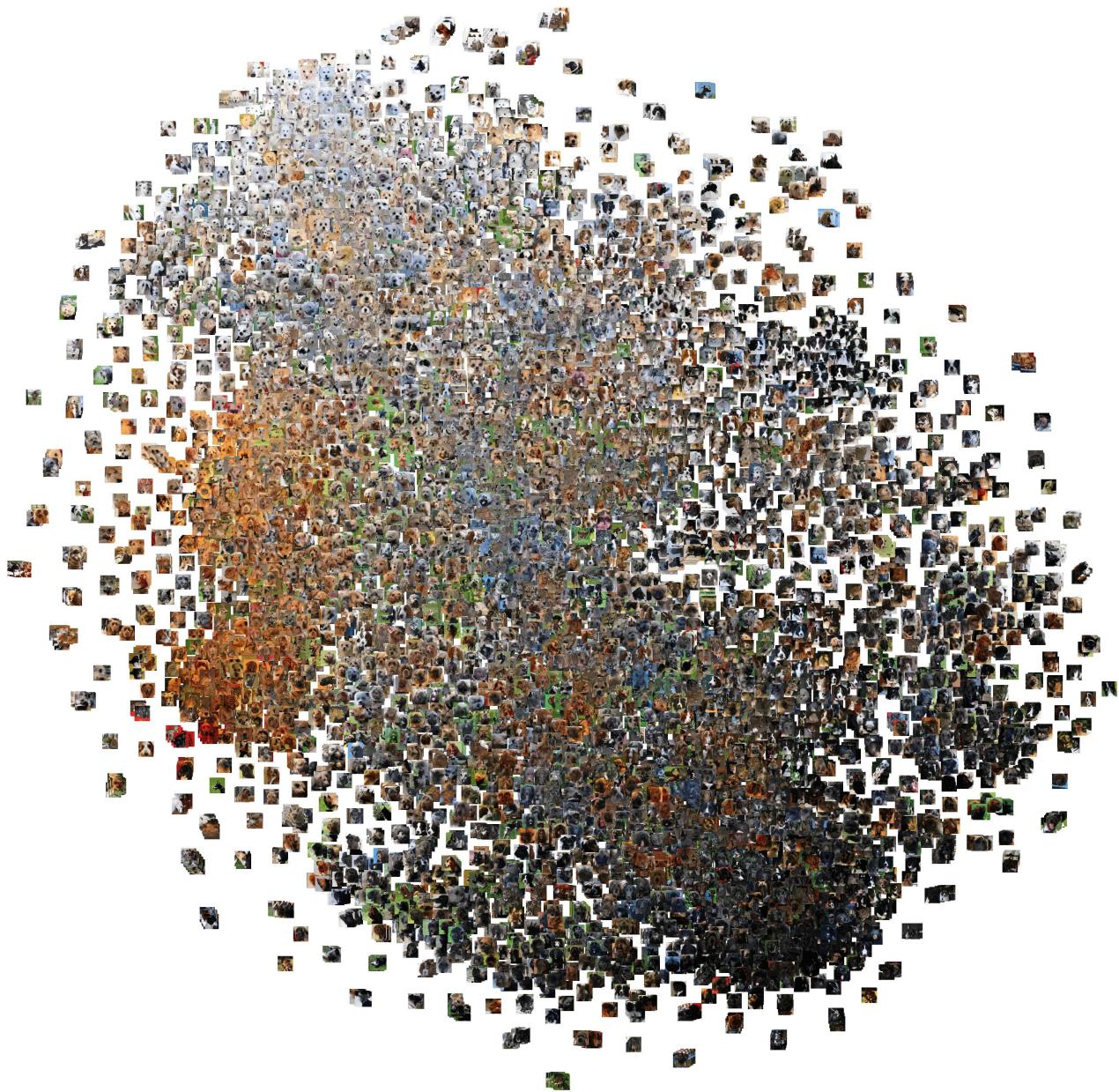


Figure 15. 2-D representation of the T-SNE for 14900 generated images across 149 classes. Please zoom-in for details.

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