

Big Question:

How do the factors of vaccination and death affect a disease?

We are asking this question to gain a better understanding of the SIRVD model. Our question is explanatory because we aren't looking for a specific numerical answer. We aim to interpret broad trends in our simulations. Seeing the direct effects of these variables can inform disease response. Additionally, it would be interesting to use our model to compare how diseases end. For example, we could explore the differences between a high-mortality-rate disease, such as the 1918 Spanish Flu, and recently vaccinated diseases. To build our models, we need to understand the math behind the SIRVD and SIRS models. In our research, we looked for proper implementations of the SIRVD model.

Research Matrix

Sources -> Themes v	Modeling the Spread of COVID-19 Using Nonautonomous Dynamical System with Simplex Algorithm-Based Optimization for Time-Varying Parameters <i>Kevin Yotongyos, Somchai Sriyab</i>	Mathematics of Epidemics: On the General Solution of SIRVD, SIRV, SIRD, and SIR Compartment Models <i>Reinhard Schlickeiser, Martin Kröger</i>	Deep learning infused SIRVD model for COVID-19 prediction: XGBoost-SIRVD-LSTM approach <i>Hisham Alkhalefah, D. Preethi, Neelu Khare, Mustfa Haider Abidi</i>
Overall focus	Using the SIRVD model to represent COVID-19	Math behind the SIR models	Tuning variable rates using DL
Modeling	<ul style="list-style-type: none">- The flow parameters for R and D should add to 1- p is probability of death- sigma is vaccination rate	<ul style="list-style-type: none">- The model rates can be described as the ratios: $\gamma(\text{recovery})/\sigma$ and $\gamma(\text{death})/\beta$	-Variable rate models can achieve R^2 values of 0.99 when compared to validation data
Synergies	Establishes the rate parameters used by the other papers.	Builds on the Yotongyos and Sriyab paper by describing the relationship between the rate parameters.	This paper is similar to the Yotongyos and Sriyab paper in that they are varying the parameters with respect to time

Methodology:

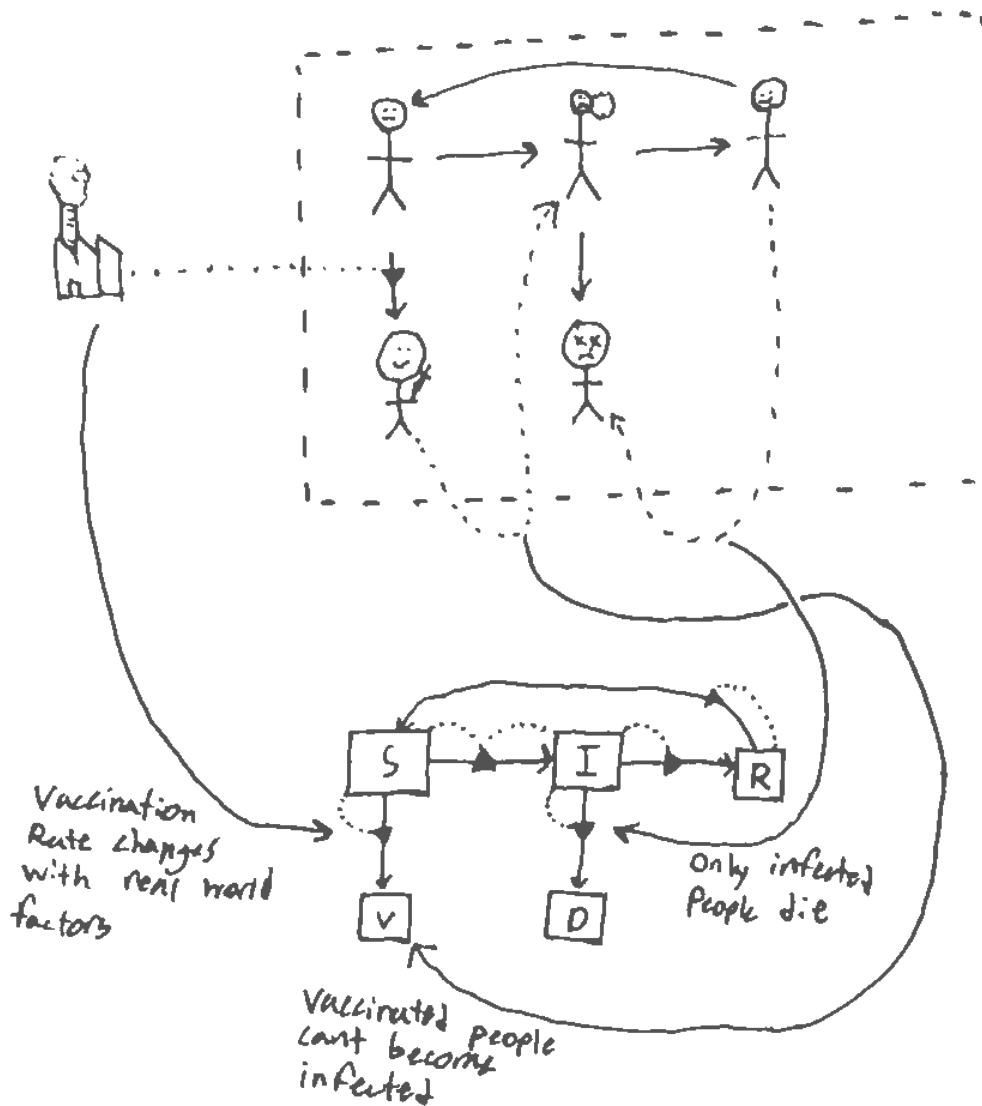
The plan is as follows:

1. Implement the SIRS model and the SIRVD model

2. Perform parameter sweeps over vaccination rate and mortality rate
3. Compare and interpret the data to see the effects of vaccination and death

Model:

We will implement the SIRVD model and adapt it as the SIRS model. We have drawn a tenchi diagram that shows the boundary of the natural world:



- S = Susceptible
- I = Infected
- R = Recovered
- V = Vaccinated
- D = Dead

With these stocks, we can establish the step functions.

$$S_{n+1} = S_n + \alpha R - \beta SI - \sigma S$$

$$V_{n+1} = V_n + \sigma S$$

$$I_{n+1} = I_n + \beta SI - \gamma I$$

$$D_n = D_n + p\gamma I$$

$$R_n = R_n + (1 - p)\gamma I - \alpha R$$

Where:

α = resuseptible rate

β = infection rate

γ = rate of people exiting the infected state

p = mortality rate

σ = vaccination rate

As noted in the diagram, our model is subject to certain limitations and assumptions:

- Only infected people die. In the real world, all groups have an additional minuscule chance of dying at all times. The parameters typically used for this chance are extremely small ($<2 \times 10^{-5}$), and given that this parameter will not be a factor in either the SIRVD or SIRS model, it can safely be disregarded.
- Vaccinated people can't become infected. In reality, vaccine effectiveness can differ between people and wear off. While this may make our models less useful for looking at raw number statistics, the effect that vaccines have will remain the same in principle.
- Vaccine rate only changes based on the number of susceptible people. Many external factors in the real world contribute to vaccination rates. These include funding for programs, development time, and manufacturability. While this could be useful information, it's not necessary to see the effects of vaccination in general.

Implementation of the SIRS model

First, let's look at the SIRS model. This model is primarily used for modeling infectious diseases. The name SIRS comes from the stocks used in the model. These are people who are **S**usceptible, **I**nfectious, **R**ecovered, and **S**usceptible (again). The reason susceptible appears twice is to account for recovered individuals becoming re-susceptible. We needed to decide on parameters such as infection rate, recovery rate, etc., and starting

conditions. Since we don't need to do validation, we can use the values from previous models that used the same validation data.

```
% Configure the simulation
beta = 1 / 90;           % Infection rate
gamma = 1 / 2;           % Recovery rate
alpha = 1/60;            % Resusceptible Rate
week_end = 100;          % Weeks to simulate
i_1 = 2;                 % Initial count of infected persons
s_1 = 100 - i_1;         % Initial count of susceptible persons
r_1 = 0;                 % Initial count of recovered persons
```

Next, we can establish the step function for the SIRS model.

```
function [s_n, i_n, r_n] = action_sirs(s, i, r, beta, gamma, alpha)
% Advance an SIRS model one timestep

% compute new infections and recoveries
infected = beta * i * s;
recovered = gamma * i;
resusceptible = alpha * r;

infected = min(infected, s);
recovered = min(recovered, i);
resusceptible = min(resusceptible, r);

% Update state
s_n = s - infected + resusceptible;
i_n = i + infected - recovered;
r_n = r + recovered - resusceptible;

% Enforce invariants; necessary since we're doing a discrete approx.
s_n = max(s_n, 0);
i_n = max(i_n, 0);
r_n = max(r_n, 0);

end
```

```
% Run simulation
[S_sirs, I_sirs, R_sirs, W_sirs] = simulate_sirs(s_1, i_1, r_1, beta, gamma, alpha,
week_end);
```

The next step in verification is checking that the model represents what we would expect from the real world.

```
% Assert that the total never exceeds 100 people
T_sirs = S_sirs + I_sirs + R_sirs;
```

```

assert(all(T_sirs-100 < 1e-10))

% Assert that no stock goes below zero
assert(all([S_sirs(:); I_sirs(:); R_sirs(:)] >= 0))

% Assert that no stock goes above the population size
assert(all([S_sirs(:); I_sirs(:); R_sirs(:)] <= 100))

```

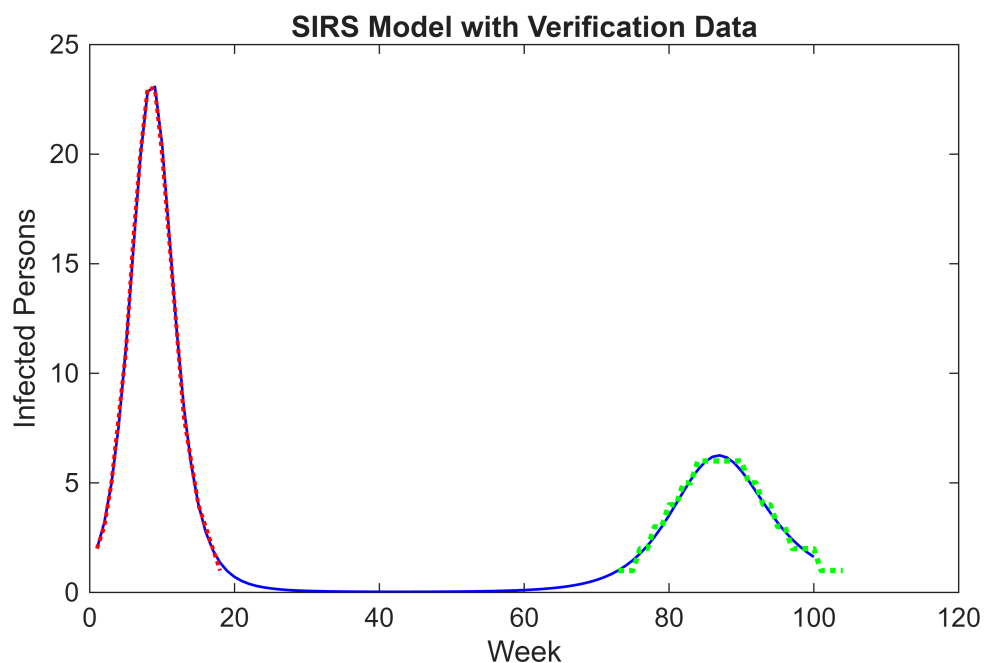
This model can be overlaid with a dataset to show its accuracy anecdotally:

```

% Load the training + validation data
tab_data_validate = readtable("wave1.csv", "Delimiter", ",");
tab_data_wave2 = readtable("wave2.csv", "Delimiter", ",");

% Plot the model + data
title("SIRS Model with Verification Data")
plot(W_sirs, I_sirs, 'b-', 'LineWidth', 1, 'DisplayName', 'Simulated'); hold on
plot(tab_data_validate.Week, tab_data_validate.InfectedCount, 'r:', 'LineWidth',
1.5, 'DisplayName', 'Wave 1')
plot(tab_data_wave2.Week, tab_data_wave2.InfectedCount, 'g:', 'LineWidth', 2.0,
'DisplayName', 'Wave 2')
xlabel("Week")
ylabel("Infected Persons")
title("SIRS Model with Verification Data")

```



Notably, this model can show a second wave of infections due to recovered individuals becoming re-susceptible.

Implementation of the SIRVD model

Now for the SIRVD model. Similar to the SIRS model, the SIRVD model takes into account people becoming re-susceptible. The main difference is the additional variables for **V**accinations and **D**eaths. By adding these stocks in we can see more complex behavior

This is the code for one step of the SIRVD model:

```
function [s_n, i_n, r_n, v_n, d_n] = action_sirvd(s, i, r, v, d, beta, gamma,
alpha, sigma, p)
% Advance an SIRVD model one timestep

% compute new infections and recoveries
infected = beta * i * s;
resusceptible = alpha * r;
vaccinated = sigma * s;
recovered = (1-p) * gamma * i;
dead = p * gamma * i;

infected = min(infected, s);
recovered = min(recovered, i);
resusceptible = min(resusceptible, r);

% Update state
s_n = s - infected + resusceptible - vaccinated;
i_n = i + infected - recovered - dead;
r_n = r + recovered - resusceptible;
v_n = v + vaccinated;
d_n = d + dead;

% Enforce invariants; necessary since we're doing a discrete approx.
s_n = max(s_n, 0);
i_n = max(i_n, 0);
r_n = max(r_n, 0);

end
```

To verify our implementation of the SIRVD model, we tested several values for all of the rate parameters. We chose these values to stress-test the model with a "worst-case scenario". Below are some values that created a graph closer to what we expected to see created by our future parameter sweeps.

```
% Configure the simulation
beta = 1 / 90; % Infection rate (New / Susceptible / Infected / day)
gamma = 1 / 2; % Recovery rate (1 / day)
alpha = 1/60; % Resusceptible rate
sigma = 0.001; % Vaccination rate
p = 0.013; % Mortality rate
week_end = 100;
```

```
% Initial number of persons in each stock
i_1 = 2;
s_1 = 100 - i_1;
r_1 = 0;
v_1 = 0;
d_1 = 0;
```

Then we can run the verification simulation.

```
% Run the simulation
[S_sirvd, I_sirvd, R_sirvd, V_sirvd, D_sirvd, W_sirvd] = simulate_sirvd(s_1, i_1,
r_1, v_1, d_1, beta, gamma, alpha, sigma, p, week_end);
```

The next step in verification is checking that the model represents what we would expect from the real world.

```
% Add all of the stocks to check the total number of people
T_sirvd = S_sirvd + I_sirvd + R_sirvd + V_sirvd + D_sirvd;

% Assert that the total never exceeds 100 people
assert(all(T_sirvd-100 < 1e-10))

% Assert that the number of vaccinated people never goes down
assert(all(diff(V_sirvd)>=0))

% Assert that the number of deceased people never goes down
assert(all(diff(D_sirvd)>=0))

% Assert that no stock goes below zero
assert(all([S_sirvd(:); I_sirvd(:); R_sirvd(:); V_sirvd(:); D_sirvd(:)] >= 0))

% Assert that no stock goes above the population size
assert(all([S_sirvd(:); I_sirvd(:); R_sirvd(:); V_sirvd(:); D_sirvd(:)] <= 100))
```

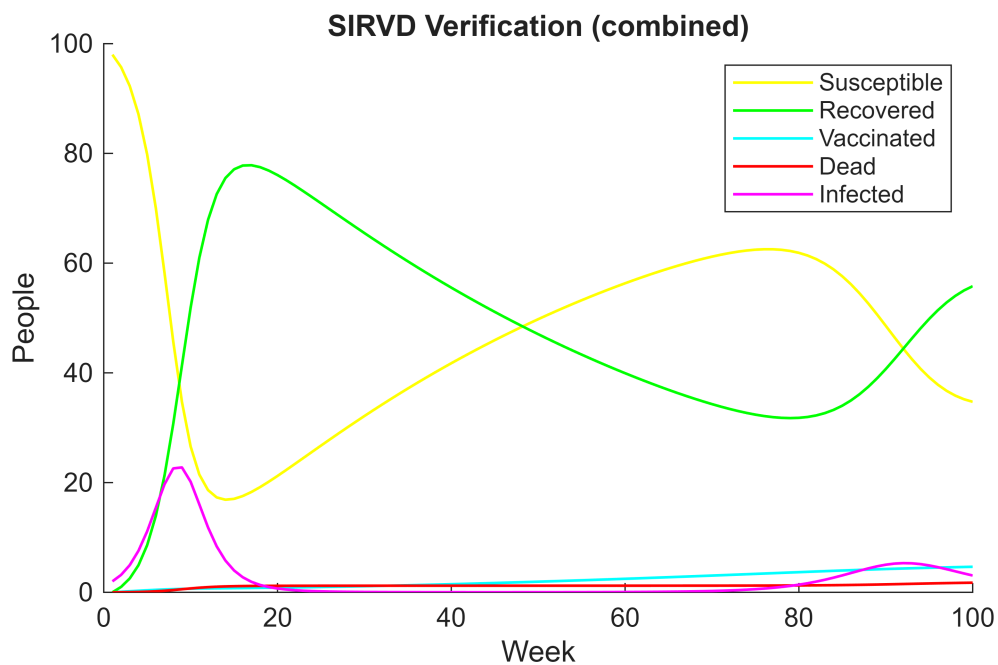
To visually see that the stocks were reasonably interacting with each other, we graphed all of the stocks on the same graph, then all of them on their own graph.

```
figure()
hold on
title("SIRVD Verification (combined)")
xlabel("Week")
ylabel("People")
plot(W_sirvd, S_sirvd, 'y-', 'LineWidth', 1, 'DisplayName', 'Susceptible');
plot(W_sirvd, R_sirvd, 'g-', 'LineWidth', 1, 'DisplayName', 'Recovered');
plot(W_sirvd, V_sirvd, 'c-', 'LineWidth', 1, 'DisplayName', 'Vaccinated');
```

```

plot(W_sirvd, D_sirvd, 'r-', 'LineWidth', 1, 'DisplayName', 'Dead');
plot(W_sirvd, I_sirvd, 'm-', 'LineWidth', 1, 'DisplayName', 'Infected');
legend()

```



```

figure()
sgtitle("SIRVD Verification (seperate)")
subplot(2, 3, 1)
plot(W_sirvd, S_sirvd, 'y-', 'LineWidth', 1, 'DisplayName', 'Simulated SIRVD');
hold on
ylim([0 100])
title('susceptible')
xlabel("Week")
ylabel("People")

subplot(2, 3, 2)
plot(W_sirvd, I_sirvd, 'm-', 'LineWidth', 1, 'DisplayName', 'Simulated SIRVD');
hold on
ylim([0 100])
title('Infected')
xlabel("Week")
ylabel("People")

subplot(2, 3, 3)
plot(W_sirvd, R_sirvd, 'g-', 'LineWidth', 1, 'DisplayName', 'Simulated SIRVD');
hold on
ylim([0 100])
title('Recovered')
xlabel("Week")

```



```

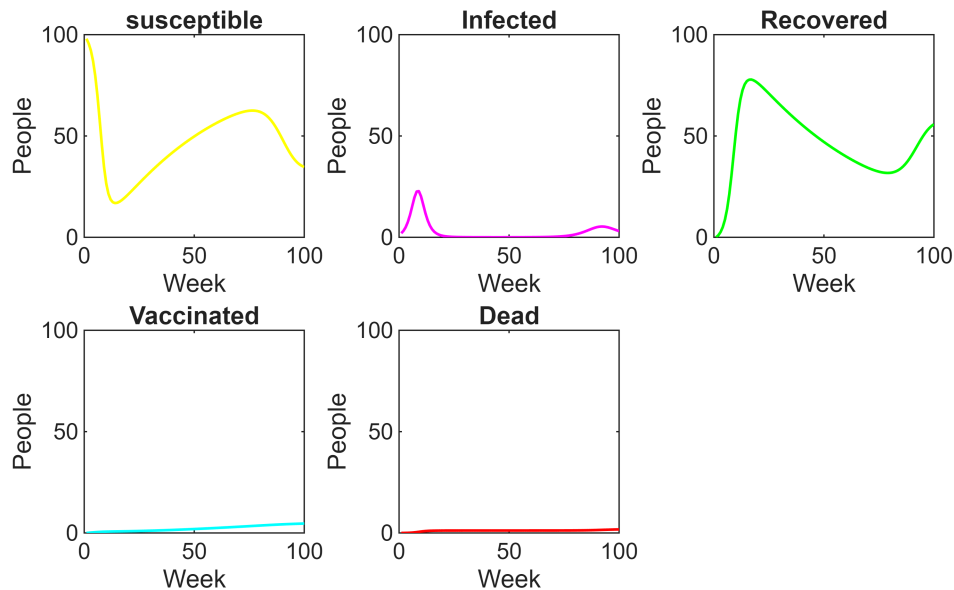
ylabel("People")

subplot(2, 3, 4)
plot(W_sirvd, V_sirvd, 'c-', 'LineWidth', 1, 'DisplayName', 'Simulated SIRVD');
hold on
ylim([0 100])
title('Vaccinated')
xlabel("Week")
ylabel("People")

subplot(2, 3, 5)
plot(W_sirvd, D_sirvd, 'r-', 'LineWidth', 1, 'DisplayName', 'Simulated SIRVD');
hold on
ylim([0 100])
title('Dead')
xlabel("Week")
ylabel("People")

```

SIRVD Verification (seperate)



Results:

We decided to sweep over both the vaccination rate and the mortality rate individually. To find the range to sweep over, we started with zero for both and swept up to a value just beyond where the second wave all but disappears. This ensures the domain of the graph captures all of the important data to answer our question, "How do the factors of vaccination and death affect a disease?"

The metric we used to record the result of the sweeps was the maximum number of people infected during wave 1 and wave 2. To find this, we used the *islocalmax* function in MATLAB.

We chose to end the simulation at week 300 instead of week 100 because an increasing mortality rate tended to shift the second wave beyond the scope of a 100-week simulation.

```

% Vaccination rates to sweep over
sigma_all = linspace(0, 1/50, 200);

% Mortality rates to sweep over
p_all = linspace(0, 0.5, 200);

% Metrics
wave1_maxes_v = zeros(1, length(sigma_all));
wave2_maxes_v = zeros(1, length(sigma_all));

wave1_maxes_d = zeros(1, length(p_all));
wave2_maxes_d = zeros(1, length(p_all));

% Configure the simulation
beta = 1 / 90; % Infection rate (New / Susceptible / Infected / day)
gamma = 1 / 2; % Recovery rate (1 / day)
alpha = 1/60; % Resusceptible Rate
sigma = 0; % Default vaccination rate
p = 0; % Default mortality Rate
week_end = 300;
i_1 = 2; % Initial count of infected persons
s_1 = 100 - i_1;
r_1 = 0;
v_1 = 0;
d_1 = 0;

function [I_max_wave1, I_max_wave2] = wave_maxes(I_sirvd, W_sirvd)
    % Isolate the two maxima
    idx = islocalmax(I_sirvd);

    W_max = zeros(1, 2);
    I_max = zeros(1, 2);

    W_max = W_sirvd(idx);
    I_max = I_sirvd(idx);

    I_max_wave1 = I_max(1);

    if numel(I_max) >= 2
        I_max_wave2 = I_max(2);
    else
        I_max_wave2 = 0;
    end
end

% Sweep over vaccination rate
for i = 1:length(sigma_all)
    sigma_temp = sigma_all(i);
    [S_sirvd, I_sirvd, R_sirvd, V_sirvd, D_sirvd, W_sirvd] = simulate_sirvd(s_1,
i_1, r_1, v_1, d_1, beta, gamma, alpha, sigma_temp, p, week_end);

```

```

[wave1_maxes_v(i), wave2_maxes_v(i)] = wave_maxes(I_sirvd, W_sirvd);
end

% Sweep over mortality rate
for i = 1:length(p_all)
    p_temp = p_all(i);
    [S_sirvd, I_sirvd, R_sirvd, V_sirvd, D_sirvd, W_sirvd] = simulate_sirvd(s_1,
i_1, r_1, v_1, d_1, beta, gamma, alpha, sigma, p_temp, week_end);
    [wave1_maxes_d(i), wave2_maxes_d(i)] = wave_maxes(I_sirvd, W_sirvd);
end

```

Result Graphs

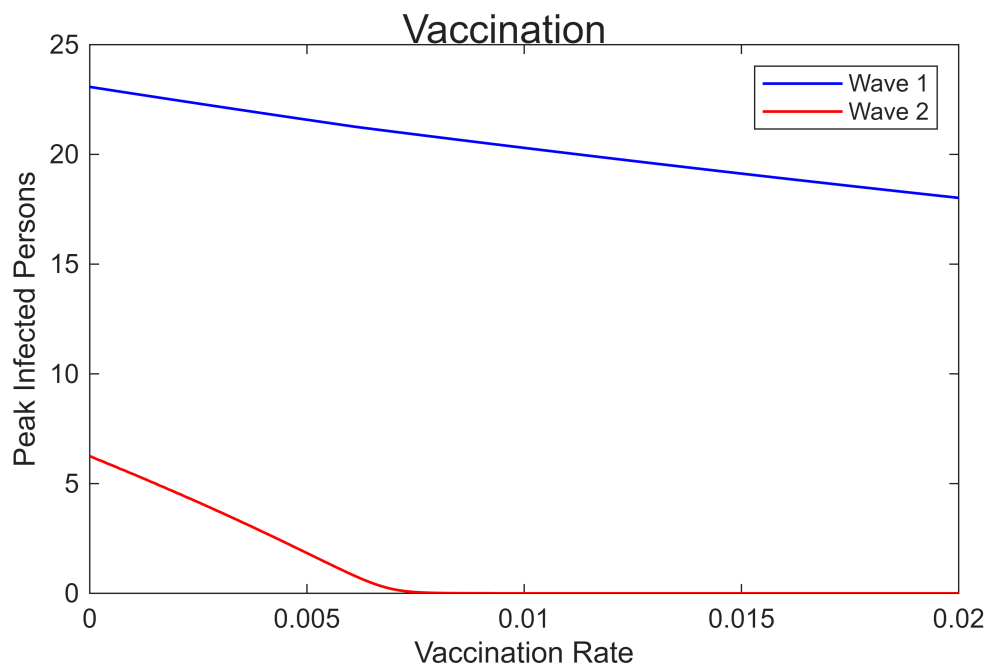
```

figure()
sgtitle("Vaccination")
plot(sigma_all, wave1_maxes_v, 'b-', 'LineWidth', 1, 'DisplayName', 'Wave 1'); hold
on
plot(sigma_all, wave2_maxes_v, 'r-', 'LineWidth', 1, 'DisplayName', 'Wave 2');
legend()

yl = ylim;
ylim([0, yl(2)])

xlabel("Vaccination Rate")
ylabel("Peak Infected Persons")

```



```

figure()
sgtitle("Death")
plot(sigma_all, wave1_maxes_d, 'b-', 'LineWidth', 1, 'DisplayName', 'Wave 1'); hold
on

```

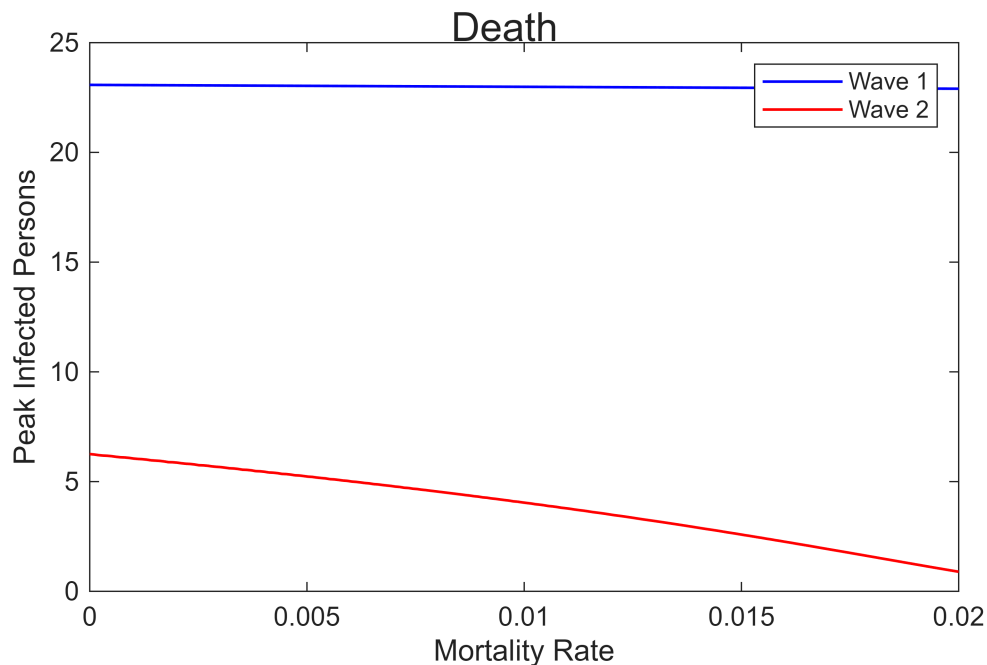
```

plot(sigma_all, wave2_maxes_d, 'r-', 'LineWidth', 1, 'DisplayName', 'Wave 2');
legend()

yl = ylim;
ylim([0, yl(2)])

xlabel("Mortality Rate")
ylabel("Peak Infected Persons")

```



Analysis

Vaccination

Our model allowed us to observe the impact of vaccination on disease spread. Greatly increasing the vaccination rate did not significantly reduce peak infections. However, even a tiny change in vaccination (0.007) was able to entirely remove the second wave and prevent further sickness.

Mortality

An increasing mortality rate did not affect wave 1 peak infections. This is because deaths only decrease the number of infections by preventing people from going from recovered back to susceptible. Therefore, the only way death could affect the first peak is if it happened over a very large period of time. However, death *did* have a large impact on the second wave. While it didn't shrink the peak infections significantly, it shifted the second wave back enormously. Even a relatively small death rate of 0.013 shifted the second wave beyond week 150. As such, we had to extend the time frame of the simulation to accommodate the late-acting second wave.

Interpretation:

The results effectively show the impacts of vaccination and mortality. For vaccinations, we found that even small vaccination rates (greater than 0.0075 in our case) were sufficient to prevent a second wave of infections. Mortality rate had a more linear impact on second-wave infections, with little to no change for first-wave infections.

Further steps that could be taken in this project include:

- Implementing a more accurate vaccination model that takes into account the variability in effectiveness.
- Validate the model with real-world data.

Implementing these changes may allow the model to answer questions about real-world diseases. It would be interesting to calculate the minimum vaccination rate to reduce the second-wave spread of actual diseases. Fortunately, it would not require a complete rewrite of the simulation. We could change the simulation's parameters.