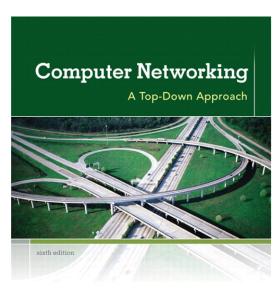
CSEE 4119 Computer Networks

Chapter 2
Application (5/5)



KUROSE ROSS

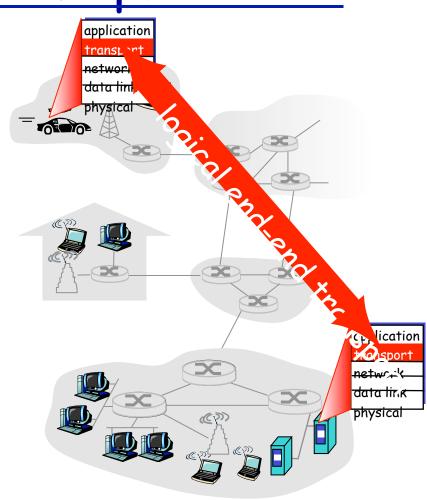
Chapter 3 outline

- 3.1 Transport-layer services
- 3.2 Multiplexing and demultiplexing
- 3.3 Connectionless transport: UDP
- 3.4 Principles of reliable data transfer

- 3.5 Connection-oriented transport: TCP
 - segment structure
 - reliable data transfer
 - flow control
 - connection management
- 3.6 Principles of congestion control
- 3.7 TCP congestion control

Transport services and protocols

- provide logical communication between app processes running on different hosts
- transport protocols run in end systems
 - send side: breaks app messages into segments, passes to network layer
 - rcv side: reassembles segments into messages, passes to app layer
- more than one transport protocol available to apps
 - Internet: TCP and UDP



Transport vs. network layer

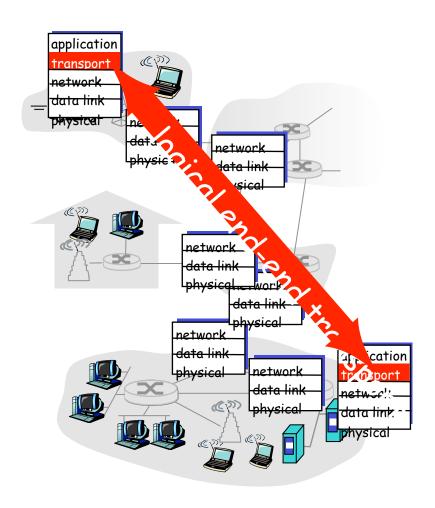
- network layer: logical communication between hosts
- transport layer: logical communication between processes
 - relies on, enhances, network layer services

Household analogy:

- 12 kids sending letters to 12 kids
- processes = kids
- app messages = lettersin envelopes
- hosts = houses
- * transport protocol = Ann and Bill who demux to in-house siblings
- network-layer protocol = postal service

Internet transport-layer protocols

- reliable, in-order delivery (TCP)
 - congestion control
 - flow control
 - connection setup
- unreliable, unordered delivery: UDP
 - no-frills extension of "best-effort" IP
- services not available:
 - delay guarantees
 - bandwidth guarantees



Chapter 3 outline

- 3.1 Transport-layer services
- 3.2 Multiplexing and demultiplexing
- 3.3 Connectionless transport: UDP
- 3.4 Principles of reliable data transfer

- 3.5 Connection-oriented transport: TCP
 - segment structure
 - reliable data transfer
 - flow control
 - connection management
- 3.6 Principles of congestion control
- 3.7 TCP congestion control

Multiplexing/demultiplexing

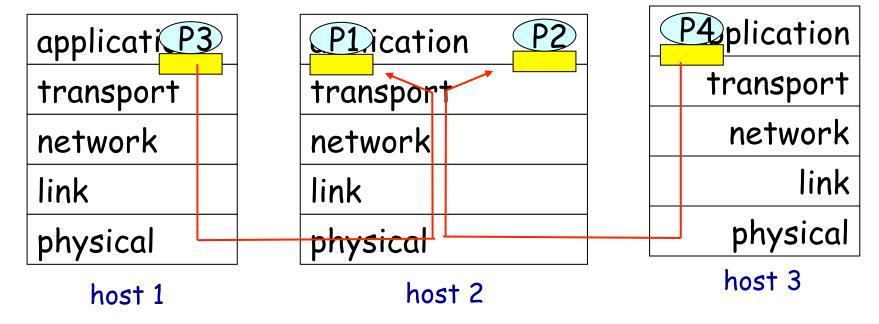
Demultiplexing at rcv host:

delivering received segments to correct socket

= socket = process

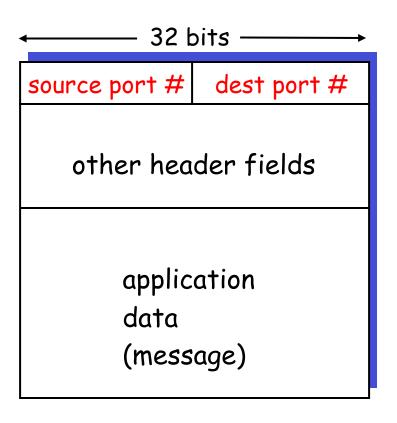
_ Multiplexing at send host: _ gathering data from multiple sockets, enveloping data with header (later used for

demultiplexing)



How demultiplexing works

- host receives IP datagrams
 - each datagram has source IP address, destination IP address
 - each datagram carries 1 transport-layer segment
 - each segment has source, destination port number
- host uses IP addresses & port numbers to direct segment to appropriate socket



TCP/UDP segment format

Connectionless demultiplexing

recall: create sockets with host-local port numbers:

```
DatagramSocket mySocket1 = new
  DatagramSocket(12534);
```

DatagramSocket mySocket2 = new
 DatagramSocket(12535);

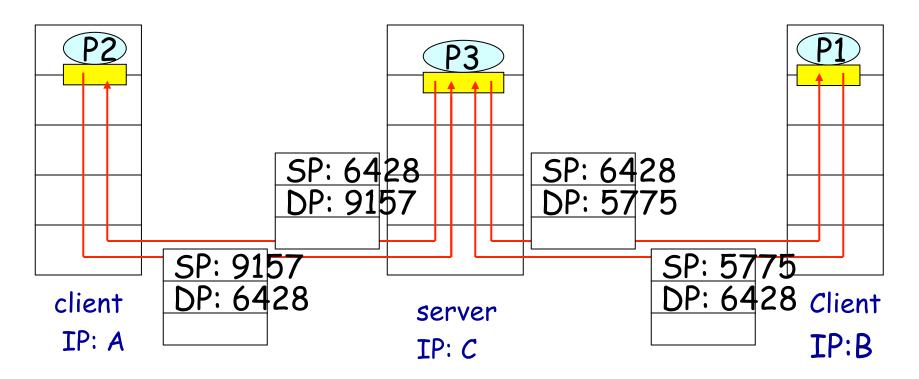
 recall: when creating datagram to send into UDP socket, must specify

(dest IP address, dest port number)

- when host receives UDP segment:
 - checks destination port number in segment
 - directs UDP segment to socket with that port number
- IP datagrams with different source IP addresses and/or source port numbers directed to same socket

Connectionless demux (cont)

DatagramSocket serverSocket = new DatagramSocket (6428);



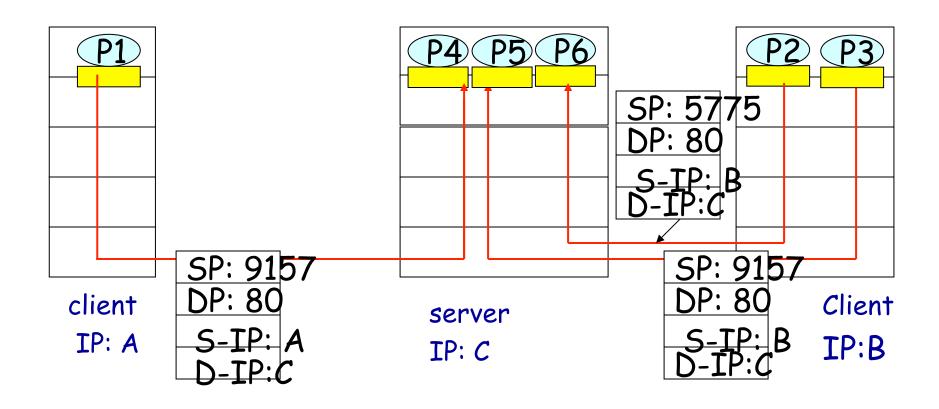
SP provides "return address"

Connection-oriented demux

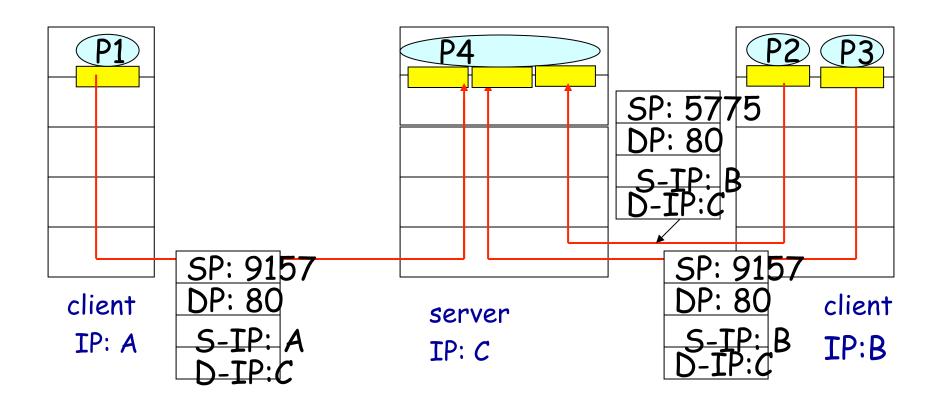
- TCP socket identified by 4-tuple:
 - source IP address
 - source port number
 - dest IP address
 - dest port number
- recv host uses all four values to direct segment to appropriate socket

- server host may support many simultaneous TCP sockets:
 - each socket identified by its own 4-tuple
- web servers have different sockets for each connecting client
 - non-persistent HTTP will have different socket for each request

Connection-oriented demux (cont)



Connection-oriented demux: Threaded Web Server



Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
 - SMTP, POP3, IMAP
- 2.5 DNS

- 2.6 P2P applications
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP

Socket programming

<u>Goal</u>: learn how to build client/server application that communicate using sockets

Socket API

- introduced in BSD4.1 UNIX, 1981
- explicitly created, used, released by apps
- client/server paradigm
- * two types of transport service via socket API:
 - unreliable datagram
 - reliable, byte streamoriented

socket

a host-local,
application-created,
OS-controlled interface
(a "door") into which
application process can
both send and
receive messages to/from
another application
process

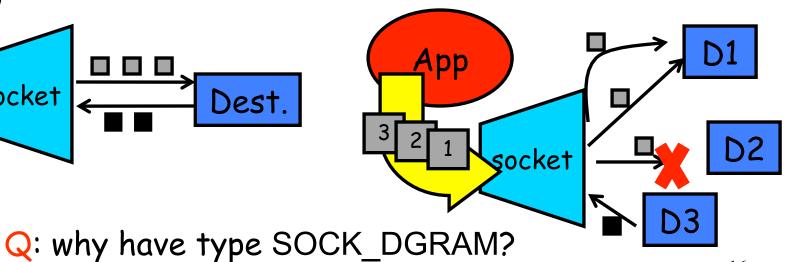
Two essential types of sockets

- C: SOCK_STREAM JAVA: Socket
 - a.k.a. TCP
 - reliable delivery
 - in-order guaranteed
 - connection-oriented
- bidirectional

 App

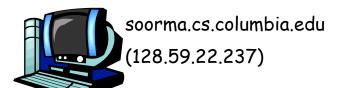
 3 2 1 socket Dest.

- C: SOCK_DGRAMJAVA: DatagramSocket
 - a.k.a. UDP
 - unreliable delivery
 - no order guarantees
 - no notion of "connection" app includes dest. in packets
 - can send or receive



16

A Socket-eye view of the Internet





cluster.cs.columbia.edu (128.59.21.14, 128.59.16.7, 128.59.16.5, 128.59.16.4)

- * Each host machine has an IP address
- When a packet arrives at a host

The Bare minimum

- * To code a socket, you will need at least
 - ACCEPT: block and wait for CONNECT PKT
 - CONNECT: establish a connection
 - RECEIVE: block and wait for a SEND PKT
 - SEND: actually sending a PKT on the channel
 - DISCONNECT: putting an end
- These are the functions you'll see
 - C, JAVA, for any connection-oriented transport

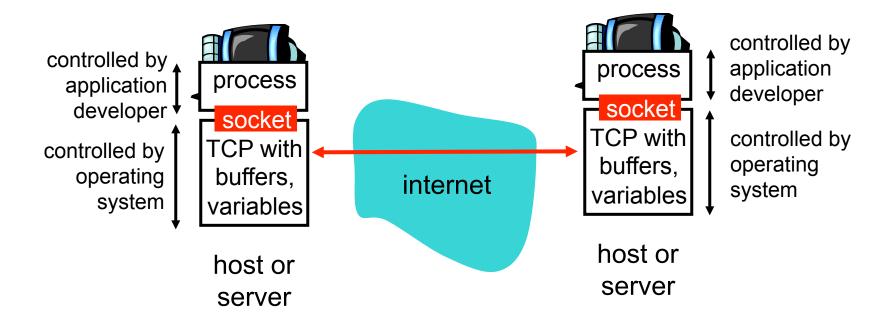
A first example

- * How does it work
 - Server LISTEN, wait for CONNECT PKT
 - Client send a CONNECT message, and then block until received the answer from server
 - Once server received CONNECT message, it becomes unblocked, send an answer, and becomes blocked again in READ
 - Once the client received the answer, it becomes unblocked, SENDS a request message, and block again in READ
 - The server finally answer with data, and close

Socket-programming using TCP

Socket: a door between application process and endend-transport protocol (UCP or TCP)

TCP service: reliable transfer of bytes from one process to another



Socket programming with TCP

Client must contact server

- server process must first be running
- server must have created socket (door) that welcomes client's contact

Client contacts server by:

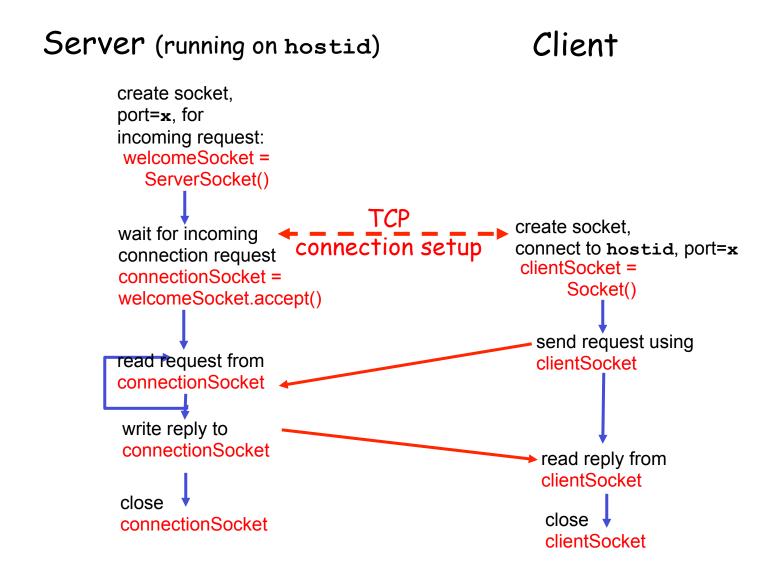
- creating client-local TCP socket
- specifying IP address, port number of server process
- * when client creates socket: client TCP establishes connection to server TCP

- when contacted by client, server TCP creates new socket for server process to communicate with client
 - allows server to talk with multiple clients
 - source port numbers used to distinguish clients (more in Chap 3)

application viewpoint

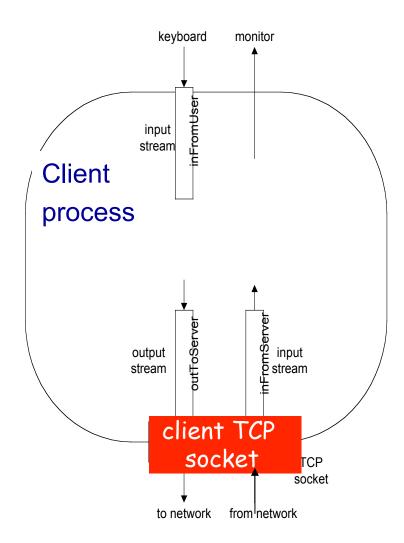
TCP provides reliable, in-order transfer of bytes ("pipe") between client and server

Client/server socket interaction: TCP



Stream jargon

- stream is a sequence of characters that flow into or out of a process.
- input stream is attached to some input source for the process, e.g., keyboard or socket.
- output stream is attached to an output source, e.g., monitor or socket.



Socket programming with TCP

Example client-server app:

- 1) client reads line from standard input (inFromUser stream), sends to server via socket (outToServer stream)
- 2) server reads line from socket
- 3) server converts line to uppercase, sends back to client
- 4) client reads, prints modified line from socket (inFromServer stream)

Example: Java client (TCP)

```
import java.io.*;
                                            This package defines Socket()
                    import java.net.*;
                                            and Server Socket() classes
                    class TCPClient {
                      public static void main(String argv[]) throws Exception
                                                                server name,
                        String sentence:
                                                            e.g., www.umass.edu
                        String modifiedSentence;
                                                                    server port #
           create
                        BufferedReader inFromUser =
     input stream
                          new BufferedReader(new InputStreamReader(System.in));
              create
clientSocket object
                        Socket clientSocket = new Socket("hostname")
     of type Socket,
   connect to server
                        DataOutputStream outToServer =
            create
     output stream
                          new DataOutputStream(clientSocket.getOutputStream());
attached to socket
```

Example: Java client (TCP), cont.

```
BufferedReader inFromServer =
            create
      input stream ——— new BufferedReader(new
attached to socket
                           InputStreamReader(clientSocket.getInputStream()));
                         sentence = inFromUser.readLine();
         send line
                      outToServer.writeBytes(sentence + '\n');
        to server:
         read line _____ modifiedSentence = inFromServer.readLine();
      from server
                         System.out.println("FROM SERVER: " + modifiedSentence);
     close socket ---- clientSocket.close();
(clean up behind yourself!)
```

Example: Java server (TCP)

```
import java.io.*;
                          import java.net.*;
                          class TCPServer {
                            public static void main(String argv[]) throws Exception
                              String clientSentence;
                              String capitalizedSentence;
                 create
     welcoming socket
at port 6789
                             ServerSocket welcomeSocket = new ServerSocket(6789);
                              while(true) {
       wait, on welcoming
 socket accept() method
                                Socket connectionSocket = welcomeSocket.accept();
for client contact create, -
    new socket on return
                                 BufferedReader inFromClient =
           create input
                                new BufferedReader(new
     stream, attached
                                   InputStreamReader(connectionSocket.getInputStream()));
              to socket
```

Example: Java server (TCP), cont

```
create output
stream, attached
                     → DataOutputStream outToClient =
        to socket
                         new DataOutputStream(connectionSocket.getOutputStream());
     read in line from socket → clientSentence = inFromClient.readLine();
                       capitalizedSentence = clientSentence.toUpperCase() + '\n';
    write out line to socket
                      outToClient.writeBytes(capitalizedSentence);
                              end of while loop,
                              loop back and wait for
                              another client connection
```

Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
 - SMTP, POP3, IMAP
- 2.5 DNS

- 2.6 P2P applications
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP

Socket programming with UDP

UDP: no "connection" between client and server

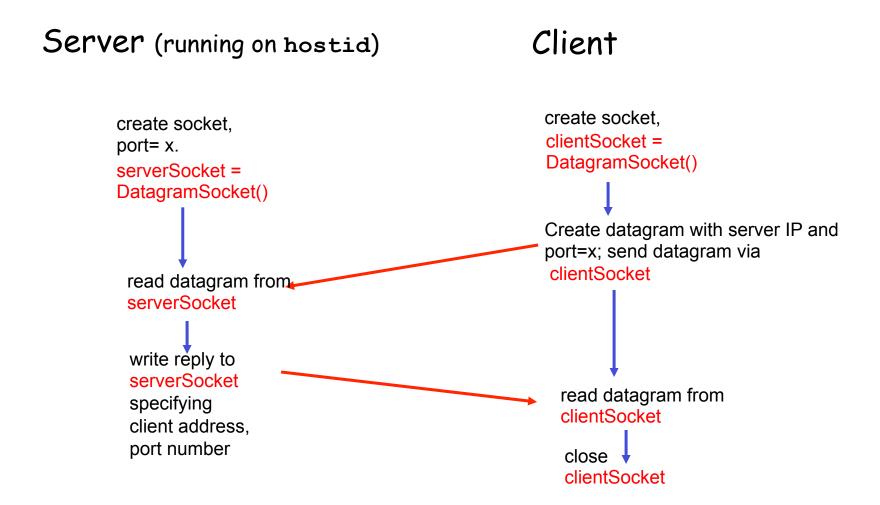
- no handshaking
- sender explicitly attaches
 IP address and port of
 destination to each packet
- server must extract IP address, port of sender from received packet

UDP: transmitted data may be received out of order, or lost

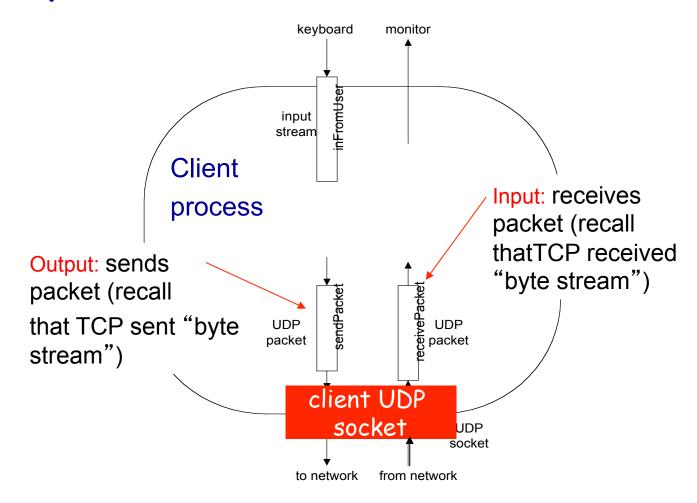
application viewpoint:

UDP provides <u>unreliable</u> transfer of groups of bytes ("datagrams") between client and server

Client/server socket interaction: UDP



Example: Java client (UDP)



Example: Java client (UDP)

```
import java.io.*;
                      import java.net.*;
                      class UDPClient {
                         public static void main(String args[]) throws Exception
             create
       input stream
                          BufferedReader inFromUser =
                           new BufferedReader(new InputStreamReader(System.in));
              create
       client socket
                          DatagramSocket clientSocket = new DatagramSocket();
          translate_
                          InetAddress IPAddress = InetAddress.getByName("hostname");
    hostname to IP
address using DNS
                          byte[] sendData = new byte[1024];
                          byte[] receiveData = new byte[1024];
                          String sentence = inFromUser.readLine();
                          sendData = sentence.getBytes();
```

Example: Java client (UDP), cont.

```
create datagram
  with data-to-send,
                         DatagramPacket sendPacket =
length, IP addr, port
                          new DatagramPacket(sendData, sendData.length, IPAddress, 9876);
    send datagram
                        clientSocket.send(sendPacket);
          to server
                         DatagramPacket receivePacket =
                          new DatagramPacket(receiveData, receiveData.length);
     read datagram
                         clientSocket.receive(receivePacket);
       from server
                         String modifiedSentence =
                           new String(receivePacket.getData());
                         System.out.println("FROM SERVER:" + modifiedSentence);
                         clientSocket.close();
```

Example: Java server (UDP)

```
import java.io.*;
                       import java.net.*;
                       class UDPServer {
                        public static void main(String args[]) throws Exception
            create
 datagram socket
                           DatagramSocket serverSocket = new DatagramSocket(9876);
     at port 9876_
                          byte[] receiveData = new byte[1024];
                          byte[] sendData = new byte[1024];
                          while(true)
  create space for
                             DatagramPacket receivePacket =
received datagram
                               new DatagramPacket(receiveData, receiveData.length);
             receive
                             serverSocket.receive(receivePacket);
           datagram
```

Example: Java server (UDP), cont

```
String sentence = new String(receivePacket.getData());
       get IP addr
port #, of
                        InetAddress IPAddress = receivePacket.getAddress();
                        int port = receivePacket.getPort();
                                String capitalizedSentence = sentence.toUpperCase();
                        sendData = capitalizedSentence.getBytes();
create datagram
                        DatagramPacket sendPacket =
to send to client
                          new DatagramPacket(sendData, sendData.length, IPAddress,
                                     port);
        write out
        datagram
                        serverSocket.send(sendPacket);
        to socket
                                 end of while loop, loop back and wait for
                                 another datagram
```

Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
 - SMTP, POP3, IMAP
- 2.5 DNS

- 2.6 P2P applications
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP
- + (Bonus) Same with C

The Bare minimum

- * To code a socket, you will need at least
 - ACCEPT: block and wait for CONNECT PKT
 - CONNECT: establish a connection
 - RECEIVE: block and wait for a SEND PKT
 - SEND: actually sending a PKT on the channel
 - DISCONNECT: putting an end
- These are the functions you'll see
 - C, JAVA, etc.

Socket functions overview (C)

- For TCP with C, the primitives are:
 - SOCKET
 - BIND
 - LISTEN:
 - ACCEPT: block and wait for CONNECT PKT
 - CONNECT: establish a connection
 - RECEIVE: block and wait for a SEND PKT
 - SEND: actually sending a PKT on the channel
 - DISCONNECT: putting an end

Socket Creation in C: socket

- int s = socket(domain, type, protocol);
 - s: socket descriptor, an integer
 - domain: integer, communication domain
 - e.g., PF_INET (IPv4 protocol) typically used
 - type: communication type
 - SOCK_STREAM: reliable, 2-way, connection-based service
 - SOCK_DGRAM: unreliable, connectionless,
 - other values: need root permission, rarely used, or obsolete
 - protocol: specifies protocol usually set to 0
- NOTE: socket call does not specify where data will be coming from, nor where it will be going to - it just creates the interface!

The bind function

- associates and (can exclusively) reserves a port for use by the socket
- int status = bind(sockid, &addrport, size);
 - status: error status, = -1 if bind failed
 - sockid: integer, socket descriptor
 - addrport: struct sockaddr, the (IP) address and port of the machine (address usually set to INADDR_ANY - chooses a local address)
 - size: the size (in bytes) of the addrport structure
- bind can be skipped for both types of sockets.
 When and why?

Skipping the bind

SOCK_DGRAM:

- if only sending, no need to bind. The OS finds a port each time the socket sends a pkt
- if receiving, need to bind

SOCK_STREAM:

- At the client determined during conn. setup
- don't need to know port sending from (during connection setup, receiving end is informed of port)

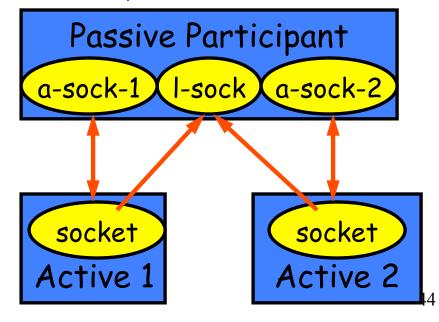
Connection Setup (SOCK_STREAM)

- * Recall: no connection setup for SOCK_DGRAM
- A connection occurs between two kinds of participants
 - passive: waits for an active participant to request connection
 - active: initiates connection request to passive side
- Once connection is established, passive and active participants are "similar"
 - both can send & receive data
 - either can terminate the connection

Connection setup cont'd

- Passive participant
 - step 1: listen (for incoming requests)
 - step 3: accept (a request)
 - step 4: data transfer
- The accepted connection is on a new socket
- The old socket continues to listen for other active participants
- * Why?

- Active participant
 - step 2: request & establish connection
 - step 4: data transfer



Connection setup: listen & accept

- * Called by passive participant
- int status = listen(sock, queuelen);
 - status: 0 if listening, -1 if error
 - sock: integer, socket descriptor
 - queuelen: integer, # of active participants that can "wait" for a connection
 - listen is <u>non-blocking</u>: returns immediately
- int s = accept(sock, &name, &namelen);
 - s: integer, the new socket (used for data-transfer)
 - sock: integer, the orig. socket (being listened on)
 - name: struct sockaddr, address of the active participant
 - namelen: sizeof(name): value/result parameter
 - must be set appropriately before call
 - adjusted by OS upon return
 - accept is <u>blocking</u>: waits for connection before returning

connect call

- int status = connect(sock, &name, namelen);
 - status: 0 if successful connect, -1 otherwise
 - sock: integer, socket to be used in connection
 - name: struct sockaddr: address of passive participant
 - namelen: integer, sizeof(name)
- connect is blocking

Sending / Receiving Data

With a connection (SOCK_STREAM):

- int count = send(sock, &buf, len, flags);
 - count: # bytes transmitted (-1 if error)
 - buf: char[], buffer to be transmitted
 - len: integer, length of buffer (in bytes) to transmit
 - flags: integer, special options, usually just 0
- int count = recv(sock, &buf, len, flags);
 - count: # bytes received (-1 if error)
 - buf: void[], stores received bytes
 - len: # bytes received
 - flags: integer, special options, usually just 0
- Calls are <u>blocking</u> [returns only after data is sent (to socket buf) / received]

Sending / Receiving Data (cont'd)

- Without a connection (SOCK_DGRAM):
 - int count = sendto(sock, &buf, len, flags, &addr, addrlen);
 - count, sock, buf, len, flags: same as send
 - addr: struct sockaddr, address of the destination
 - addrlen: sizeof(addr)
 - int count = recvfrom(sock, &buf, len, flags, &addr, &addrlen);
 - count, sock, buf, len, flags: same as recv
 - addr: struct sockaddr, address of the source
 - addrlen: sizeof(addr): value/result parameter
- Calls are <u>blocking</u> [returns only after data is sent (to socket buf) / received]

close

- When finished using a socket, the socket should be closed:
- status = close(s);
 - status: 0 if successful, -1 if error
 - s: the file descriptor (socket being closed)
- Closing a socket
 - closes a connection (for SOCK_STREAM)
 - frees up the port used by the socket

The struct sockaddr

* The generic:

```
struct sockaddr {
    u_short sa_family;
    char sa_data[14];
};
```

sa_family

- specifies which address family is being used
- determines how the remaining 14 bytes are used

```
The Internet-specific:
```

```
struct sockaddr_in {
    short sin_family;
    u_short sin_port;
    struct in_addr sin_addr;
    char sin_zero[8];
};
• sin_family = AF_INET
• sin_port: port # (0-65535)
• sin_addr: IP-address
• sin_zero: unused
```

TCP - Serial Model

Client Side	Server Side
sd=socket(type)	sd=socket(type)
	bind(sd,port)
	listen(sd,len)
connect(sd,dest)	new_sd=accept(sd)
write(sd,) /send(sd,)	read(new_sd,)/recv(new_sd)
read(sd,)/recv(sd,)	write(new_sd,) /send(new_sd,)
close(sd)	close(new_sd)

TCP - Parallel Model

Client Side	Server Side
sd=socket(type)	sd=socket(type)
	bind(sd,port)
	listen(sd,len)
connect(sd,dest)	new_sd=accept(sd) ←
	Create another process (e.g., fork)
	close(sd) close(new_sd) /
write(sd,)	read(new_sd,)
read(sd,)	write(new_sd,)
close(sd)	close(new_sd)
	exit()

UDP - Serial Model

Client Side	Server Side	
sd=socket(type)	sd=socket(type)	
	bind(sd,port)	
connect(sd,dest)		
write(sd,)	recvfrom(sd,)	
read(sd,)	sendto(sd,)	
close(sd)	close(sd)	

Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
 - SMTP, POP3, IMAP
- 2.5 DNS

- 2.6 P2P applications
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP
- + (Bonus) Same with C
- + (Bonus) A few more functions

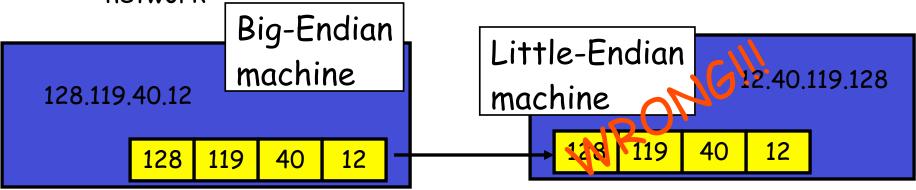
Address and port byte-ordering

- Address and port are stored as integers
 - u_short sin_port; (16 bit)
 - in_addr sin_addr; (32 bit)

```
struct in_addr {
  u_long s_addr;
};
```

Problem:

- o different machines / OS's use different word orderings
 - little-endian: lower bytes first
 - · big-endian: higher bytes first
- these machines may communicate with one another over the network



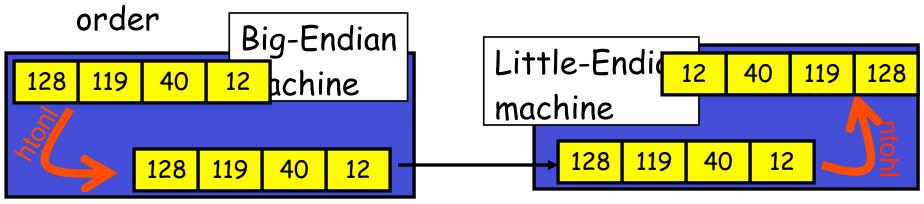
Solution: Network Byte-Ordering

* Defs:

- Host Byte-Ordering: the byte ordering used by a host (big or little)
- Network Byte-Ordering: the byte ordering used by the network - always big-endian
- Any words sent through the network should be converted to Network Byte-Order prior to transmission (and back to Host Byte-Order once received)
- Q: should the socket perform the conversion automatically?
- Q: Given big-endian machines don't need conversion routines and little-endian machines do, how do we avoid writing two versions of code?

UNIX's byte-ordering funcs

- u_long htonl(u_long x);
 u_long ntohl(u_long x);
 u_short htons(u_short x);
 u_short ntohs(u_short x);
- On big-endian machines, these routines do nothing
- On little-endian machines, they reverse the byte



Same code would have worked regardless of endianness of the two machines

Dealing with blocking calls

- Many of the functions we saw block until a certain event
 - accept: until a connection comes in
 - connect: until the connection is established
 - recv, recvfrom: until a packet (of data) is received
 - send, sendto: until data is pushed into socket's buffer
 - · Q: why not until received?
- * For simple programs, blocking is convenient
- What about more complex programs?
 - multiple connections
 - simultaneous sends and receives
 - simultaneously doing non-networking processing

Dealing w/ blocking (cont'd)

* Options:

- create multi-process or multi-threaded code
- turn off the blocking feature (e.g., using the fcntl file-descriptor control function)
- use the select function call.

Other useful functions

- bzero(char* c, int n): 0's n bytes starting at c
- gethostname(char *name, int len): gets the name of the current host
- gethostbyaddr(char *addr, int len, int type): converts IP hostname to structure containing long integer
- inet_addr(const char *cp): converts dotted-decimal char-string to long integer
- inet_ntoa(const struct in_addr in): converts long to dotted-decimal notation
- read(), write()
- Warning: check function assumptions about byteordering (host or network). Often, they assume parameters / return solutions in network byteorder

Release of ports

- Sometimes, a "rough" exit from a program (e.g., ctrl-c) does not properly free up a port
- Eventually (after a few minutes), the port will be freed
- To reduce the likelihood of this problem, include the following code:

```
#include <signal.h>
void cleanExit(){exit(0);}
```

• in socket code:

```
signal(SIGTERM, cleanExit); signal(SIGINT, cleanExit);
```

Final Thoughts

- Make sure to #include the header files that define used functions
- * Additional info:
 - Ross and Kurose, Computer Networking A Top-Down Approach
 - Comer, Internetworking with TCP/IP, ch. 21
 - Comer and Stevens, Internetworking with TCP/ IP - Vol. 3
 - Beej's Guide to Network Programming http:// www.beej.us/guide/bgnet/
 - man-pages

Chapter 2: Summary

our study of network apps now complete!

- application architectures
 - client-server
 - P2P
 - hybrid
- application service requirements:
 - reliability, bandwidth, delay
- Internet transport service model
 - connection-oriented, reliable: TCP
 - unreliable, datagrams: UDP

- specific protocols:
 - HTTP
 - FTP
 - SMTP, POP, IMAP
 - DNS
 - P2P: BitTorrent, Skype
- socket programming

Chapter 2: Summary

most importantly: learned about protocols

- * typical request/reply message exchange:
 - client requests info or service
 - server responds with data, status code
- message formats:
 - headers: fields giving info about data
 - data: info being communicated

Important themes:

- control vs. data msgs
 - in-band, out-of-band
- centralized vs.decentralized
- * stateless vs. stateful
- reliable vs. unreliable msg transfer
- "complexity at network edge"