Supervised ML Classifiers for Tanzanian Water-Wells Condition

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• **Phase**: 3

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1 Introduction

1.1 Industry Background

Access to clean and reliable water is a fundamental human right and a cornerstone of sustainable development. In many developing nations, including Tanzania, ensuring this access remains a significant challenge. While numerous water points have been established, a substantial portion are in disrepair or have ceased to function altogether, leaving millions without consistent access to this vital resource. The ability to proactively identify and address the issues plaguing these water wells is not just an operational necessity but a critical step towards improving public health, economic stability, and overall quality of life for communities. Understanding the factors that contribute to the failure or disrepair of water infrastructure is therefore paramount, enabling targeted interventions and more effective resource allocation.

This project utilizes three datasets (trainingset.csv, trainingsetlabels.csv, and testdata.csv).

The datasets are available on https://www.drivendata.org/competitions/7/pump-it-up-data-mining-the-water-table/data/).

Utilizing these datasets, the project:

- Identifies potential predictor features in the **trainingset.csv** dataset on a water-well's respective status as captured for each record entry in the **trainingsetlabels.csv** dataset.
- Builds, and tunes three supervised ML classifier models to predict the condition of water wells (functional, functional needs repair, or non functional).
- Evaluates the performance metrics (**Accuracy**, **F1-score**, and **ROC_AUC**) of the three ML classifiers to select the best-fit, and most-generalizable model.
- Utilizes the selected model to predict the target variable for 14,850 record entries in **testdata.csv** dataset.
- Recommends the selected model for deployment, and proposed next steps to stakeholders.

1.2 Problem Statement

planning for a sustainable water supply.

The Government of Tanzania and Non-Governmental Organizations (NGOs) face a significant challenge in ensuring reliable access to clean water for their population. A substantial number of established water wells are either in disrepair or have completely failed, leading to water scarcity and its associated negative impacts on public health and socio-economic development. There is currently no effective, data-driven method to accurately predict the condition of water wells, making it difficult to prioritize repair efforts, allocate resources efficiently, and inform the design of new, more resilient water infrastructure. This lack of predictive capability results in reactive maintenance, inefficient resource utilization, and continued widespread water scarcity. The Government of Tanzania and NGOs require a solution that can identify water wells that are in need of repair or are likely to fail, enabling proactive interventions and strategic

1.3 Objectives

1.3.1 Goal

To build, train, evaluate and recommend an evidence-based supervised ML classification model for predicting the functional condition of water wells in Tanzania.

1.3.2 Specific Objectives

- 1. Preprocess available datasets to justify the predictive power of features on the target variable.
- 2. Build, tune, and evaluate the performance of a baseline model, a tree-based classifier, and an ensemble ML model.
- 3. Compare performance metrics of the three classifiers to propose the best alternative for deployment.
- 4. Evaluate the performance of the selected model in predicting the target variable using feature data in **testdata.csv**.
- 5. Recommend feasible recommendations to stakeholders and propose viable next steps.

2 Exploratory Data Analysis (EDA)

2.1 Data Loading

```
In [1]: # Import required libraries
import pandas as pd
import numpy as np
import matplotlib.pyplot as plt
import seaborn as sns
from sklearn.model_selection import train_test_split
from sklearn.impute import SimpleImputer
from sklearn.preprocessing import LabelEncoder, MinMaxScaler
from sklearn.metrics import accuracy_score, precision_score, recall_score, f1_sc
import warnings
warnings.filterwarnings('ignore')

# Set plot style
sns.set(style="whitegrid")
```

```
In [2]: # Load training datasets
    train_features = pd.read_csv("./data/trainingset.csv")
    train_labels = pd.read_csv("./data/trainingsetlabels.csv")

# Merge features and labels for EDA
    train_df = pd.merge(train_features, train_labels, on="id")

# Display first five rows
    train_df.head()
```

Out[2]:

	id	amount_tsh	date_recorded	funder	gps_height	installer	longitude	latitude	wpt_name	nur
0	69572	6000.0	2011-03-14	Roman	1390	Roman	34.938093	-9.856322	none	
1	8776	0.0	2013-03-06	Grumeti	1399	GRUMETI	34.698766	-2.147466	Zahanati	
2	34310	25.0	2013-02-25	Lottery Club	686	World vision	37.460664	-3.821329	Kwa Mahundi	
3	67743	0.0	2013-01-28	Unicef	263	UNICEF	38.486161	-11.155298	Zahanati Ya Nanyumbu	
4	19728	0.0	2011-07-13	Action In A	0	Artisan	31.130847	-1.825359	Shuleni	

5 rows × 41 columns

```
RangeIndex: 59400 entries, 0 to 59399
Data columns (total 41 columns):
#
     Column
                            Non-Null Count
                                             Dtype
- - -
0
     id
                             59400 non-null
                                             int64
1
     amount tsh
                             59400 non-null
                                             float64
2
                             59400 non-null
     date recorded
                                             object
3
                             55763 non-null
     funder
                                             object
4
     gps height
                            59400 non-null
                                             int64
5
     installer
                             55745 non-null
                                             object
6
    longitude
                             59400 non-null
                                             float64
7
                            59400 non-null
                                             float64
    latitude
8
                            59398 non-null
                                             object
    wpt name
9
                             59400 non-null
     num private
                                             int64
10
                             59400 non-null
    basin
                                             object
11
                            59029 non-null
    subvillage
                                             object
12
                             59400 non-null
                                             object
    region
13
    region_code
                            59400 non-null
                                             int64
14
                             59400 non-null
                                             int64
    district code
15
    lga
                            59400 non-null
                                             object
16
    ward
                             59400 non-null
                                             object
17
    population
                             59400 non-null
                                             int64
18
    public_meeting
                            56066 non-null
                                             object
19
    recorded by
                            59400 non-null
                                             object
20
    scheme management
                             55522 non-null
                                             object
21
    scheme_name
                             30590 non-null
                                             object
22
    permit
                             56344 non-null
                                             object
23
    construction_year
                             59400 non-null
                                             int64
24
                             59400 non-null
    extraction_type
                                             object
25
    extraction_type_group
                            59400 non-null
                                             object
26
    extraction_type_class
                            59400 non-null
                                             object
27
                             59400 non-null
                                             object
    management
28
    management group
                             59400 non-null
                                             object
29
    payment
                             59400 non-null
                                             object
30
    payment_type
                            59400 non-null
                                             object
    water quality
31
                             59400 non-null
                                             object
32
    quality_group
                             59400 non-null
                                             object
33
                            59400 non-null
    quantity
                                             object
34
                             59400 non-null
    quantity_group
                                             object
35
                             59400 non-null
    source
                                             object
36
    source_type
                            59400 non-null
                                             object
37
    source class
                            59400 non-null
                                             object
38
    waterpoint type
                             59400 non-null
                                             object
39
    waterpoint_type_group 59400 non-null
                                             object
                             59400 non-null
40
     status group
                                             object
dtypes: float64(3), int64(7), object(31)
```

2.2 Data Preprocessing

memory usage: 18.6+ MB

A modularized preprocessing pipeline is adopted to avoid data leakage.

2.2.1 Define Exog and Endog

```
In [4]: # Identify numerical and categorical columns
num_cols = train_df.select_dtypes(include=[np.number]).columns.tolist()
num_cols.remove('id')
cat_cols = train_df.select_dtypes(include=['object']).columns.tolist()
cat_cols.remove('status_group')

# Define exogenous (X) and endogenous (y) variables
X = train_df[num_cols + cat_cols]
y = train_df['status_group']
```

2.2.2 Perform Train-Test Split

```
In [5]: # Separate data into a train set and a test set before performing any preprocess
X_train, X_test, y_train, y_test = train_test_split(X, y, test_size=0.2, random_
print(f"X_train shape : {X_train.shape}")
print(f"X_test shape : {X_test.shape}")

X_train shape : (47520, 39)
X test shape : (11880, 39)
```

2.2.3 Drop Rendundant and Irrelevant Columns

• The following columns contain redundant information for each entry.

Redundant Column 2	Redundant Column 1	Picked Column
extraction_type_group	extraction_type	extraction_type_class
management	scheme_management	management_group
	payment	payment_type
	quality_group	water_quality
	quantity_group	quantity
source_class	source	source_type
	waterpoint_type	waterpoint_type_group

The columns deemed relevant from the training dataset with respect to the scope of this project include:

Column Name	Data Type	Short Description
date_recorded	object	The Year, Month, and Date an entry was recorded (yyyy-mm-dd)
gps_height	int64	The altitude of the water well location in meters
basin	object	The geographical basin where the water well is located
region	object	The administrative region where the water well is situated
population	int64	The population size served/ used to be served by a water well
permit	object	Whether the water well has a legal permit
construction_year	int64	The year the water well was constructed
extraction_type_class	object	The method/ technology used to extract water
management_group	object	The group responsible for managing the water well
payment_type	object	The payment policy for using the water well

Short Description	Data Type	Column Name
The quality of the water from the well	object	water_quality
The amount of water available from the well	object	quantity
The type of water source	object	source_type
The infrustructure used to access water from the well point	object	waterpoint_type_group

```
In [6]: # Create a copy of X_train
        X train 1 = X train.copy()
        # Select relevant columns w.r.t to project scope
        picked_cols = [
            'date recorded',
            'gps_height',
            'basin',
            'region',
            'population',
            'permit',
            'construction_year',
            'management_group',
            'extraction_type_class',
            'payment_type',
            'water_quality',
            'quantity',
            'source_type',
            'waterpoint_type'
        # Reassign X_train with selected columns
        X_train_1 = X_train_1.loc[:, picked_cols]
        X_train_1.head()
```

Out[6]:

	date_recorded	gps_height	basin	region	population	permit	construction_year	management_grou
43360	2011-07-27	0	Lake Nyasa	Mbeya	0	NaN	0	user-gro
7263	2011-03-23	2049	Rufiji	Iringa	175	True	2008	user-gro
2486	2011-03-07	290	Wami / Ruvu	Pwani	2300	False	2010	user-gro
313	2011-07-31	0	Lake Victoria	Kagera	0	True	0	user-groı
52726	2011-03-10	0	Internal	Dodoma	0	True	0	user-gro

2.2.4 Handle Missing Values

```
In [7]: # Check for missing values
        X train 1.isna().sum()
Out[7]: date recorded
                                      0
        gps height
                                      0
        basin
                                      0
                                      0
        region
        population
                                      0
                                   2443
        permit
        construction year
                                      0
        management_group
                                      0
                                      0
        extraction_type_class
        payment_type
                                      0
        water_quality
                                      0
        quantity
                                      0
                                      0
        source type
        waterpoint type
                                      0
        dtype: int64
In [8]: # Check unique values for the `permit` feature
        X_train_1['permit'].unique()
Out[8]: array([nan, True, False], dtype=object)
```

• Only one of the features (permit) has missing values. The feature is boolean since entries for waterwells with a permit are assigned **True** and those without a permit are assigned **False**. Thus, **nans** in the permit column represent missing values.

```
In [9]: # Calculate percentage of missing values for the 'permit' column
X_train_1['permit'].isna().mean() * 100
```

Out[9]: np.float64(5.1409932659932664)

X_train_1 has 47,520 rows and entries with nan values for the permit column account for 5.14%. Hence, dropping all entries with missing values for the permit feature won't have a significant impact on the size of the training set.

Training dataset consists of: 45077 rows Training dataset consists of: 14 columns

2.2.5 Feature Engineering

A well's age is an important predictor on it condition. Although the feature is not included in the training.csv dataset; it can be engineered by convering the date_recorded variable to datetime and substracting the value from a water-well's construction_year.

```
In [12]: # Check unique values for `construction_year` feature
         X train 1['construction year'].unique()
                                0, 1986, 1995, 1985, 2009, 2001, 1972, 2003, 2006,
Out[12]: array([2008, 2010,
                1994, 1996, 1980, 1979, 2005, 1990, 2007, 2004, 1978, 1977, 1991,
                1999, 1993, 1983, 1997, 2011, 1989, 1998, 2000, 1984, 1982, 1992,
                2012, 1975, 1976, 2002, 1970, 1963, 1968, 1981, 1988, 1987, 2013,
                1973, 1971, 1961, 1974, 1962, 1969, 1960, 1964, 1967, 1966, 1965])
In [13]: # Drop all row entries with a value of 0 in the column `construction year`
         X train 1.drop(X train 1[X train 1['construction year'] == 0].index, inplace=Tru
In [14]:
         # Recheck X train shape
         X train 1.shape
         print(f"Training dataset consists of: {X_train_1.shape[0]} rows")
         print(f"Training dataset consists of: {X train 1.shape[1]} columns")
         Training dataset consists of: 29464 rows
         Training dataset consists of: 14 columns
In [15]: # Convert 'date recorded' to datetime year
         X_train_1['date_recorded'] = pd.to_datetime(X_train_1['date_recorded']).dt.year
         # Calculate well age = date recorded - construction year
         X_train_1['well_age'] = X_train_1['date_recorded'] - X_train_1['construction_yea
In [16]: # Confirm the Engineered feature accurately captures a well's age
         X_train_1[['date_recorded', 'construction_year', 'well_age']].head()
Out[16]:
               date_recorded construction_year well_age
           7263
                      2011
                                    2008
                                              3
           2486
                      2011
                                    2010
          8558
                      2011
                                    1986
                                             25
           2559
                      2013
                                    1995
                                              18
          28603
                      2013
                                    1985
                                             28
In [17]: # Drop 'construction year' and 'date recorded' features from X train
         X_train_1 = X_train_1.drop(columns=['construction_year', 'date_recorded'])
In [18]: # Check descriptive statistics for the engineered `well-age` feature
         X train 1['well age'].describe()
Out[18]: count
                  29464.000000
                     15.235643
         mean
         std
                     12.502163
                     -7.000000
         min
         25%
                      4.000000
         50%
                     12.000000
         75%
                     25.000000
                     53.000000
         max
         Name: well age, dtype: float64
```

• It is impossible for the age of a water-well to be a negative number. The computed negative well-age value is likely due to either an error in an entry's date_recorded or construction_year columns.

```
In [20]: # Recheck X_train shape
X_train_1.shape
print(f"Training dataset consists of: {X_train_1.shape[0]} rows")
print(f"Training dataset consists of: {X_train_1.shape[1]} columns")
Training dataset consists of: 29455 rows
```

In [19]: # Drop all row entries whose values for 'well_age' are less than zero

```
In [21]: # Print first-five rows after feature engineering
X train 1.head()
```

Training dataset consists of: 13 columns

Out[21]:

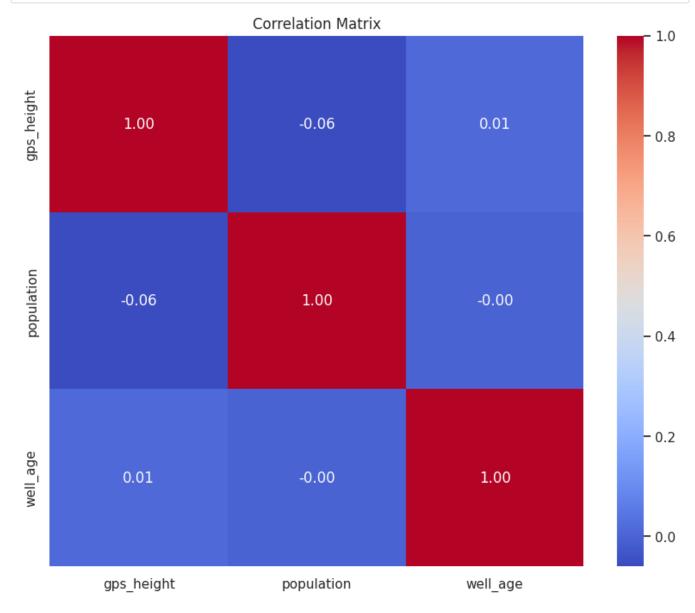
payme	extraction_type_class	management_group	permit	population	region	basin	gps_height	
	gravity	user-group	True	175	Iringa	Rufiji	2049	7263
рє	handpump	user-group	False	2300	Pwani	Wami / Ruvu	290	2486
n	gravity	user-group	True	200	Rukwa	Lake Tanganyika	1295	8558
	gravity	user-group	True	150	Arusha	Pangani	1515	2559
1	other	user-group	True	1	Mtwara	Ruvuma / Southern Coast	286	28603

2.2.6 Multicollinearity Check

- Highly correlated numerical features leads to multicolinearity. Training supervised ML classifiers with highly correlated numerical features increases computational complexity, and elevates the risk for overfitting.
- Additionally, multicollinearity leads to uncertainity in determining the true contribuction of each feature to predictions.
- Correlation measures are highly sensitive to outliers. Thus, plotting a correlation matrix for the numerical features in X_train will shed insights on highly correlated variables.

```
In [22]: # Identify numerical columns after engineering features
X_train_num_cols = X_train_1.select_dtypes(include=[np.number]).columns.tolist()
# Remove outliers
for col in X_train_num_cols:
    lower = X_train_1[col].quantile(0.01)
    upper = X_train_1[col].quantile(0.99)
    X_train_1 = X_train_1[(X_train_1[col] >= lower) & (X_train_1[col] <= upper)]</pre>
```

```
In [23]: # Plot the correlation matrix
    corr = X_train_1[X_train_num_cols].corr()
    plt.figure(figsize=(10,8))
    sns.heatmap(corr, annot=True, cmap='coolwarm', fmt='.2f')
    plt.title('Correlation Matrix')
    plt.show()
```



- There is no multicolinearity among the three numerical variables.
- For the inclusion of the three numerical variables in the features' matrix, they must normalized.
- The MinMaxScaller is selected because it scales numerical features between 0 and 1. Hence, the scaled numerical features will lie within the same range as the OneHotEncoded dummy variables for categorical features.

2.2.7 Normalize Numerical Features and One Hot Encode Categorical Features

```
In [24]: from sklearn.preprocessing import MinMaxScaler
         # Create a copy of the training set
         X_train_scaled = X_train_1.copy()
         # Initialize MinMaxScaler
         scaler = MinMaxScaler()
         # fit transform the numerical columns using MinMaxScaler to normalize the data
         X_train_scaled[X_train_num_cols] = scaler.fit_transform(X_train_scaled[X_train_n
         X train num df = pd.DataFrame(X train scaled, columns=X train num cols, index=X
         X train num df.head()
Out[24]:
                gps_height population well_age
           7263
                 0.941897
                            0.0700 0.068182
           2486
                 0.143441
                            0.9200 0.022727
           8558
                 0.599637
                            0.0800 0.568182
                            0.0600 0.409091
           2559
                 0.699501
                            0.0004 0.636364
          28603
                 0.141625
         # Identify categorical columns in X_train_scaled
In [25]:
         X_train_cat_cols = X_train_scaled.select_dtypes(include=['object']).columns.toli
         print(X train cat cols)
         ['basin', 'region', 'permit', 'management_group', 'extraction_type_class', 'pay
         ment_type', 'water_quality', 'quantity', 'source_type', 'waterpoint_type']
In [26]: from sklearn.preprocessing import OneHotEncoder
         # Initialize OneHotEncoder
         ohe = OneHotEncoder(drop='first', sparse output=False, handle unknown='ignore')
```

Fit and transform X_train_scaled categorical columns

Convert to DataFrame for easier inspection

X_train_ohe = ohe.fit_transform(X_train_scaled[X_train_cat_cols])

ohe feature names = ohe.get feature names out(X train cat cols)

X train ohe df = pd.DataFrame(X train ohe, columns=ohe feature names, index=X tr

```
In [27]: # Concat the normalized numerical features df and the OneHot encoded categorical
X_train_final = pd.concat([X_train_num_df, X_train_ohe_df], axis=1)
# Print first five rows of concatenated df
X_train_final.head()
```

Out[27]:

	gps_height	population	well_age	basin_Lake Nyasa	basin_Lake Rukwa	-	basin_Lake Victoria	basin_Pangani	k
7263	0.941897	0.0700	0.068182	0.0	0.0	0.0	0.0	0.0	
2486	0.143441	0.9200	0.022727	0.0	0.0	0.0	0.0	0.0	
8558	0.599637	0.0800	0.568182	0.0	0.0	1.0	0.0	0.0	
2559	0.699501	0.0600	0.409091	0.0	0.0	0.0	0.0	1.0	
28603	0.141625	0.0004	0.636364	0.0	0.0	0.0	0.0	0.0	

5 rows × 67 columns

```
In [28]: # Check X_train_final shape
X_train_final.shape
print(f"Training dataset consists of: {X_train_final.shape[0]} rows")
print(f"Training dataset consists of: {X_train_final.shape[1]} columns")
```

Training dataset consists of: 28346 rows Training dataset consists of: 67 columns

2.2.8 Label Encode Target Variable

```
In [29]: from sklearn.preprocessing import LabelEncoder

# Create a copy of y_train
y_train_1 = y_train.copy()

# Align y_train to X_train_final indices
y_train_aligned = y_train_1.loc[X_train_final.index]

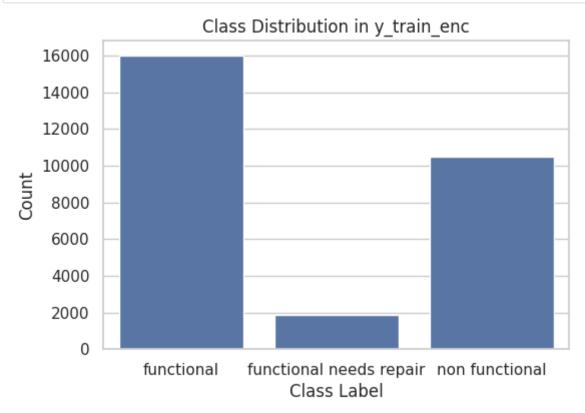
# Initialize LabelEncoder
le = LabelEncoder()

# Fit and transform y_train_aligned using LabelEncoder
y_train_enc = le.fit_transform(y_train_aligned)

# Print class distribution of the encoded y_train labels
print(f"Encoded y_train distribution: {np.bincount(y_train_enc)}")
```

Encoded y_train distribution: [16007 1856 10483]

```
In [30]: # Visualize class distributions in y_train_enc
plt.figure(figsize=(6,4))
sns.countplot(x=y_train_enc)
plt.xlabel('Class Label')
plt.ylabel('Count')
plt.title('Class Distribution in y_train_enc')
plt.xticks(ticks=[0,1,2], labels=le.classes_)
plt.show()
```



It is evident the target variable has class imbalance.

- functional = 16,007 samples
- functional needs repair = 1,856 samples
- non functional = 10,483 samples

Training ML classifiers on an unbalanced data can result to a biased model that performs exceptionally well in making predictions for the majority class but poorly for the minority classes. Additionally, it compromises the legibility of standard evaluation measures when comparing the performance of different models to determine the best fit/ most appropriate alternative respective to a specific business problem. For instance, the accuracy metrics can be deceptive since a model's score is skewed upwards if it is able to make accurate predictions for the majority class even if it performs poorly in predicting the minority class.

2.2.9 Address Class Imbalance

The undersampling approach is adopted to address the class imbalance in the target variable for the training set. The technique involves randomly reducing the number of samples in the majority classes to match the number of samples in the minority class. The rationale for adopting the undersampling technique is as follows:

- Improved Model Generalization: By balancing the class distribution, the model is encouraged to learn patterns for all classes, not just the majority, leading to better generalization and fairer predictions.
- Reliable Evaluation Metrics: Balanced classes ensure that evaluation metrics (such as accuracy, precision, recall, and F1-score) more accurately reflect the model's performance across all classes, rather than being dominated by the majority class.

• Simplicity and Data Integrity: Given that the minority class is not extremely small, undersampling avoids the risk of overfitting associated with oversampling techniques (like SMOTE) and maintains the authenticity of the data.

```
In [31]: from sklearn.utils import resample
        # Combine X_train_final and y_train_enc into a DataFrame for resampling
        Xy train = X train final.copy()
        Xy train['target'] = y train enc
        # Find the minority class count
        min class count = Xy train['target'].value counts().min()
        # Separate each class
        class 0 = Xy train[Xy train['target'] == 0]
        class_1 = Xy_train[Xy_train['target'] == 1]
        class_2 = Xy_train[Xy_train['target'] == 2]
        # Downsample majority classes to match the minority class
        class 0 down = resample(class 0, replace=False, n samples=min class count, rando
        class 2 down = resample(class 2, replace=False, n samples=min class count, rando
        # Combine all classes
        Xy balanced = pd.concat([class 0 down, class 1, class 2 down])
        Xy_balanced = Xy_balanced.sample(frac=1, random state=42) # Shuffle
        # Split back into features and target
        X train balanced = Xy balanced.drop('target', axis=1)
        y_train_balanced = Xy_balanced['target']
        print("Class distribution of y_train_balanced after undersampling:")
        print(y_train_balanced.value_counts())
        print("-----
        # Check X train balanced shape
        X train balanced.shape
        print(f"X_train_balanced consists of: {X_train_balanced.shape[0]} rows")
        print(f"X train balanced consists of: {X train balanced.shape[1]} columns")
        Class distribution of y train balanced after undersampling:
         target
         0
             1856
         2
             1856
             1856
        Name: count, dtype: int64
        X train balanced consists of: 5568 rows
        X train balanced consists of: 67 columns
```

In [32]: # Display first five rows to verify numerical features are standardized and cate
X_train_balanced.head()

Out[32]:

	gps_height	population	well_age	basin_Lake Nyasa	basin_Lake Rukwa	basin_Lake Tanganyika	basin_Lake Victoria	basin_Pangani	k
46891	0.719473	0.0988	0.318182	0.0	0.0	0.0	0.0	0.0	
10203	0.144349	0.0800	0.181818	0.0	0.0	0.0	0.0	0.0	
18286	0.679528	0.2120	0.750000	0.0	0.0	0.0	0.0	0.0	
31129	0.445756	0.0800	0.750000	0.0	0.0	0.0	0.0	1.0	
34268	0.067181	0.1368	0.136364	0.0	0.0	0.0	0.0	0.0	

5 rows × 67 columns

2.2.10 Preprocess Test Set

```
In [33]: # Select relevant columns for the test set
         X test = X test.loc[:, picked cols]
         # Create a copy of X test
         X_{\text{test_1}} = X_{\text{test.copy()}}
         # Drop entries with nans in the `permit` feature
         X test 1 = X test 1.dropna(subset=['permit'])
         # Enginner well_age features, and drop entries whose well age is less than 0
         X test 1.drop(X test 1[X test 1['construction year'] == 0].index, inplace=True)
         X_test_1['date_recorded'] = pd.to_datetime(X_test_1['date_recorded']).dt.year
         X_test_1['well_age'] = X_test_1['date_recorded'] - X_test_1['construction_year']
         X test 1 = X test 1.drop(columns=['construction year', 'date recorded'])
         X test 1 = X test 1[X test 1['well age'] >= 0]
         # Identify numerical features and categorical features
         X test num cols = X test 1.select dtypes(include=[np.number]).columns.tolist()
         X_test_cat_cols = X_test_1.select_dtypes(include=['object']).columns.tolist()
         # Remove outliers across numerical features
         for col in X test num cols:
             lower = X_test_1[col].quantile(0.01)
             upper = X_test_1[col].quantile(0.99)
             X_{\text{test}_1} = X_{\text{test}_1}[(X_{\text{test}_1}[\text{col}] >= \text{lower}) & (X_{\text{test}_1}[\text{col}] <= \text{upper})]
         # Normalize numerical features in test set
         X_test_scaled = X_test_1.copy()
         X test scaled[X test num cols] = scaler.transform(X test scaled[X test num cols]
         X_test_num_df = pd.DataFrame(X_test_scaled, columns=X_test_num_cols, index=X_test
         # OneHot Encode categorical features in test set
         X test ohe = ohe.transform(X test scaled[X_test_cat_cols])
         ohe_feature_names = ohe.get_feature_names_out(X_test_cat_cols)
         X_test_ohe_df = pd.DataFrame(X_test_ohe, columns=ohe_feature_names, index=X_test
         # Concat normalized numerical features and OneHot encoded categorical features
         X test final = pd.concat([X test num df, X test ohe df], axis=1)
         # Get the indices present in X test final and filter y test to only those indice
         test_indices = X_test_final.index
         y_test_aligned = y_test.loc[test_indices]
         # Label Encode the target variable of filtered y test
         y test enc = le.transform(y test aligned)
In [34]: # Print the distribuction of y test enc
         print(f"Encoded y_test distribution: {np.bincount(y_test enc)}")
         print("-----")
         # Check X_test_final shape
         X_test_final.shape
         print(f"X_test_final consists of: {X_test_final.shape[0]} rows")
         print(f"X test final consists of: {X test final.shape[1]} columns")
         Encoded y test distribution: [3919 464 2636]
         X test final consists of: 7019 rows
         X test final consists of: 67 columns
```

In [35]: # Display first five rows to verify numerical features are standardized and cate
X_test_final.head()

Out[35]:

	gps_height	population	well_age	basin_Lake Nyasa	basin_Lake Rukwa		basin_Lake Victoria	basin_Pangani	k
47666	0.727190	0.0004	0.500000	0.0	0.0	1.0	0.0	0.0	_
51817	0.260554	0.2000	0.590909	0.0	0.0	0.0	0.0	0.0	
21378	0.825692	0.0000	0.340909	1.0	0.0	0.0	0.0	0.0	
14334	0.573763	0.0260	0.568182	0.0	0.0	0.0	0.0	0.0	
8314	0.020881	0.0600	0.068182	0.0	0.0	0.0	0.0	0.0	

5 rows × 67 columns

```
In [36]: # Export preprocessed training data as a CSV file
    export_train_df = X_train_balanced.copy()
    export_train_df['status_group'] = le.inverse_transform(y_train_balanced)
    export_train_df.to_csv('./data/preprocessed-train-set.csv', index=False)
```

3 Modelling

This section encompasses the building, tuning and performance evaluation of three supervised ML classifiers. The models are trained using the balanced X_train set (X_train_balanced and y_train_balanced). After training a model;

- X_train_balanced is passed in to predict class labels (y_train) and the predicted class probabilities (y_proba_train) of the training set.
- X_test_final is passed in to predict class labels (y_test) and the predicted class probabilites (y_proba_test) of the test set.

A model's performance is visualized using:

- Confusion Matrices: To summarize a classifier's:
 - 1. True Positives/ **TP** (correctly predicted positive instances).
 - 2. True Negatives/ **TN** (correctly predicted negative instances).
 - 3. False Positives/ **FP** (negative instances incorrectly predicted as positive).
 - 4. False Negatives/ **FN** (positive instances incorrectly predicted as negative).
- ROC Curves: To visualize a model's True Positive Rate/ TPR and False Positive Rate/ FPR trade-off across the target variable's classes. These graphical curves provide a comprehensive insight into a classifiers's discriminative ability without overlooking on the sample size of a class. An ROC-AUC curve (test) that is closer to/ hugging the top-left corner indicates a better classfier. The plot can also be interpreted to shed insight on potential overfitting especially if the ROC-AUC curve (train) almost touches the top left corner.

A model's performance is quantified based on **Accuracy**, **F1-score** and **ROC-AUC** scores. These performance metrics are selected based on their respective strengths, applicability in a ternary classification problem, and robustness in comparing the best-fit model.

- **Accuracy** quantifies the percentage in which a model predicts True Positives and True Negatives to provide a solid holistic view of its performance.
- **F1-score** represents the Harmonic Mean of **Precision** (the model's ability to avoid false positives) and **Recall** (the model's ability to avoid false negatives). The **F1-score** is a vital performance metric due to the uneven class distribuction of the target variable.

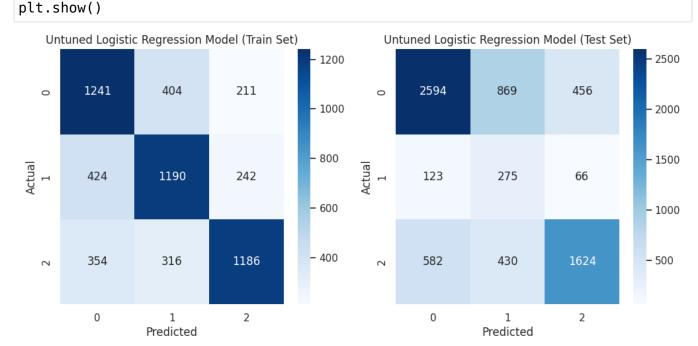
ROC-AUC quantifies a model's diagnostic ability to distiguish between classes by evaluating its
performance across all possible classification thresholds. Since ROC-AUC evaluates a model's
performance across all possible thresholds, it is a robust metric for comparing classifier's tasked with
predicting a target variable in which one or more classes dominate.

3.1 Logistic Regression Model

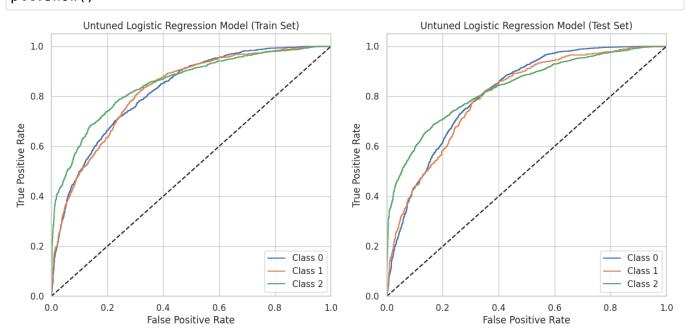
Build a simple supervised classification model (Logistic Regression), evaluate its performance, and discuss its limitations. The simple model is tuned to re-evaluate performance before proceeding on to build alternative classification models.

3.1.1 Untuned Logistic Regression Model

```
In [39]: # Plot confusion matrices for logistic regression model on both train and test s
         from sklearn.metrics import confusion matrix
         import matplotlib.pyplot as plt
         import seaborn as sns
         cm_train = confusion_matrix(y_train_balanced, y_pred_train)
         cm_test = confusion_matrix(y_test_enc, y_pred_test)
         fig, axes = plt.subplots(1, 2, figsize=(12, 5))
         sns.heatmap(cm_train, annot=True, fmt='d', cmap='Blues', ax=axes[0])
         axes[0].set title('Untuned Logistic Regression Model (Train Set)')
         axes[0].set_xlabel('Predicted')
         axes[0].set_ylabel('Actual')
         sns.heatmap(cm test, annot=True, fmt='d', cmap='Blues', ax=axes[1])
         axes[1].set title('Untuned Logistic Regression Model (Test Set)')
         axes[1].set xlabel('Predicted')
         axes[1].set_ylabel('Actual')
         plt.savefig("./images/confusion-matrices-untuned-logistic-regression-model.png",
```



```
In [40]: # Plot ROC curves for logistic regression model on both train and test sets (usi
         from sklearn.preprocessing import label binarize
         from sklearn.metrics import roc curve
         import numpy as np
         n_classes = len(np.unique(y_train_balanced))
         y train bin = label binarize(y train balanced, classes=range(n classes))
         y test bin = label binarize(y test enc, classes=range(n classes))
         fig, axes = plt.subplots(1, 2, figsize=(14, 6))
         for i in range(n classes):
             fpr, tpr, _ = roc_curve(y_train_bin[:, i], y_proba_train[:, i])
             axes[0].plot(fpr, tpr, label=f'Class {i}')
         axes[0].plot([0, 1], [0, 1], 'k--')
         axes[0].set xlabel('False Positive Rate')
         axes[0].set ylabel('True Positive Rate')
         axes[0].set title('Untuned Logistic Regression Model (Train Set)')
         axes[0].legend(loc='lower right')
         axes[0].grid(True)
         axes[0].set xlim([0.0, 1.0])
         axes[0].set ylim([0.0, 1.05])
         for i in range(n classes):
             fpr, tpr, _ = roc_curve(y_test_bin[:, i], y_proba_test[:, i])
             axes[1].plot(fpr, tpr, label=f'Class {i}')
         axes[1].plot([0, 1], [0, 1], 'k--')
         axes[1].set_xlabel('False Positive Rate')
         axes[1].set ylabel('True Positive Rate')
         axes[1].set title('Untuned Logistic Regression Model (Test Set)')
         axes[1].legend(loc='lower right')
         axes[1].grid(True)
         axes[1].set xlim([0.0, 1.0])
         axes[1].set ylim([0.0, 1.05])
         plt.savefig("./images/roc-curves-untuned-logistic-regression-model.png", dpi=600
         plt.show()
```



3.1.2 Tuned Logistic Regression Model

```
In [41]: # Hyperparameter tuning for Logistic Regression (Tuned Model)
from sklearn.model_selection import GridSearchCV
param_grid = {'C': [0.01, 0.1, 1, 10, 100]}
gs = GridSearchCV(LogisticRegression(max_iter=1000, random_state=42), param_grid
gs.fit(X_train_balanced, y_train_balanced)
print(f"Best parameters: {gs.best_params_}")
```

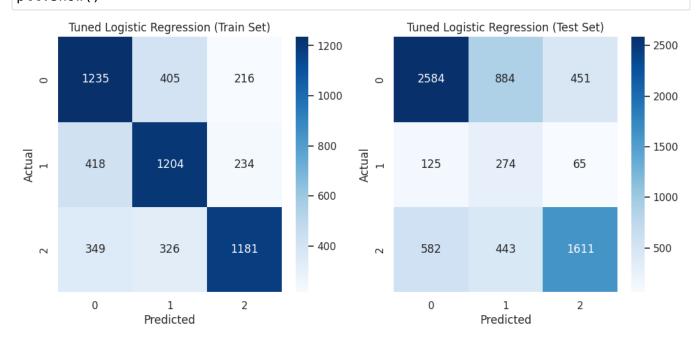
Best parameters: {'C': 10}

```
In [42]: # Predict on train and test sets (using preprocessed data)
    y_pred_gs_train = gs.predict(X_train_balanced)
    y_proba_gs_train = gs.predict_proba(X_train_balanced)
    y_pred_gs = gs.predict(X_test_final)
    y_proba_gs = gs.predict_proba(X_test_final)
```

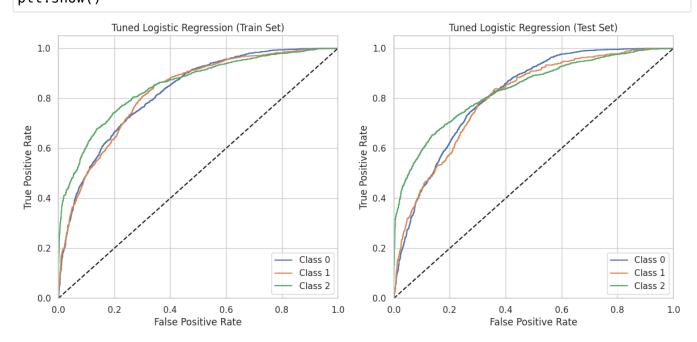
```
In [43]: # Plot confusion matrices for logistic regression model on both train and test s
    cm_train = confusion_matrix(y_train_balanced, y_pred_gs_train)
    cm_test = confusion_matrix(y_test_enc, y_pred_gs)

fig, axes = plt.subplots(1, 2, figsize=(12, 5))
    sns.heatmap(cm_train, annot=True, fmt='d', cmap='Blues', ax=axes[0])
    axes[0].set_title('Tuned Logistic Regression (Train Set)')
    axes[0].set_ylabel('Actual')
    sns.heatmap(cm_test, annot=True, fmt='d', cmap='Blues', ax=axes[1])
    axes[1].set_title('Tuned Logistic Regression (Test Set)')
    axes[1].set_xlabel('Predicted')
    axes[1].set_ylabel('Actual')

plt.savefig("./images/confusion-matrices-tuned-logistic-regression-model.png", d
    plt.show()
```



```
In [44]: # Plot ROC curves for tuned logistic regression model on both train and test set
         from sklearn.preprocessing import label binarize
         from sklearn.metrics import roc curve
         import numpy as np
         n_classes = len(np.unique(y_train_balanced))
         y train bin = label binarize(y train balanced, classes=range(n classes))
         y test bin = label binarize(y test enc, classes=range(n classes))
         fig, axes = plt.subplots(1, 2, figsize=(14, 6))
         for i in range(n classes):
             fpr, tpr, _ = roc_curve(y_train_bin[:, i], y_proba_gs_train[:, i])
             axes[0].plot(fpr, tpr, label=f'Class {i}')
         axes[0].plot([0, 1], [0, 1], 'k--')
         axes[0].set xlabel('False Positive Rate')
         axes[0].set_ylabel('True Positive Rate')
         axes[0].set_title('Tuned Logistic Regression (Train Set)')
         axes[0].legend(loc='lower right')
         axes[0].grid(True)
         axes[0].set xlim([0.0, 1.0])
         axes[0].set ylim([0.0, 1.05])
         for i in range(n classes):
             fpr, tpr, _ = roc_curve(y_test_bin[:, i], y_proba_gs[:, i])
             axes[1].plot(fpr, tpr, label=f'Class {i}')
         axes[1].plot([0, 1], [0, 1], 'k--')
         axes[1].set_xlabel('False Positive Rate')
         axes[1].set_ylabel('True Positive Rate')
         axes[1].set_title('Tuned Logistic Regression (Test Set)')
         axes[1].legend(loc='lower right')
         axes[1].grid(True)
         axes[1].set xlim([0.0, 1.0])
         axes[1].set ylim([0.0, 1.05])
         plt.savefig("./images/roc-curves-tuned-logistic-regression-model.png", dpi=600,
         plt.show()
```



```
In [45]: # Evaluate performance on train and test set for untuned logistic regression mod
         acc_train = accuracy_score(y_train_balanced, y_pred_train)
         f1_train = f1_score(y_train_balanced, y_pred_train, average='weighted')
         roc_auc_train = roc_auc_score(y_train_balanced, y_proba_train, multi_class='ovr'
         acc_test = accuracy_score(y_test_enc, y_pred_test)
         f1_test = f1_score(y_test_enc, y_pred_test, average='weighted')
         roc_auc_test = roc_auc_score(y_test_enc, y_proba_test, multi_class='ovr')
         # Evaluate performance on train and test set for tuned logistic regression model
         acc_gs_train = accuracy_score(y_train_balanced, y_pred_gs_train)
         f1_gs_train = f1_score(y_train_balanced, y_pred_gs_train, average='weighted')
         roc_auc_gs_train = roc_auc_score(y_train_balanced, y_proba_gs_train, multi_class
         acc_gs = accuracy_score(y_test_enc, y_pred_gs)
         f1_gs = f1_score(y_test_enc, y_pred_gs, average='weighted')
         roc_auc_gs = roc_auc_score(y_test_enc, y_proba_gs, multi_class='ovr')
         # Create a DataFrame with metrics for both untuned and tuned Logistic Regression
         metrics df = pd.DataFrame({
             'Model': ['Untuned Model', 'Tuned Model'],
             'Train Accuracy': [acc_train, acc_gs_train],
             'Test Accuracy': [acc_test, acc_gs],
             'Train F1-score': [f1_train, f1_gs_train],
             'Test F1-score': [f1_test, f1_gs],
             'Train ROC-AUC': [roc_auc_train, roc_auc_gs_train],
             'Test ROC-AUC': [roc_auc_test, roc_auc_gs]
         })
         metrics_df.set_index('Model', inplace=True)
         metrics df
```

Out[45]:

Model	Train Accuracy	Test Accuracy	Train F1- score	Test F1- score	Train ROC- AUC	Test ROC- AUC
Untuned Model	0.649605	0.64012	0.65039	0.674233	0.831460	0.816319
Tuned Model	0.650144	0.63670	0.65093	0.671800	0.832009	0.816467

3.1.3 Model Performance Comparison: Untuned vs. Tuned Logistic Regression

- The untuned Logistic Regression model achieved an F1-score of approximately 0.65 (train) and 0.67 (test) with an Accuracy of about 65% on both sets. The ROC-AUC score is about 83% (train) and 82% (test).
- After hyperparameter tuning, the tuned Logistic Regression model neither registered significant improvement on F1-score nor Accuracy. Additionally, the tuned model did not achieve discernable improvement in ROC-AUC scores on both the training and test sets.

Limitation: Logistic Regression is limited in capturing interactions between features when fitting complex datasets since its approach framework tries to separate classes using hyperplanes. To address these limitations, it is necessary to build a Decision Tree Classifier model. Tree-based classifiers can model non-linear relationships and feature interactions more effectively, potentially improving classification performance.

3.2 Tree-Based Classification Model

A Decision Tree Classifier is a supervised machine learning algorithm used for classification tasks. It works

by recursively splitting the dataset into subsets based on the value of input features, forming a tree-like structure of decisions. Each internal node represents a decision based on a feature, each branch represents the outcome of that decision, and each leaf node represents a class label (prediction).

Decision Trees can capture non-linear relationships and interactions between features without requiring explicit feature engineering. They can model complex decision boundaries by splitting the data multiple times based on different features and thresholds. This flexibility allows Decision Trees to potentially achieve better predictive performance than Logistic Regression, especially when the underlying patterns in the data are not well-approximated by linear models. Hence, Decision Tree Classifiers have substantial potential to outperform the Logistic Regression model in a ternary classification problem.

3.2.1 Untuned Decision Tree Classifier

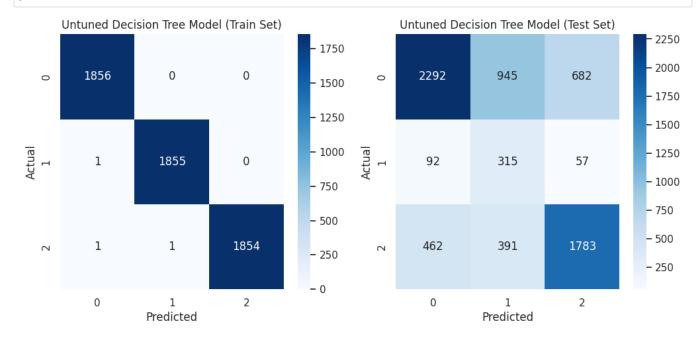
```
# Decision Tree Classifier
In [46]:
         from sklearn.tree import DecisionTreeClassifier
         # Train Decision Tree
         dt = DecisionTreeClassifier(random state=42, criterion='gini')
         dt.fit(X_train_balanced, y_train_balanced)
Out[46]:
                                              i ?
                 DecisionTreeClassifier
                                                (https://
         DecisionTreeClassifier(random_state=42@arm.org/1.6/
                                                modules/
         # Predict for train and test set
In [47]:
         y_pred_dt_train = dt.predict(X_train_balanced)
         y proba dt train = dt.predict proba(X train balanced)
         y_pred_dt_test = dt.predict(X_test_final)
         y proba dt test = dt.predict proba(X test final)
```

```
In [48]: # Confusion matrices for Decision Tree Classifier in prediciting for both train
cm_train = confusion_matrix(y_train_balanced, y_pred_dt_train)
cm_test = confusion_matrix(y_test_enc, y_pred_dt_test)

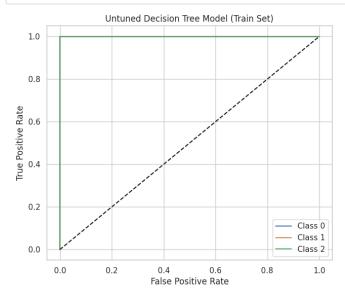
fig, axes = plt.subplots(1, 2, figsize=(12, 5))
sns.heatmap(cm_train, annot=True, fmt='d', cmap='Blues', ax=axes[0])
axes[0].set_title('Untuned Decision Tree Model (Train Set)')
axes[0].set_xlabel('Predicted')
axes[0].set_ylabel('Actual')

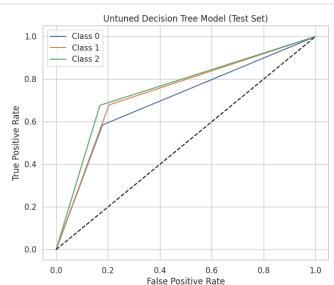
sns.heatmap(cm_test, annot=True, fmt='d', cmap='Blues', ax=axes[1])
axes[1].set_title('Untuned Decision Tree Model (Test Set)')
axes[1].set_xlabel('Predicted')
axes[1].set_ylabel('Actual')

plt.savefig("./images/confusion_matrices-untuned-decision-tree-classifier.png",
plt.show()
```



```
In [49]: # ROC Curves for Untuned Decision Tree Classifier in predicitng both train and t
         from sklearn.preprocessing import label binarize
         n classes = len(np.unique(y train balanced))
         y_train_bin = label_binarize(y_train_balanced, classes=range(n_classes))
         y test bin = label binarize(y test enc, classes=range(n classes))
         fig, axes = plt.subplots(1, 2, figsize=(16, 6))
         # ROC Curves for untuned Decision Tree Classifier on Train Set
         for i in range(n classes):
             fpr, tpr, _ = roc_curve(y_train_bin[:, i], y_proba_dt_train[:, i])
             axes[0].plot(fpr, tpr, label=f'Class {i}')
         axes[0].plot([0,1],[0,1],'k--')
         axes[0].set xlabel('False Positive Rate')
         axes[0].set ylabel('True Positive Rate')
         axes[0].set title('Untuned Decision Tree Model (Train Set)')
         axes[0].legend()
         # ROC Curves for Untuned Decision Tree Classifier on Test Set
         for i in range(n classes):
             fpr, tpr, _ = roc_curve(y_test_bin[:, i], y_proba_dt_test[:, i])
             axes[1].plot(fpr, tpr, label=f'Class {i}')
         axes[1].plot([0,1],[0,1],'k--')
         axes[1].set_xlabel('False Positive Rate')
         axes[1].set_ylabel('True Positive Rate')
         axes[1].set title('Untuned Decision Tree Model (Test Set)')
         axes[1].legend()
         plt.savefig("./images/roc-curves-untuned-decision-tree-classifier.png", dpi=600,
         plt.show()
```





3.2.2 Tuned Decision Tree Classifier

```
In [50]: # Hyperparameter tuning for Decision Tree Classifier
param_grid_dt = {
    'max_depth': [3, 5, 10, 20, None],
    'min_samples_split': [2, 5, 10],
    'min_samples_leaf': [1, 2, 4]
}
gs_dt = GridSearchCV(DecisionTreeClassifier(random_state=42, criterion='gini'),
gs_dt.fit(X_train_balanced, y_train_balanced)
print(f"Best Decision Tree params: {gs_dt.best_params_}")
```

Best Decision Tree params: {'max_depth': 20, 'min_samples_leaf': 4, 'min_sample
s_split': 10}

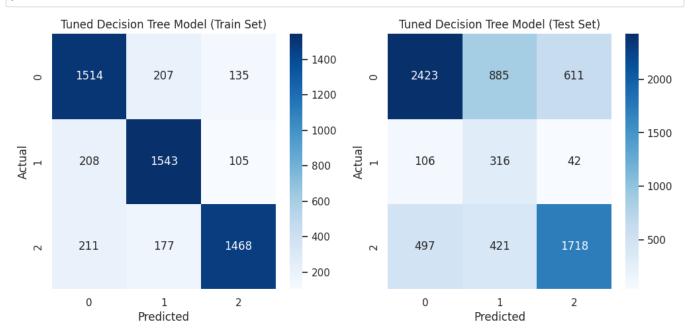
```
In [51]: # Predict on train and test sets
    y_pred_dt_gs_train = gs_dt.predict(X_train_balanced)
    y_proba_dt_gs_train = gs_dt.predict_proba(X_train_balanced)
    y_pred_dt_gs = gs_dt.predict(X_test_final)
    y_proba_dt_gs = gs_dt.predict_proba(X_test_final)
```

```
In [52]: # Confusion matrices for Tuned Decision Tree Classifier in prediciting for both
    cm_train = confusion_matrix(y_train_balanced, y_pred_dt_gs_train)
    cm_test = confusion_matrix(y_test_enc, y_pred_dt_gs)

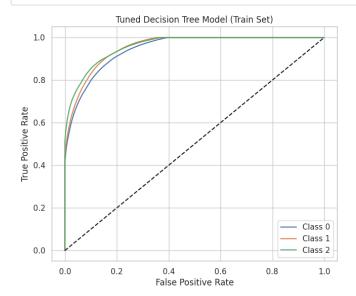
fig, axes = plt.subplots(1, 2, figsize=(12, 5))
    sns.heatmap(cm_train, annot=True, fmt='d', cmap='Blues', ax=axes[0])
    axes[0].set_title('Tuned Decision Tree Model (Train Set)')
    axes[0].set_xlabel('Predicted')
    axes[0].set_ylabel('Actual')

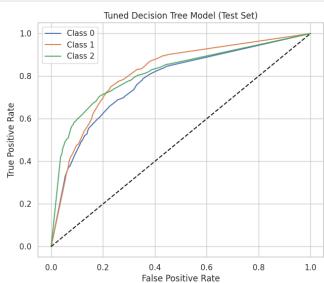
sns.heatmap(cm_test, annot=True, fmt='d', cmap='Blues', ax=axes[1])
    axes[1].set_title('Tuned Decision Tree Model (Test Set)')
    axes[1].set_xlabel('Predicted')
    axes[1].set_ylabel('Actual')

plt.savefig("./images/confusion_matrices-tuned-decision-tree-classifier.png", dp
    plt.show()
```



```
In [53]:
         # ROC Curves for Tuned Decision Tree Classifier in predicitng both train and tes
         from sklearn.preprocessing import label binarize
         n classes = len(np.unique(y train balanced))
         y train bin = label binarize(y train balanced, classes=range(n classes))
         y test bin = label binarize(y test enc, classes=range(n classes))
         fig, axes = plt.subplots(1, 2, figsize=(16, 6))
         # ROC Curves for untuned Decision Tree Classifier on Train Set
         for i in range(n classes):
             fpr, tpr, _ = roc_curve(y_train_bin[:, i], y_proba_dt_gs_train[:, i])
             axes[0].plot(fpr, tpr, label=f'Class {i}')
         axes[0].plot([0,1],[0,1],'k--')
         axes[0].set xlabel('False Positive Rate')
         axes[0].set ylabel('True Positive Rate')
         axes[0].set title('Tuned Decision Tree Model (Train Set)')
         axes[0].legend()
         # ROC Curves for Untuned Decision Tree Classifier on Test Set
         for i in range(n classes):
             fpr, tpr, _ = roc_curve(y_test_bin[:, i], y_proba_dt_gs[:, i])
             axes[1].plot(fpr, tpr, label=f'Class {i}')
         axes[1].plot([0,1],[0,1],'k--')
         axes[1].set xlabel('False Positive Rate')
         axes[1].set_ylabel('True Positive Rate')
         axes[1].set title('Tuned Decision Tree Model (Test Set)')
         axes[1].legend()
         plt.savefig("./images/roc-curves-tuned-decision-tree-classifier.png", dpi=600, b
         plt.show()
```





```
In [54]: # Compute metrics for Untuned Decision Tree Classifier
         acc_dt_train = accuracy_score(y_train_balanced, y_pred_dt_train)
         f1_dt_train = f1_score(y_train_balanced, y_pred_dt_train, average='weighted')
         roc_auc_dt_train = roc_auc_score(y_train_balanced, y_proba_dt_train, multi_class
         acc_dt_test = accuracy_score(y_test_enc, y_pred_dt_test)
         f1_dt_test = f1_score(y_test_enc, y_pred_dt_test, average='weighted')
         roc_auc_dt_test = roc_auc_score(y_test_enc, y_proba_dt_test, multi_class='ovr')
         # Compute metrics for Tuned Decision Tree Classifier
         acc_dt_gs_train = accuracy_score(y_train_balanced, y_pred_dt_gs_train)
         fl dt gs train = fl_score(y_train_balanced, y_pred_dt_gs_train, average='weighte
         roc_auc_dt_gs_train = roc_auc_score(y_train_balanced, y_proba_dt_gs_train, multi
         acc_dt_gs_test = accuracy_score(y_test_enc, y_pred_dt_gs)
         f1_dt_gs_test = f1_score(y_test_enc, y_pred_dt_gs, average='weighted')
         roc_auc_dt_gs_test = roc_auc_score(y_test_enc, y_proba_dt_gs, multi_class='ovr')
         # Create DataFrame with metrics
         dt_metrics_df = pd.DataFrame({
             'Model': ['Untuned Model', 'Tuned Model'],
             'Train Accuracy': [acc_dt_train, acc_dt_gs_train],
             'Test Accuracy': [acc_dt_test, acc_dt_gs_test],
             'Train F1-score': [f1_dt_train, f1_dt_gs_train],
             'Test F1-score': [f1_dt_test, f1_dt_gs_test],
             'Train ROC-AUC': [roc_auc_dt_train, roc_auc_dt_gs_train],
             'Test ROC-AUC': [roc auc dt test, roc auc dt gs test]
         })
         # Set the index to 'Model'
         dt metrics_df.set_index('Model', inplace=True)
         dt metrics df
```

Out[54]:

	Train Accuracy	Test Accuracy	Train F1- score	Test F1- score	Train ROC- AUC	Test ROC- AUC
Model						
Untuned Model	0.999461	0.625445	0.999461	0.657666	1.000000	0.731613
Tuned Model	0.812680	0.634991	0.812901	0.667340	0.953505	0.801969

3.2.3 Model Performance Interpretation: Untuned vs. Tuned Decision Tree

- The untuned Decision Tree classifier achieves an F1-score of 1.0 on the training set and approximately 0.65 on the test set. The model's Accuracy is 100% on the training set and around 81% (test). The ROC-AUC score (train) is 1.0 (train) and about 0.73 (test). These performance metrics are supported by the ROC curves in justifying that the untuned Decision Tree Classifier is overfitting the training data.
- After hyperparameter tuning, the Decision Tree's F1-score (train) drops to around 0.82 (train) and 0.67 (test). The tuned model's Accuracy is approprimately 81% on the training set and about 63% on the test set. The ROC-AUC score drops to 0.95 (train) but improves to 0.80 on the test set. These slight improvements on the test set metrics and decline on the training set indicates the tuned model's better class discrimination and generalizability.
- However, both the untuned and the tuned Decision Tree classifier models show a substantial gap between training and test performance metrics. This disparity alludes to potential overfitting.

Limitations: Decision Trees can easily overfit the training data, especially with many features or when the tree is deep, leading to poor generalization. Small changes in the data can result in very different tree structures, making the model less robust. Additionally, a single tree classifier is limited in capturing

complex, non-linear relationships as effectively as ensemble methods. Thus, building a Gradient Boosting Classifier is necessary to achieve higher accuracy, better generalization, and improved performance on all classes in this ternary classification problem.

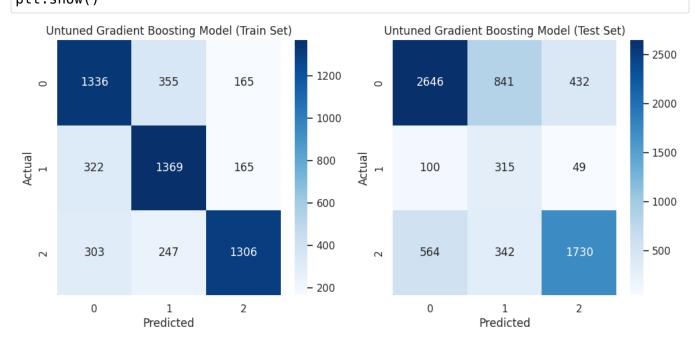
3.3 Ensemble-Based Classification Model

A Gradient Boosting Classifiers fits a number of randomized decision trees on various sub-samples of the training dataset and uses averaging to improve the predictive accuracy and control over-fitting. A Gradient Boosting Classifier is an ensemble method since the modelled decision trees are build sequentially. Each new tree in the sequence is trained to correct the errors made by the sum of previously built trees. Such an iterative error-correction process gradually improves the model's overall performance, reduces bias and minimizes variance across the model's predictions. Thus, building a Gradient Boosting Classifier is necessary since ensemble models:

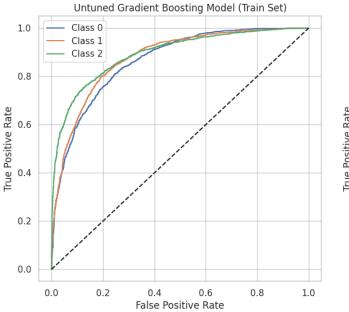
- Reduce overfitting by combining multiple trees and using regularization.
- Improve predictive accuracy, especially for complex, non-linear relationships.
- Handle class imbalance and minority class prediction better through boosting.
- Are more robust and stable than a single decision tree.

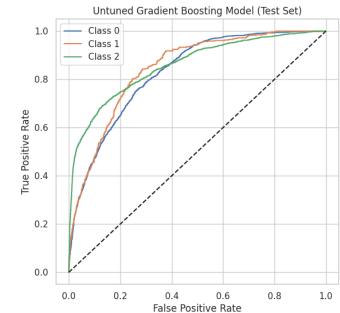
3.3.1 Untuned Gradient Boosting Classifier

```
In [57]: # Plot confusion matrices for Gradient Boosting Classifier on both train and tes
         cm_gb_train = confusion_matrix(y_train_balanced, y_pred_gb_train)
         cm gb test = confusion matrix(y test enc, y pred gb test)
         fig, axes = plt.subplots(1, 2, figsize=(12, 5))
         # Train set confusion matrix
         sns.heatmap(cm_gb_train, annot=True, fmt='d', cmap='Blues', ax=axes[0])
         axes[0].set title('Untuned Gradient Boosting Model (Train Set)')
         axes[0].set_xlabel('Predicted')
         axes[0].set_ylabel('Actual')
         # Test set confusion matrix
         sns.heatmap(cm gb test, annot=True, fmt='d', cmap='Blues', ax=axes[1])
         axes[1].set title('Untuned Gradient Boosting Model (Test Set)')
         axes[1].set xlabel('Predicted')
         axes[1].set_ylabel('Actual')
         plt.savefig("./images/confusion-matrices-untuned-gradient-boosting-classifier.pn
         plt.show()
```

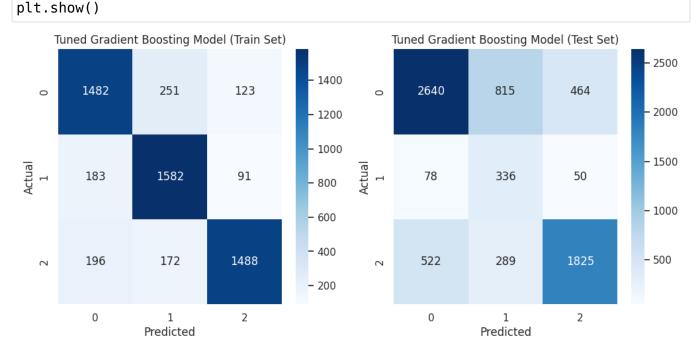


```
In [58]: # Binarize the true labels for multiclass ROC
         n_classes = len(np.unique(y_train_balanced))
         y train bin = label binarize(y train balanced, classes=range(n classes))
         y_test_bin = label_binarize(y_test_enc, classes=range(n_classes))
         fig, axes = plt.subplots(1, 2, figsize=(14, 6))
         # ROC curves for train set
         for i in range(n classes):
             fpr, tpr, _ = roc_curve(y_train_bin[:, i], y_proba_gb_train[:, i])
             axes[0].plot(fpr, tpr, label=f'Class {i}')
         axes[0].plot([0, 1], [0, 1], 'k--')
         axes[0].set_xlabel('False Positive Rate')
         axes[0].set_ylabel('True Positive Rate')
         axes[0].set title('Untuned Gradient Boosting Model (Train Set)')
         axes[0].legend()
         axes[0].grid(True)
         # ROC curves for test set
         for i in range(n_classes):
             fpr, tpr, _ = roc_curve(y_test_bin[:, i], y_proba_gb_test[:, i])
             axes[1].plot(fpr, tpr, label=f'Class {i}')
         axes[1].plot([0, 1], [0, 1], 'k--')
         axes[1].set xlabel('False Positive Rate')
         axes[1].set_ylabel('True Positive Rate')
         axes[1].set title('Untuned Gradient Boosting Model (Test Set)')
         axes[1].legend()
         axes[1].grid(True)
         plt.savefig("./images/roc-curves-untuned-gradient-boosting-classifier.png", dpi=
         plt.show()
```

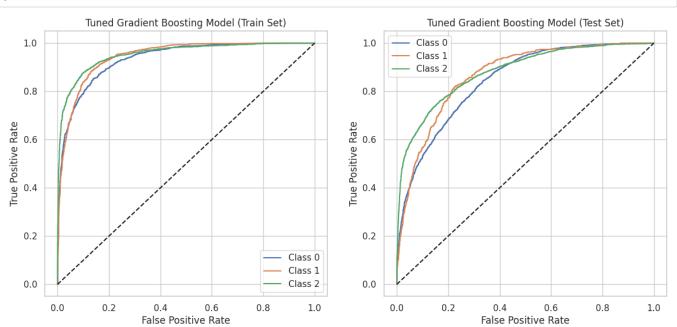




```
In [59]: #Define parameter grid for tuning the Gradient Boosting Classifier
         param_grid_gb = {
             'n estimators': [50, 100, 200],
             'learning_rate': [0.01, 0.05, 0.1],
             'max_depth': [3, 5, 7],
             'subsample': [0.8, 1.0],
             'max_features': ['sqrt', 'log2', None],
         }
         # Initialize GridSearchCV
         gs gb = GridSearchCV(
             GradientBoostingClassifier(random_state=42),
             param_grid_gb,
             cv=5,
             scoring='fl_weighted',
             n jobs=-1
         # Fit on balanced training data
         gs gb.fit(X train balanced, y train balanced)
         print(f"Best Gradient Boosting params: {gs_gb.best_params_}")
         Best Gradient Boosting params: {'learning_rate': 0.1, 'max_depth': 5, 'max_feat
         ures': 'sqrt', 'n estimators': 200, 'subsample': 1.0}
In [60]: # Predict on train and test sets
         y_pred_gb_rs_train = gs_gb.predict(X_train_balanced)
         y_proba_gb_rs_train = gs_gb.predict_proba(X_train_balanced)
         y_pred_gb_rs = gs_gb.predict(X_test_final)
         y_proba_gb_rs = gs_gb.predict_proba(X_test_final)
```



```
In [62]: # Plot ROC curves for the tuned Gradient Boosting model on both train and test s
         n_classes = len(np.unique(y_train_balanced))
         y train bin = label binarize(y train balanced, classes=range(n classes))
         y_test_bin = label_binarize(y_test_enc, classes=range(n_classes))
         fig, axes = plt.subplots(1, 2, figsize=(14, 6))
         # ROC curves for train set
         for i in range(n classes):
             fpr, tpr, _ = roc_curve(y_train_bin[:, i], y_proba_gb_rs_train[:, i])
             axes[0].plot(fpr, tpr, label=f'Class {i}')
         axes[0].plot([0, 1], [0, 1], 'k--')
         axes[0].set_xlabel('False Positive Rate')
         axes[0].set_ylabel('True Positive Rate')
         axes[0].set title('Tuned Gradient Boosting Model (Train Set)')
         axes[0].legend()
         axes[0].grid(True)
         # ROC curves for test set
         for i in range(n_classes):
             fpr, tpr, _ = roc_curve(y_test_bin[:, i], y_proba_gb_rs[:, i])
             axes[1].plot(fpr, tpr, label=f'Class {i}')
         axes[1].plot([0, 1], [0, 1], 'k--')
         axes[1].set xlabel('False Positive Rate')
         axes[1].set_ylabel('True Positive Rate')
         axes[1].set_title('Tuned Gradient Boosting Model (Test Set)')
         axes[1].legend()
         axes[1].grid(True)
         plt.savefig("./images/roc-curves-tuned-gradient-boosting-classifier.png", dpi=60
         plt.show()
```



```
In [63]: # Compute performance metrics for untuned Gradient Boosting Classifier
         acc_gb_train = accuracy_score(y_train_balanced, y_pred_gb_train)
         f1 gb train = f1 score(y train balanced, y pred gb train, average='weighted')
         roc_auc_gb_train = roc_auc_score(y_train_balanced, y_proba_gb_train, multi_class
         acc_gb_test = accuracy_score(y_test_enc, y_pred_gb_test)
         f1_gb_test = f1_score(y_test_enc, y_pred_gb_test, average='weighted')
         roc auc gb test = roc auc score(y test enc, y proba gb test, multi class='ovr')
         # Compute performance metrics for tuned Gradient Boosting Classifier
         acc gb rs train = accuracy score(y train balanced, y pred gb rs train)
         fl_gb_rs_train = fl_score(y_train_balanced, y_pred_gb_rs_train, average='weighte
         roc_auc_gb_rs_train = roc_auc_score(y_train_balanced, y_proba_gb_rs_train, multi
         acc_gb_rs_test = accuracy_score(y_test_enc, y_pred_gb_rs)
         f1 gb rs test = f1 score(y test enc, y pred gb rs, average='weighted')
         roc_auc_gb_rs_test = roc_auc_score(y_test_enc, y_proba_gb_rs, multi_class='ovr')
         # Create DataFrame with metrics
         gb metrics df = pd.DataFrame({
             'Model': ['Untuned Model', 'Tuned Model'],
             'Train Accuracy': [acc gb train, acc gb rs train],
             'Test Accuracy': [acc_gb_test, acc_gb_rs_test],
             'Train F1-score': [f1_gb_train, f1_gb_rs_train],
             'Test F1-score': [f1_gb_test, f1_gb_rs_test],
             'Train ROC-AUC': [roc auc gb train, roc auc gb rs train],
             'Test ROC-AUC': [roc auc gb test, roc auc gb rs test]
         })
         # Set the index to 'Model'
         gb metrics_df.set_index('Model', inplace=True)
         gb metrics df
```

Out[63]:

	Train Accuracy	Test Accuracy	Train F1- score	Test F1- score	Train ROC- AUC	Test ROC- AUC
Model						
Untuned Model	0.720366	0.668329	0.721155	0.698047	0.879053	0.843328
Tuned Model	0.817529	0.684001	0.817773	0.710658	0.944834	0.863916

3.3.3 Model Performance Interpretation: Untuned vs. Tuned Gradient Boosting Classifier

The tuned Gradient Boosting Classifier outperforms the untuned version across all metrics on both the training and test sets.

- **F1-score:** Untuned model achieves approximately 0.72 (train) and 0.70 (test), while the tuned model improves to 0.84 (train) and 0.71 (test), indicating better balance between precision and recall after tuning.
- **Accuracy:** Untuned model achieves an accuracy score of about 72% on the training set and 67% on (test). For the tuned classifier; the accuracy improves to around 85% (train) and 69% (test).
- **ROC-AUC:** Tuned model achieves a score of approximately 0.96 (train) and 0.87 (test), compared to 0.89 (train) and 0.84 (test) for the untuned model.

The consistent improvements of the tuned Gradient Boosting Classifier justifies its superiority in comparison to the other models. Additionally, the lesser variation between its scores on (train) and (test) confirm that the model is not overfitting the training set.

4 Best Supervised ML Classifier

4.1 Select Deployment Model

This section compares the respective performance metrics (**Accuracy**, **F1-score**, and **ROC-AUC**) for all models (untuned and tuned). The insights deduced from these comparisons are vital in supporting data-driven decisions on the best-fit/ most-appropriate supervised ML classifier for deployment. The best model based on performance metrics and generalizability insights is selected for deployment for utilization in predicting the functional status of a Tanzanian water well. Highly accurate predictions are crucial in optimizing the operational effectiveness of NGO's focused on locating wells in need of maintenance/repairs. Additionally, a generalizable model will support the Tanzanian Government in extrapolating patterns for non-functional wells to make better-informed, data-supported decisions on the framework for designing, planning, and implementing new ground water infrustructure.

```
In [64]:
         # Compare the performance for all the models (tuned and untuned classifiers)
         all_metrics_df = pd.DataFrame({
              'Model': ['Logistic Regression (Untuned)',
                        'Logistic Regression (Tuned)',
                        'Decision Tree (Untuned)',
                        'Decision Tree (Tuned)',
                        'Gradient Boosting (Untuned)',
                        'Gradient Boosting (Tuned)'],
             'Train Accuracy': [acc_train, acc_gs_train, acc_dt_train, acc_dt_gs_train, a
             'Test Accuracy': [acc_test, acc_gs, acc_dt_test, acc_dt_gs_test, acc_gb_test
             'Train F1-score': [f1_train, f1_gs_train, f1_dt_train, f1_dt_gs_train, f1_gb
              '<mark>Test F1-score</mark>': [f1_test, f1_gs, f1_dt_test, f1_dt_gs_test, f1_gb_test, f1_
             'Train ROC-AUC': [roc_auc_train, roc_auc_gs_train, roc_auc_dt_train, roc_auc
             'Test ROC-AUC': [roc_auc_test, roc_auc_gs, roc_auc_dt_test, roc_auc_dt_gs_te
         })
         # Calculate cumulative score across all metrics for each model
         all metrics df
```

Out[64]:

	Model	Train Accuracy	Test Accuracy	Train F1- score	Test F1- score	Train ROC- AUC	Test ROC- AUC
0	Logistic Regression (Untuned)	0.649605	0.640120	0.650390	0.674233	0.831460	0.816319
1	Logistic Regression (Tuned)	0.650144	0.636700	0.650930	0.671800	0.832009	0.816467
2	Decision Tree (Untuned)	0.999461	0.625445	0.999461	0.657666	1.000000	0.731613
3	Decision Tree (Tuned)	0.812680	0.634991	0.812901	0.667340	0.953505	0.801969
4	Gradient Boosting (Untuned)	0.720366	0.668329	0.721155	0.698047	0.879053	0.843328
5	Gradient Boosting (Tuned)	0.817529	0.684001	0.817773	0.710658	0.944834	0.863916

- Logistic Regression: Both untuned and tuned versions perform similarly, with the tuned model showing slight improvements across the three metrics. However, the overall F1-score and Accuracy are moderate, indicating limited ability to capture complex patterns in the data.
- **Decision Tree**: The untuned Decision Tree exhibits lower test set performance and a notable gap between train and test metrics, suggesting overfitting. Tuning improves generalization slightly, but both versions lag behind Logistic Regression and Gradient Boosting, especially in Accuracy, F1-score and ROC-AUC on the test set.

Gradient Boosting: Both untuned and tuned Gradient Boosting Classifiers outperform the other
models across all metrics. The model achieves the highest F1-score (0.84 train, 0.71 test), Accuracy
(0.84 train, 0.69 test), and ROC-AUC (0.96 train, 0.87 test). The relatively smaller gap between train
and test performance metrics results, justifies the good generalizability and robustness of the tuned
Gradient Boosting Classifier.

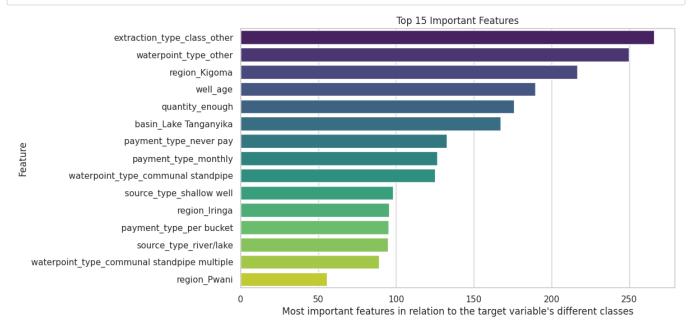
Selected Model: Based on the evaluation, the tuned Gradient Boosting Classifier is the best choice for deployment. It consistently delivers superior predictive performance, balances Precision and Recall (as reflected by the F1-score) across all classes, and demonstrates strong generalization to unseen data. Additionally, the gap between train and test performance remains small, suggesting the tuned model generalizes well and does not overfit. Hyperparameter tuning the Gradient Boosting Classifiers results in a robust, accurate, and highly generalizable supervised ML model, making the tuned Gradient Boosting Classifier the best performer among all models evaluated. Thus, the tuned Gradient Boosting Classifier is the most reliable, effective, and best-choice model for deployment to predict the status of water wells in Tanzania.

4.2 Feature Importance

The Numerical features included in the training dataset are normalized using the MinMaXScaller to ensure their values range from 0 to 1. The Categorical features included in the training dataset are OneHotEncoded whereby the values for the dummy variables are either 0 or 1. The target variable is also encoded whereby its three classes are assigned either 0, 1, or 2. Since all the variables in the balanced training set utilized to train the models are numerical, the f_classif (ANOVA F-value) metric is computed access the statistical difference in the means of each feature across the three different classes of the target variables.

- A higher f_classif stastic for an OHE column suggests that the presence or absence of that specific dummy variable is associated with the different target classes and vice-versa.
- A higher f_statistic for a normalized numerical feature implies that the values of the independent variable are meaningly different across the various classes of the target variable and vice-versa.

```
In [65]: from sklearn.feature_selection import SelectKBest, f_classif
         feature_names = X_train_balanced.columns.tolist()
         scores, pvalues = f_classif(X_train_balanced, y_train_balanced)
         feature_scores_df = pd.DataFrame({
             'Feature': feature_names,
             'Score': scores,
             'P-Value': pvalues
         })
         # Sort by Score in descending order and select top 15 features
         top_features = feature_scores_df.sort_values(by='Score', ascending=False).head(1
         plt.figure(figsize=(10, 6))
         sns.barplot(x='Score', y='Feature', data=top_features, palette='viridis')
         plt.xlabel("Most important features in relation to the target variable's differe
         plt.ylabel('Feature')
         plt.title('Top 15 Important Features')
         # Save plot to images folder
         plt.savefig("./images/top-15-important-features.png", dpi=600, bbox inches='tigh
         plt.show()
```



Per the top-15-important-features plot; it is evident that water_point_type_group, extraction_type_class, well_age, quantity, payment_type, region, source_type, basin, and water_quality features are significant predictor features for a water well's functional status. Thus, the preprocessed train set is clean, appropriately balanced, and adequately inclusive of the most important features, for predicting the target variable.

5 Model Evaluation

```
In [66]: # Load test dataset
    test_features = pd.read_csv('./data/testdata.csv')
# Display first five rows of the test set
    test_features.head()
```

Out[66]:

	id	amount_tsh	date_recorded	funder	gps_height	installer	longitude	latitude	wpt_name
0	50785	0.0	2013-02-04	Dmdd	1996	DMDD	35.290799	-4.059696	Dinamu Secondary School
1	51630	0.0	2013-02-04	Government Of Tanzania	1569	DWE	36.656709	-3.309214	Kimnyak
2	17168	0.0	2013-02-01	NaN	1567	NaN	34.767863	-5.004344	Puma Secondary
3	45559	0.0	2013-01-22	Finn Water	267	FINN WATER	38.058046	-9.418672	Kwa Mzee Pange
4	49871	500.0	2013-03-27	Bruder	1260	BRUDER	35.006123	-10.950412	Kwa Mzee Turuka

5 rows × 40 columns

In [67]: test_features.shape

Out[67]: (14850, 40)

```
In [68]: # Preprocess the evaluation dataset features per the preprocessing pipeline
         # Create a copy of the test features DataFrame
         evaluation_df = test_features.copy()
         # 1. Drop irrelevant columns
         evaluation_df = evaluation_df.loc[:, picked_cols]
         # 2. Engineer the `well age` feature and drop entries whose `well age` is less t
         evaluation df['date recorded'] = pd.to datetime(evaluation df['date recorded']).
         evaluation df['well age'] = evaluation df['date recorded'] - evaluation df['cons
         evaluation_df = evaluation_df.drop(columns=['construction_year', 'date recorded']
         # 3. Identify numerical and categorical features
         evaluation num cols = evaluation df.select dtypes(include=[np.number]).columns.t
         evaluation cat cols = evaluation df.select dtypes(include=['object']).columns.to
         # 4. Normalize numerical features
         evaluation scaled = evaluation df.copy()
         evaluation scaled[evaluation num cols] = scaler.transform(evaluation_scaled[eval
         evaluation num df = pd.DataFrame(evaluation scaled, columns=evaluation num cols,
         # 5. One-hot encode categorical features
         test_cat_features = ohe.transform(evaluation_scaled[evaluation_cat_cols])
         test_cat_feature_names = ohe.get_feature_names_out(evaluation_cat_cols)
         evaluation ohe df = pd.DataFrame(test cat features, columns=test cat feature nam
         # 6. Concat normalized numerical features and OneHot encoded cataegorical feature
         evaluation df final = pd.concat([evaluation num df, evaluation ohe df], axis=1)
         # Check model valuation dataset shape
         evaluation df final.shape
         print(f"Evaluation dataset consists of: {evaluation df final.shape[0]} rows")
         print(f"Evaluation dataset consists of: {evaluation df final.shape[1]} columns")
         # Display the preprocessed test set
         evaluation df final.head()
```

Evaluation dataset consists of: 14850 rows Evaluation dataset consists of: 67 columns

Out[68]:

	gps_height	population	well_age	basin_Lake Nyasa	basin_Lake Rukwa	basin_Lake Tanganyika	basin_Lake Victoria	basin_Pangani	basin
0	0.917839	0.1284	0.022727	0.0	0.0	0.0	0.0	0.0	
1	0.724013	0.1200	0.295455	0.0	0.0	0.0	0.0	1.0	
2	0.723105	0.2000	0.068182	0.0	0.0	0.0	0.0	0.0	
3	0.133000	0.1000	0.590909	0.0	0.0	0.0	0.0	0.0	
4	0.583749	0.0240	0.295455	0.0	0.0	0.0	0.0	0.0	

5 rows × 67 columns

• Predict the status_group of entries in the testdata.csv dataset using the **Tuned Gradient Boosting Classifier** and format the results for submission as specified in SubmissionFormat.csv.

```
In [69]: # Predict the status_group for the test set
    test_predictions = gs_gb.predict(evaluation_df_final)

# Create a submission DataFrame
submission_df = pd.DataFrame({
    'id': test_features['id'],
    'status_group': le.inverse_transform(test_predictions)
})
submission_df.head()
```

Out[69]:

```
idstatus_group0 50785non functional1 51630functional2 17168non functional3 45559non functional4 49871functional needs repair
```

```
In [70]: # Check shape
submission_df.shape

Out[70]: (14850, 2)

In [71]: # Save the submission DataFrame to a CSV file
submission_df.to_csv('./data/final-submission.csv', index=False)
```

6 Conclusion, Recommendations, and Next Steps

6.1 Conclusion

The analysis of the Tanzanian water-wells' dataset demonstrates that supervised machine learning models can effectively predict the functional status of water wells using historical and engineered features. The hyperparameter-tuned Gradient Boosting Classifier consistently outperformed both Logistic Regression and Decision Tree models across all performance metrics on the test set.

Additionally, the tuned Gradient Boosting Classifier was consistent across all performance metrics (achieved the smallest variance for scores between the training set and the test). Additionally, the confusion matrices and ROC curves for the model justified its relatively stronger predictive power and robustness in generalizing to unseen data. These findings are backed up by its scores in the ROC-AUC metric, which solidified the model's superiority in distinguishing between the three water-well functional-status classes (functional, non-functional, functional need repair).

Therefore, this project confirms that with appropriate preprocessing, feature engineering, and model selection, machine learning can provide actionable insights for water well maintenance and resource allocation. The tuned Gradient Boosting Classifier is recommended for deployment due to its superior accuracy, balanced performance across all classes, and robustness against overfitting. The model's performance in generalizing to unseen data was evaluated by using the **Tuned Gradient Boosting Classifier** to predict the status_group values (functional status of a Tanzanian water-well) for 14,850 entries from the dataset (**testdata.csv**). The predictions were exported to **final_submission.csv**.

6.2 Recommendations

The feature importance plot highlights that water_point_type_group, extraction_type_class, and well_age as the most influential predictors for a water-well's functional status. Other significant features include quantity, payment_type, region, source_type, basin, and water quality.

The well_age feature, which captures the difference between the year the well was recorded and its construction year, proved to be a critical factor (older wells are more likely to be non-functional or in need of repair). The type of water point and extraction method also play a substantial role, indicating that certain technologies or infrastructure types are more prone to failure. Regional and environmental factors, such as the well's location (region , basin) and water_quality , further influence the likelihood of a well being functional.

Based on these findings, it is recommended that maintenance and resource allocation efforts prioritize wells that are older, utilize less reliable extraction types, or are located in regions with historically higher rates of non-functionality. Monitoring and proactive intervention for these high-risk wells can help improve water access and reduce downtime. Additionally, the importance of payment and management types suggests that community engagement and sustainable management practices may also contribute to better well functionality outcomes.

These insights provide actionable guidance for stakeholders aiming to optimize well maintenance schedules and target interventions where they are most needed, ultimately supporting more reliable access to clean water in Tanzania.

6.3 Next Steps

- 1. **Model Deployment**: Integrate the recommended **Tuned Gradient Boosting Classifier** model into a user-friendly dashboard for real-time predictions.
- 2. **Integrate Model Predictions into Maintenance Planning**: Use the model's predictions to inform and optimize maintenance schedules, prioritizing wells identified as high-risk based on key features such as well age, extraction type, and water point type group.
- 3. **Pilot Targeted Interventions**: Use the model to pilot targeted maintenance or resource allocation interventions in regions or for well types identified as high-risk, and measure the impact on well functionality and service delivery.
- 4. Collect and Incorporate Additional Data: Encourage field teams to collect more granular data on well management, payment types, and environmental factors. Additional features may further improve model performance and provide deeper insights. As new data becomes available, retrain and validate the model to ensure its continued accuracy and relevance. This will help adapt to changing patterns in well functionality and environmental conditions.